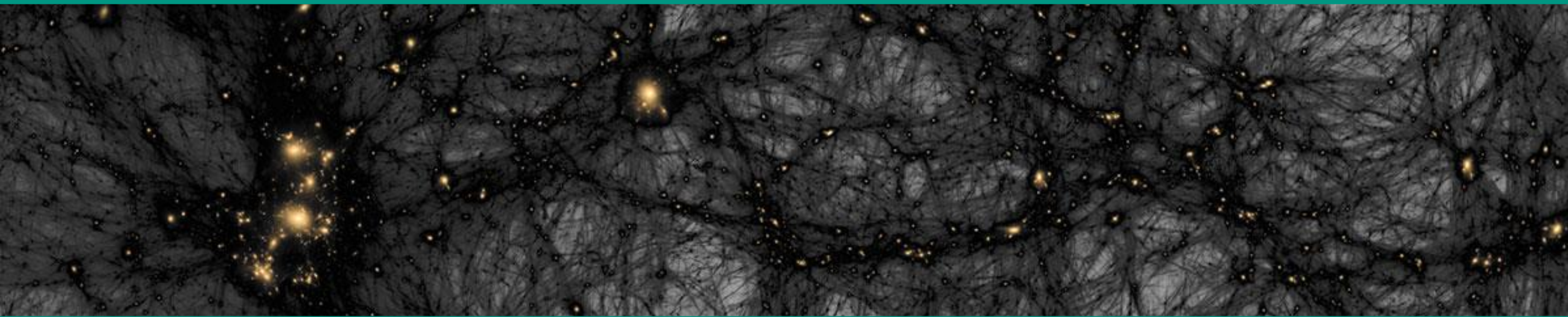


# Astroparticle physics I – Dark Matter

Winter term 23/24

Lecture 23

Feb. 15, 2024



# Recap of Lecture 22

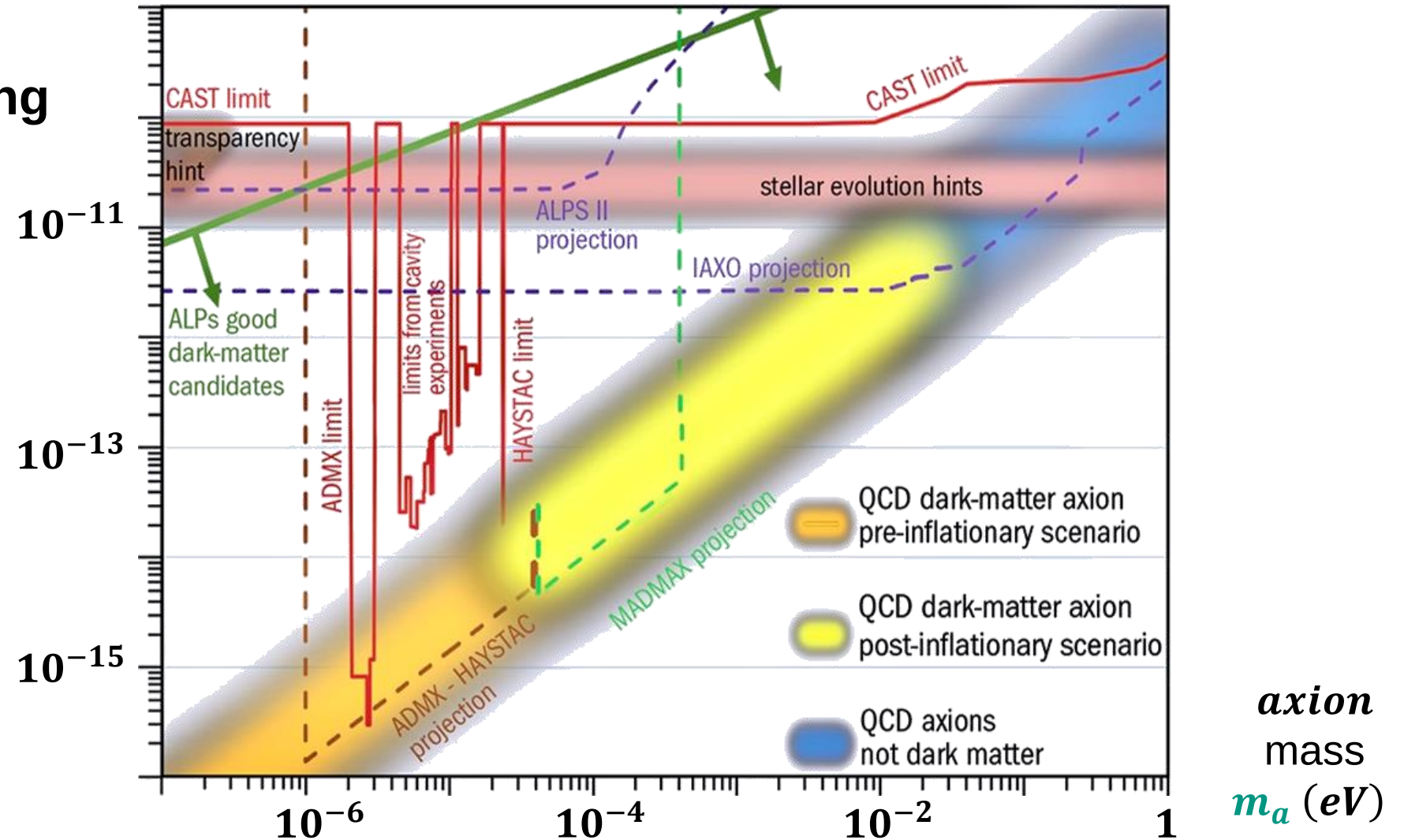
- **Axion detection: *LSW* experiments & dielectric haloscopes (*MADMAX*)**
  - **Light–Shining–through–Walls: *ALPS – II*** set–up at *DESY*
  - second order weak effect: coupling of two **120 m** long optical cavities (dipole ***B*** – field from *HERA* magnets), separated by wall  $\Rightarrow$  sensitivity to *ALPs*
  - **dielectric haloscopes**: coherent emission of **radiowaves** from surfaces of dielectric discs (large value of  $\epsilon_R$ )
  - ***MADMAX***: ongoing ***R&D*** efforts & prototyping of key components (discs & positioning via actuators, strong  $\sim 9 \dots 10 \text{ T}$  dipole magnets)
  - very active field of research, both in theory & experiment

# Recap of sensitivities & hints: a comparison

- Astroparticle physics: combining all *axion* data!



axion–photon coupling  
 $|g_{\gamma\gamma}| \text{ (GeV}^{-1}\text{)}$



## 4.6.2 $keV$ – neutrinos

### ■ active neutrinos as *HDM*

- smallness of the  $\nu$  – mass:  
pointing to **new energy scale**
- strong **mixing effects** are  
observed via flavour oscillations



### *SM* – overview

|                                                                              |                                                                       |                                                                |                                                                     |
|------------------------------------------------------------------------------|-----------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
| 1968: SLAC<br><b><math>u</math></b><br>up quark                              | 1974: Brookhaven & SLAC<br><b><math>c</math></b><br>charm quark       | 1995: Fermilab<br><b><math>t</math></b><br>top quark           | 1979: DESY<br><b><math>g</math></b><br>gluon                        |
| 1968: SLAC<br><b><math>d</math></b><br>down quark                            | 1947: Manchester University<br><b><math>s</math></b><br>strange quark | 1977: Fermilab<br><b><math>b</math></b><br>bottom quark        | 1923: Washington University<br><b><math>\gamma</math></b><br>photon |
| 1956: Savannah River Plant<br><b><math>\nu_e</math></b><br>electron neutrino | 1962: Brookhaven<br><b><math>\nu_\mu</math></b><br>muon neutrino      | 2000: Fermilab<br><b><math>\nu_\tau</math></b><br>tau neutrino | 1983: CERN<br><b><math>W</math></b><br>W boson                      |
| 1997: Cavendish Laboratory<br><b><math>e</math></b><br>electron              | 1937: Caltech and Harvard<br><b><math>\mu</math></b><br>muon          | 1976: SLAC<br><b><math>\tau</math></b><br>tau                  | 1983: CERN<br><b><math>Z</math></b><br>Z boson                      |



WHAT ARE THE MASSES  
OF THE THREE KNOWN  
NEUTRINO TYPES?



ARE NEUTRINOS  
THEIR OWN?  
ANTIPARTICLES?



ARE THERE MORE  
THAN THREE?  
NEUTRINO FLAVORS?



DOES THE HIGGS  
GIVE MASS?  
TO NEUTRINOS?

# $eV$ – neutrinos

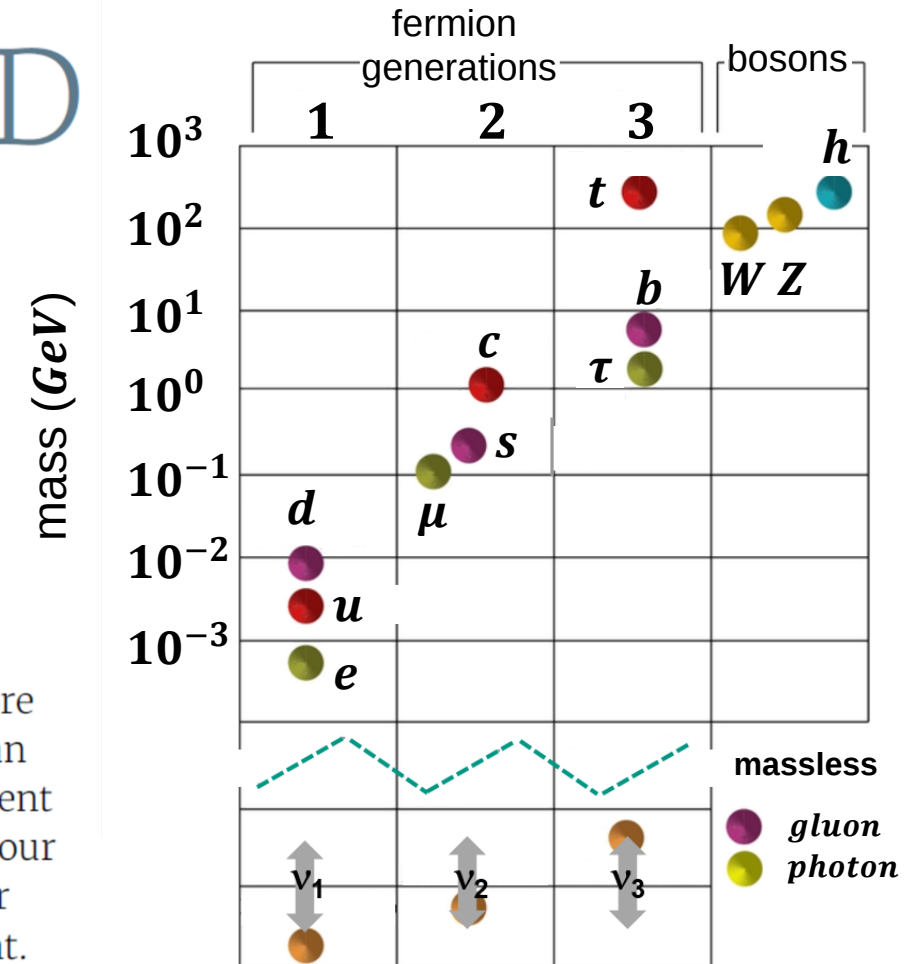
## ■ active neutrinos as *HDM*

- smallness of the  $\nu$  – mass: pointing to **new energy scale**
- strong **mixing effects** are observed via flavour oscillations



WHO  
ORDERED  
ALL OF  
THAT?

Explaining the bizarre pattern of fermion types and masses has led theorists to explore more complex symmetry groups than the Standard Model's, with recent work suggesting that the “flavour scale” could be at a much lower energy than previously thought.



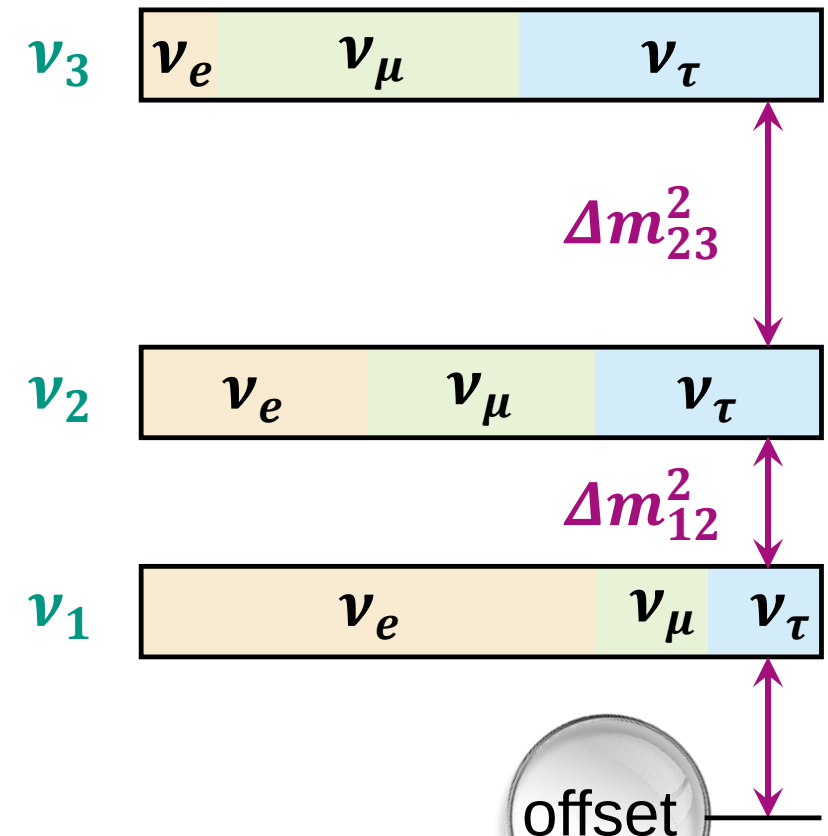
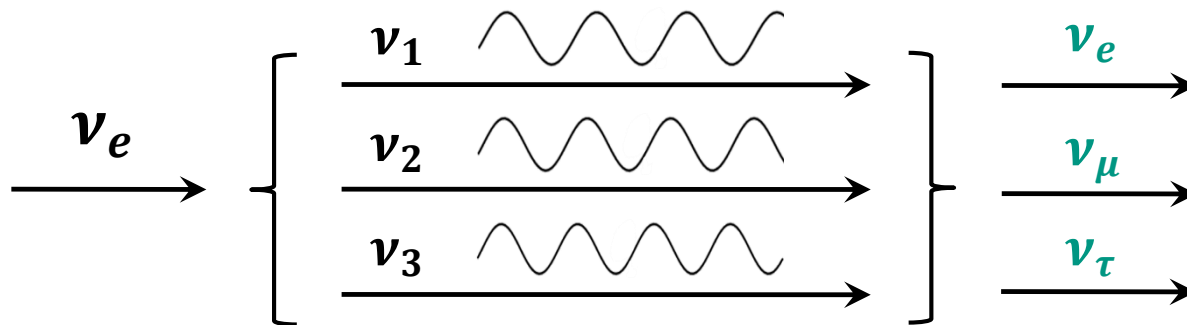
# $eV$ – neutrinos: mixing effects

