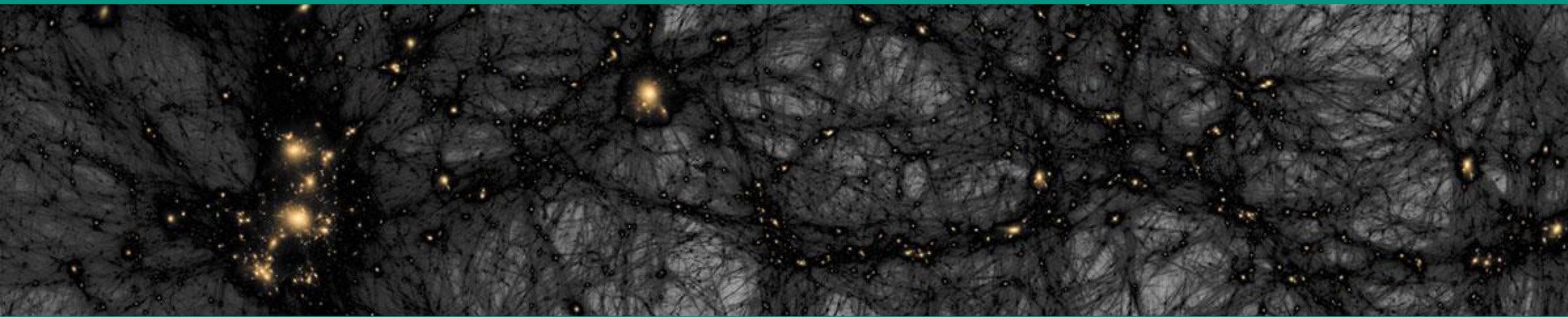


Astroparticle physics I – Dark Matter

WS22/23 Lecture 16

Jan. 19, 2023



Recap of Lecture 15

■ Indirect and direct searches for CDM neutralinos

- positrons: **clear excess** ($E = 10 \dots 1000 \text{ GeV}$) compared to 'classical' models
origin: a) CDM annihilation in local DM-halo b) emission of nearby pulsars
- **WIMP-burning stars** close to galactic center?
- direct searches for CDM: **elastic nuclear recoils** with typical recoil energy
 $E_R \approx \text{few keV}$ (WIMP wind from Cygnus, small yearly modification of v)
- WIMP interaction σ_{SI} : **S**pin **I**ndependent via Higgs h, H σ_{SD} : via Z^0
- cross section: $d\sigma/dq^2 \sim A^2 \cdot F(q^2) \Rightarrow$ large nucleus, low momentum transfer
coherent interaction $\Rightarrow$ large de-Broglie wavelength

WIMP scattering off nuclei: form factor – $F(q^2)$

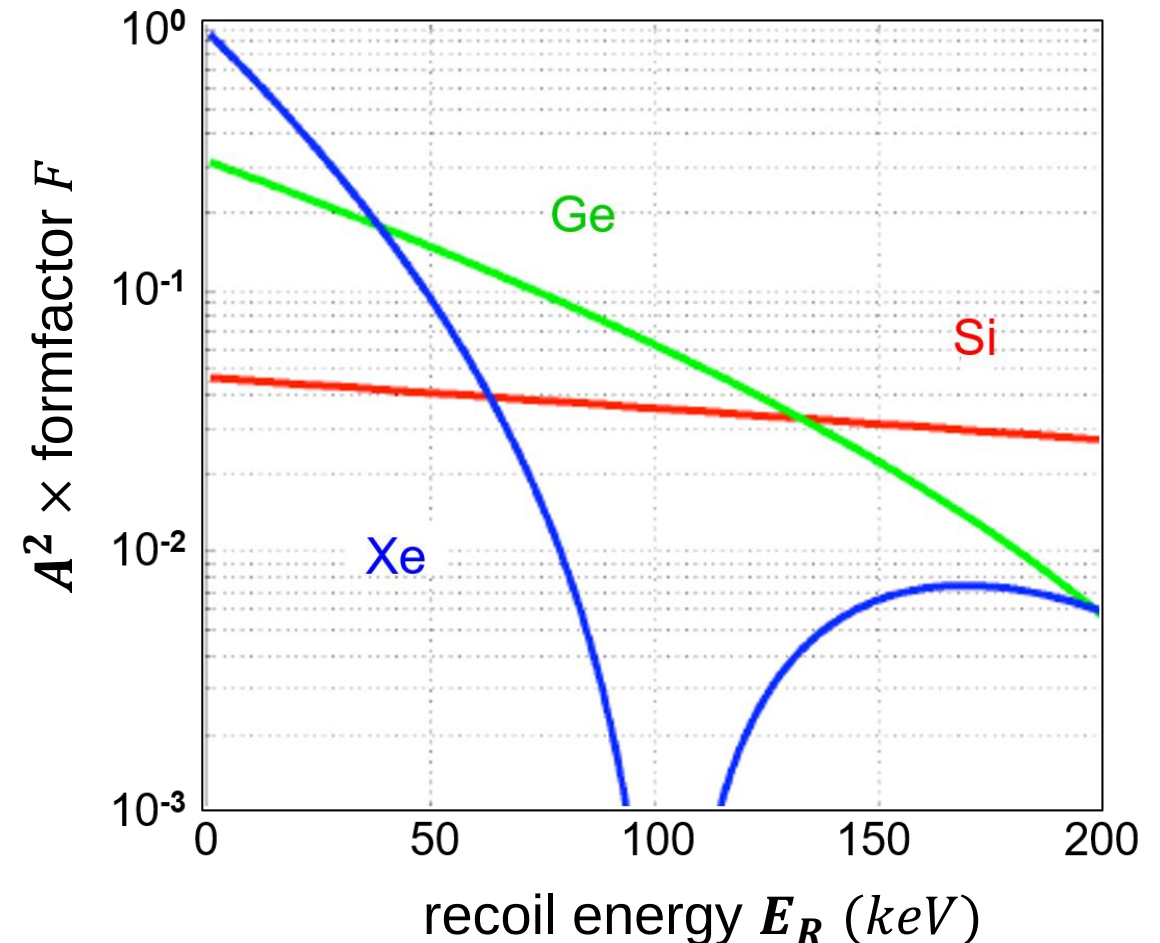
■ Implications of the loss of coherence at high recoil energies

- we aim for heavy nuclei with **large A** & large nuclear radius R_i ($\Rightarrow$ **xenon**)
- **challenge:**
the loss of coherence already starts for smaller values of the momentum transfer q^2 or nuclear recoil energy E_R

$$\sim A^2 \cdot F(q^2)$$



extremely low energy threshold required



WIMP scattering off nuclei: types of interaction

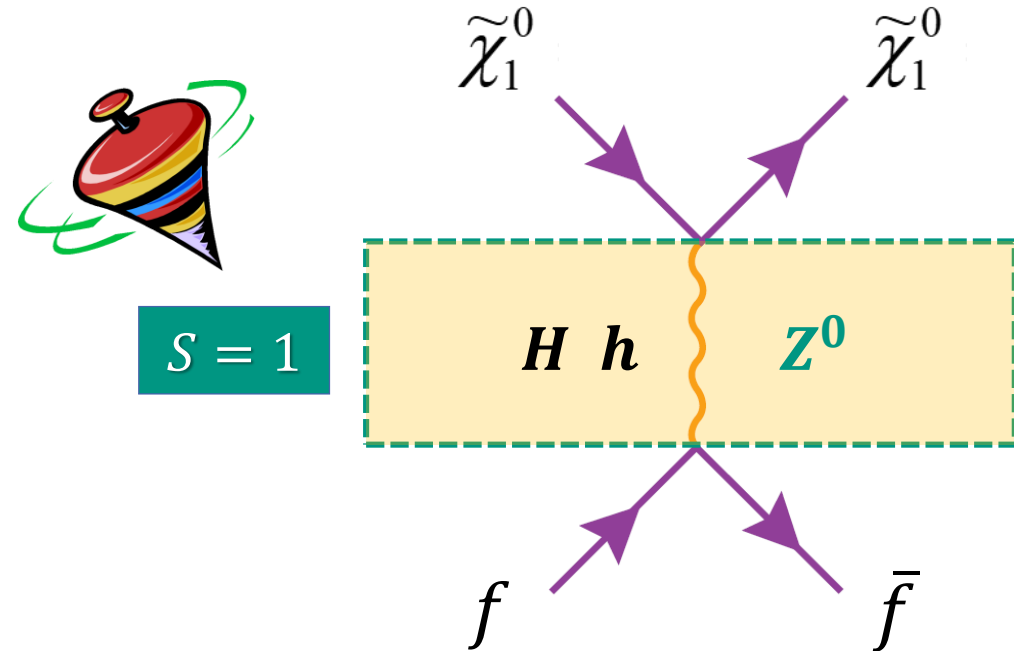
■ Neutralinos can interact via two types of exchange particles: $H \ h \rightleftharpoons Z^0$

- **spin-dependent** interaction via Z^0 – **boson**



σ_{SD} : Spin **D**ependent

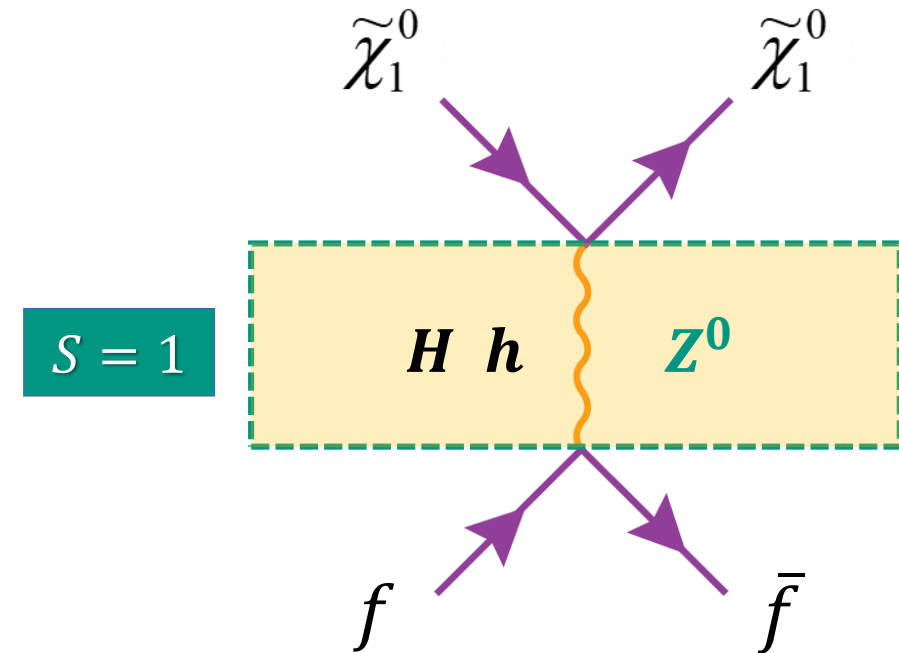
- exchange of an intermediate **vector boson Z^0 with spin $S = 1$**
- many SUSY models 'favour' Z^0 :
we expect rather 'large' couplings



WIMP scattering off nuclei: spin-dependent

■ Neutralinos can couple to the **overall spin J** of a nucleus via Z^0 exchange

- **Scattering amplitude** depends on the **spin orientation**
- for σ_{SD} there is no increase in the interaction rate due to coherence, as Z^0 couples to the total nuclear spin J
- despite the SUSY models 'favouring' Z^0 : we expect rather small contributions of σ_{SD} to total elastic WIMP-scattering cross section



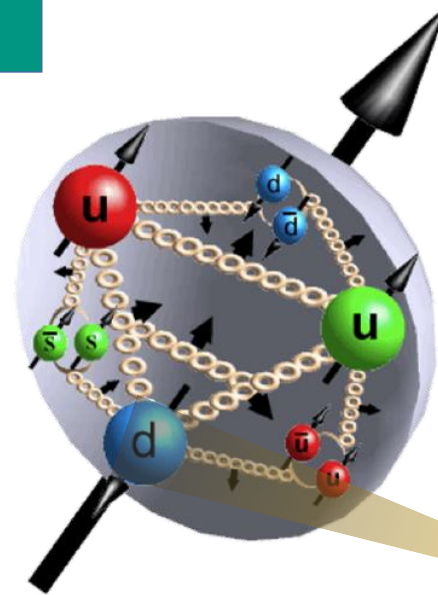
WIMP scattering off nuclei: spin-dependent

- Neutralinos can couple to the **overall spin J** of a nucleus via **Z^0** exchange
⇒ **the target nucleus must possess $J \neq 0$**

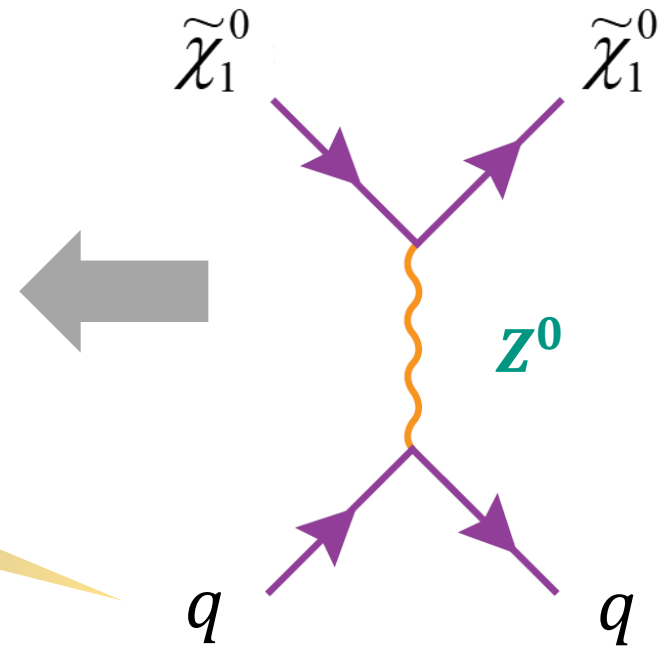
axial-vector interaction of $\tilde{\chi}_1^0$



spin J of nucleus
(nucleons)



spin of nucleon
(quarks)

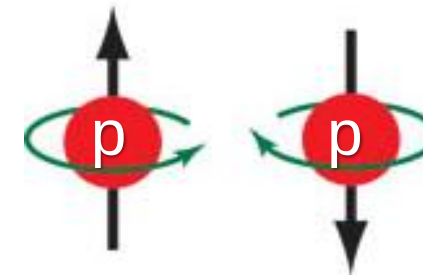
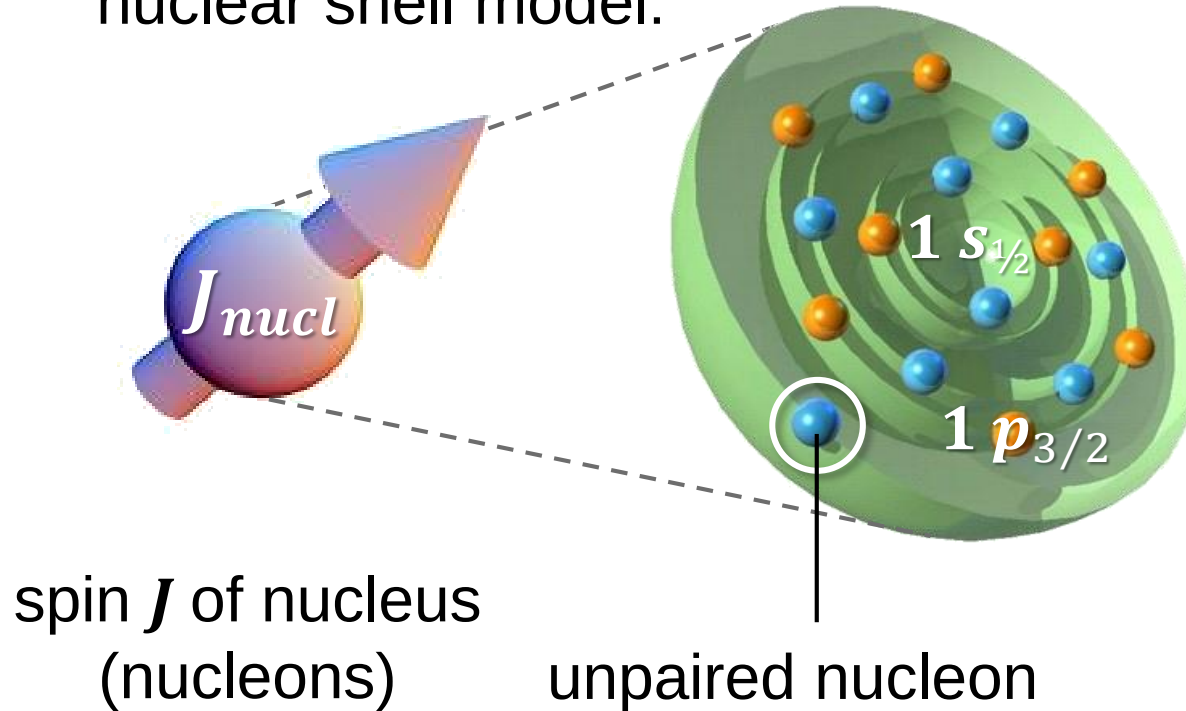


RECAP: Spin J of a nucleus & nucleon spins

■ Nucleons inside a nucleus are paired: **nuclear spin from unpaired nucleon**

- **nuclear spin J** arises from total angular momentum L of unpaired nucleon

nuclear shell model:



coupling to
 $J = 0$

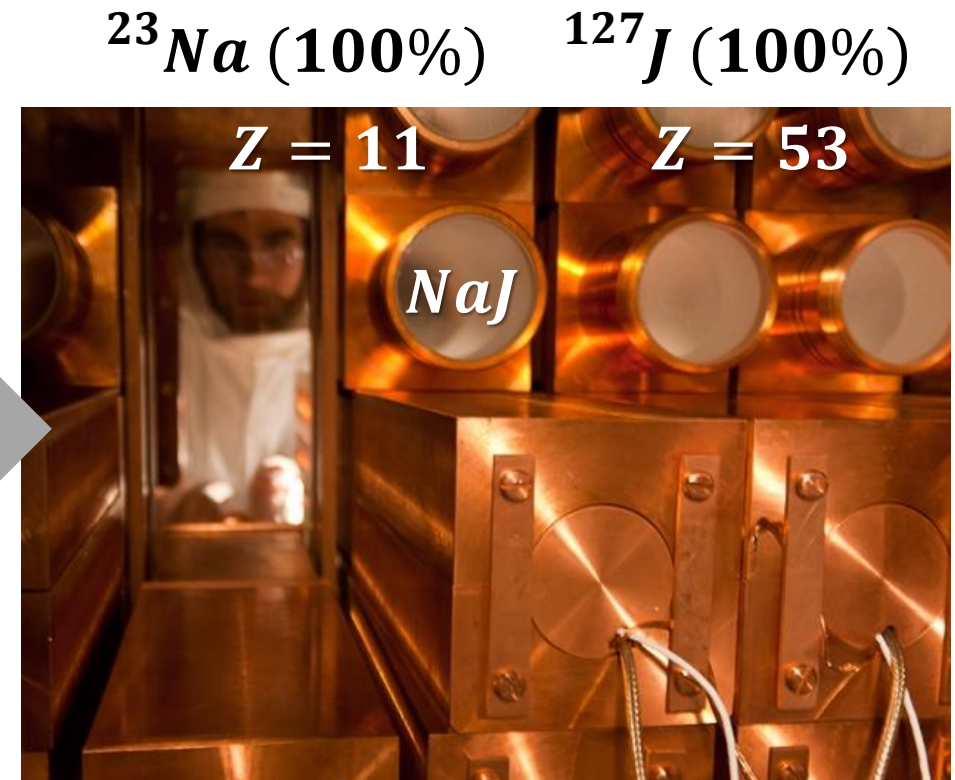
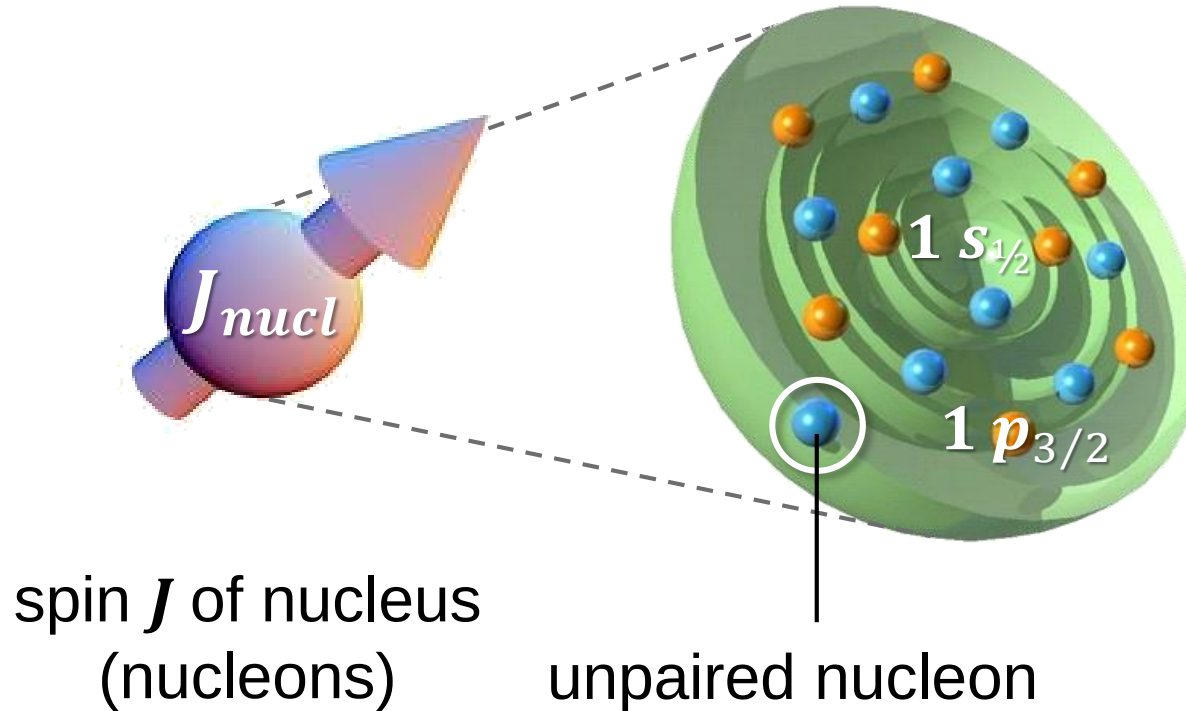
two p, n couple ($\Delta E \sim 2 \text{ MeV}$)
 $\Rightarrow gg/ug/uu$ – nuclei