■  $QM$  – basics: mass eigenstates  $(\nu_1, \nu_2) \neq$  flavour eigenstates  $(\nu_e, \nu_\mu)$

-  $\nu$  – oscillations\* give access to parameters:

mass splittings  $\Delta m_{ij}^2$   $\Delta m_{ij}^2 = |m_i^2 - m_j^2|$

mixing angles  $\theta_{ij}$   $\theta_{12}, \theta_{23}, \theta_{13}$



# $eV$ – neutrinos: mixing effects

■  $QM$  – basics: mass eigenstates  $(\nu_1, \nu_2) \neq$  flavour eigenstates  $(\nu_e, \nu_\mu)$

-  $\nu$  – oscillations: major impact for both particle / astroparticle physics

## 2015 NOBEL PRIZE IN PHYSICS



Takaaki Kajita and  
Arthur B. McDonald

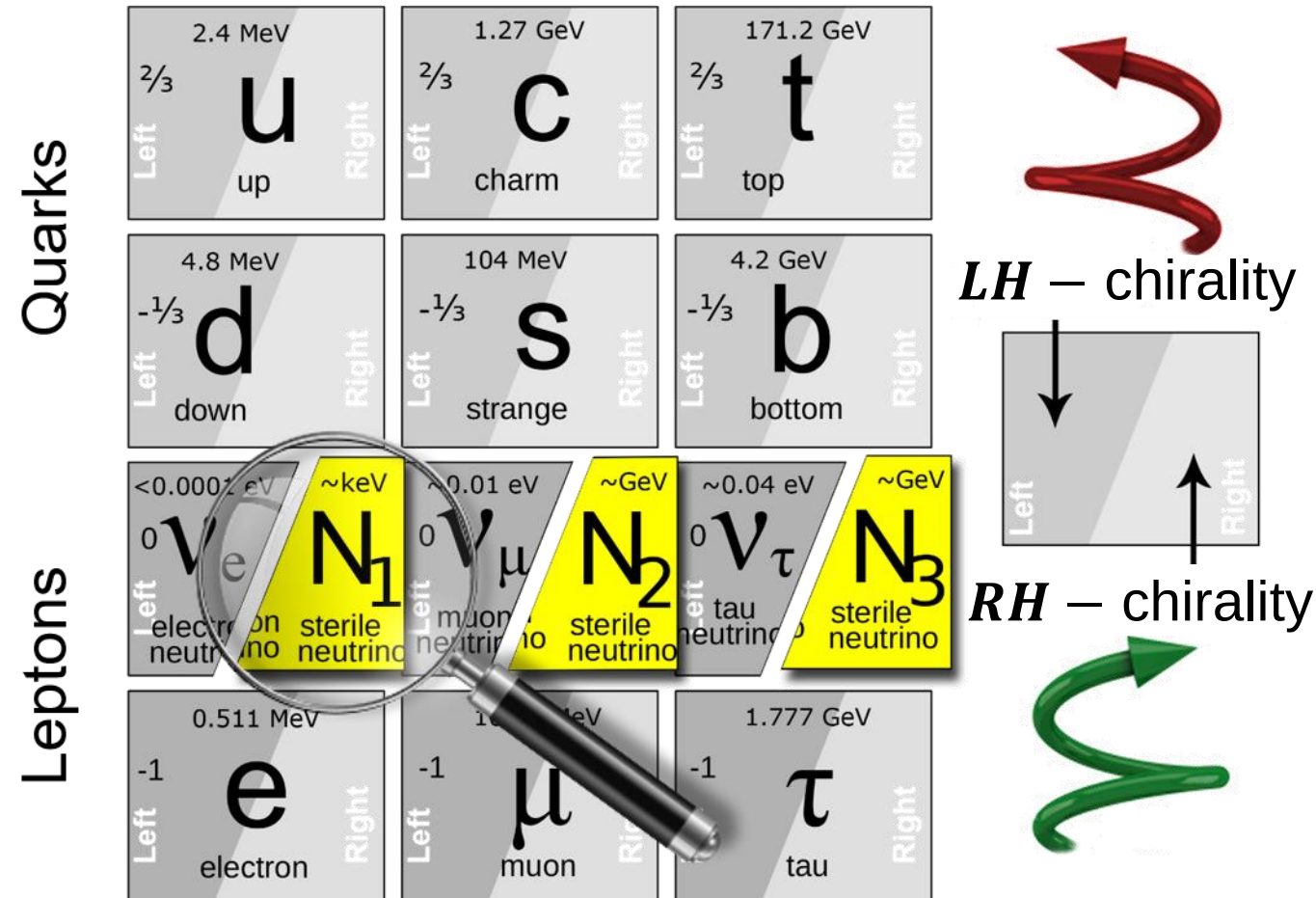


# Sterile neutrinos in the $\nu MSM$

## ■ Sterile neutrinos as $WDM$

- **minimum** extension of the Standard Model:  $\nu MSM$
- only added: **sterile** neutrinos as  **$RH$**  – counterparts of active neutrinos  
 $\Rightarrow$  3 new neutral fermions
- 1 light state:  $N_1 \sim keV$  – scale  
 2 quasi-degenerate heavy states  $N_{2,3} \sim GeV$  – scale

## $\nu MSM$ – model



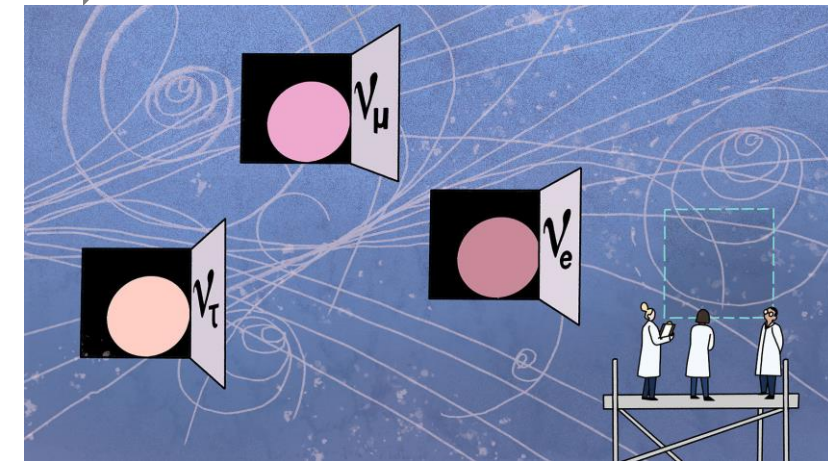
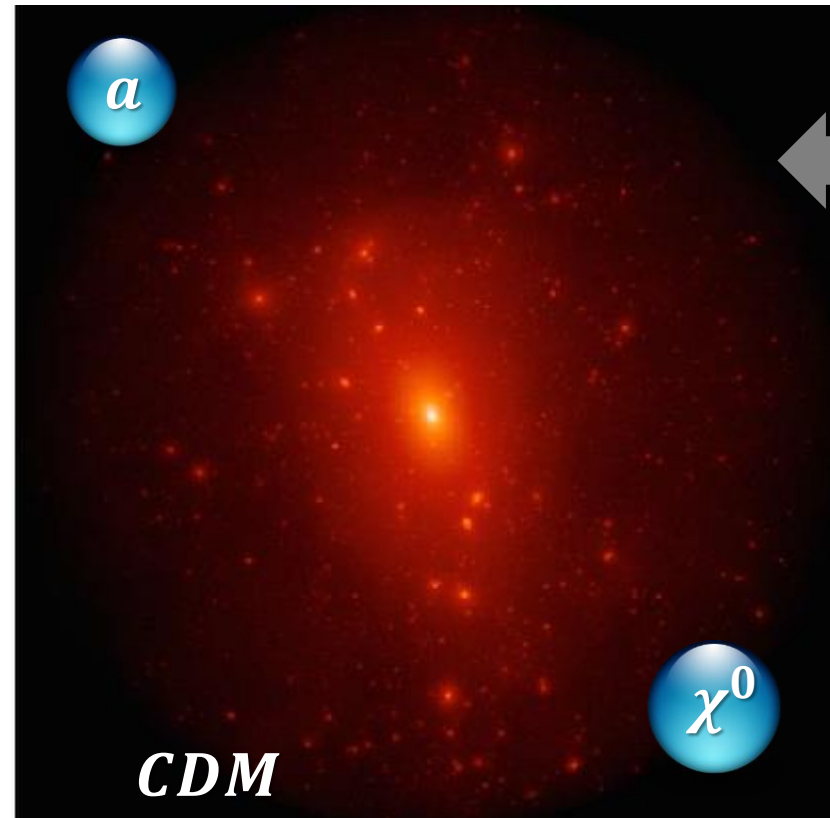
# $keV$ – steriles act as **W**arm **D**ark **M**atter (**WDM**)

■  $keV$  – steriles as **WDM** could solve the **problem of missing dwarf galaxies**

- a persistent problem in cosmology: we see **less dwarf galaxies than predicted**

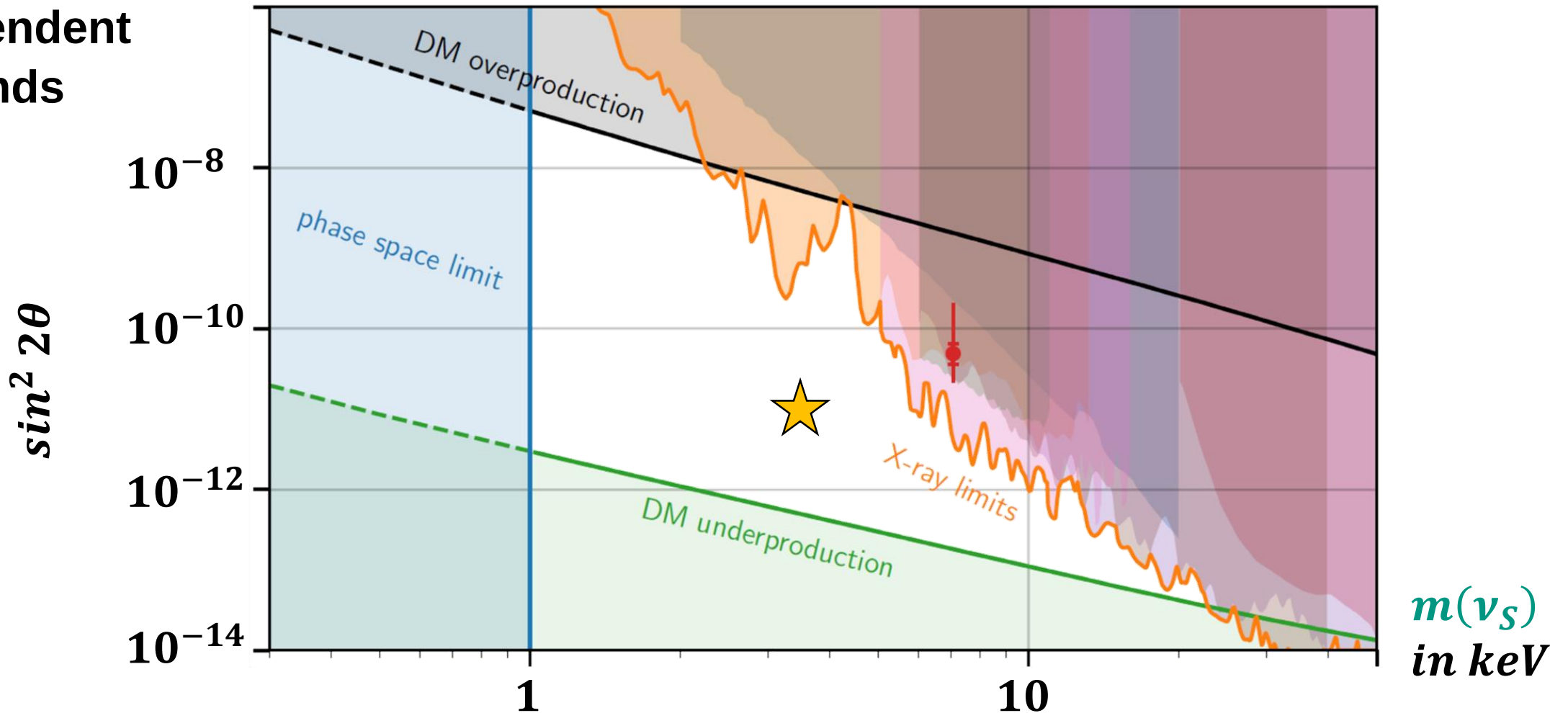
- **sterile neutrinos** act as **WDM** – neither **HDM** nor **CDM**

- **WDM** solves many issues related to dwarf galaxies, both locally (Milky Way, Andromeda) & beyond



# *keV* – steriles: cosmological bounds

■ model-  
dependent  
bounds



# $keV$ – steriles: a signal observed in $X$ – rays?

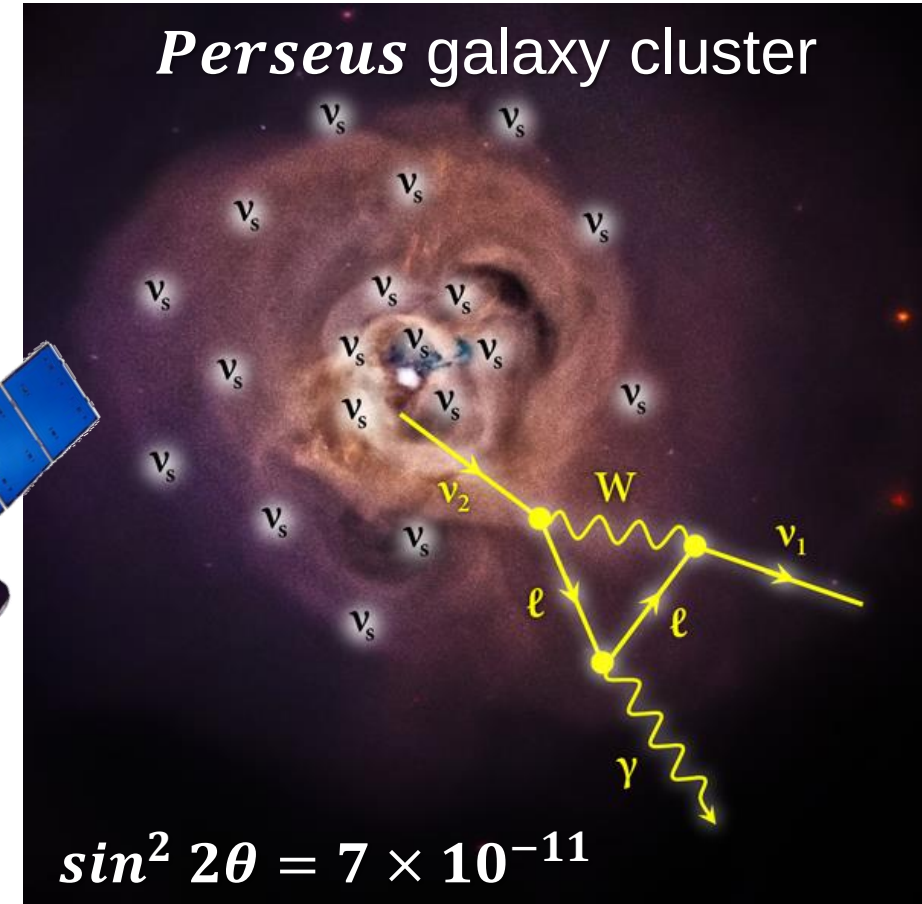
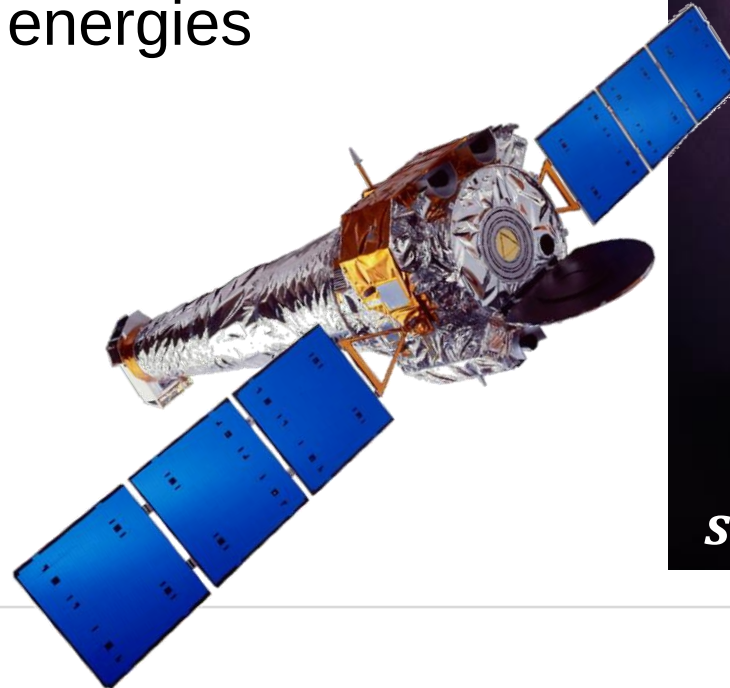
## ■ Observation of a **weak $X$ – ray line** in clusters at $E = 3.55 keV$ : from $\nu_s$ ?

- results of leading  $X$  – ray observatories  
(*Chandra*, *XMM* – *Newton*):

⇒ data point to the existence of a **weak emission line** at  $X$  – ray energies

$$E_\gamma = 3.55 keV$$

- observed in many (not all)  
galaxy clusters



# $keV$ – steriles: a signal observed in $X$ – rays?

## ■ Observation of a **weak $X$ – ray line** in clusters at $E = 3.55 keV$ : from $\nu_s$ ?

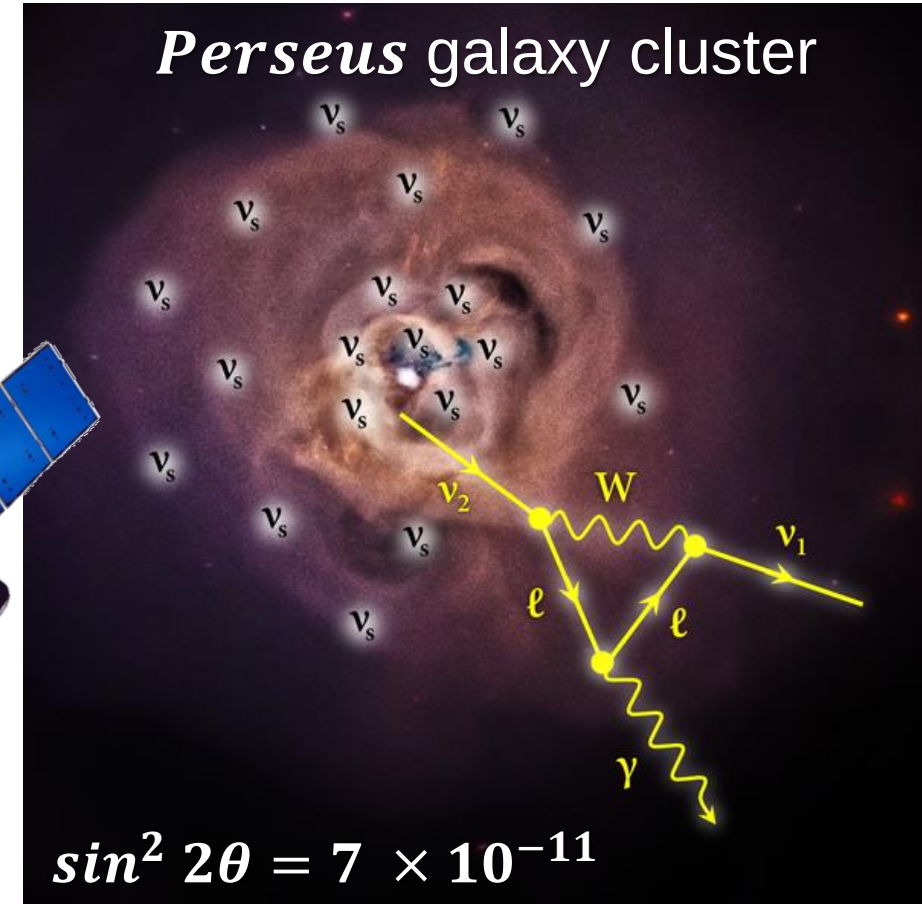
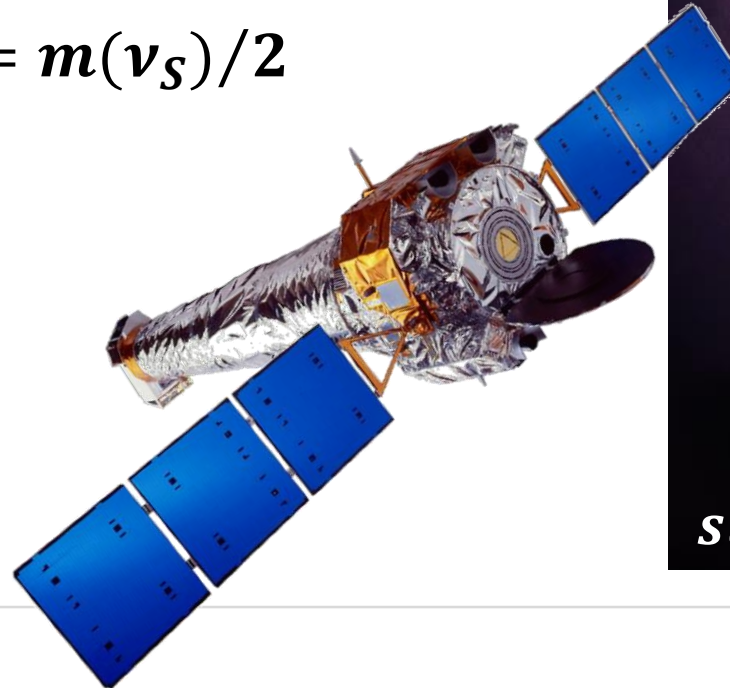
- is this the signature of a **decaying sterile neutrino  $\nu_s$** ?

⇒ mass:  $m(\nu_s) = 7.1 keV$

decay:  $X$  – ray line at  $E_\gamma = m(\nu_s)/2$

$$E_\gamma = 3.55 keV$$

- sterile neutrino would act as '**Warm Dark Matter**'



# $keV$ – steriles: a signal observed in $X$ – rays?

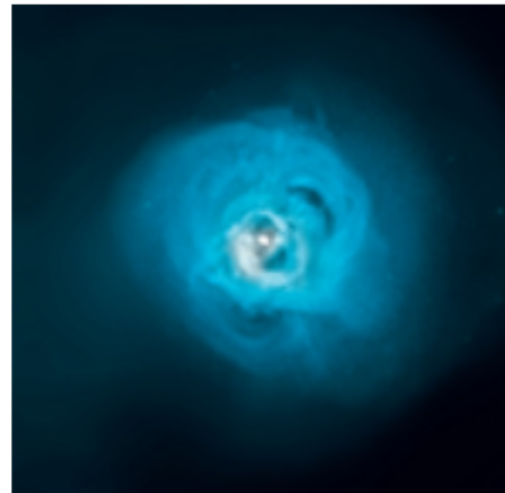
## ■ Observation of a **weak $X$ – ray line** in clusters at $E = 3.55 keV$

CERN COURIER

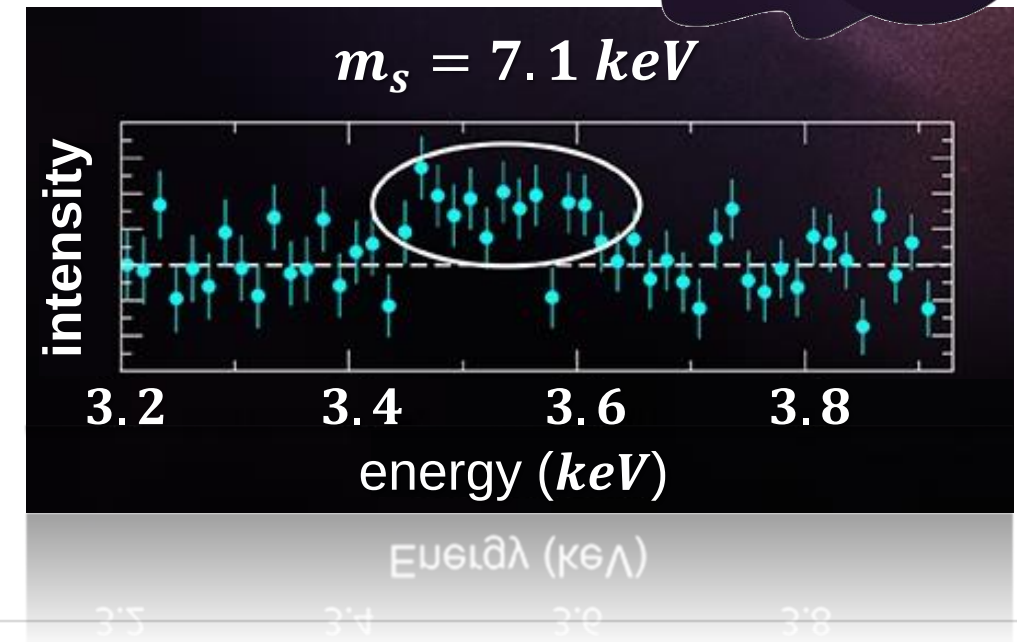
Jul 23, 2014

### Do X-rays reveal a sterile neutrino?

A detailed study of galaxy clusters using NASA's Chandra X-ray Observatory and ESA's XMM-Newton has found a mysterious X-ray signal. One intriguing possibility is that the X-rays are produced by the decay of sterile neutrinos - a candidate particle for dark matter -