important for
 σ_{SD} :
target nucleus
must have an
unpaired
 p or n

Suitable detector materials for σ_{SD}

■ Nuclei with unpaired nucleon that can also be used as WIMP detector

- **nuclear spin J** due to unpaired nucleon

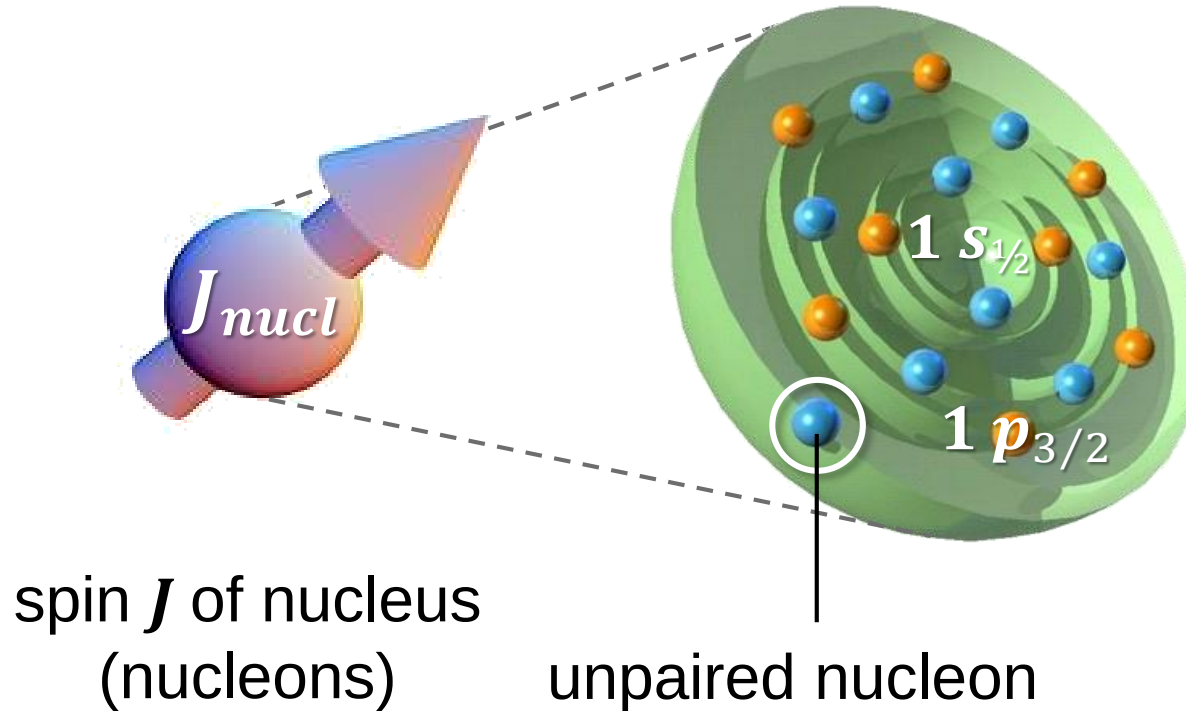


anorganic scintillators (**DAMA-Libra**)

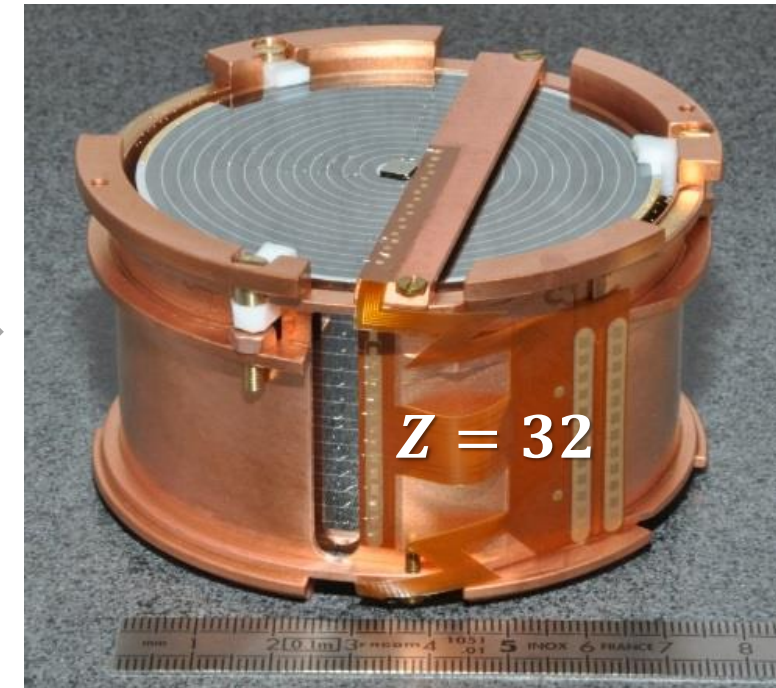
Suitable detector materials for σ_{SD}

■ Nuclei with unpaired nucleon that can be used as WIMP detector

- **nuclear spin J** due to unpaired nucleon



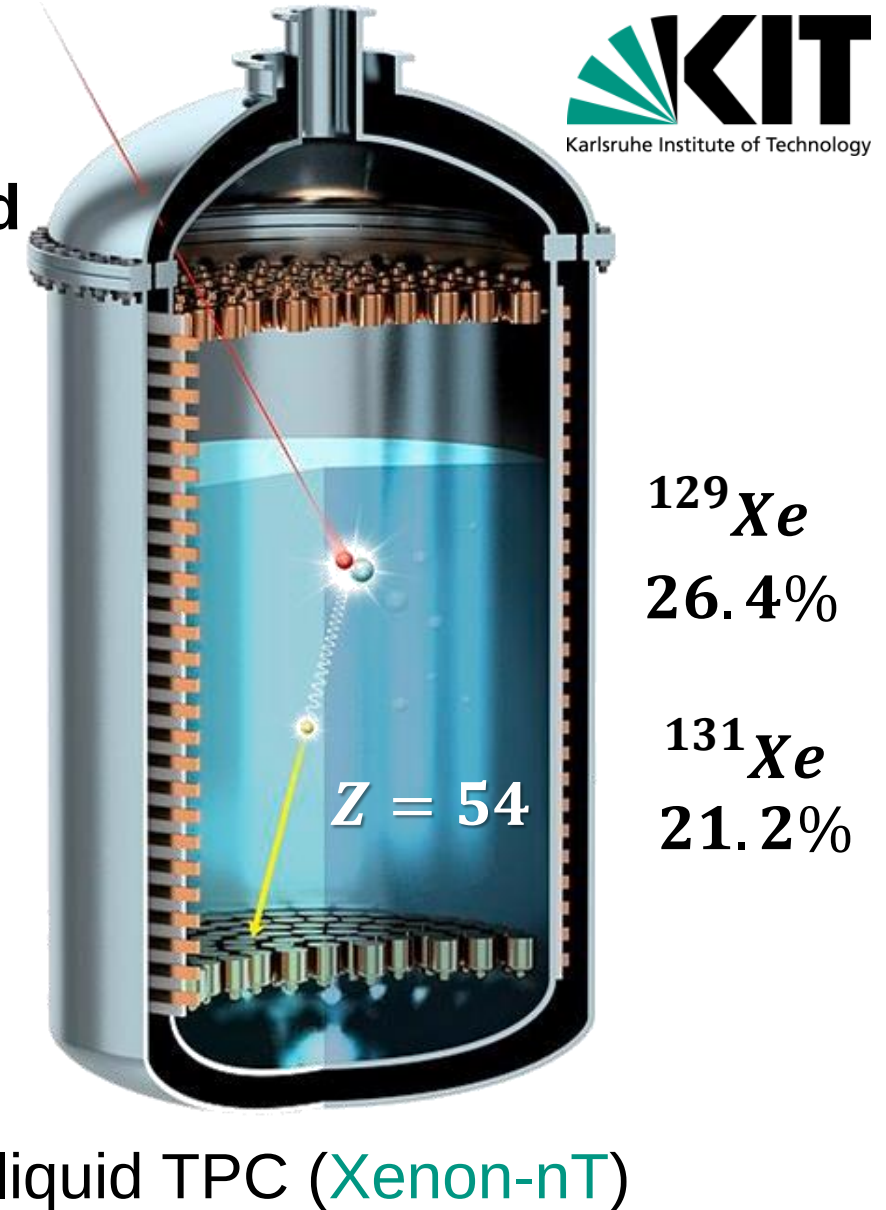
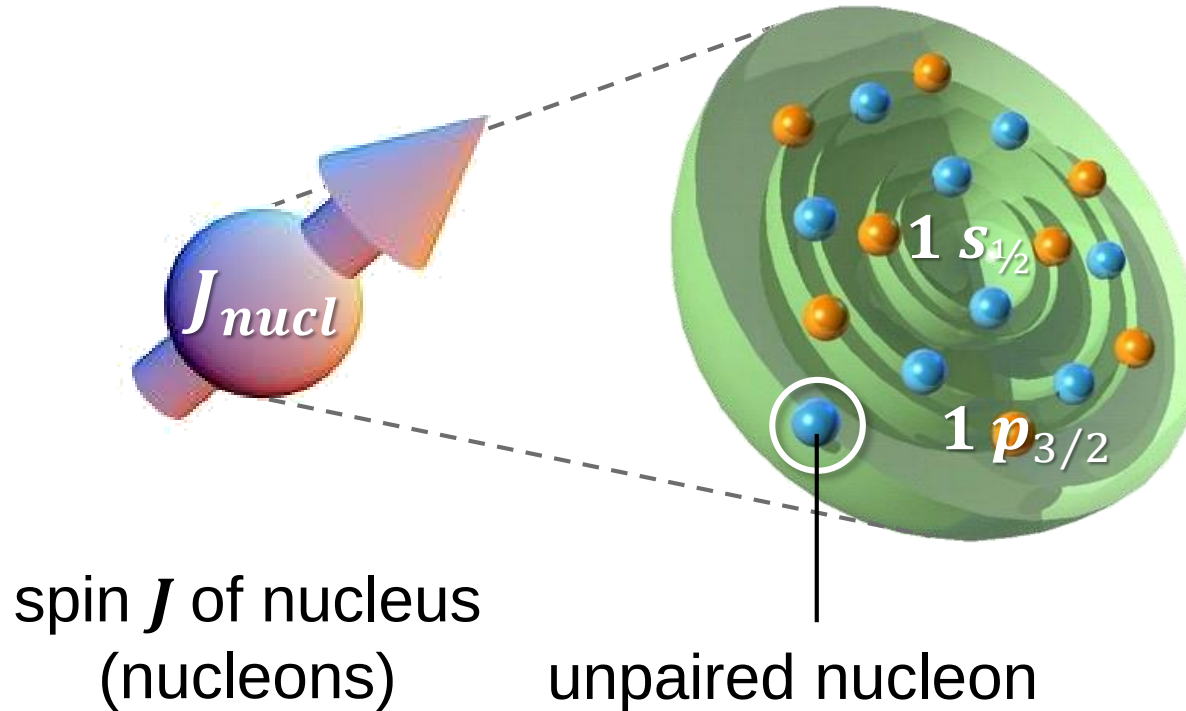
^{73}Ge (7.76%)



solid-state detector (**Edelweiss**)

Suitable detector materials for σ_{SD}

- Nuclei with unpaired nucleon that can be used
 - **nuclear spin J** due to unpaired nucleon



Suitable detector materials for σ_{SD}

■ Nuclei with unpaired nucleon p, n that can be used for DM-searches

- spin-dependent coupling to unpaired proton (a_p) or neutron (a_n)

detector type	isotope	fraction	protons	neutrons	spin J	coupling
NaJ	^{23}Na	100%	11	12	3/2	a_p
(scintillator)	^{127}I	100%	53	74	5/2	a_p
LXe	^{131}Xe	21.2%	54	77	3/2	a_n
(liquid TPC)	^{129}Xe	26.4%	54	75	1/2	a_n
Ge (bolometer)	^{73}Ge	7.8%	32	41	9/2	a_n

Cross section of spin-dependent WIMP scattering

■ We can calculate the spin-dependent interaction rate of WIMPs via Z^0

- WIMPs moving with **velocity** v in the galactic DM-halo undergo an elastic scattering event with momentum transfer q^2



Fermi coupling constant

$$\frac{d\sigma_{SD}}{dq^2} \sim \frac{G_F^2}{v^2} \cdot C_{Spin} \cdot \frac{S(q)}{S(0)}$$

⇒ **Spin 'enhancement' factor** (typically 0.2 ... 0.5)

Spin structure function $S(q)$: spatial distribution of spin inside the nucleus for different q

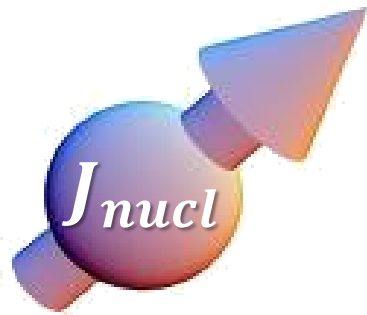
The Spin 'enhancement' factor C_{spin}

- We now consider in detail how the WIMP couples to the spin of the target

$a_{p,n}$: WIMP-coupling factor to p, n

takes into account the spin distribution on the quark level

(strength strongly depends on WIMP-flavour composition of $SUSY$ – model)



$$C_{Spin} = \frac{8}{\pi} \cdot \left(a_p \langle S_p \rangle + a_n \langle S_n \rangle \right)^2 \cdot \frac{J+1}{J}$$

$\langle S_{p,n} \rangle$: expectation values for p, n – spin in target nucleus
(follows from detailed shell model calculations)

J : nuclear spin due to the unpaired nucleon (proton/neutron)

WIMPs: how do they couple to the nucleus?

■ We now compare again the scalar (σ_{SI}) to spin-dependent (σ_{SD}) scattering

- we 'zoom out' from the **parton level** to **nucleons**
then to the nucleus

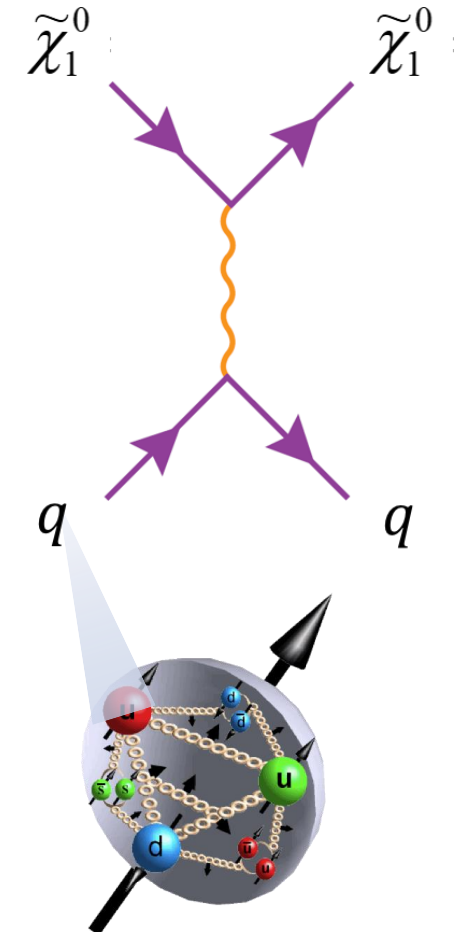
1 – level of partons: q, g

χ^0 – interaction with **quarks, gluons**

χ^0 – coupling from specific **SUSY – model**

2 – level of nucleons: p, n

kinematics & spin within a **nucleon p, n** determined
via parton-distributions (valence/sea-quarks, gluons)



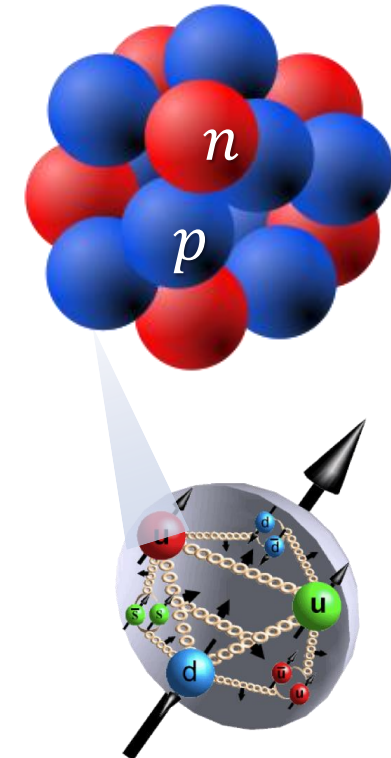
WIMPs: how do they couple to the nucleus?

■ We now compare again the scalar (σ_{SI}) to spin-dependent (σ_{SD}) scattering

- we 'zoom out' from the parton level to nucleons then to the **nucleus**

3 – level of nuclear structure: *Ar, Ge, Xe, ...*

- χ^0 – interaction with nucleus
 - ⇒ nuclear wave function using nuclear shell model
 - ⇒ **form factors** to describe the mass / spin distributions within the nucleus
- kinematics of process – **coherent nuclear recoil**



More details on the elastic nuclear recoil

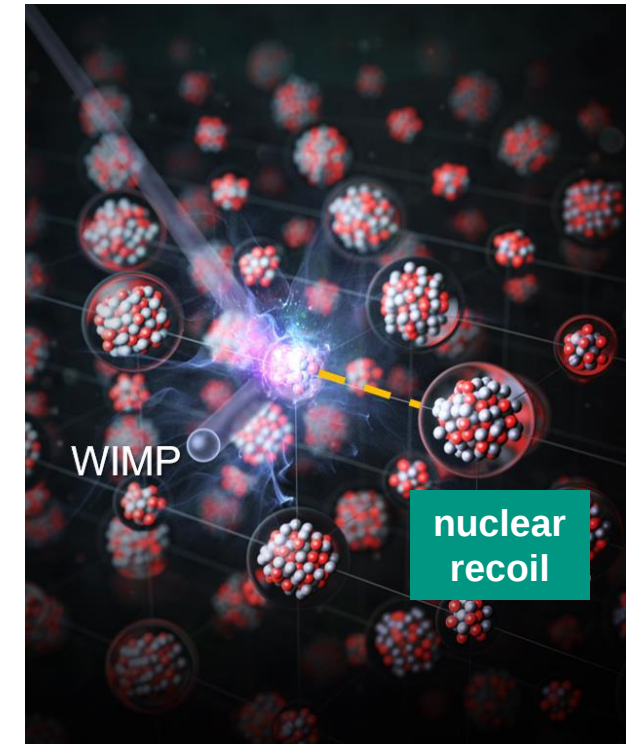
■ We now look in more detail at the WIMP signal due to the **elastic scattering**

- due to the low energy transfer involved in a WIMP scattering off a nucleus:
we do not have to consider **nuclear excitations** A^* (even for uu – nuclei)
⇒ purely **elastic reaction kinematics***



- parameters:

- a) relative velocities
- b) masses M_χ and M_N
- c) scattering angle θ

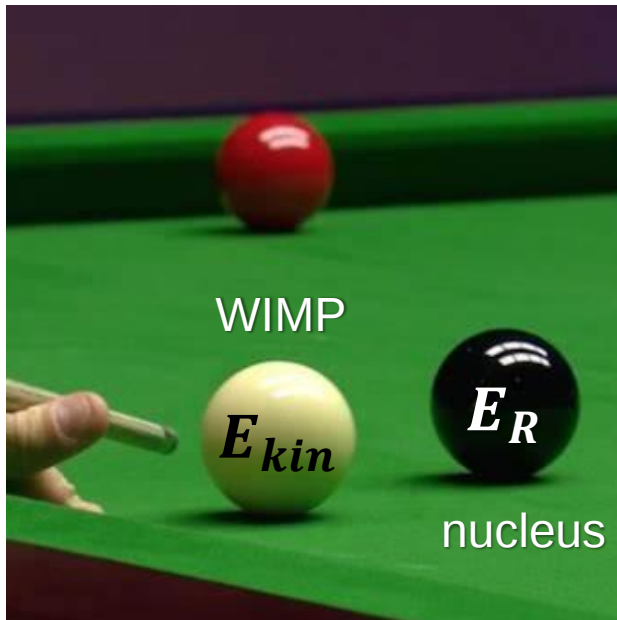


Reaction kinematics of WIMP scattering

- We can use **non-relativistic kinematics** due to rather low WIMP velocities

$$E_{kin} = \frac{1}{2} \cdot M(\chi^0) \cdot \beta^2 \rightarrow E_R < 100 \text{ keV}$$

small nuclear recoil energy E_R :
few tens of keV at maximum



- parameters:

- a) relative velocities: $v \approx 10^{-3} \cdot c$ (WIMP in DM-halo)
- b) masses M_χ and M_N : $M_{\chi,N} \approx 100 \text{ GeV}$
- c) scattering angle θ : $\theta = 0^\circ \dots 180^\circ$ (forward / backward)

Reaction kinematics of WIMP scattering

- We now describe WIMP scattering off a **target nucleus** which is **at rest**

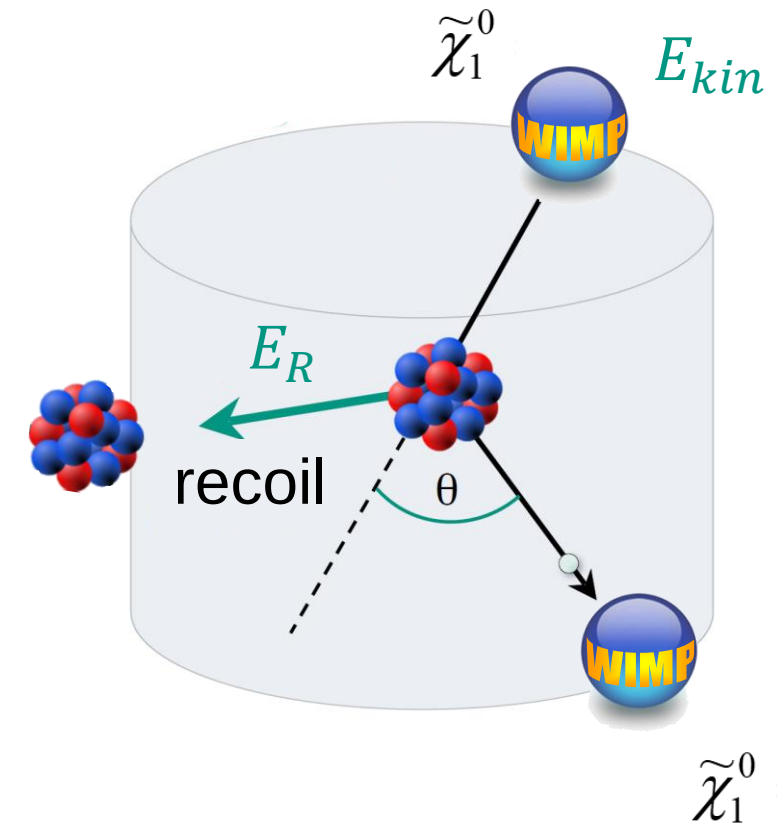
$$E_R = 2 \cdot \frac{\mu}{M_\chi + M_N} \cdot E_{kin} \cdot (1 - \cos \theta)$$

E_R : recoil energy of nucleus (usually in *keV*)

E_{kin} : kinetic energy of WIMP (usually in *keV*)

μ : reduced mass of WIMP-nucleus system

$$= \frac{M_\chi \cdot M_N}{M_\chi + M_N}$$



Reaction kinematics: equal masses

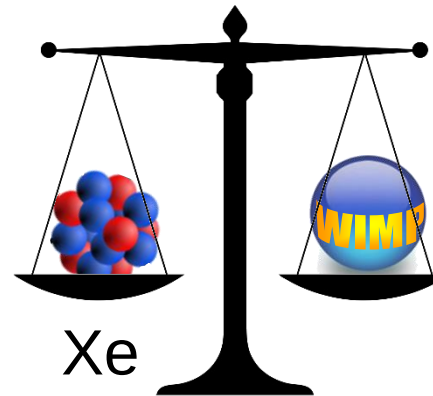
- Optimum transfer of energy & momentum which **maximises E_R for given E_{kin}**

$$E_{R,max} = \frac{1}{2} \cdot M_N \cdot \beta^2$$

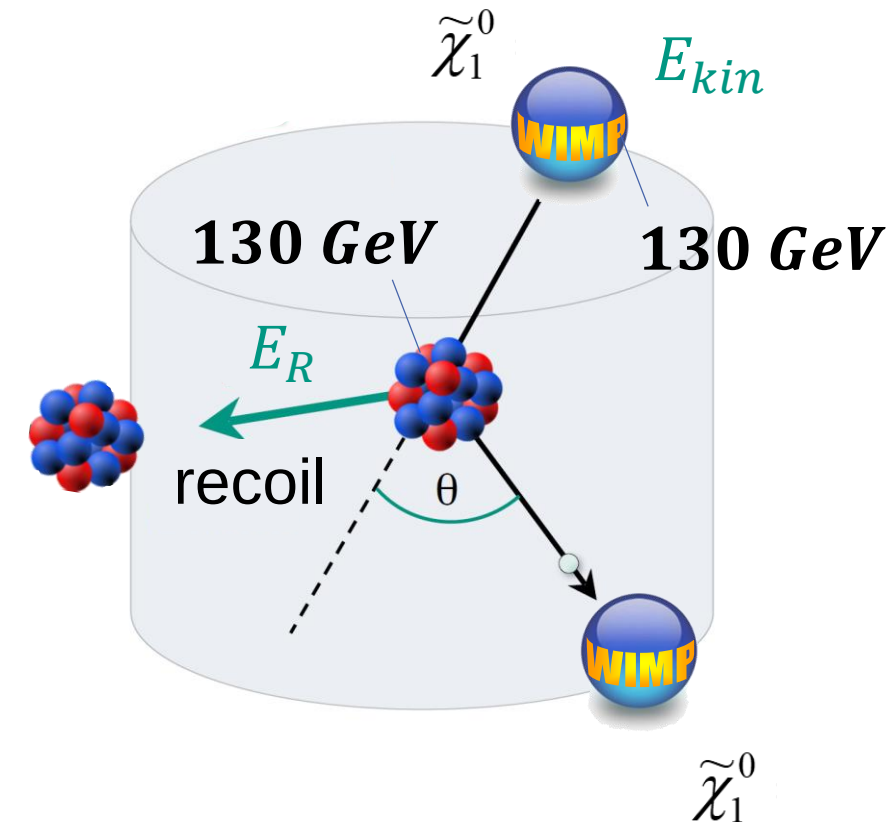
- identical mass scale

$$M_\chi = M_N : \mu = \frac{M_N}{2}$$

⇒ recoil nucleus receives
full kinetic energy E_{kin}
of incoming WIMP



130 GeV WIMP
scatters off xenon



Reaction kinematics: non-equal masses

- non-optimum transfer of energy & momentum which impacts E_R

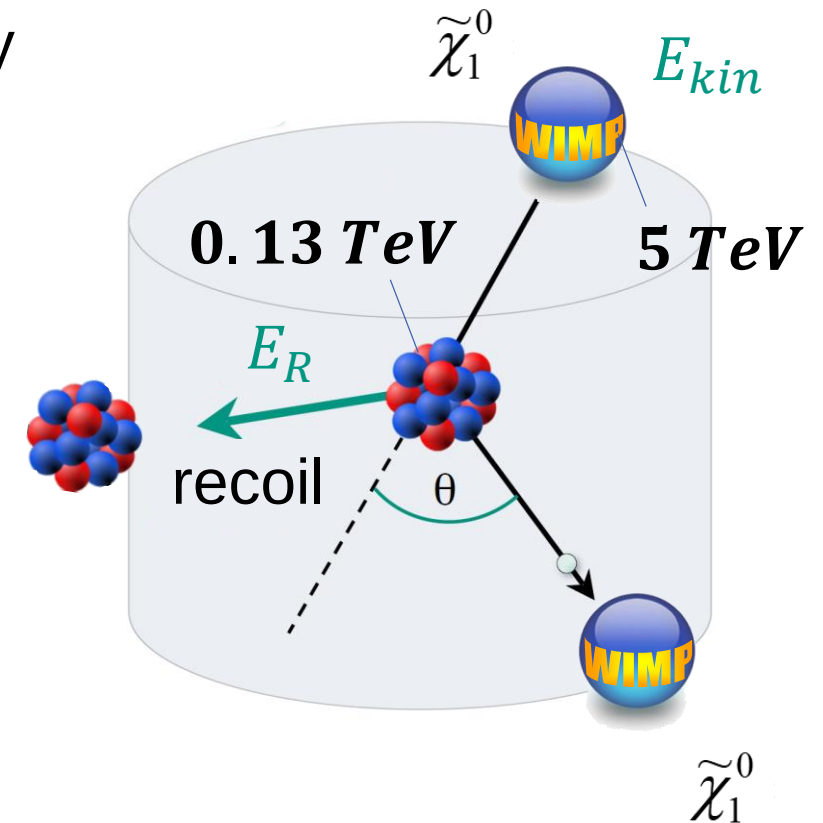
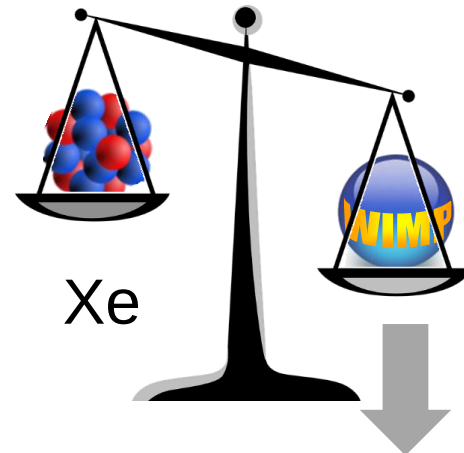
$$E_{R,max} = 2 \cdot M_N \cdot \beta^2$$

- non-identical mass scale

$$M_\chi \gg M_N : \mu = M_N$$

⇒ recoil nucleus receives
only part of kinetic energy E_{kin}
of incoming WIMP, but now:
much smaller WIMP flux

only $4 \times$ larger energy



INSERTION: χ^0 – SCATTERING OFF ELECTRONS?

■ Why can't we use electrons as target for WIMP scatterings?

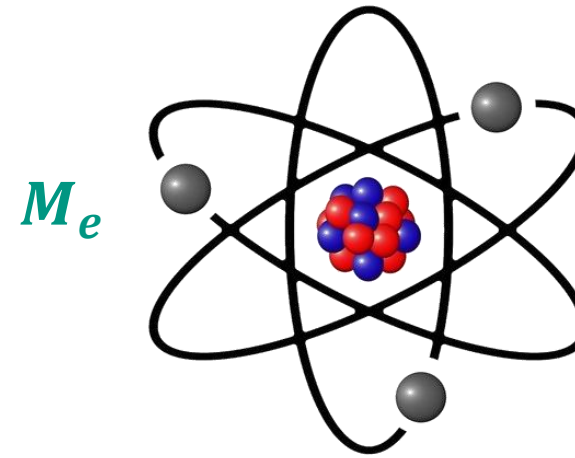
$$E_{R,max} = 2 \cdot M_e \cdot \beta^2$$

- non-identical mass scale

$$M_\chi \gg M_e : \mu = M_e$$

⇒ recoil electron receives
only part of kinetic energy E_{kin}
of incoming WIMP

$E_{R,max}$ is on the eV-scale (undetectable)



WIMP scattering in an actual DM-detector

■ How do I optimize my detector to observe elastic WIMP scattering?

- now that we have analysed the kinematics of elastic WIMP scattering, which are the most **important detector parameters** to observe it?

- a) how **large** should the **target mass** of my detector be?
- b) how **low** should the **energy threshold** of my detector be?
- c) how **many WIMP scatterings** will my detector see?



WIMP scattering in an actual DM-detector

■ We first calculate the expected number of elastic WIMP scatterings

- expected DM-event **rate** R in a detector with **number** N_{nucl} of target nuclei

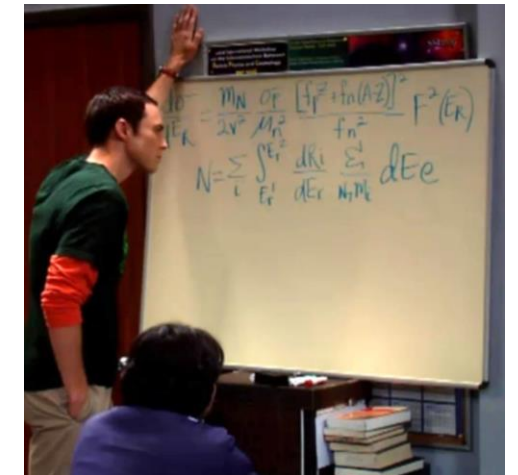
$$R = N_{nucl} \int_{E_{kin,min}}^{E_{kin,max}} \Phi(E) \cdot \sigma_{SI/SD}(E) dE \approx N_{nucl} \cdot \langle \Phi \rangle \cdot \langle \sigma_{SI/SD} \rangle$$

WIMP flux as function
of kinetic energy E_{kin}

cross section as function
of kinetic energy E_{kin}

averaged
values

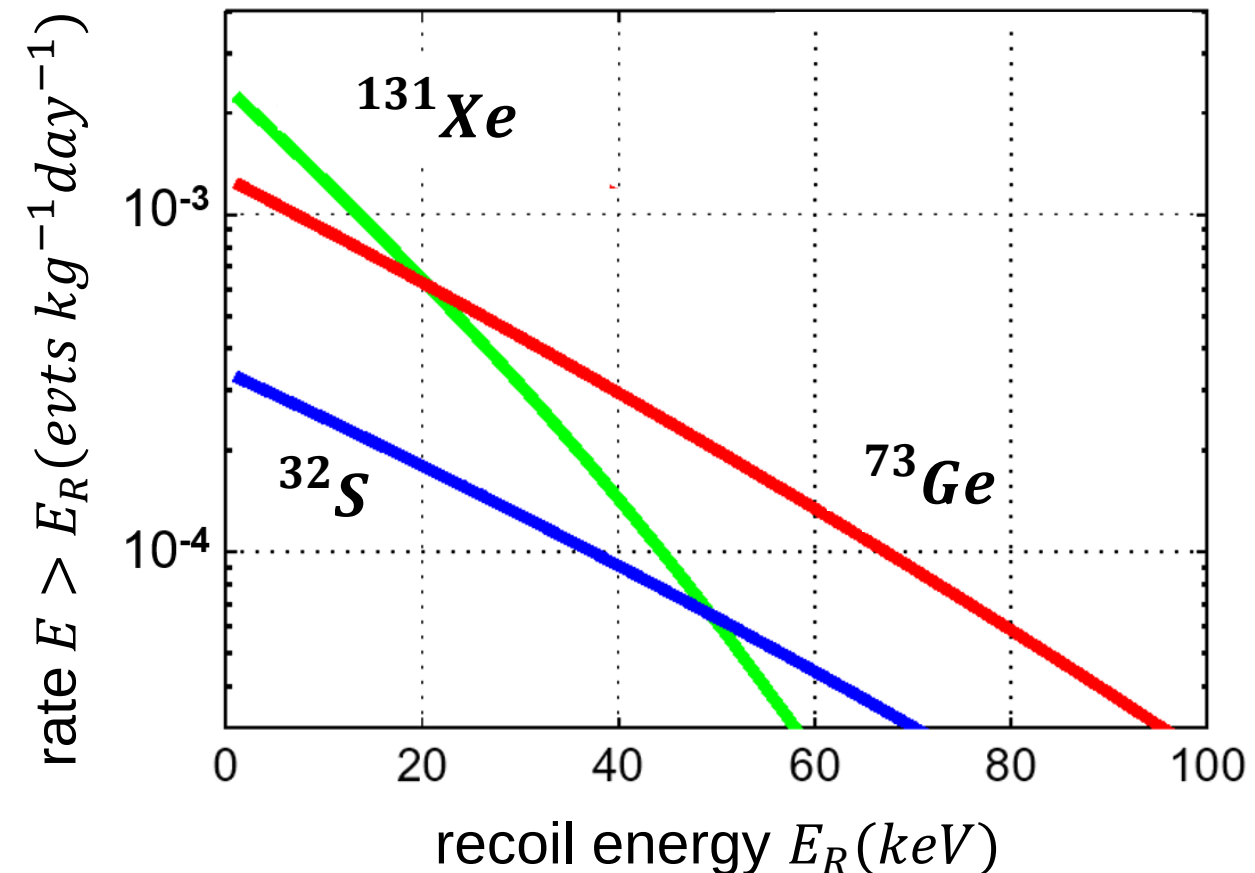
(or DM-halo velocity v)



WIMP scatterings as function of recoil energy E_R

■ We now investigate the number of events above a **specific threshold** $E > E_R$

- here we display the integrated number of events **above** a specific recoil energy E_R
- equivalent to an **integrated recoil energy spectrum** above threshold
- here we use a flux-averaged value $\sigma_{SD} = 3.6 \cdot 10^{-45} \text{ cm}^2$
- visualizes impact of nuclear mass M_N via kinematics & form factor F