Perseus galaxy cluster



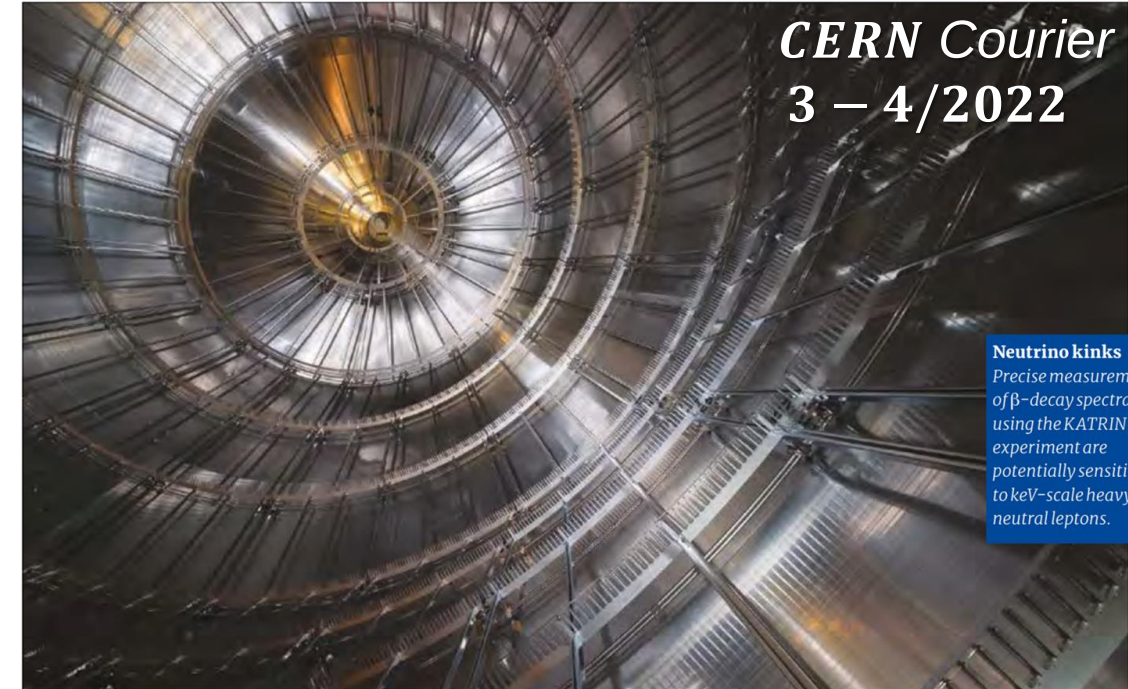
# $keV$ – steriles: signature in *KATRIN*

## ■ Search for a ‘kink’ in the $\beta$ – spectrum

- tritium  $\beta$  – spectrum allows to investigate sterile neutrinos with masses

$$m(\nu_s) < 18.6 \text{ keV}$$

- *KATRIN* is ideally suited to perform a **world–leading** search for sterile  $\nu$ ’s in the  $keV$  – range from 2026 ... 2028
- *KATRIN*: this measurement phase is performed within the *TRISTAN* program with **optimized tritium** source & novel **high–resolution detector** system

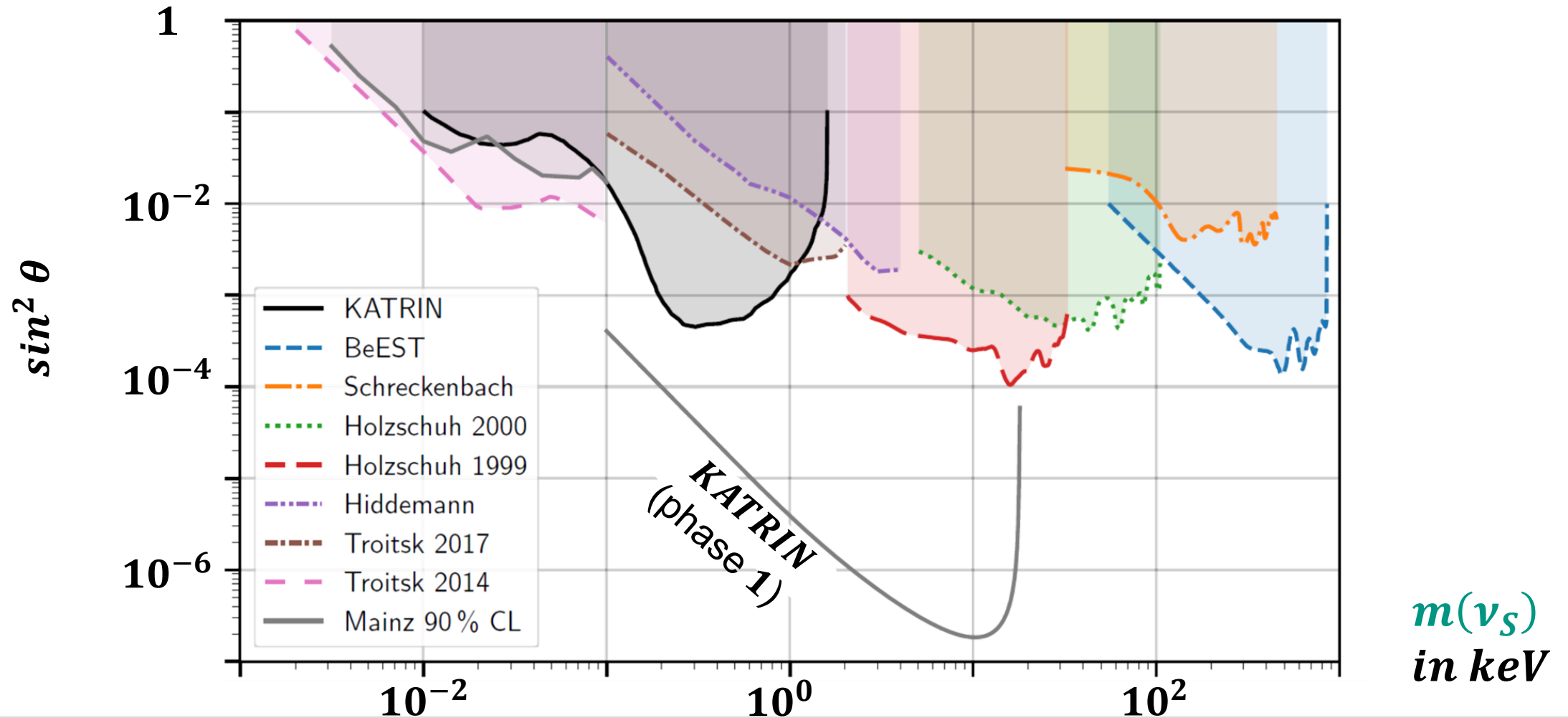


## TURNING THE SCREW ON RIGHT-HANDED NEUTRINOS

Extending the elementary-particle inventory with heavy neutral leptons could solve the key observational shortcomings of the Standard Model, explain Alexey Boyarsky and Mikhail Shaposhnikov, with some models placing the new particles in reach of current and proposed experiments.

# *keV* – steriles: stat. sensitivity of *KATRIN* & others

- *KATRIN* will advance today's experimental sensitivity by many orders

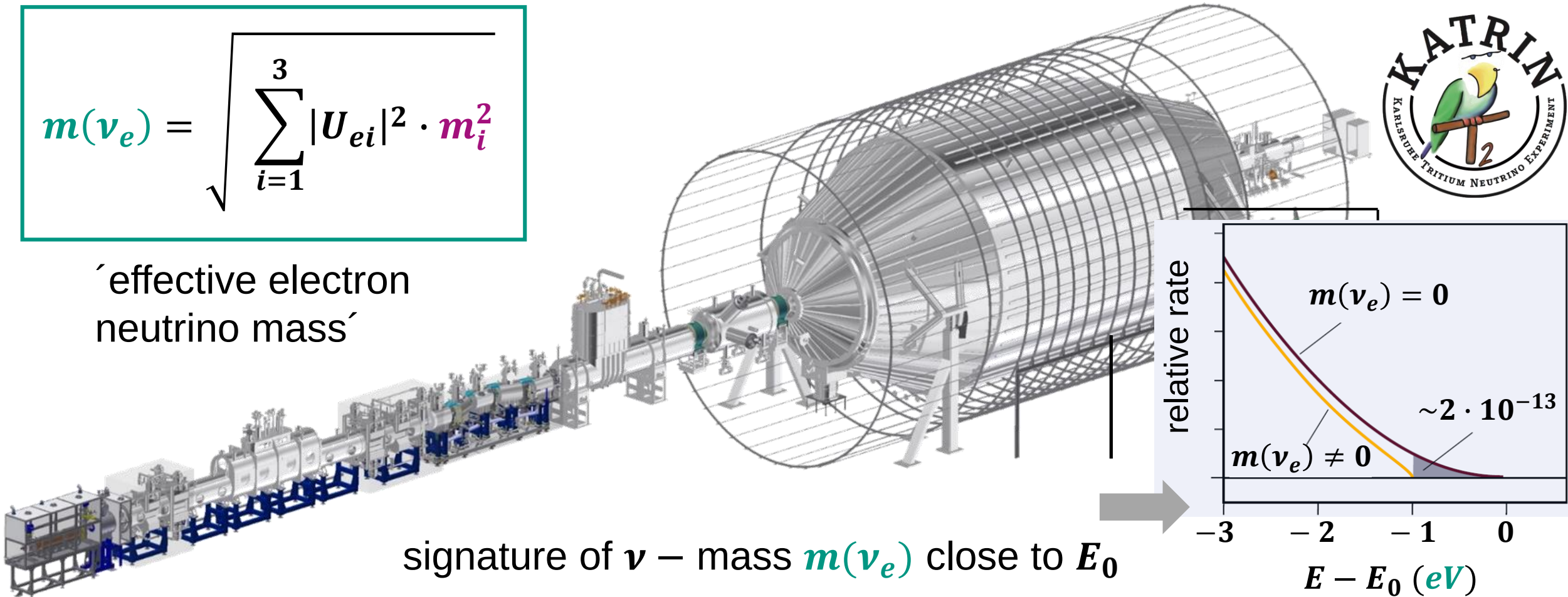


# Recap: *KATRIN* neutrino mass experiment\*

- 'effective mass' of the electron neutrino  $\nu_e$ : incoherent sum of masses  $m_i$

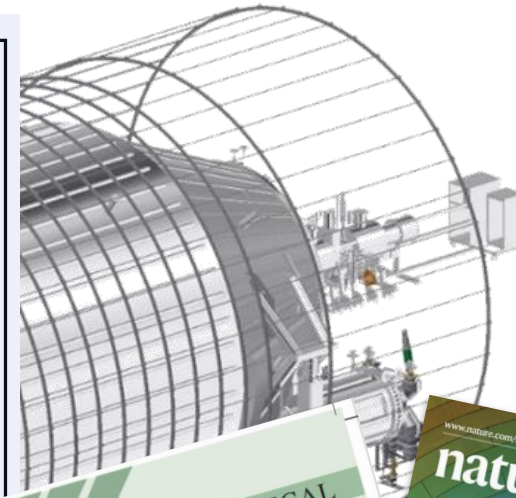
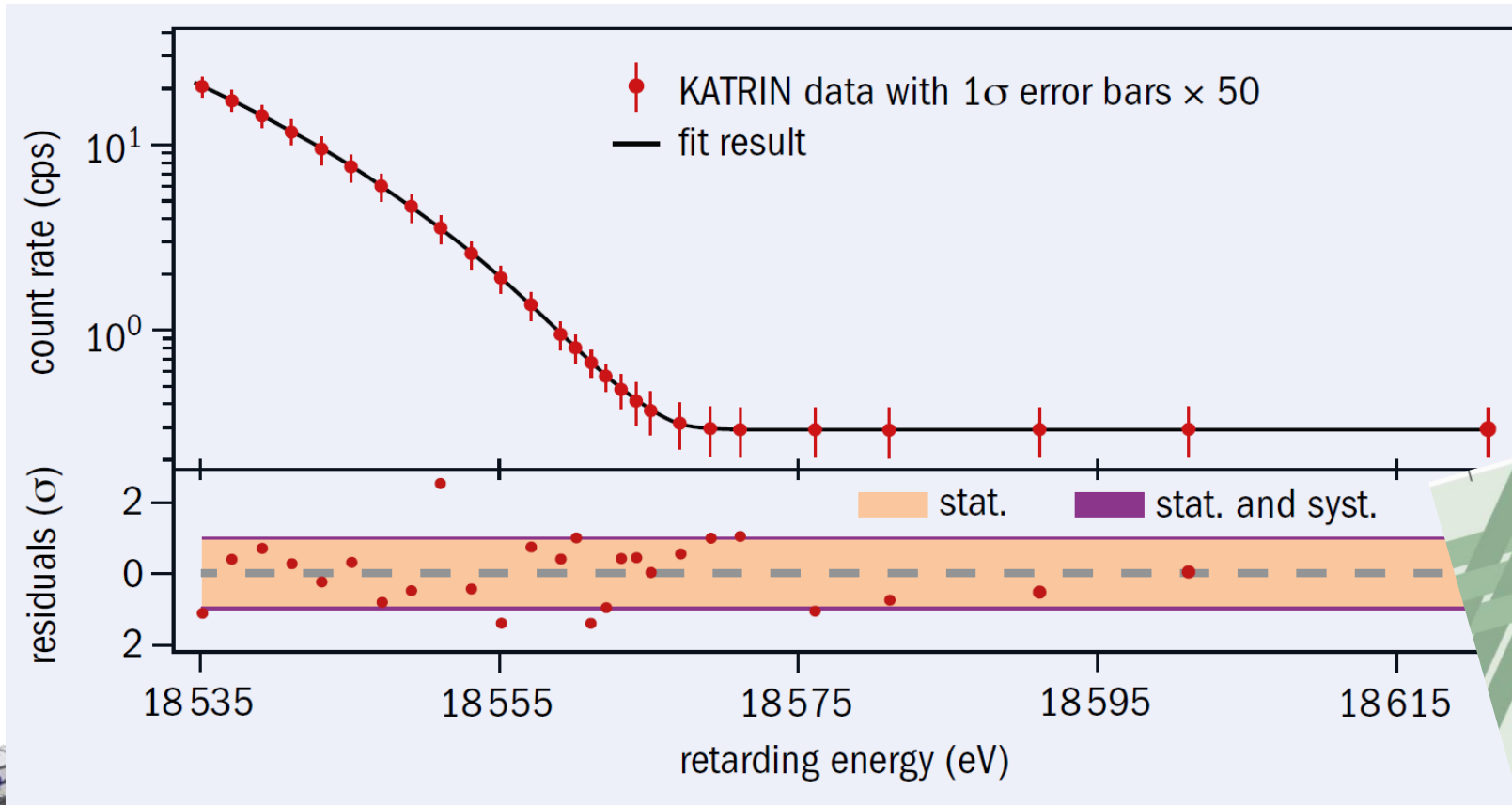
$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 \cdot m_i^2}$$