WIMP scatterings: recoil energy spectrum

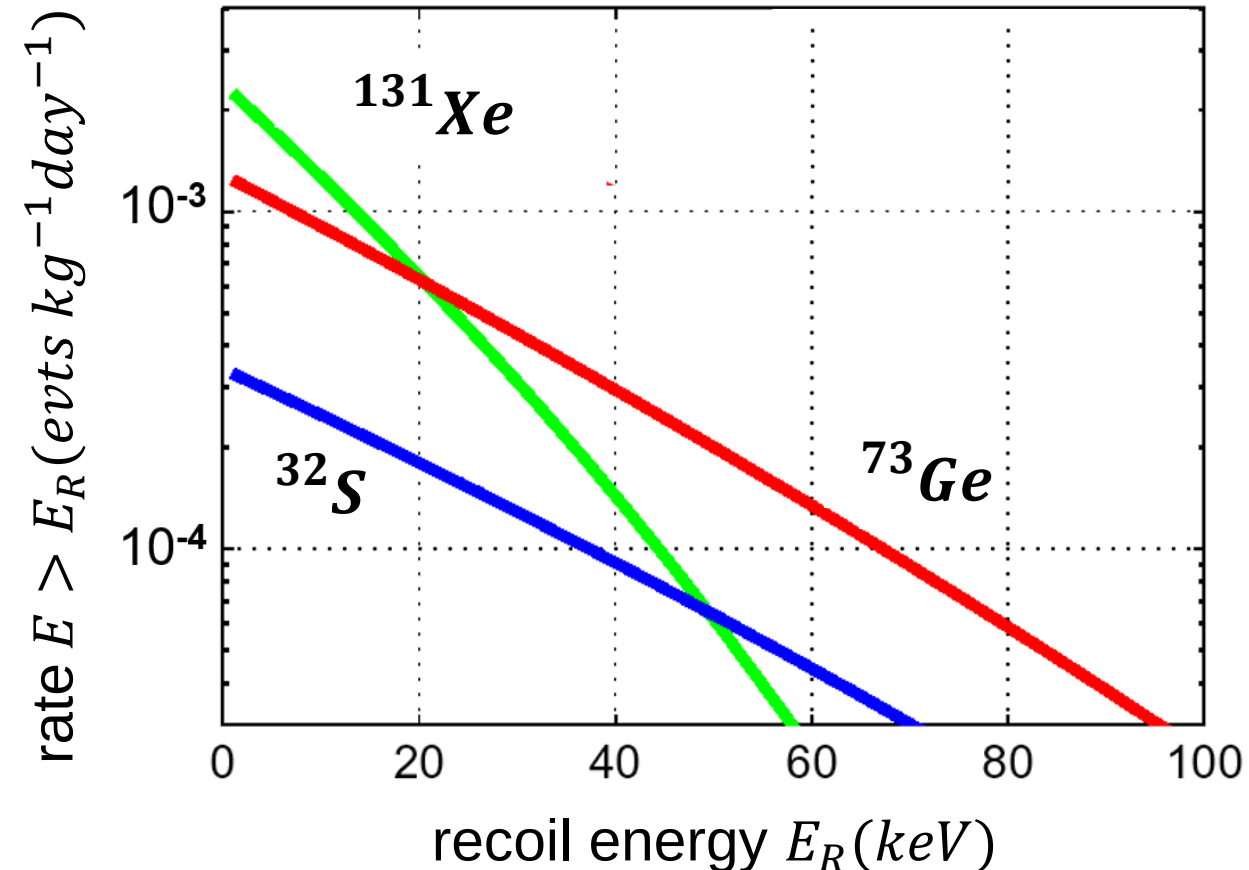
- Conclusion from the integrated spectrum above a **specific threshold** $E > E_R$

$$R = N_{nucl} \int_{E_{kin,min}}^{E_{kin,max}} \Phi(E) \cdot \sigma_{SI/SD}(E) dE$$


it is of paramount importance to reach an energy threshold of

$E_{thres} = 1 \dots 2 \text{ keV}$ for

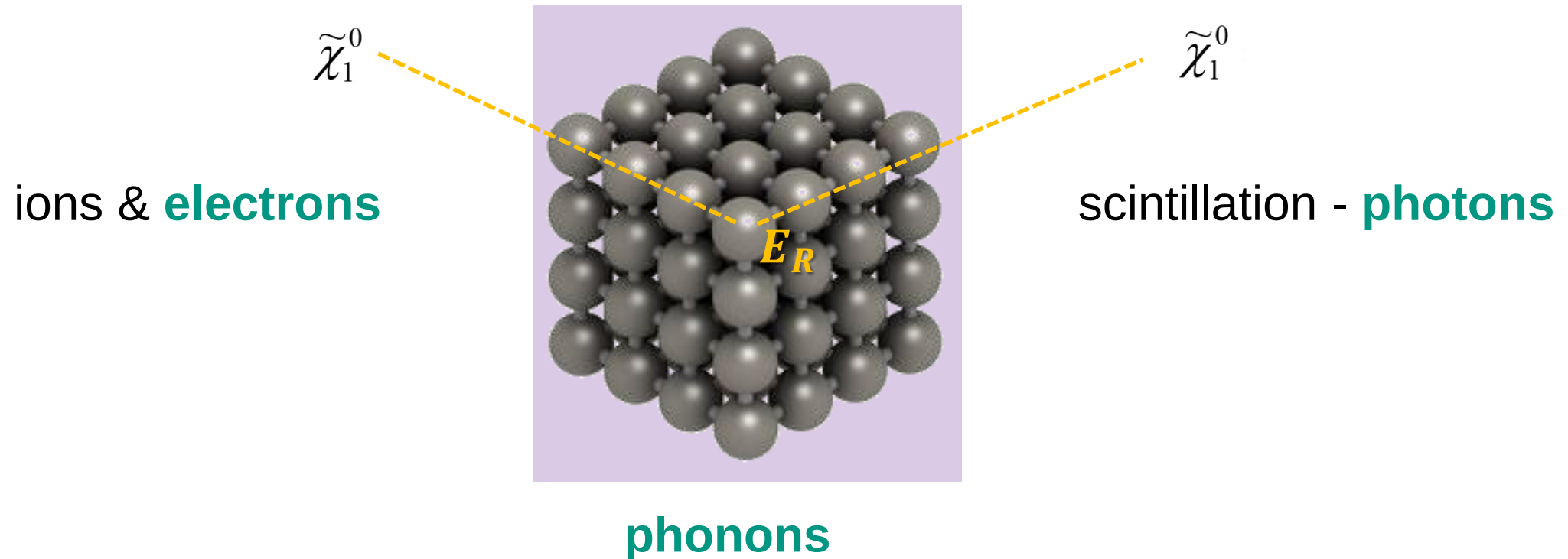
WIMP-detectors with target masses of **1 ... 10 t**



Solid state response to E_R from the nuclear recoil

■ What happens in my solid-state detector after a WIMP interaction?

- nuclear recoil can be detected via **three solid-state responses**

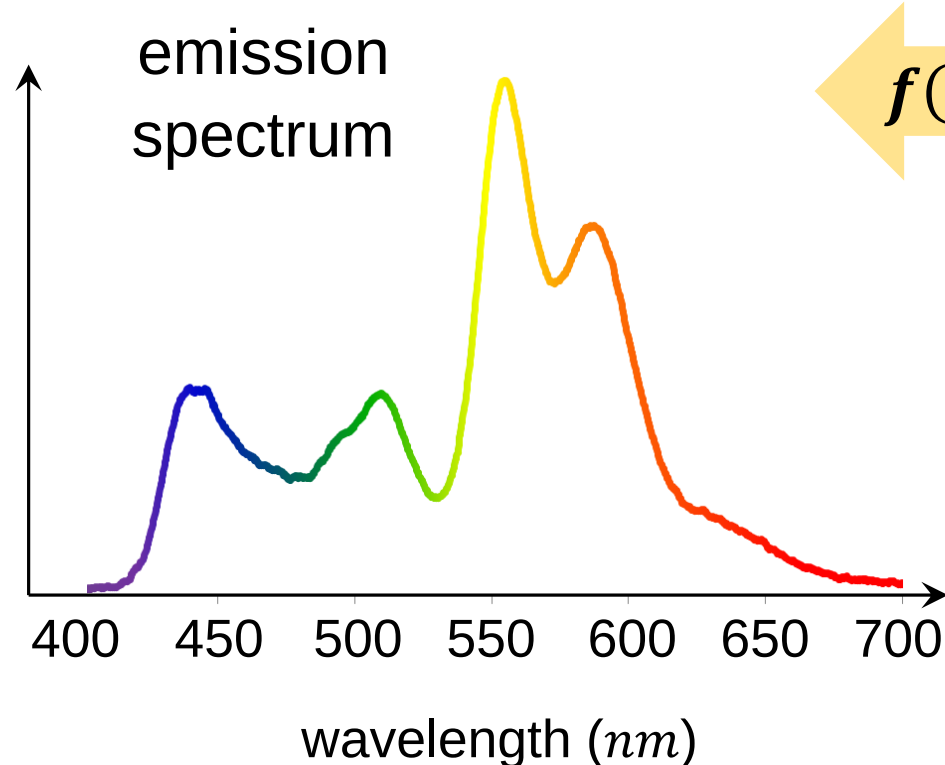


Solid state response part–I: scintillation

■ Emission and subsequent detection of **scintillation photons**

- effective energy for the detection of the scintillation light:

$\Delta E \sim 100 \text{ eV}$ per photon

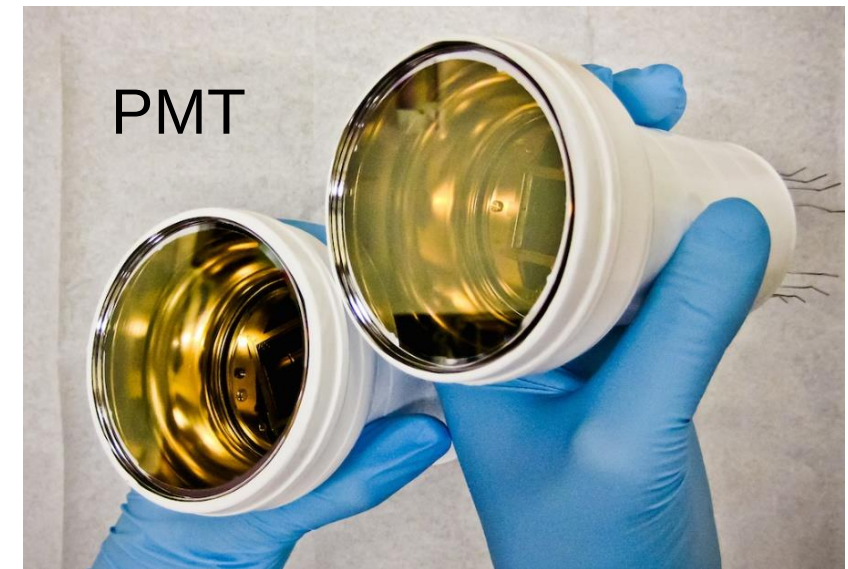


$f(\lambda)$ **SHOULD MATCH**



organic &
anorganic
scintillators

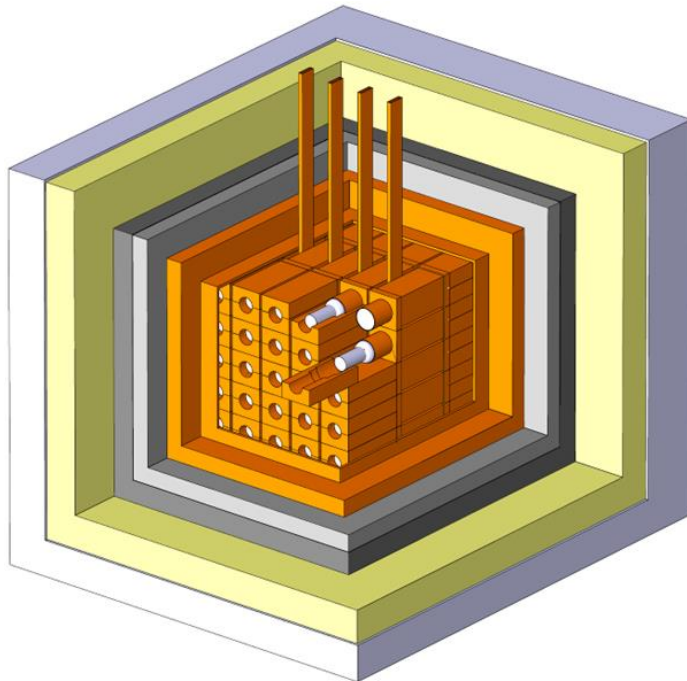
spectral sensitivity of
PMT photocathode



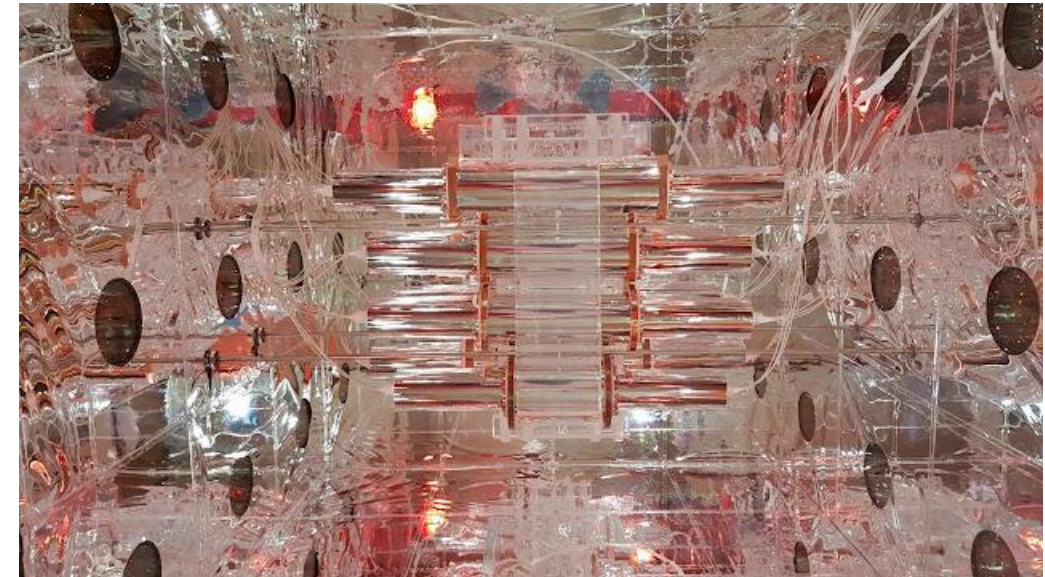
Solid state response part–I: scintillation

■ Examples of DM-detectors based on scintillation: DAMA-Libra, SABRE,...

- NaJ / CsJ / CaF₂ - crystals with readout by PMTs



COSINE-100 detector



Solid state response part–I: scintillation

■ Examples of DM-detectors based on scintillation: DAMA-Libra, SABRE,...

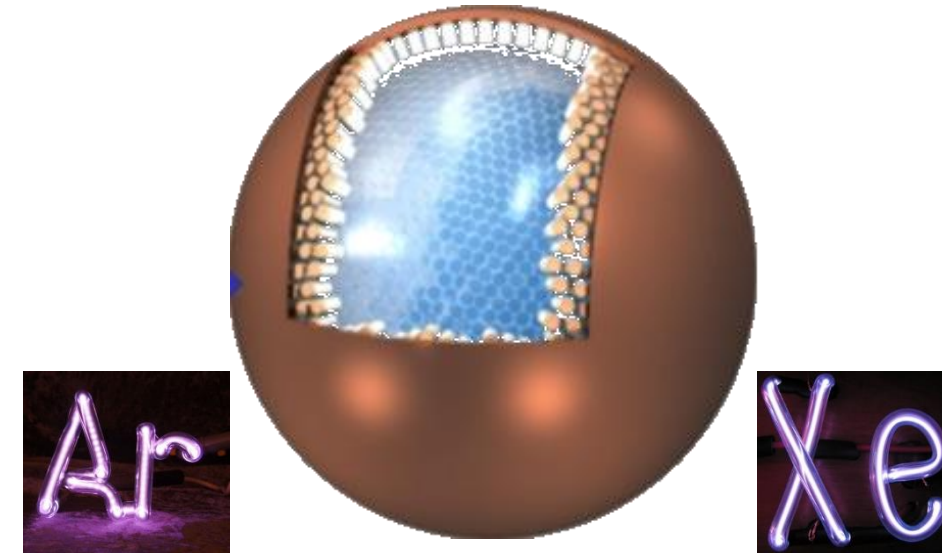
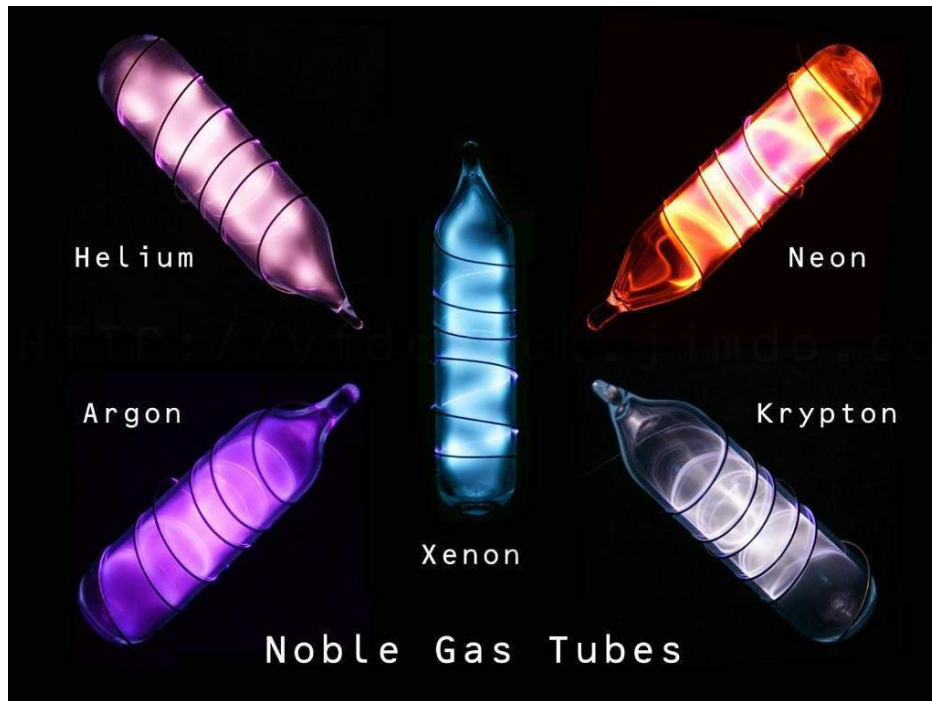
- **NaJ / CsJ / CaF₂ - crystals** with readout by PMTs
- each scintillation material has its specific properties,
we aim for large light yield, fast decay time & optimum λ – match to PMT

scintillator	light yield (photons keV^{-1})	decay time τ_f (ns)	mean wave- length λ_m (nm)	n
<i>NaJ (Tl)</i>	38	230	415	1.85
<i>CsJ (Tl)</i>	65	800	540	1.86
<i>CaF₂ (Eu)</i>	19	940	424	1.44

Scintillation light in liquid noble gas detectors

■ Emission of scintillation light in the **VUV (Vacuum Ultra-Violet)** band

- we will later discuss large LXe / LAr – based TPCs, where **VUV-scintillation** occurs

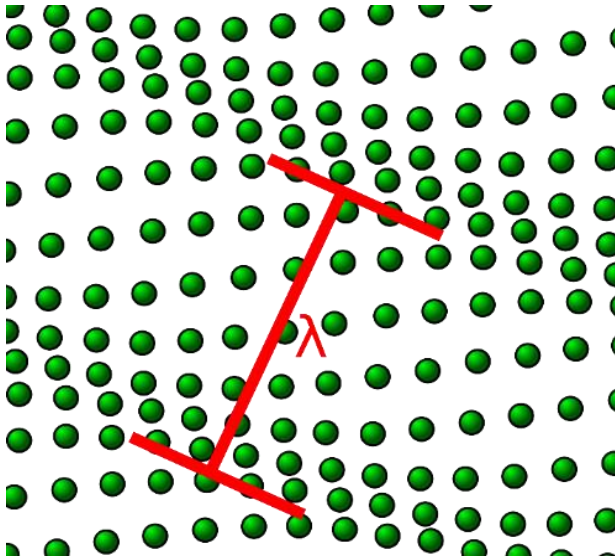


discharge tubes: ionized noble gases (which is not a scintillation process!)

Solid state response part–II: phonons

■ Nuclear recoil results in the emission of a **spherical phonon wave**

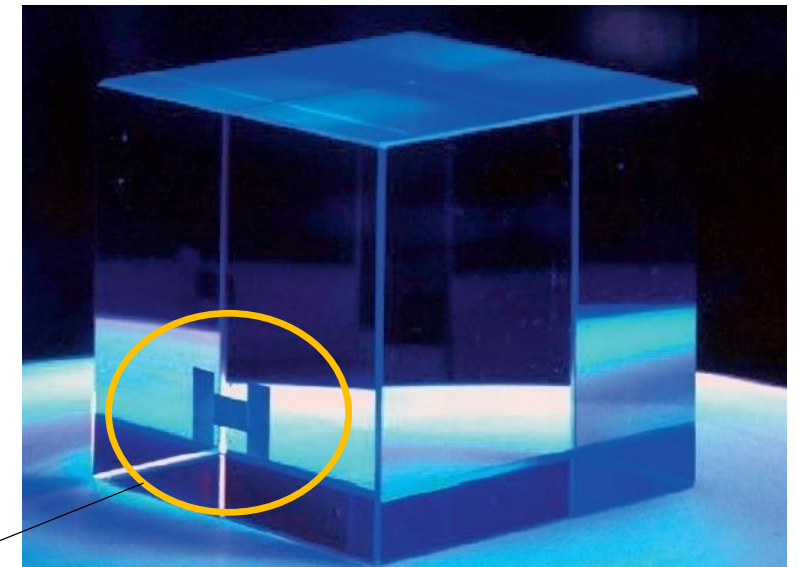
- phonon: quasi-particle, corresponds to a 'quantized sound wave'
- detection of phonons requires detector operation at the **mK – scale**



phonon wave propagating



thermistor

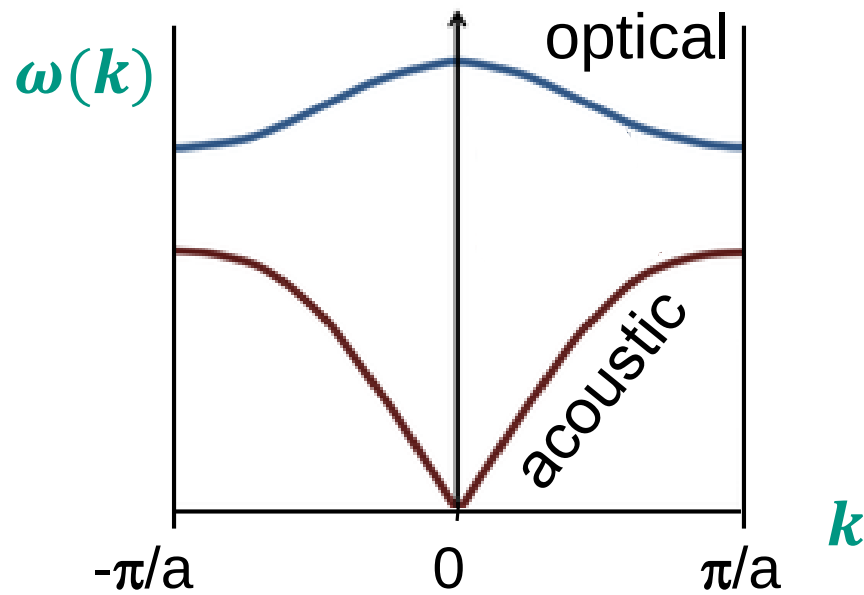


$CaWO_4$ – crystal

Solid state response part–II: phonons

■ Nuclear recoil results in the emission of a **spherical phonon wave**

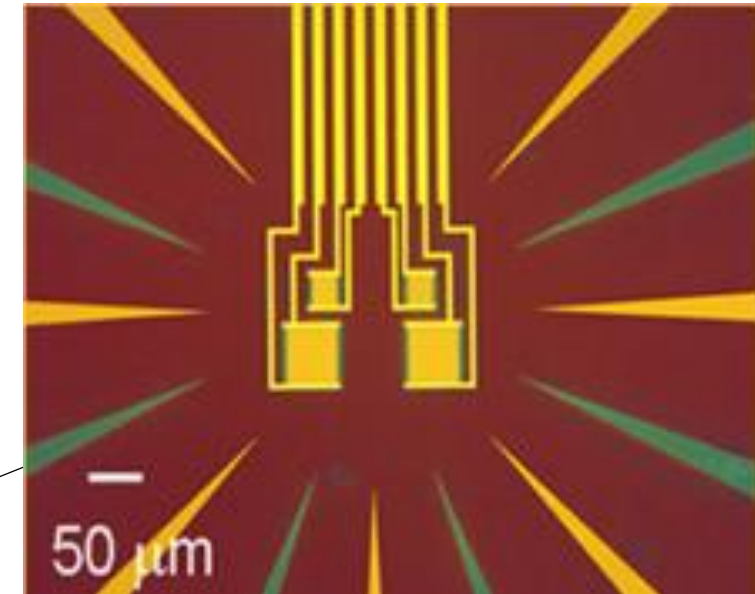
- phonon: quasi-particles with very small energies on the **meV – scale**
- detection of recoil energy E_R via **thermistor** ($\Delta T \rightarrow \Delta R$)



phonon dispersion relation



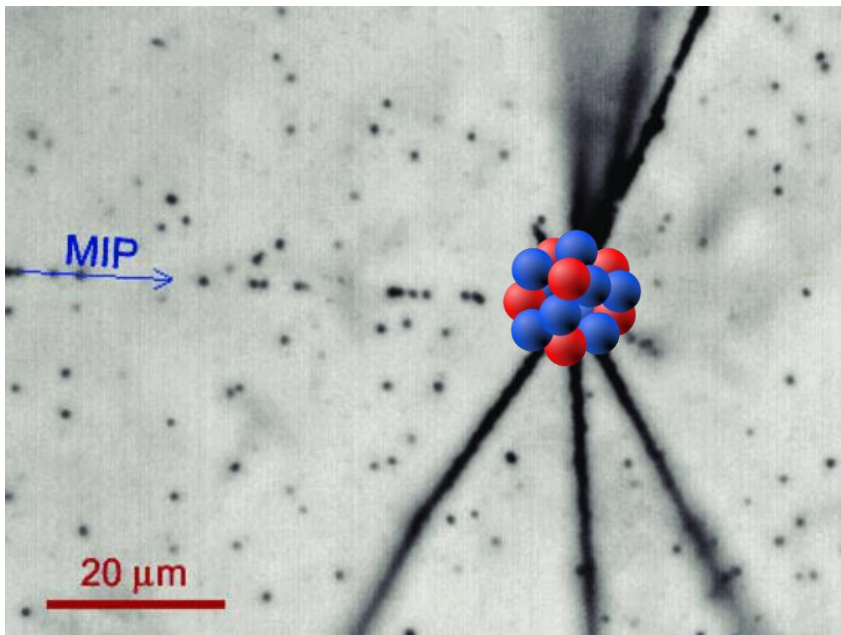
thermistor



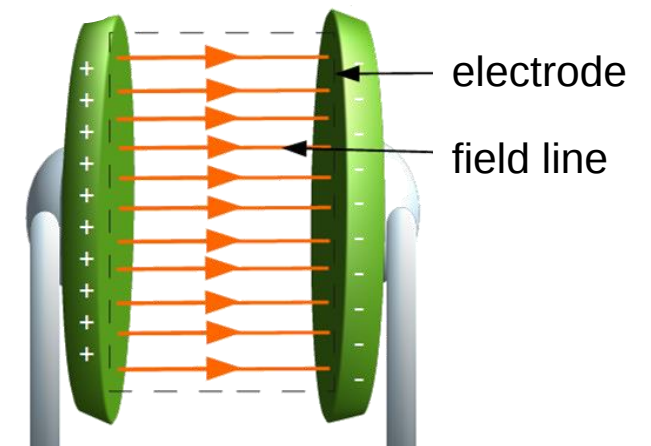
Solid state response part–III: electrons

■ Nuclear recoil results in the generation of **electron-ion pairs (ionization)**

- recoil nucleus has a very **large stopping power dE/dx** $\Rightarrow$ short recoil track
- high density of electrons & ions along the track: recombination



- we have to drift the electrons using **strong electric drift fields** to detector's anode for read-out

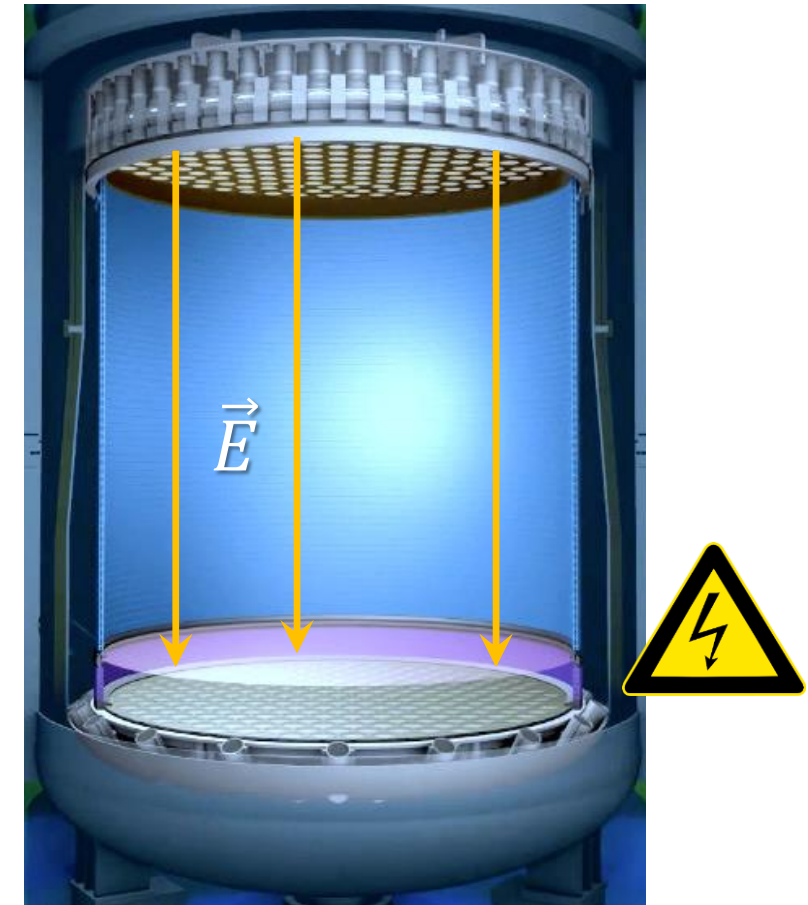
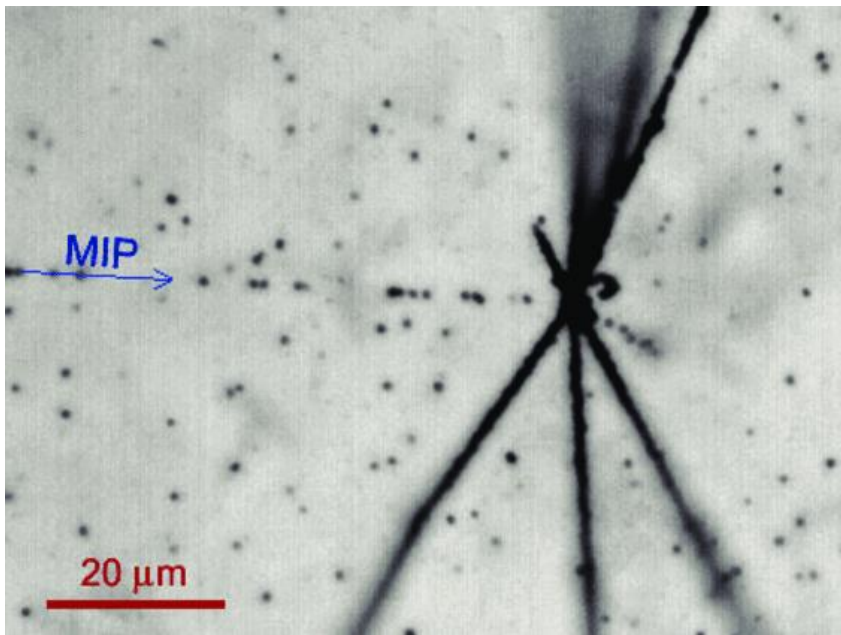


low-energy nuclear tracks vs. MIP*

Read-out of ionization signal via drift fields

■ Nuclear recoil results in the generation of **electron-ion pairs (ionization)**

- to generate an e^- & ion – pair: **10 ... 20 eV**
- separate e^- & ions via **strong uniform $\vec{E}$ – field**



nuclear tracks vs. MIP: use range to discriminate!!

Energy required to generate an e^- / ion (hole) pair

■ Ionization energy of elements shows **atomic structure**

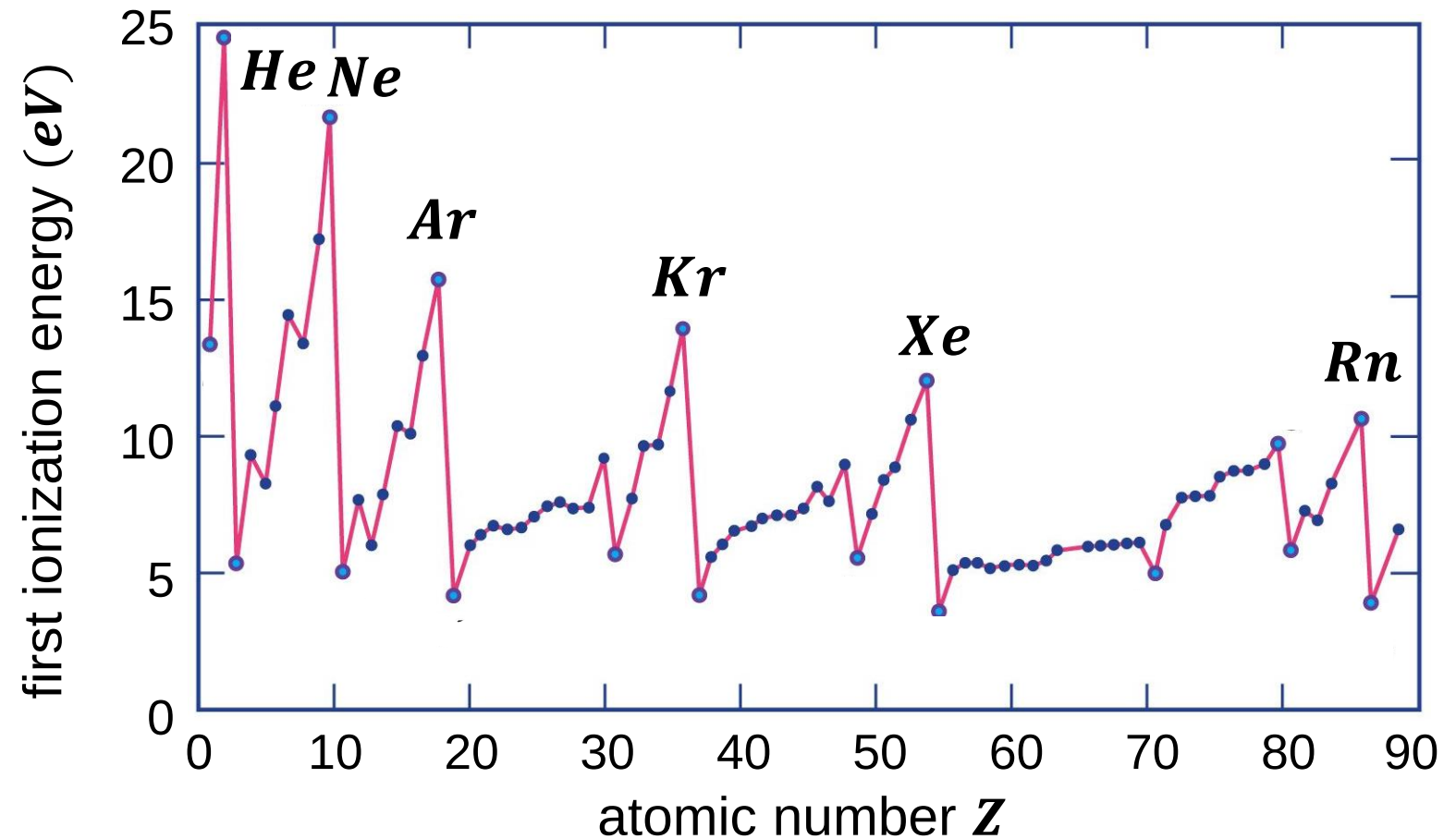
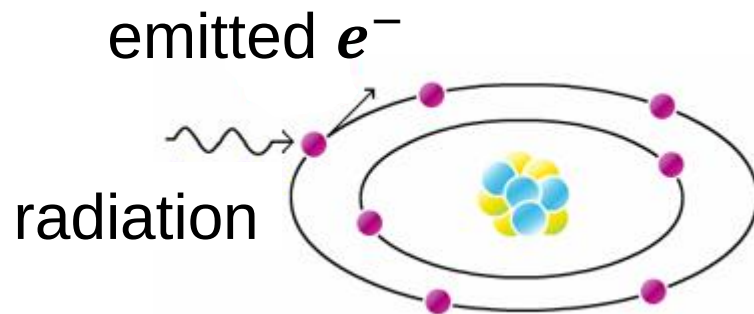
- we will use detectors:

$$Si: \Delta E = 3.6 \text{ eV}$$

$$Ge: \Delta E = 2.9 \text{ eV}$$

$$Ar: \Delta E = 15.76 \text{ eV}$$

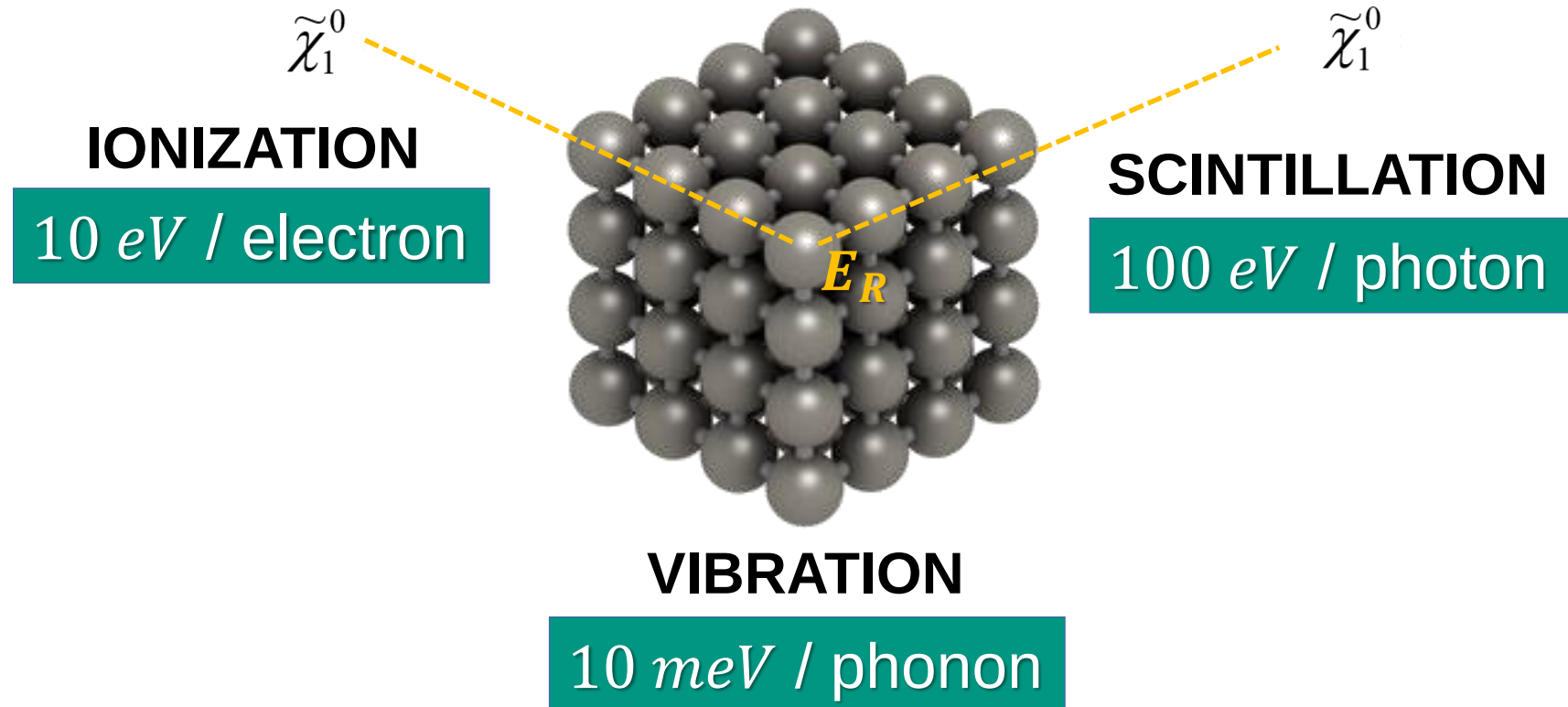
$$Xe: \Delta E = 12.13 \text{ eV}$$



High-Tech required for WIMP-detection

- We will combine 2 out of the 3 detection methods to get best sensitivity!
 - only by **combining two methods** we can achieve background discrimination