'effective electron  
neutrino mass'



# KATRIN neutrino mass experiment

## ■ 'effective mass' of the electron neutrino $\nu_e$ : integral scan

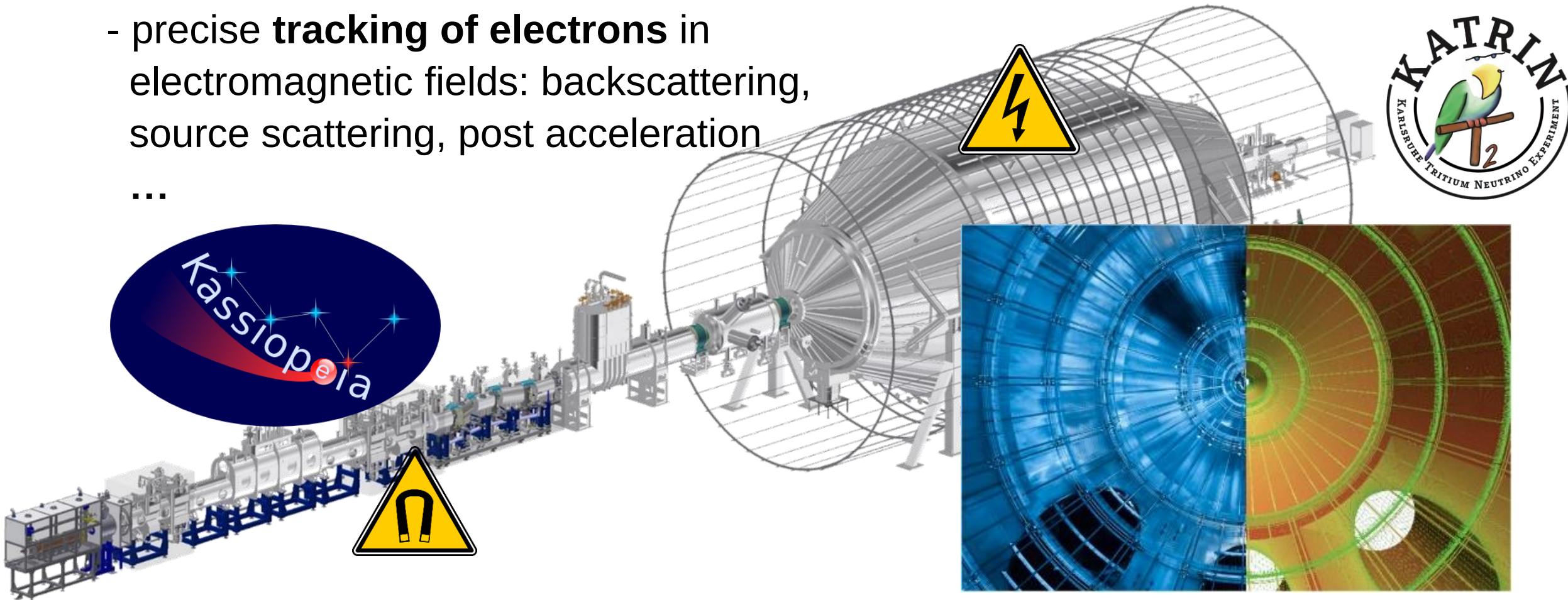


# KATRIN neutrino mass experiment

## ■ 'effective mass' of the electron neutrino $\nu_e$ : one tool to study systematics

- precise tracking of electrons in electromagnetic fields: backscattering, source scattering, post acceleration

...



# *KATRIN* experiment: adjusting the $\beta$ – luminosity

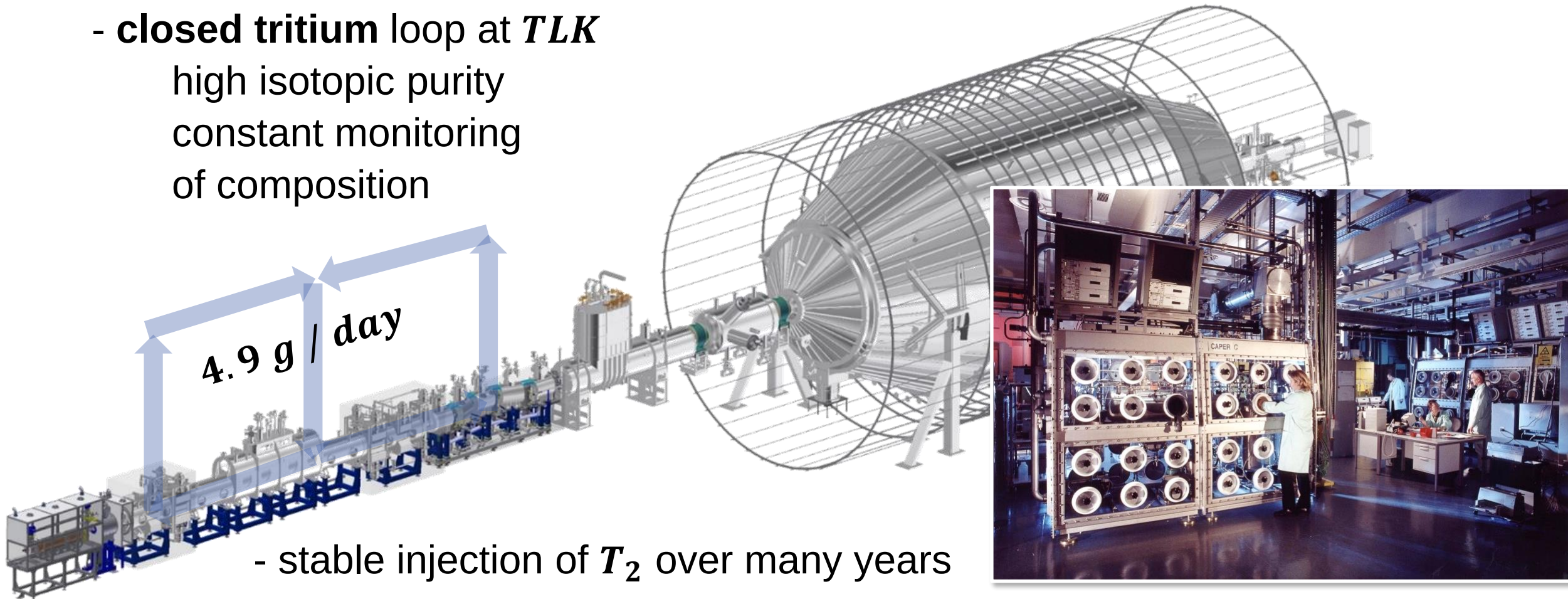
## ■ *W*indowless *G*aseous *T*ritium *S*ource (*WGTS*): a highly versatile tool

- closed tritium loop at *TLK*

high isotopic purity  
constant monitoring  
of composition

4.9 g / day

- stable injection of  $T_2$  over many years

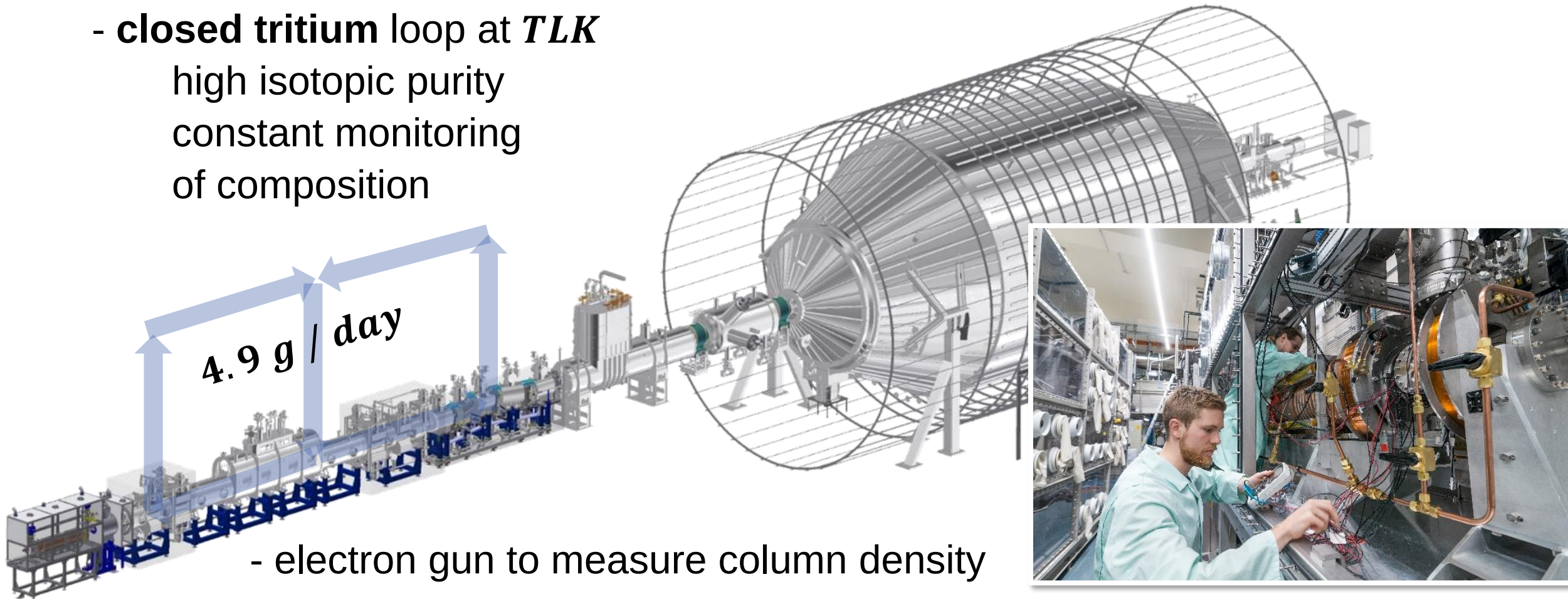


# *KATRIN* experiment: adjusting the $\beta$ – luminosity

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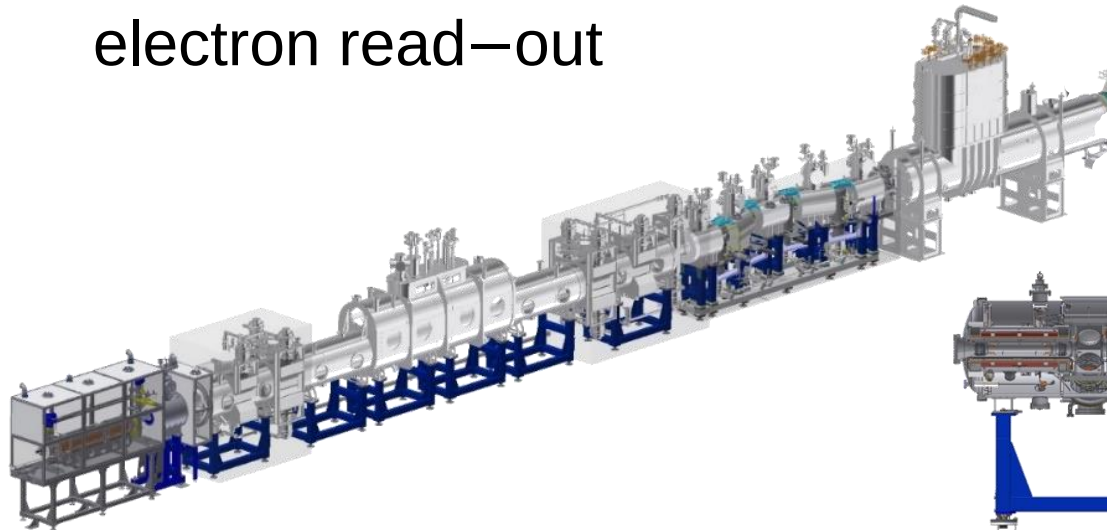
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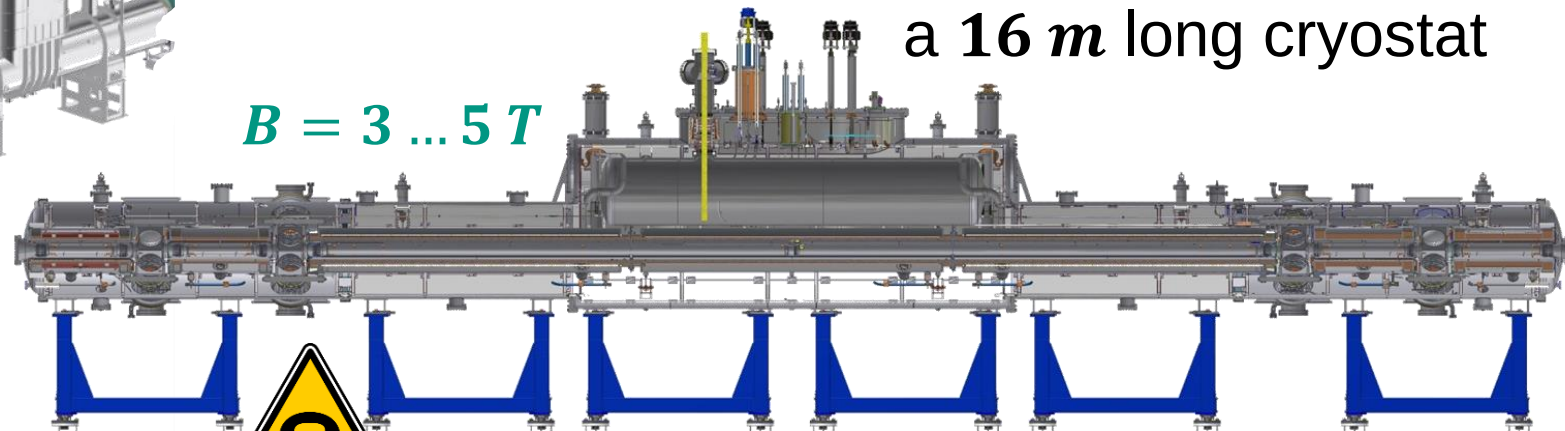
- tritium  $\beta$  – intensity can be varied by 2 ... 3 orders of magnitude by adjusting the **inlet pressure  $p_{in}$**
- this allows to work at **vastly different count rates**: adjust to capabilities of electron read-out