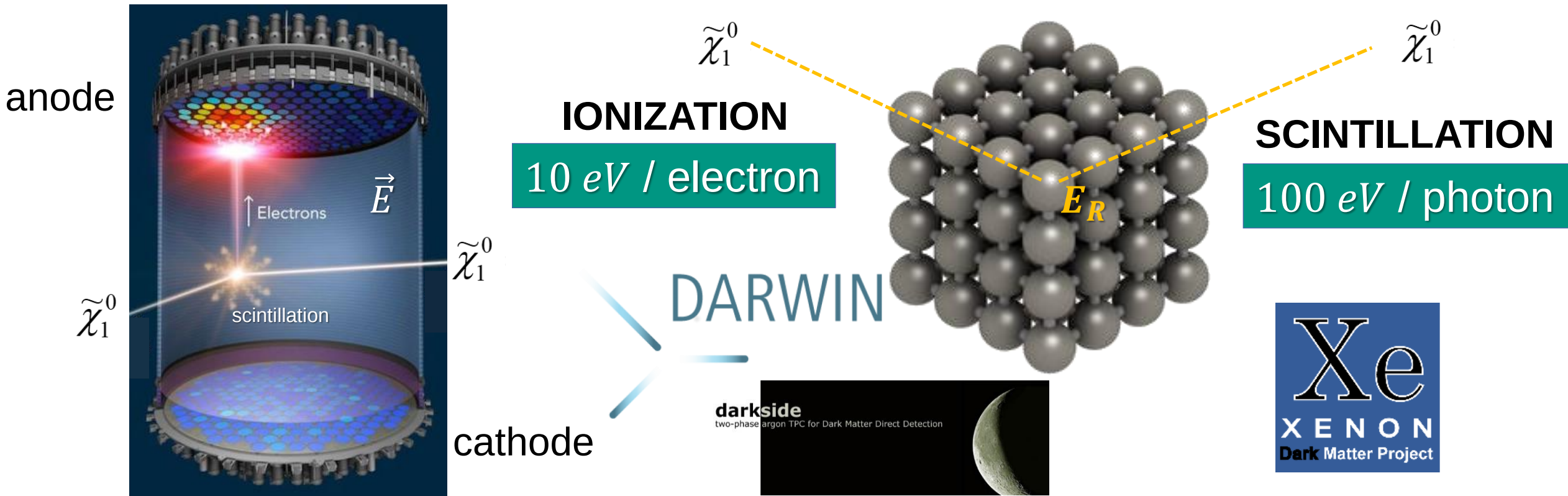
low-noise electronics
cryogenics
precision drift fields
novel optical sensors



WIMP-detection via ionization & scintillation

■ large-scale TPCs* with liquid noble gases: *LXe* & *LAr*

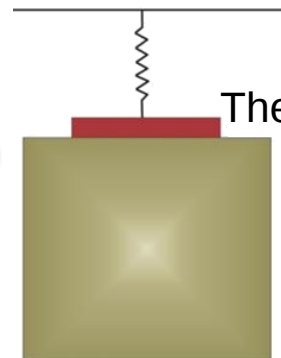
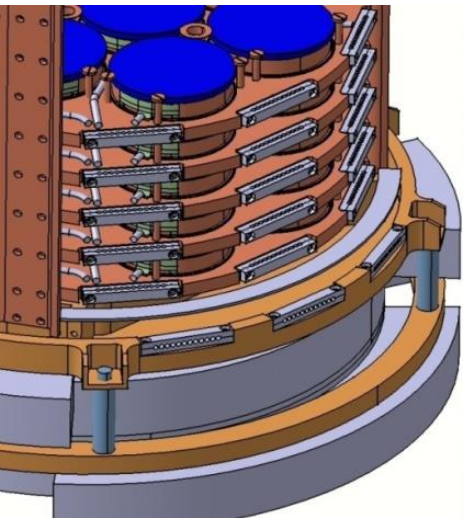
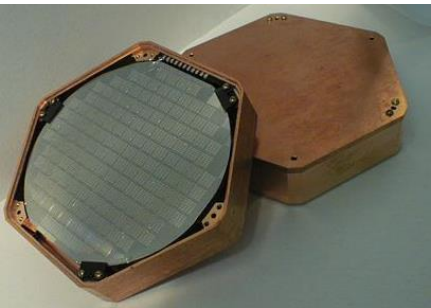
- **ratio of scintillation light / electrons**: excellent background discrimination



WIMP-detection via ionization & phonons

■ solid-state Ge- (Si-) detectors at the mK –scale with **thermistor read-out**

- **ratio of electrons / phonons signal**: excellent background discrimination

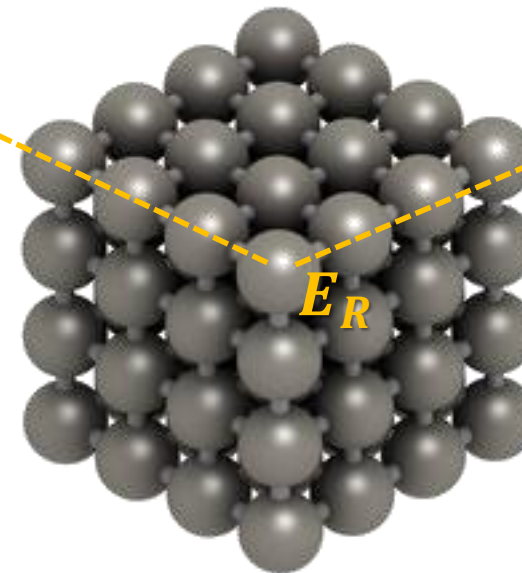


Thermistor

IONIZATION

$10\text{ eV} / \text{electron}$

$\tilde{\chi}_1^0$



$\tilde{\chi}_1^0$

E_R

VIBRATION

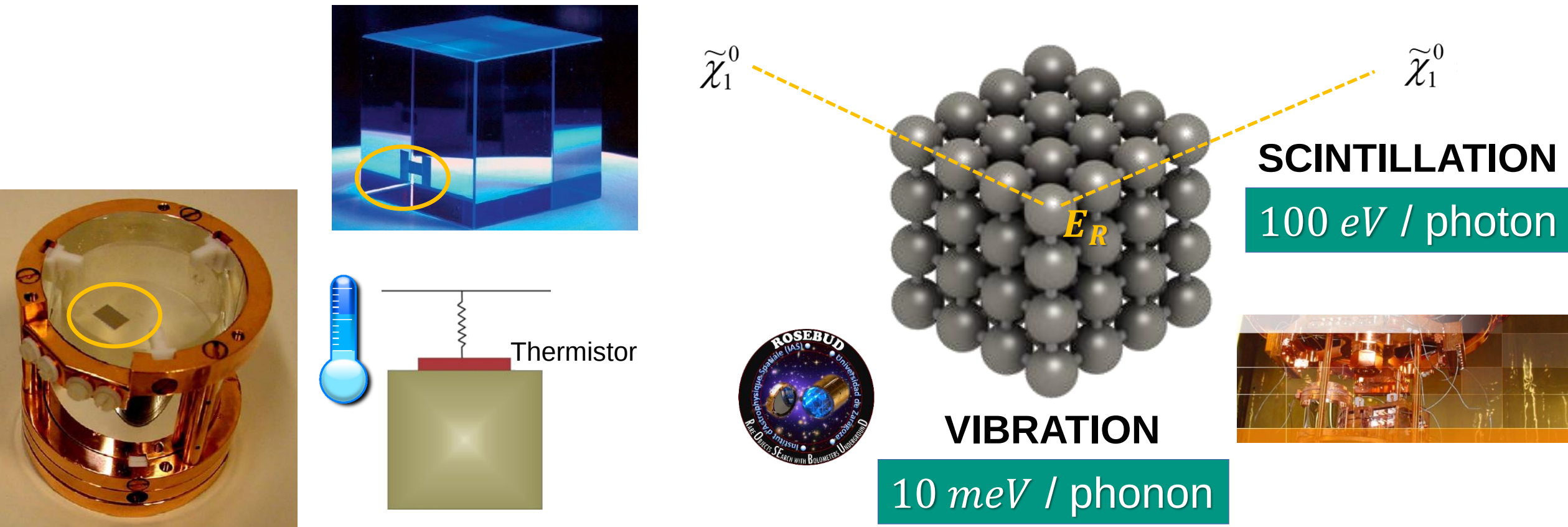
$10\text{ meV} / \text{phonon}$



WIMP-detection via scintillation & phonons

■ Scintillating crystals ($CaWO_4$) at the mK –scale with **thermistor read-out**

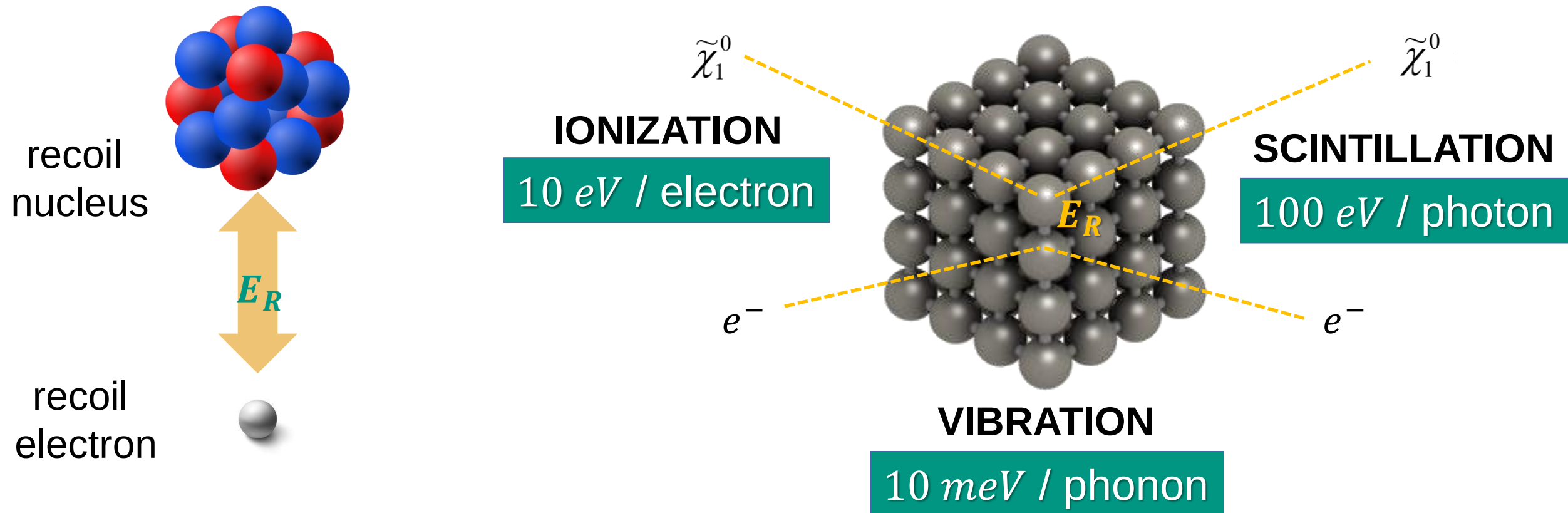
- **ratio of photons / phonons signal**: excellent background discrimination



Dicriminating nuclear recoils from electrons

■ Why do we need 2 parameters to discriminate signal from background?

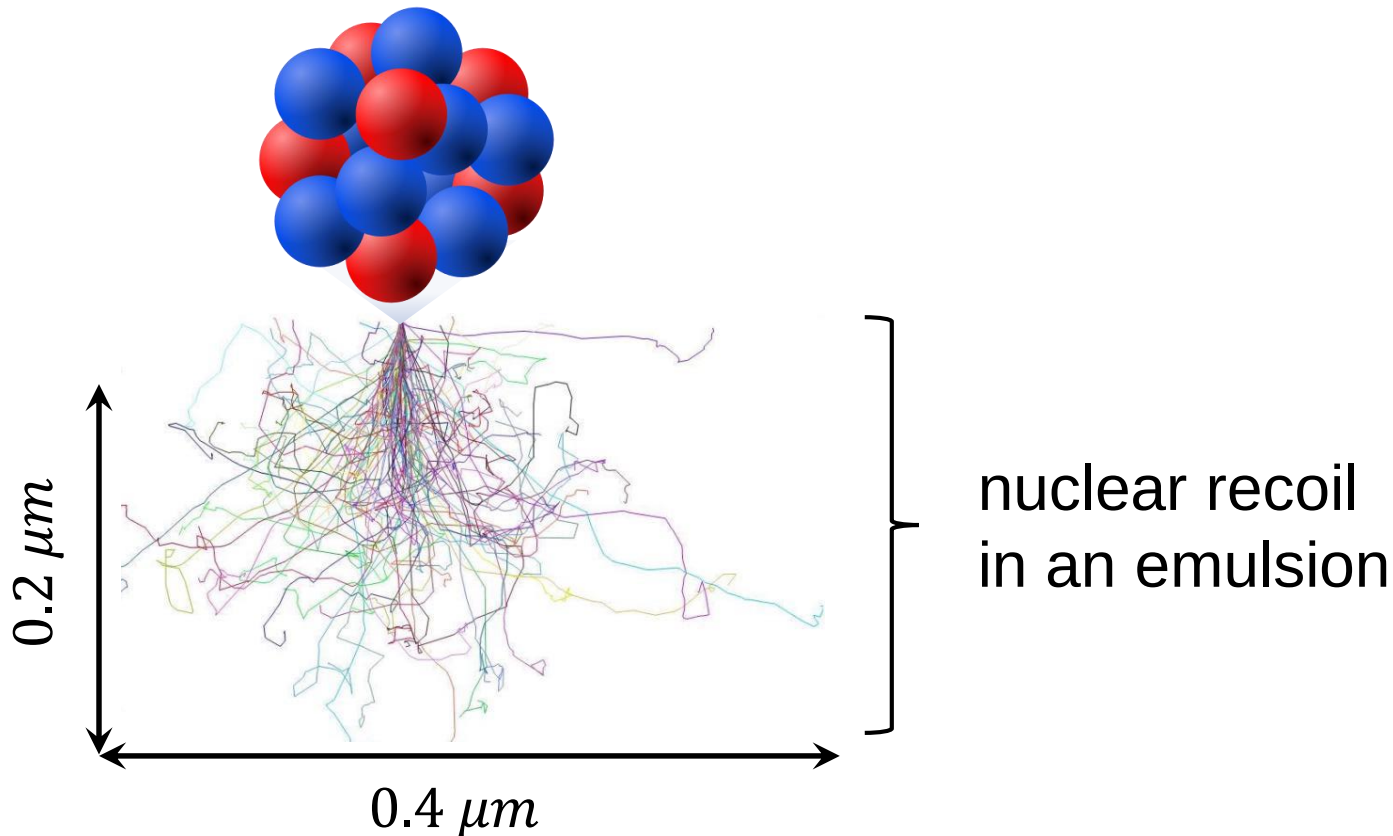
- a comparison of **nuclar recoils** vs. **electron tracks** (from γ – background)



nuclear recoils: a closer look at their tracks

■ The value of dE/dx is key to the particle discrimination

- recoil nucleus with $E_R \sim keV$ – scale: extremely **small range $R < 1 \mu m$**



⇒ **high ionization density**

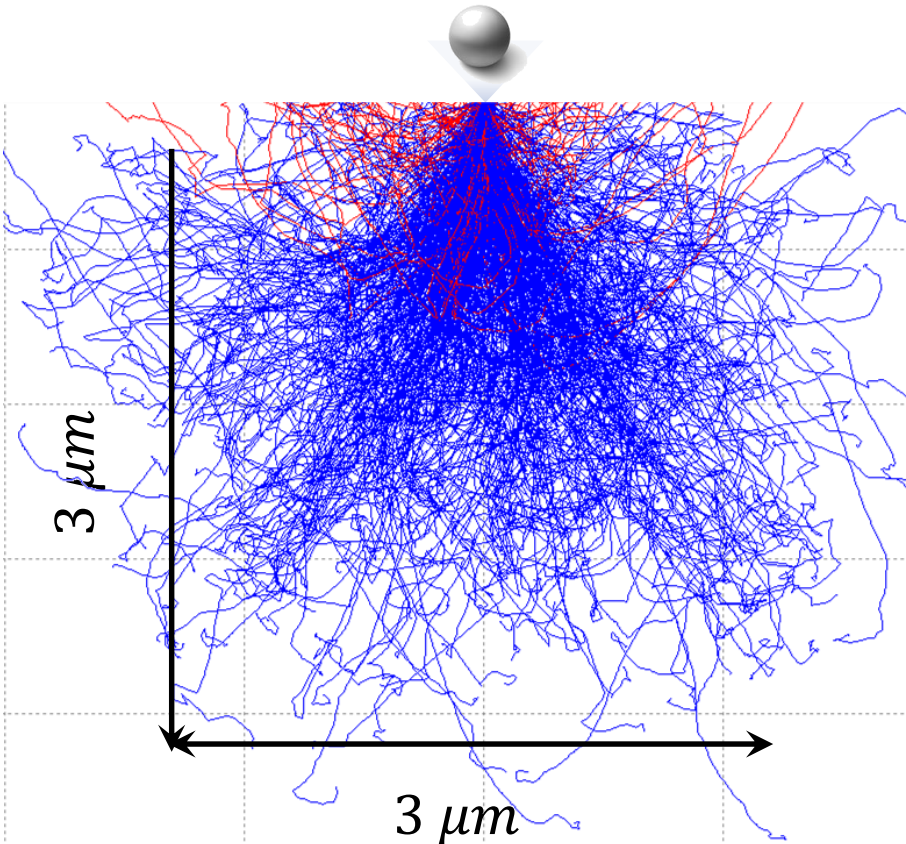
⇒ **recombination of charges
(quenching)**

⇒ **smaller charge signal
for WIMP scattering**

electron recoils: a closer look at their tracks

■ The value of dE/dx is key to the particle discrimination

- electron recoil with $E_R \sim keV$ – scale: rather **large range $R \approx several \mu m$**



electron recoil
in an emulsion

⇒ **low ionization density**

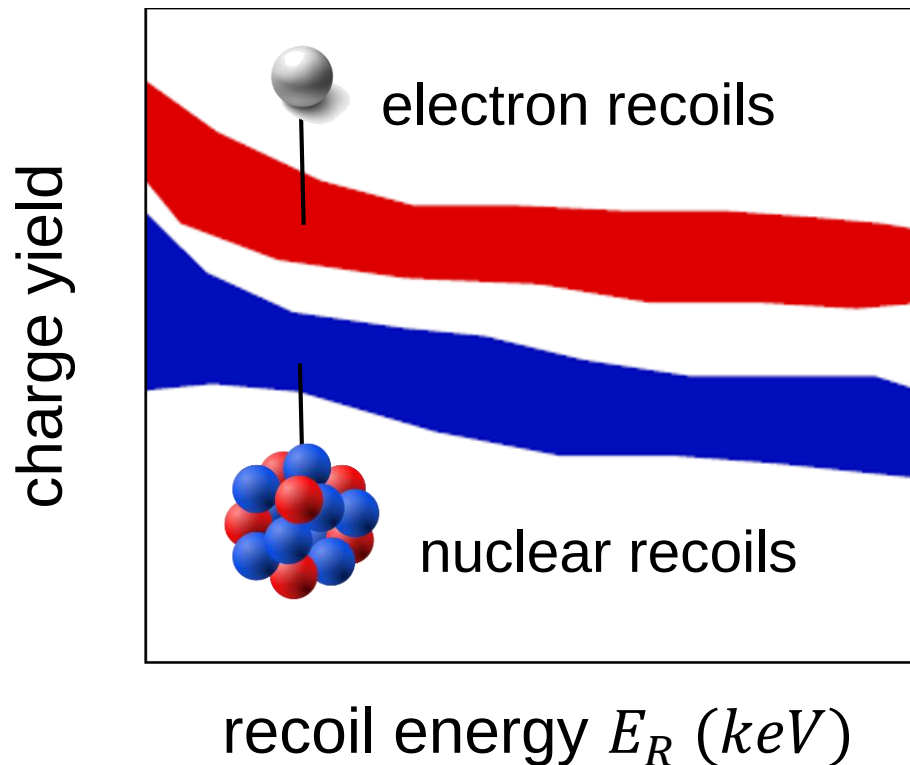
⇒ **no charge recombination
(no quenching)**

⇒ **larger charge signal
for electron recoils**

Particle discrimination via quenching

■ The **quenching effect** due to different dE/dx is key to successful PID*

- quenching observed for charge signal (scintillation light treated separately)