a 16 m long cryostat



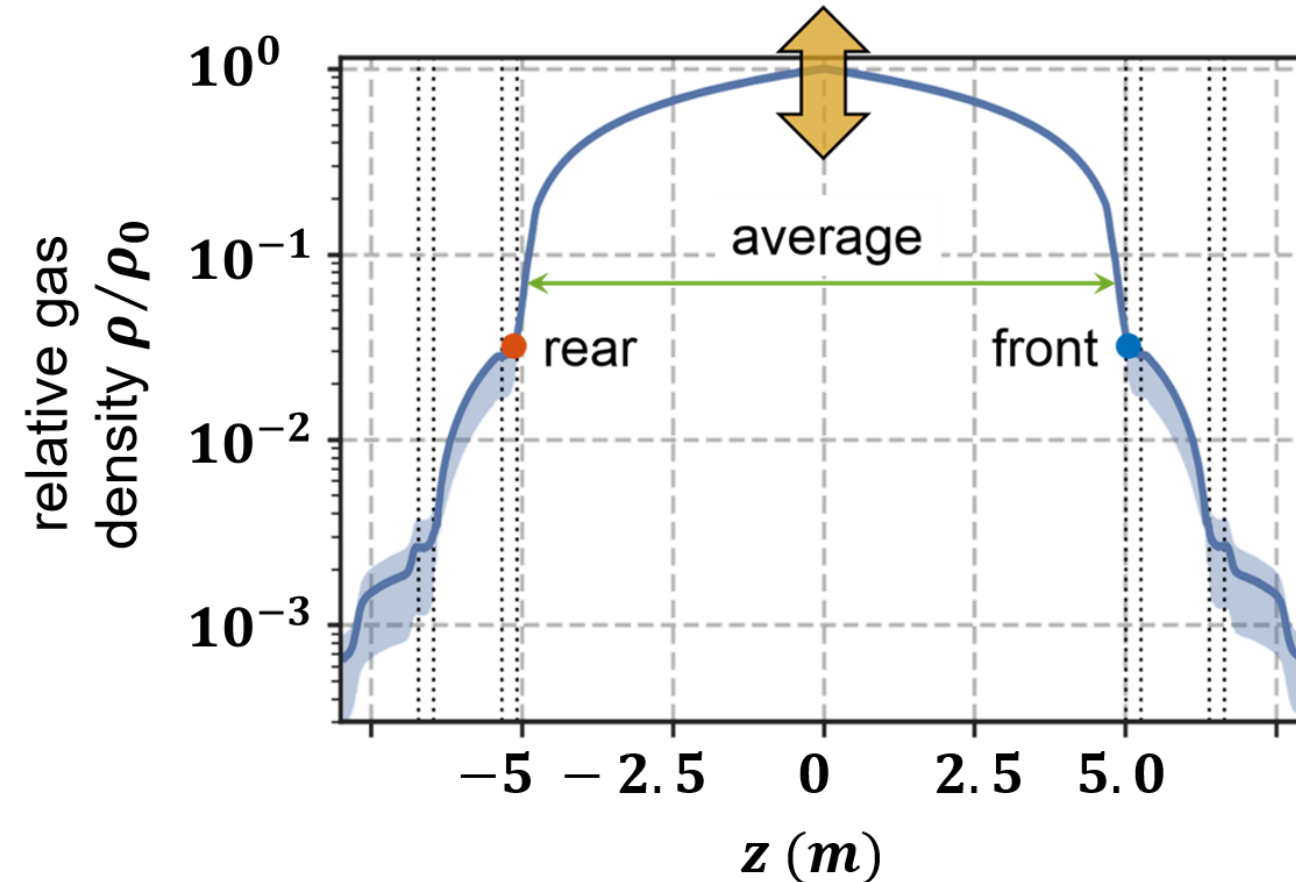
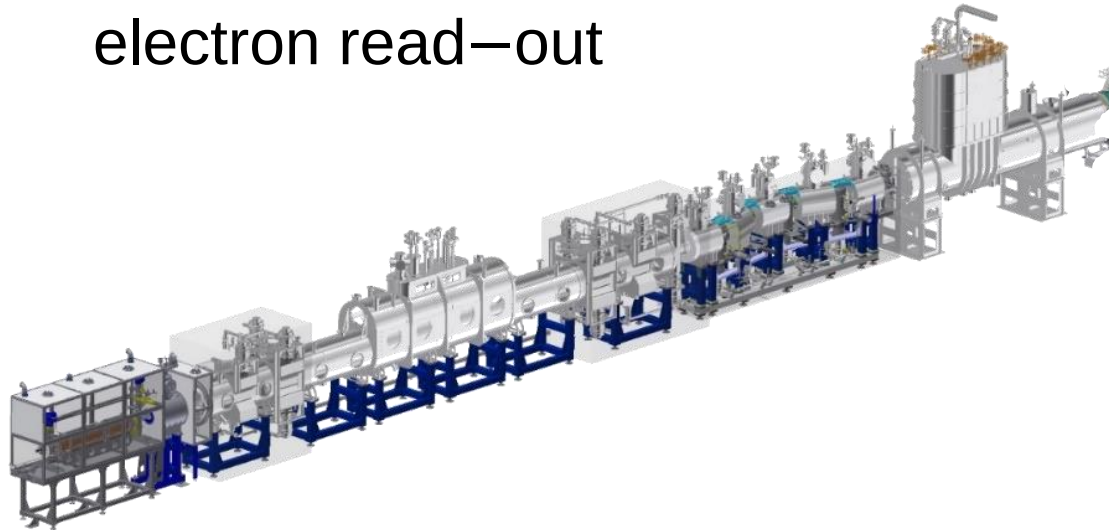
$B = 3 \dots 5 \text{ T}$



# *KATRIN* experiment: adjusting the $\beta$ –luminosity

## ■ *W*indowless *G*aseous *T*ritium *S*ource (*WGTS*): a highly versatile tool

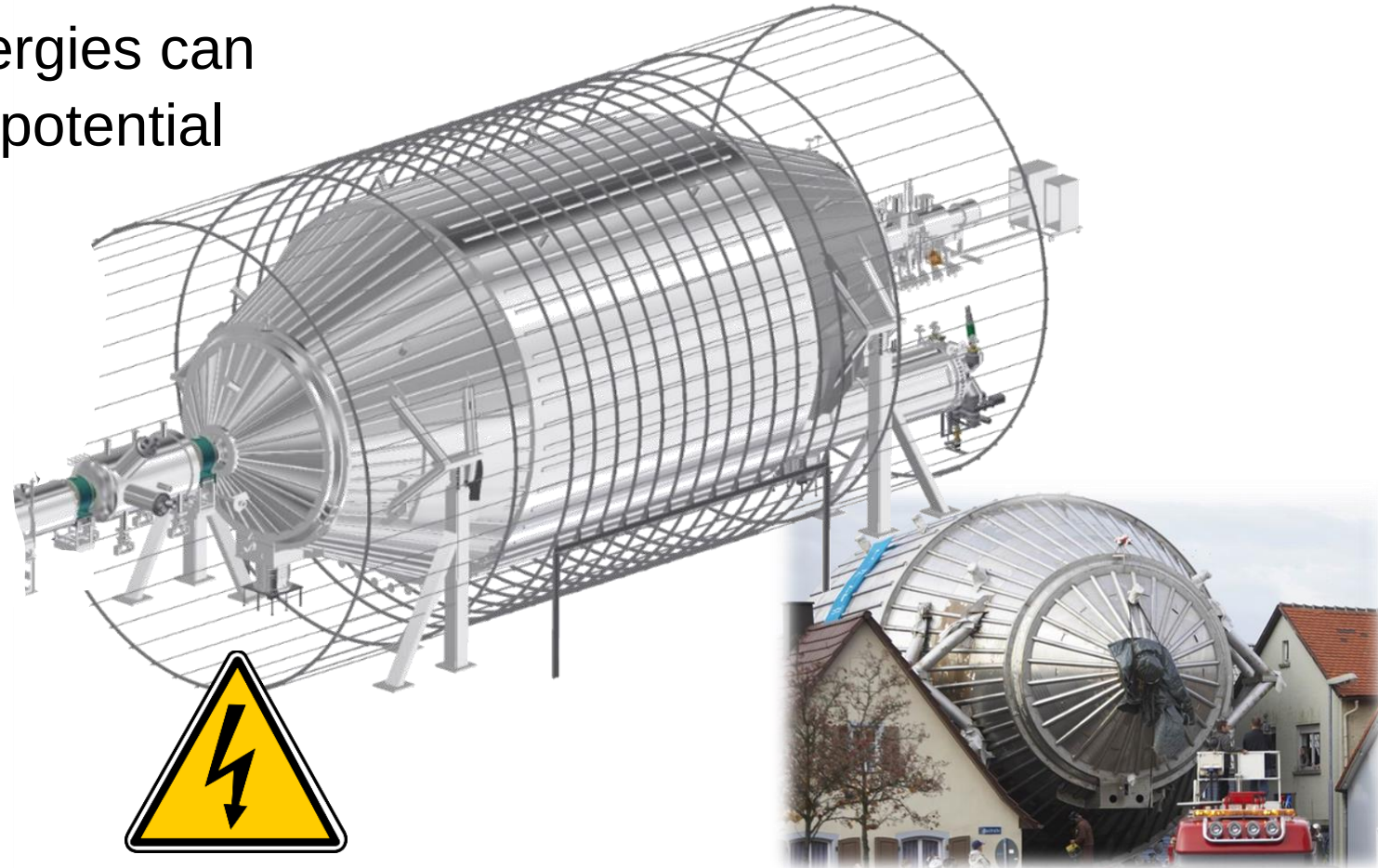
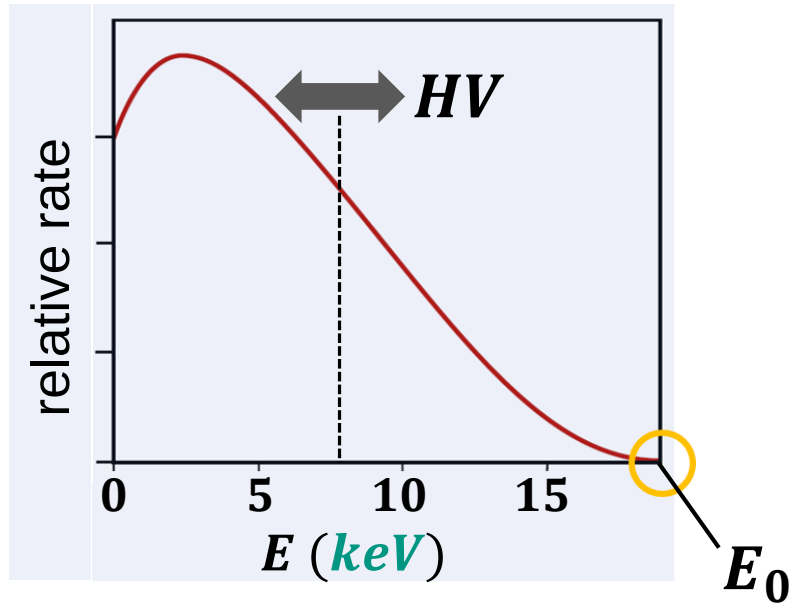
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# *KATRIN* experiment: adjusting the lower cut-off

## ■ the electrostatic spectrometer: a highly versatile tool

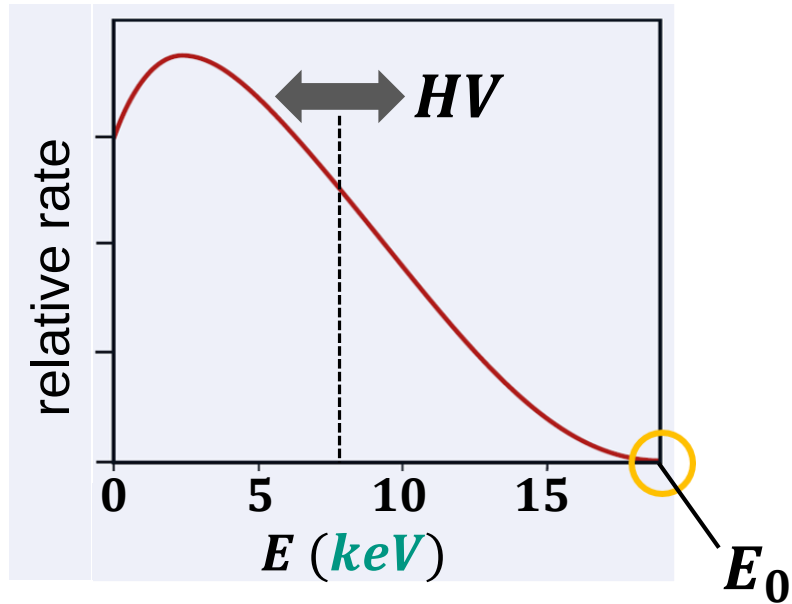
- the lower **cut-off** of electron energies can be adjusted by the spectrometer potential



# *KATRIN* experiment: adjusting the lower cut-off

## ■ the electrostatic spectrometer: inner electrode system

- **24.000** wires are mounted with a precision of **200  $\mu\text{m}$** : fine-tuning



# *keV* – steriles: signature in *KATRIN*

## ■ Search for a ‘kink’ in the $\beta$ – spectrum

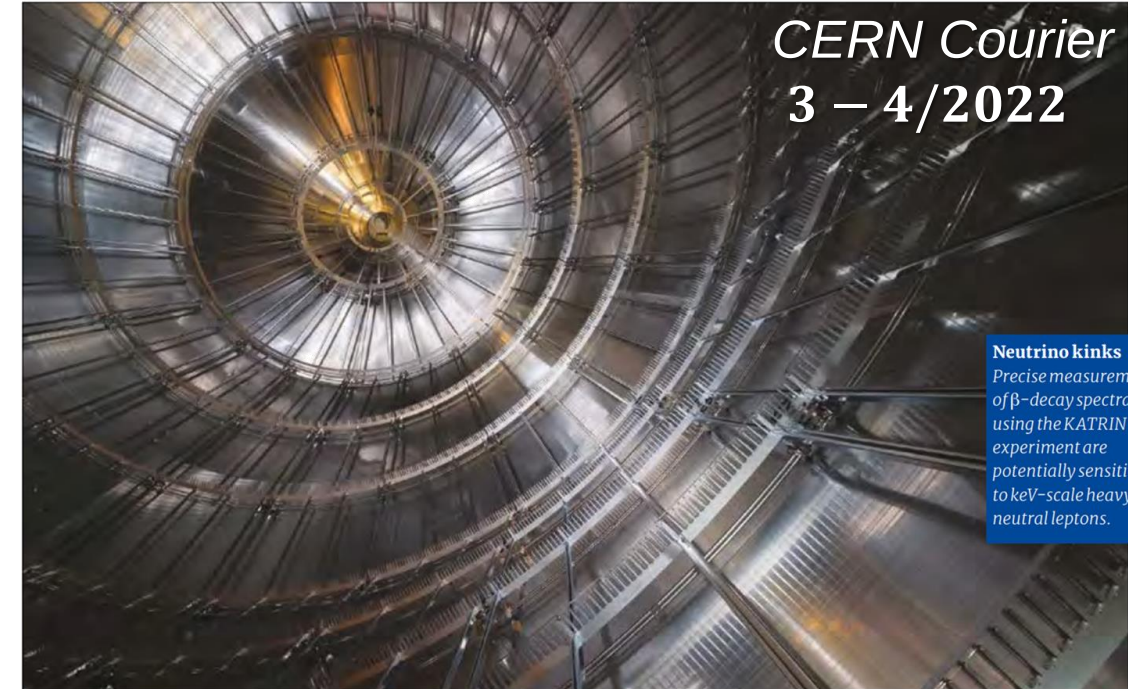
- tritium  $\beta$  – spectrum allows to investigate sterile neutrinos with masses

$$m(\nu_s) < 18.6 \text{ keV}$$

- energy spectrum of decay electrons:

$$\frac{dN}{dE} = \cos^2 \theta_s \cdot \frac{dN}{dE} (m_{\text{active}}) + \sin^2 \theta_s \cdot \frac{dN}{dE} (m_{\text{sterile}})$$

mixing angle  $\theta_s$



CERN Courier  
3 – 4/2022

**Neutrino kinks**  
Precise measurements of  $\beta$ -decays spectra using the KATRIN experiment are potentially sensitive to keV-scale heavy neutral leptons.

## TURNING THE SCREW ON RIGHT-HANDED NEUTRINOS

Extending the elementary-particle inventory with heavy neutral leptons could solve the key observational shortcomings of the Standard Model, explain Alexey Boyarsky and Mikhail Shaposhnikov, with some models placing the new particles in reach of current and proposed experiments.

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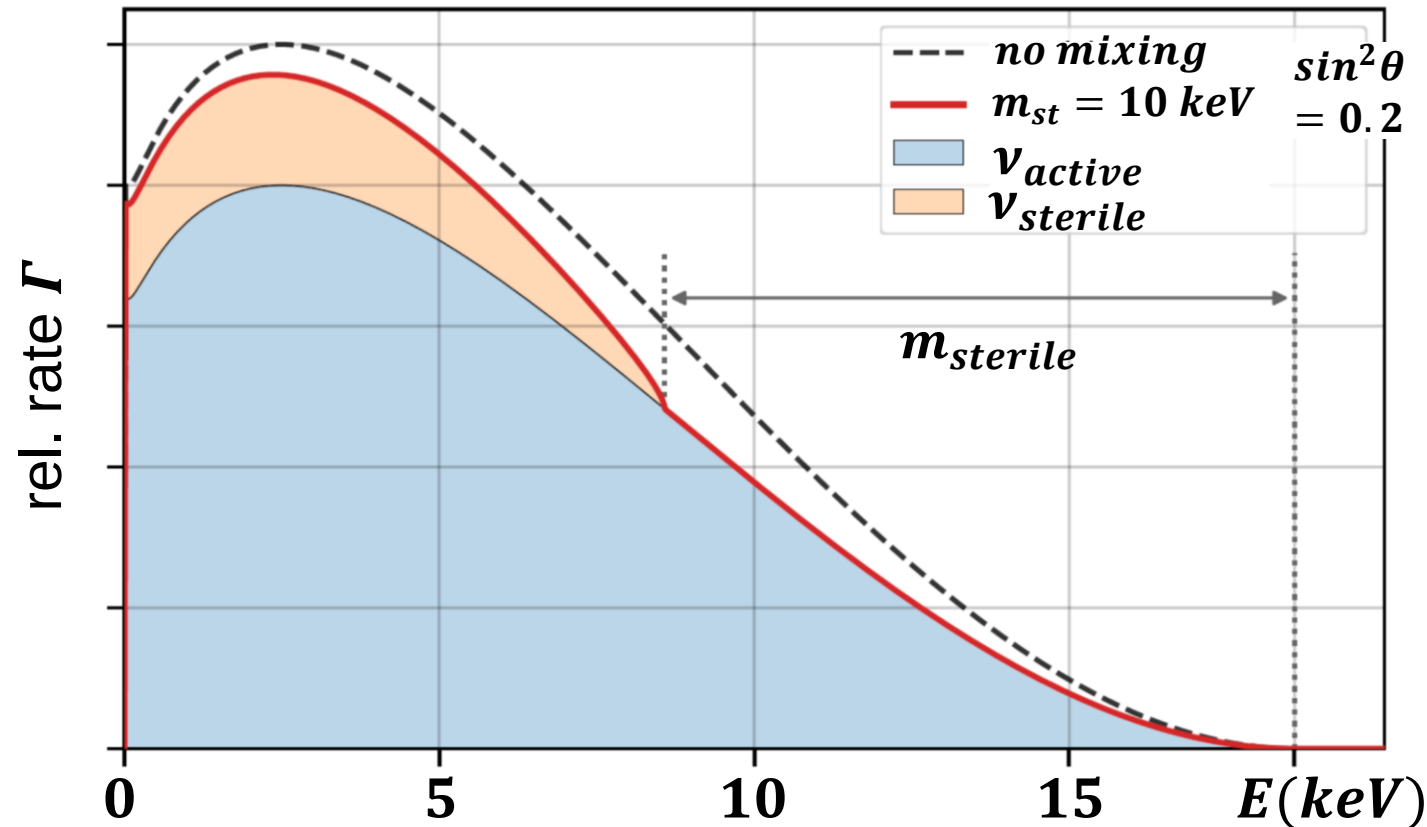
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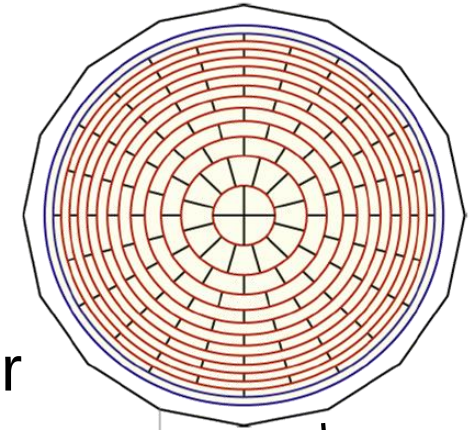


# $eV$ – mass scale: existing detector for *KATRIN*

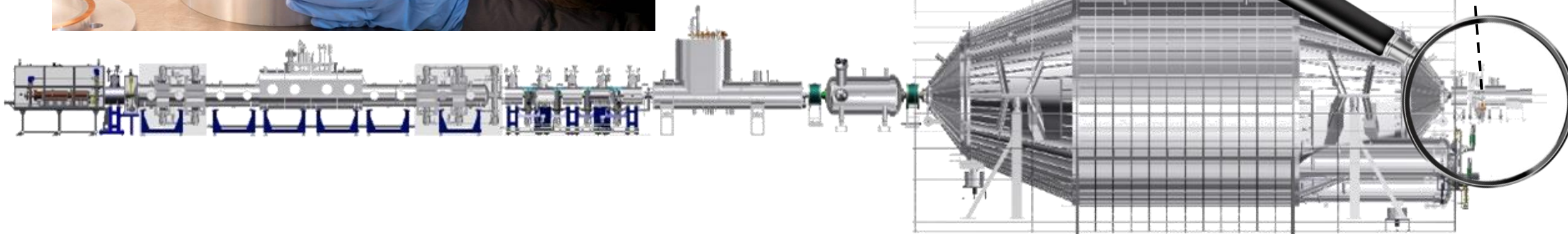
■ *FPD* detector: a segmented *Si* – *PIN* – detector for electron identification



- installing  
the *Si* – wafer....

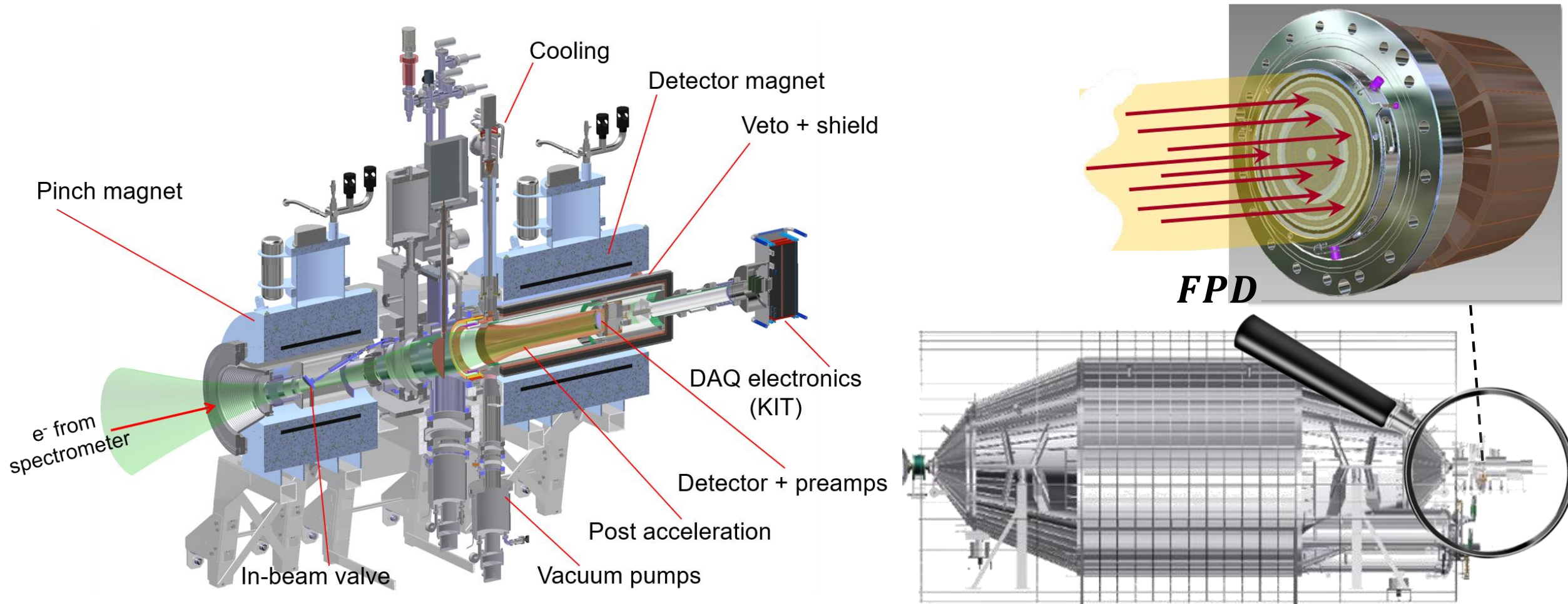


detector



# $eV$ – mass scale: existing detector for *KATRIN*

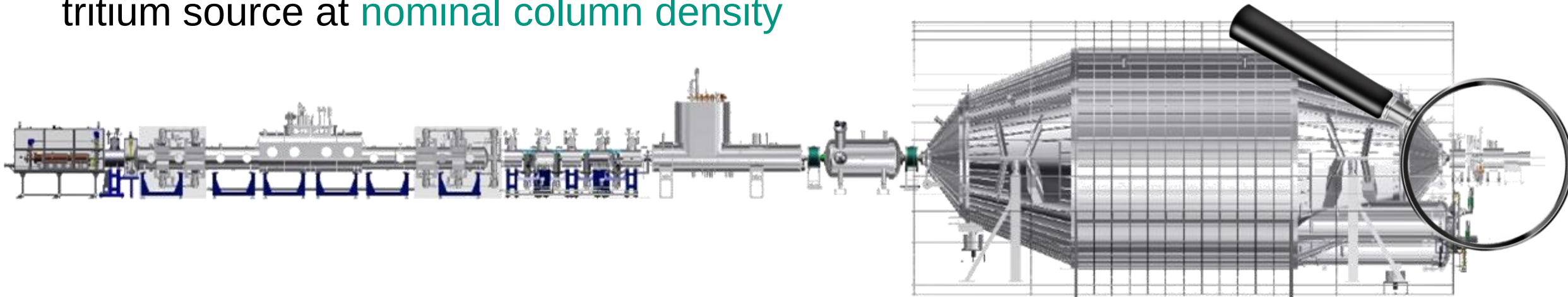
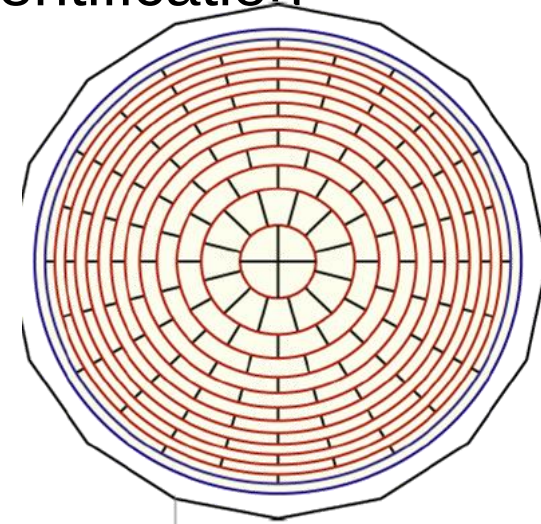
■ **FPD** detector: a segmented *Si – PIN* – detector for electron identification