- quenching of charge signal:
typically **factor 3 ... 4**

- **IMPORTANT:**

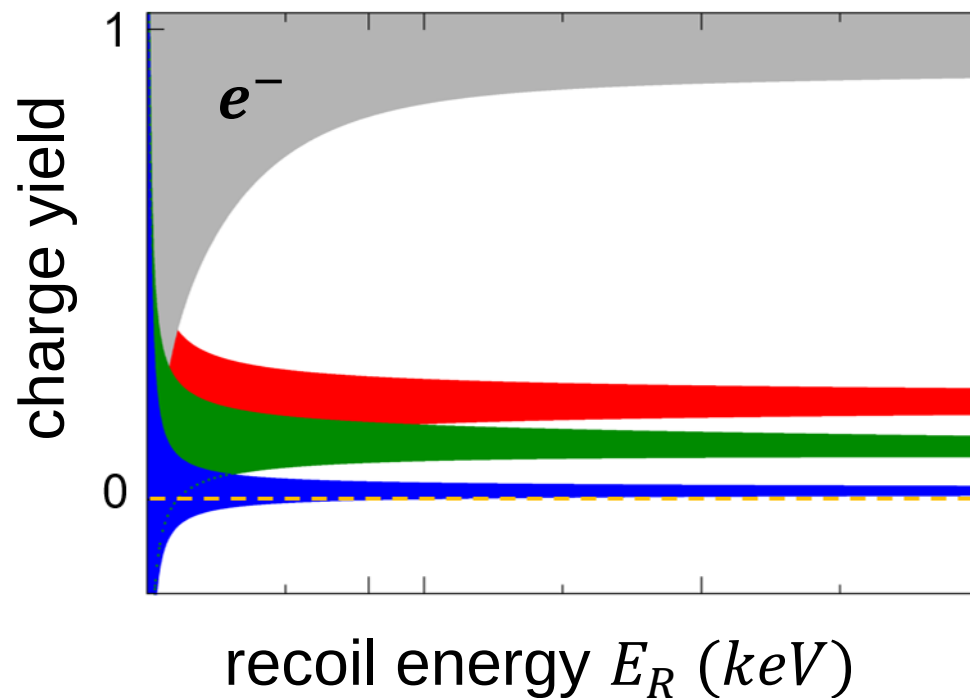
the **phonon signal** remains
'**unquenched**'

for all particle species (p , AZ , e^-) , $\Rightarrow$ this
allows to **determine recoil energy E_R**

Particle discrimination via quenching

■ The **quenching effect** due to different dE/dx is key to successful PID

- quenching observed for charge signal (scintillation light treated separately)



- quenching of charge signal:
typically **factor 3 ... 4**

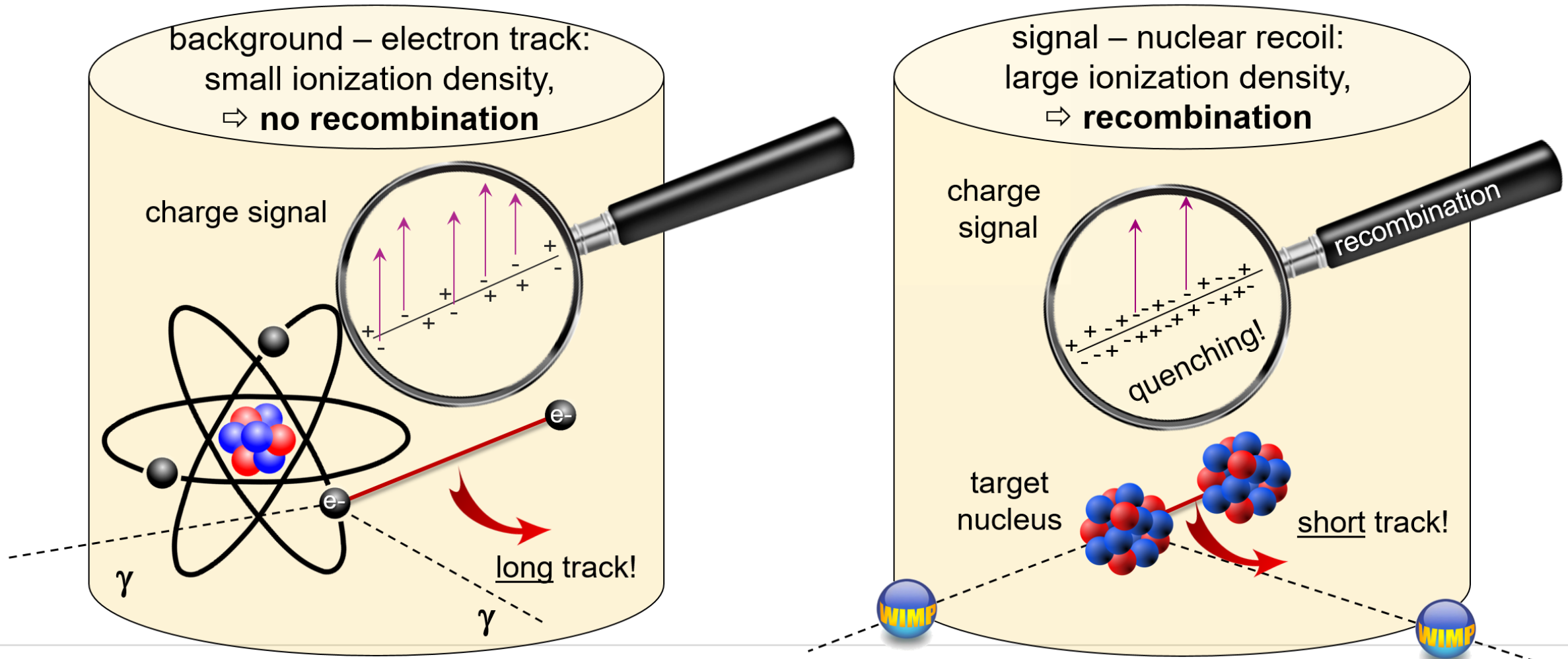
α
light
heavy

for **heavy nuclei** the quenching becomes stronger due to their larger dE/dx & **very small range**

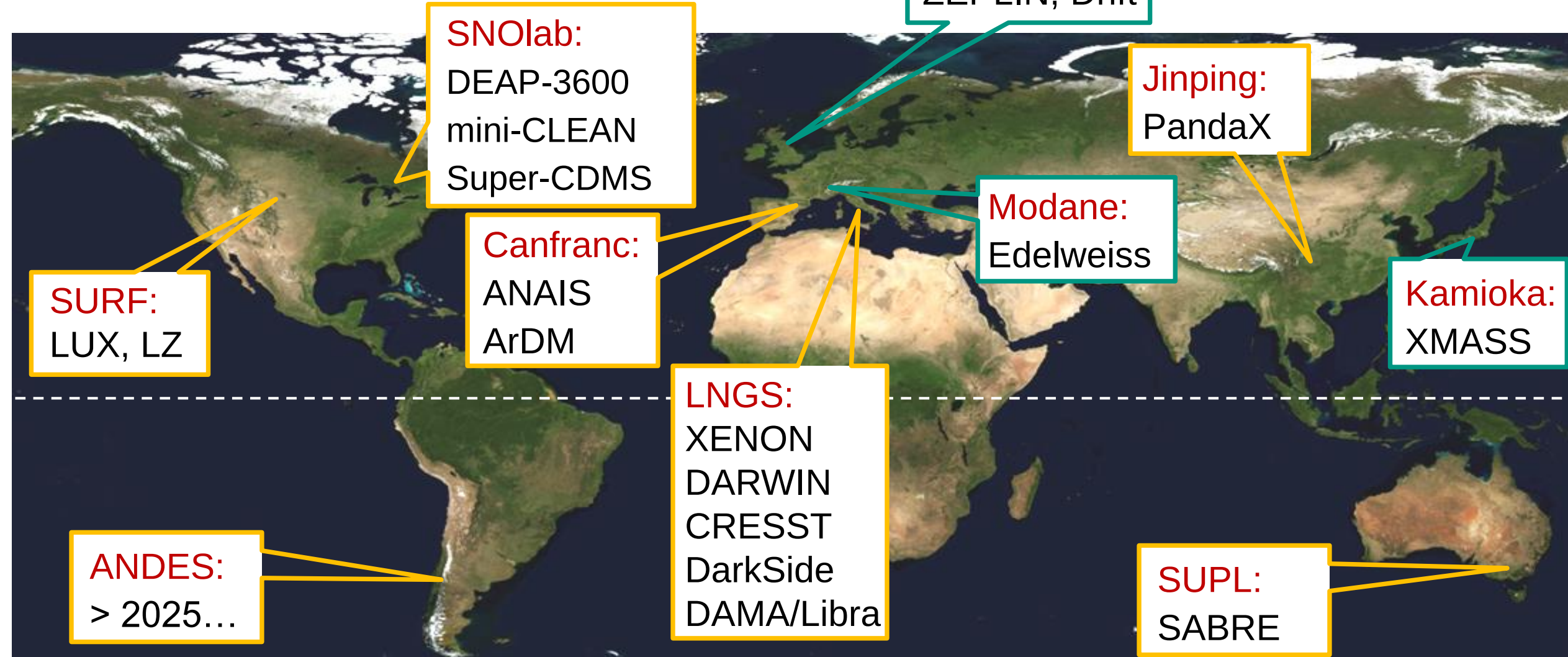


Particle discrimination via quenching

- The **quenching effect** due to different dE/dx is key to successful PID



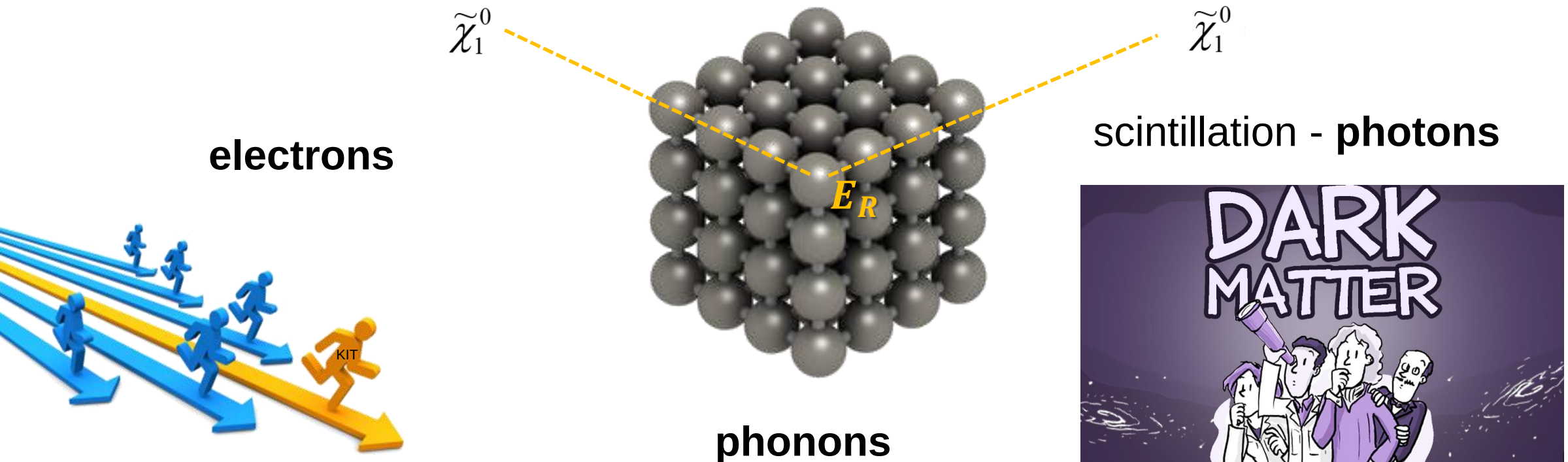
Overview of DM – experiments



Search for DM: a competitive field worldwide

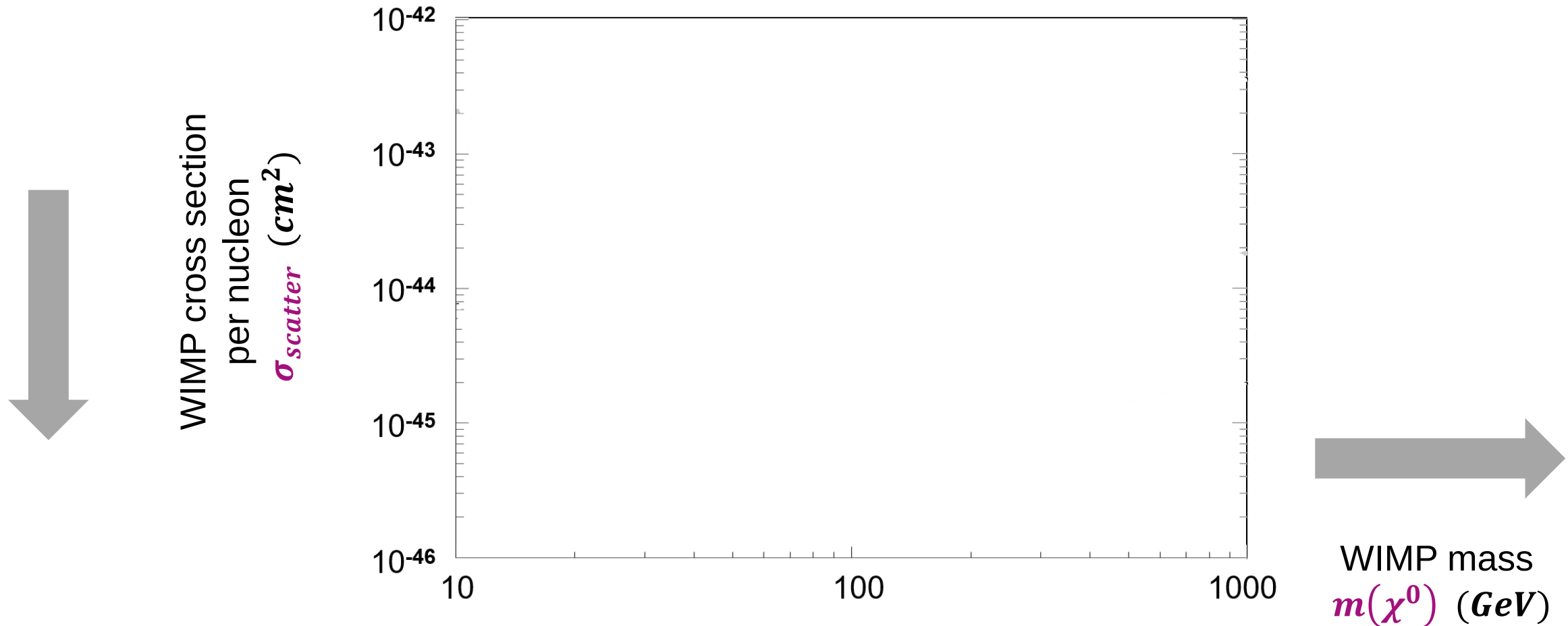
■ Many different technologies & detectors have been & will be developed

- how can we best compare the sensitivities of different experiments?



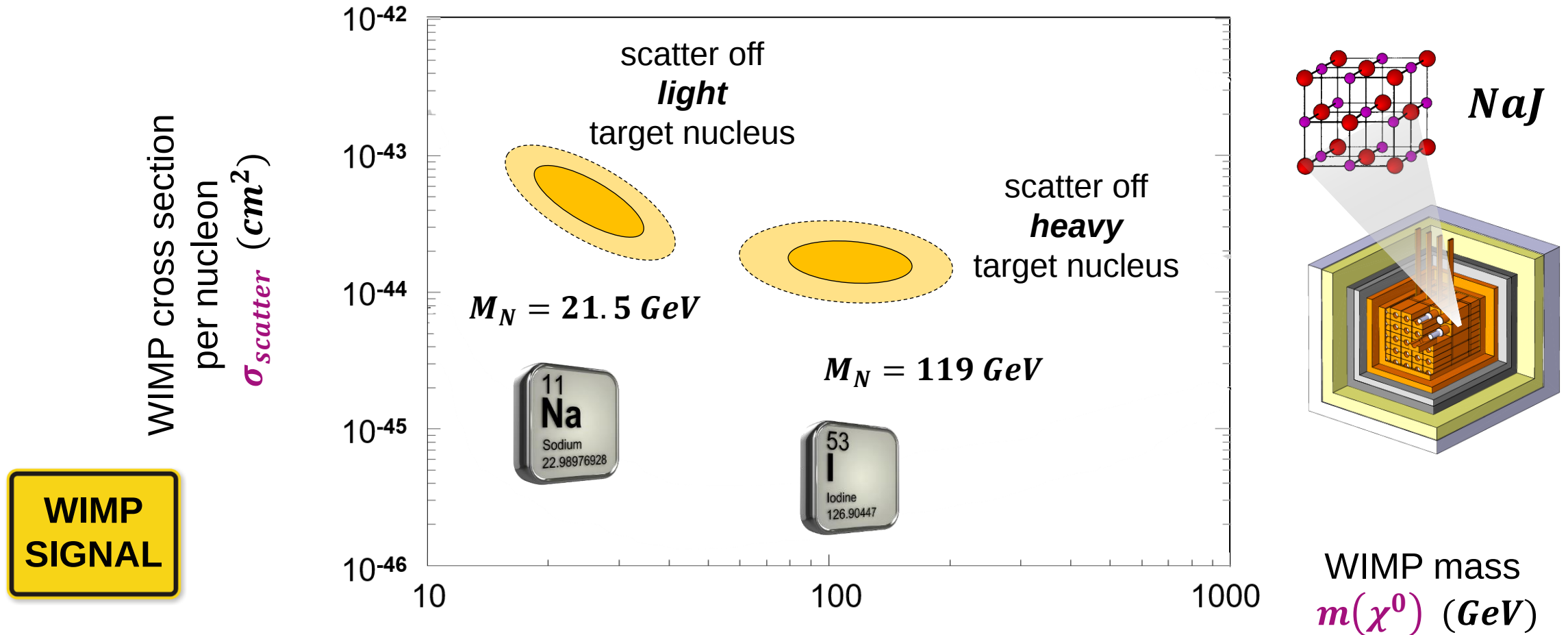
Introducing the famous 'WIMP' plot

- Comparing different experiments in a 2-parameter plot:



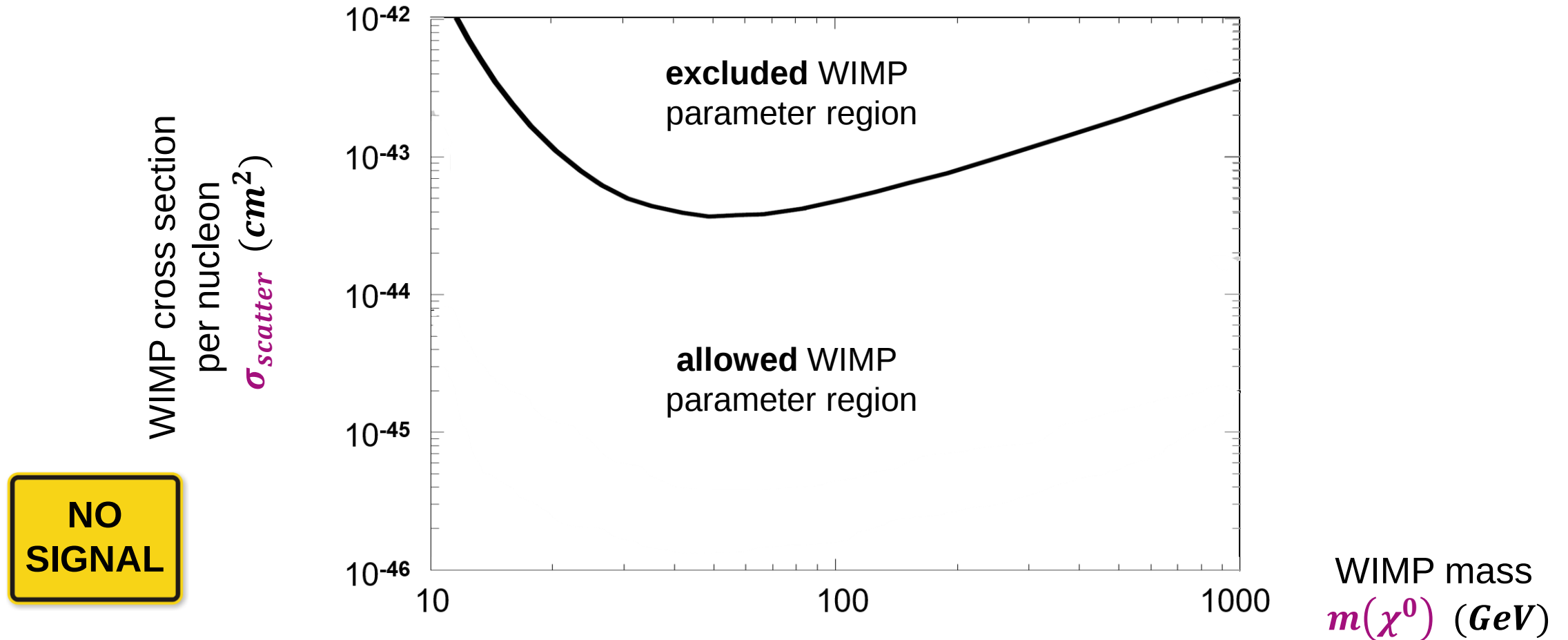
WIMP plot in case of a signal (claim)

- We are claiming an evidence for a DM-signal: **error ellipses** for $m(\chi^0)$, $\sigma_{scatter}$



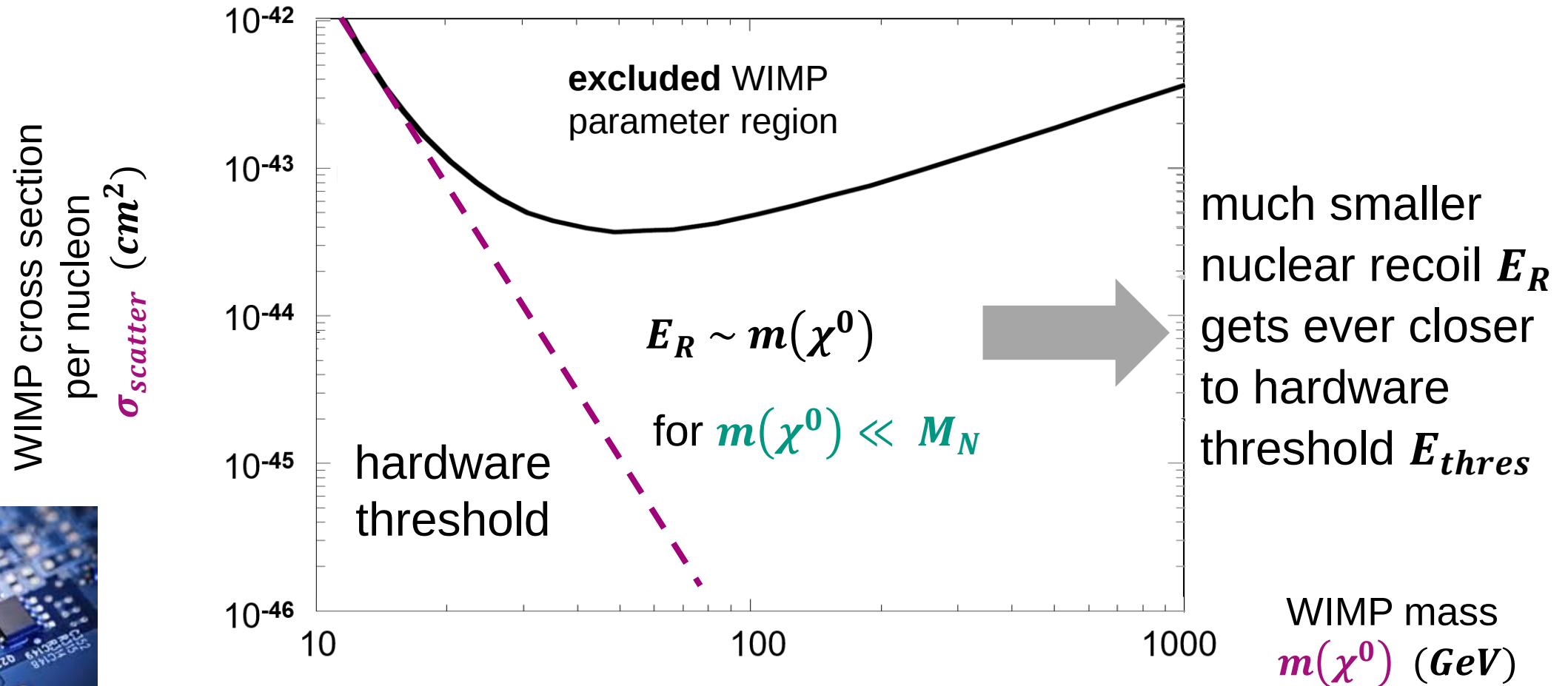
WIMP plot in case of no signal

- We see no signal above background and draw an **exclusion curve (90%CL)**



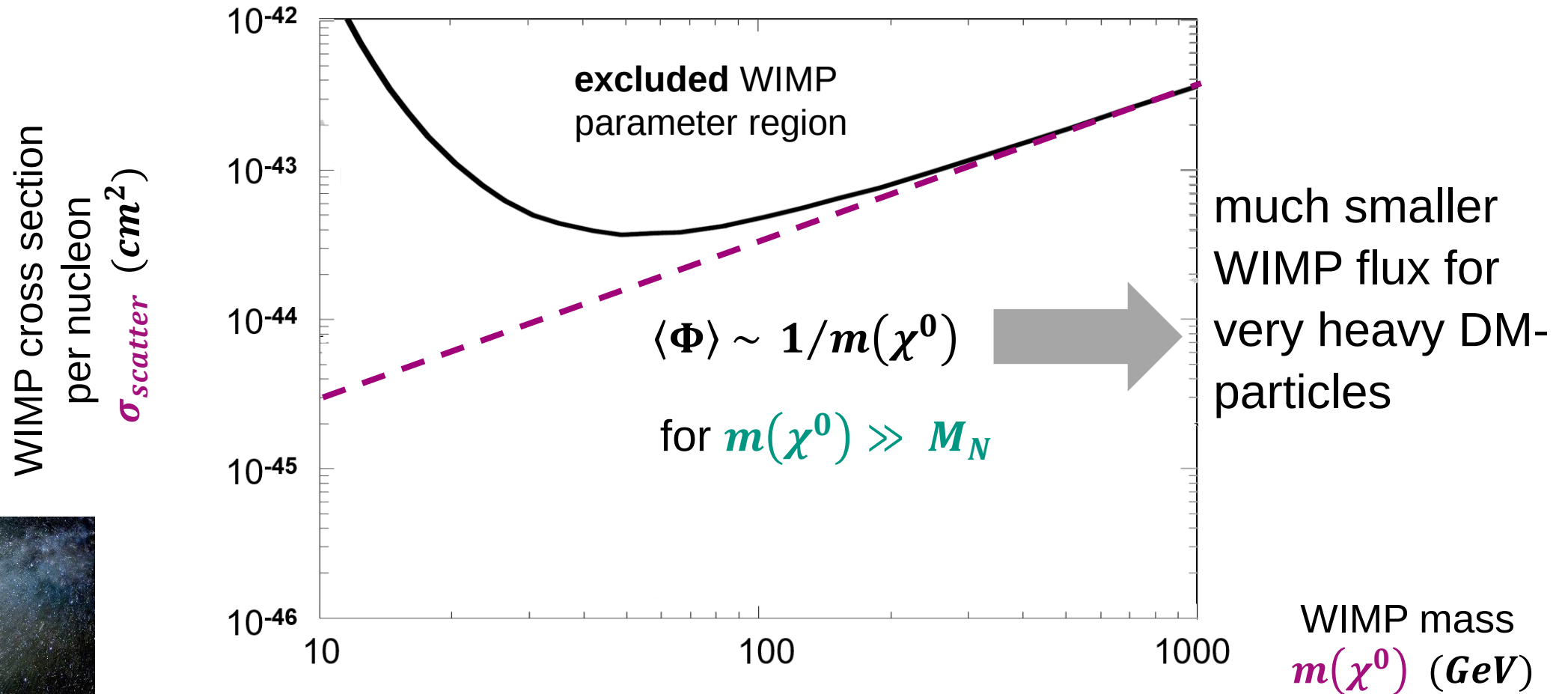
WIMP plot in case of no signal: light WIMPs

- For very **light WIMPs** our sensitivity decreases: an effect of the **threshold**



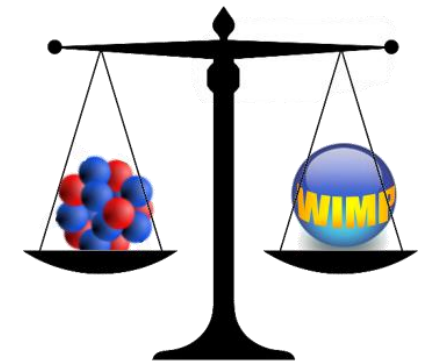
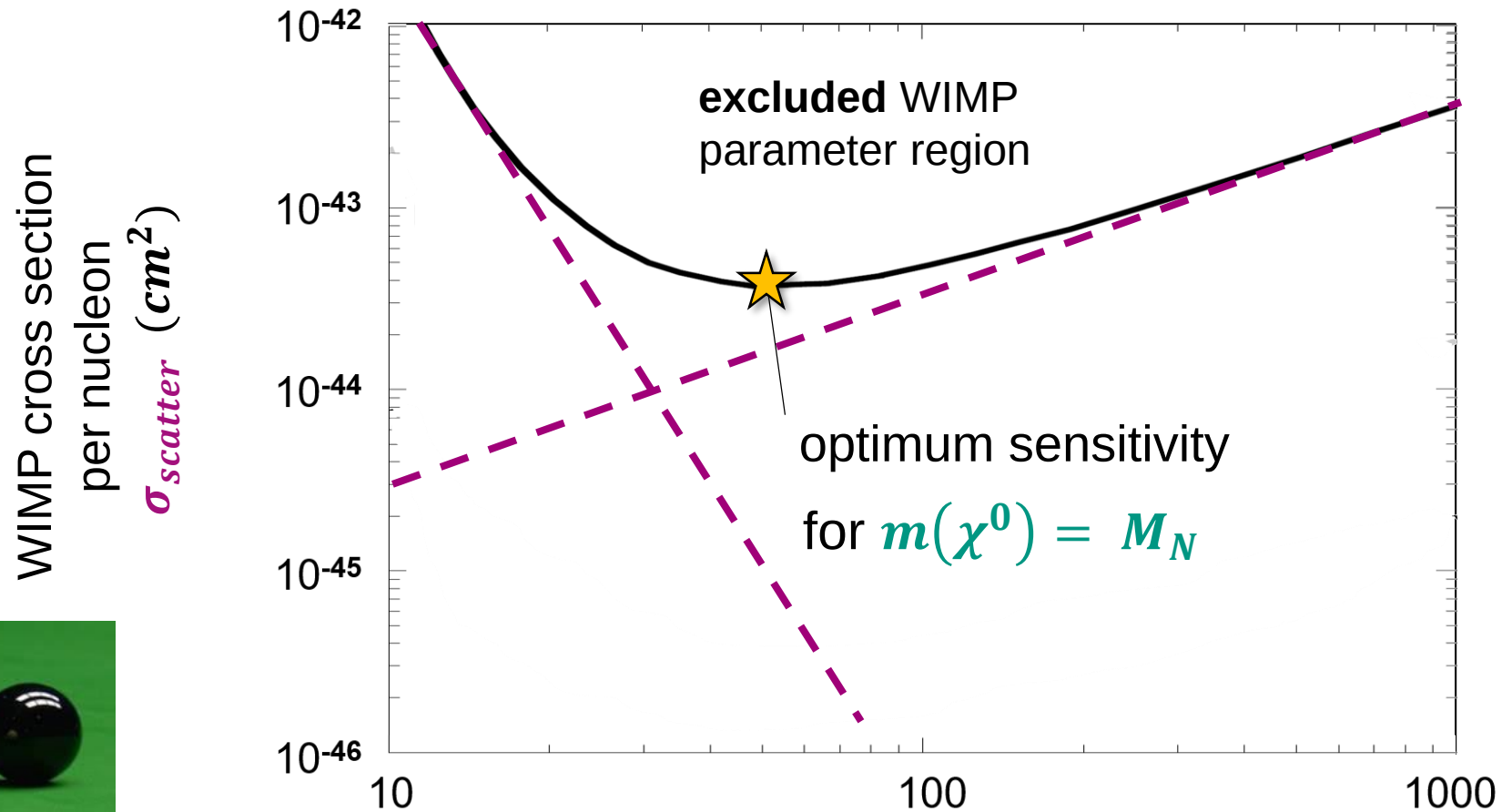
WIMP plot in case of no signal: heavy WIMPs

- For very **heavy WIMPs** our sensitivity decreases: an effect of the **WIMP-flux**



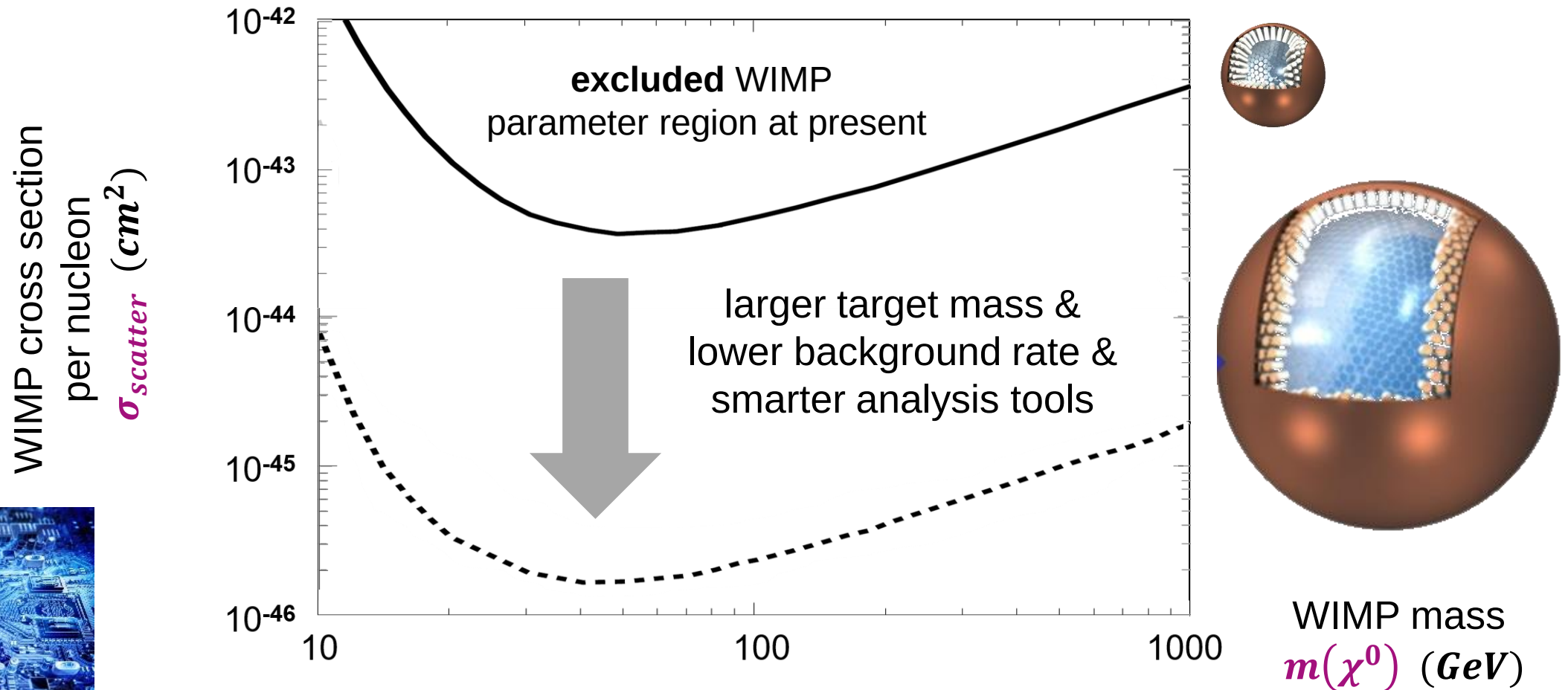
WIMP plot in case of no signal: all WIMP masses

- For optimum sensitivity the masses of WIMP & target should be identical



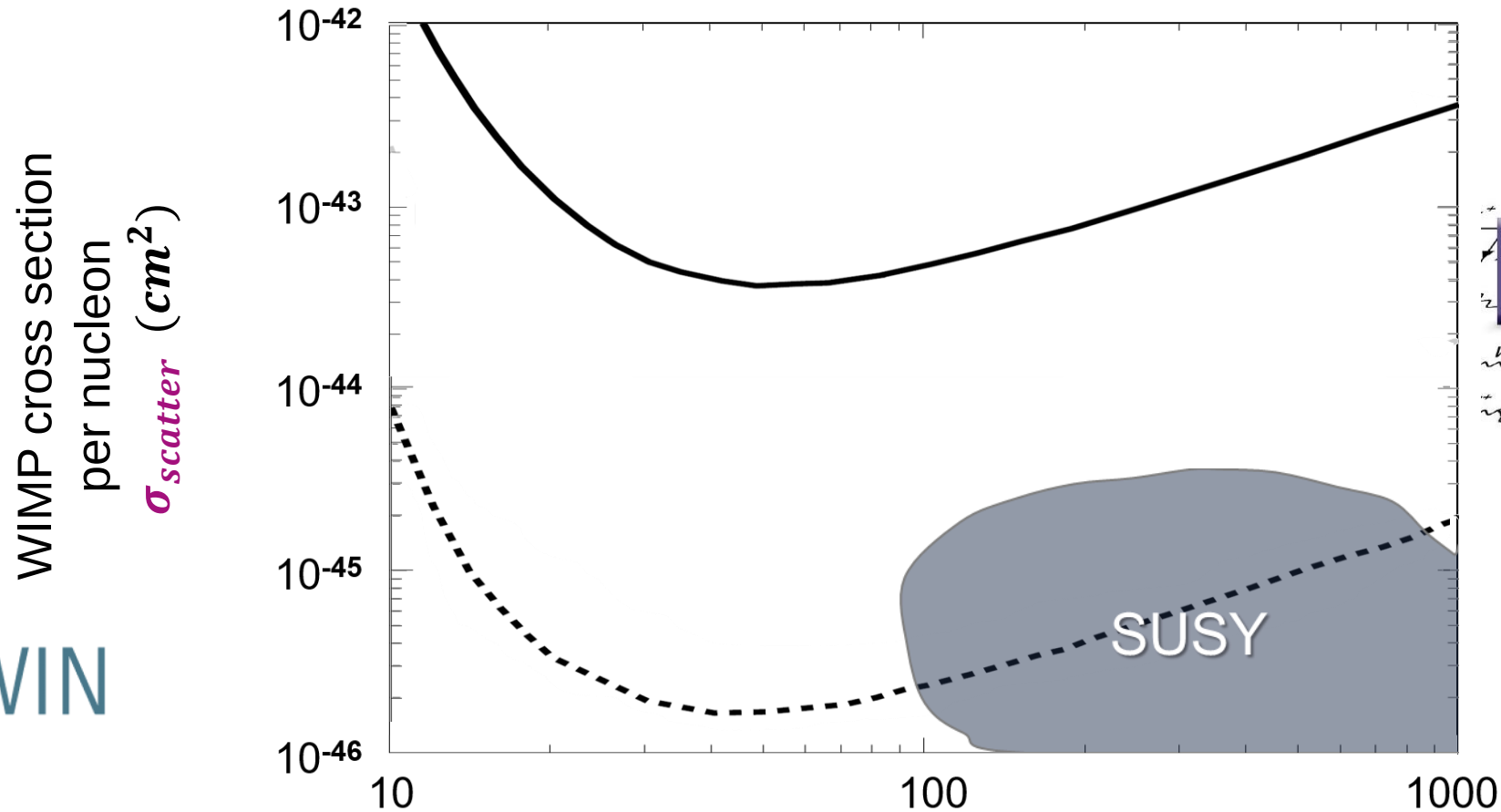
WIMP plot in case of no signal: better sensitivity

- For better sensitivity the DM detector should be larger & background smaller



WIMP plot in case of no signal: theory responds

- The 'preferred' *SUSY* – parameter space is 'readjusted' from time to time



Dark
SUSY



WIMP mass
 $m(\chi^0) \text{ (GeV)}$

DARWIN