# $eV$ – mass scale: existing detector for *KATRIN*

■ **FPD detector**: a segmented *Si – PIN* – detector for electron identification

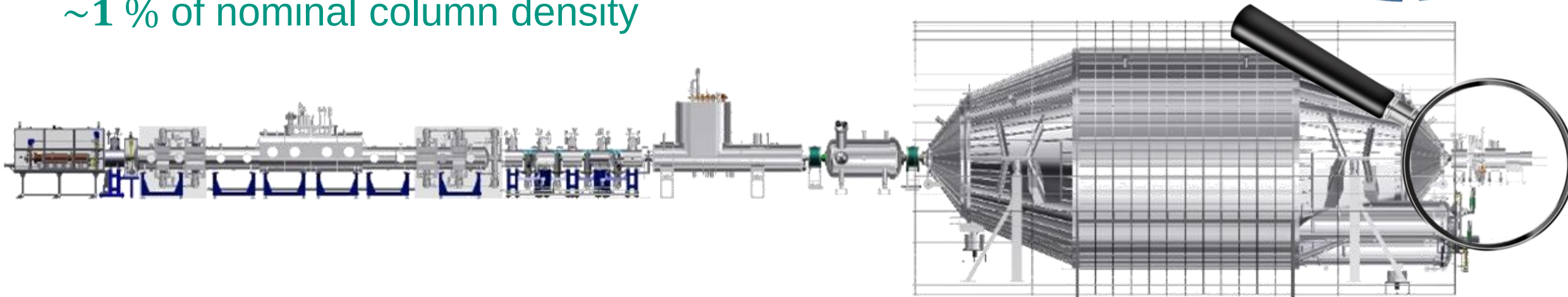
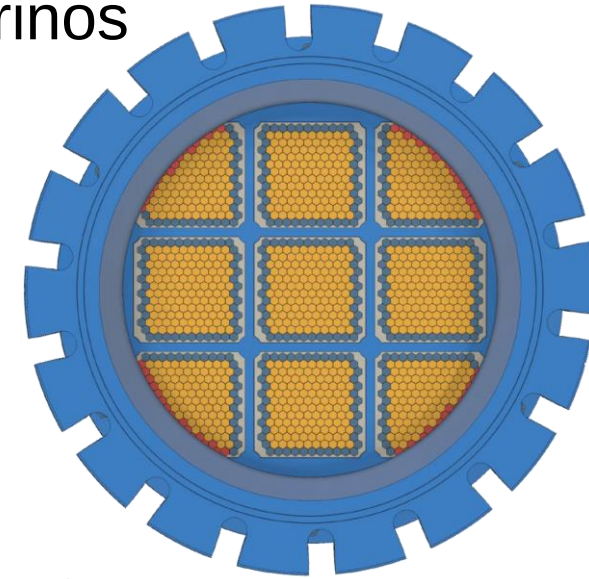
- moderate energy resolution: ability to detect the transmitted electron with  $\Delta E \approx 2 \dots 3 \text{ keV}$  within a rather narrow energy window centered at  $E = 18.6 \text{ keV}$
- needs to handle only a small rate of  $\beta$  – decay electrons (narrow scan interval close to  $E_0$ ): optimized for  $m(\nu_e)$  with tritium source at nominal column density



# *keV* – steriles: a new detector for *KATRIN*

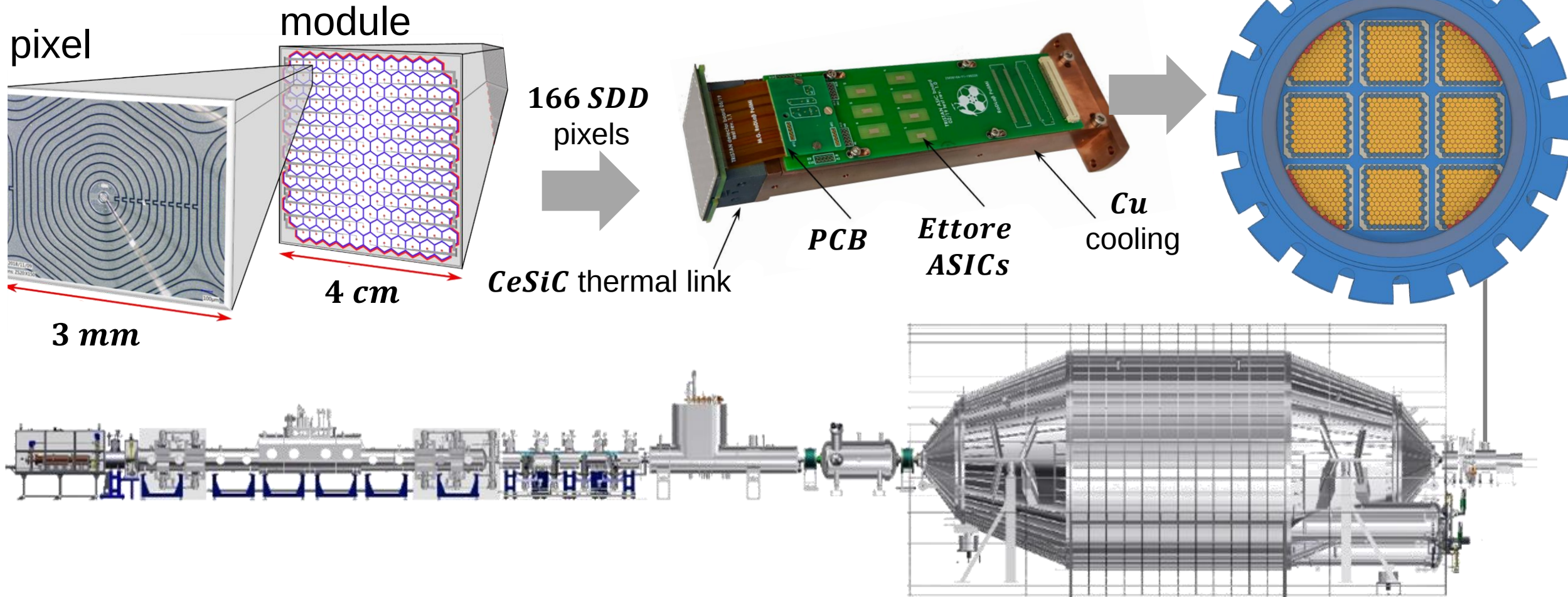
## ■ *TRISTAN* detector: *TR*itium *I*nterinvestigation on *ST*erile (*A*) *N*eutrinos

- excellent energy resolution: ability to detect the expected **kink–like signature** i.e.  $\Delta E \approx 200 \dots 300 \text{ eV}$  over entire energy interval from  $E = 0 \dots 18.6 \text{ keV}$
- must be able to handle **huge** rate of  $\beta$  – decay electrons even when operating the tritium source at  **$\sim 1 \%$  of nominal column density**



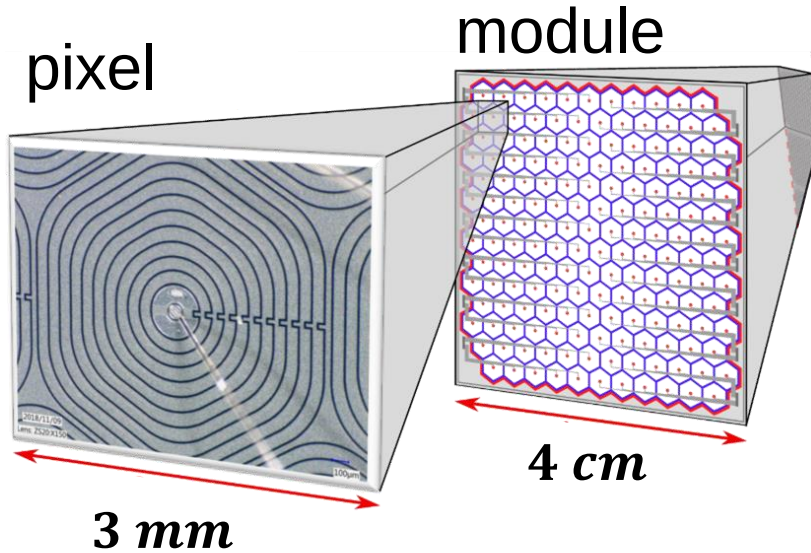
# *keV* – steriles: a new *SDD* detector for *KATRIN*

## ■ Silicon *D*rift *D*etectors (*SDD*): a novel detector technology

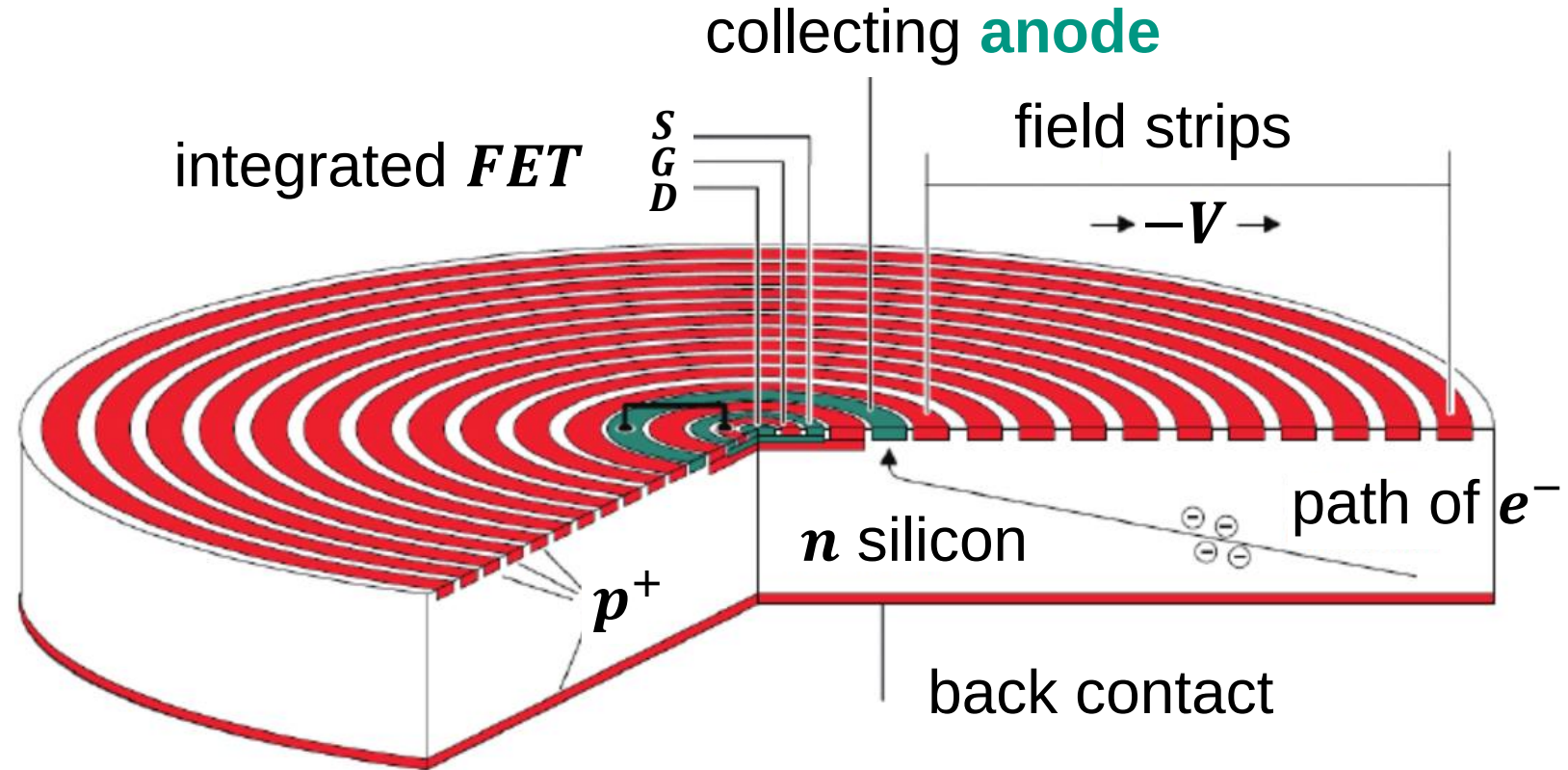


# *keV* – steriles: a new *SDD* detector for *KATRIN*

## ■ Silicon *Drift Detectors* (*SDD*): working principle

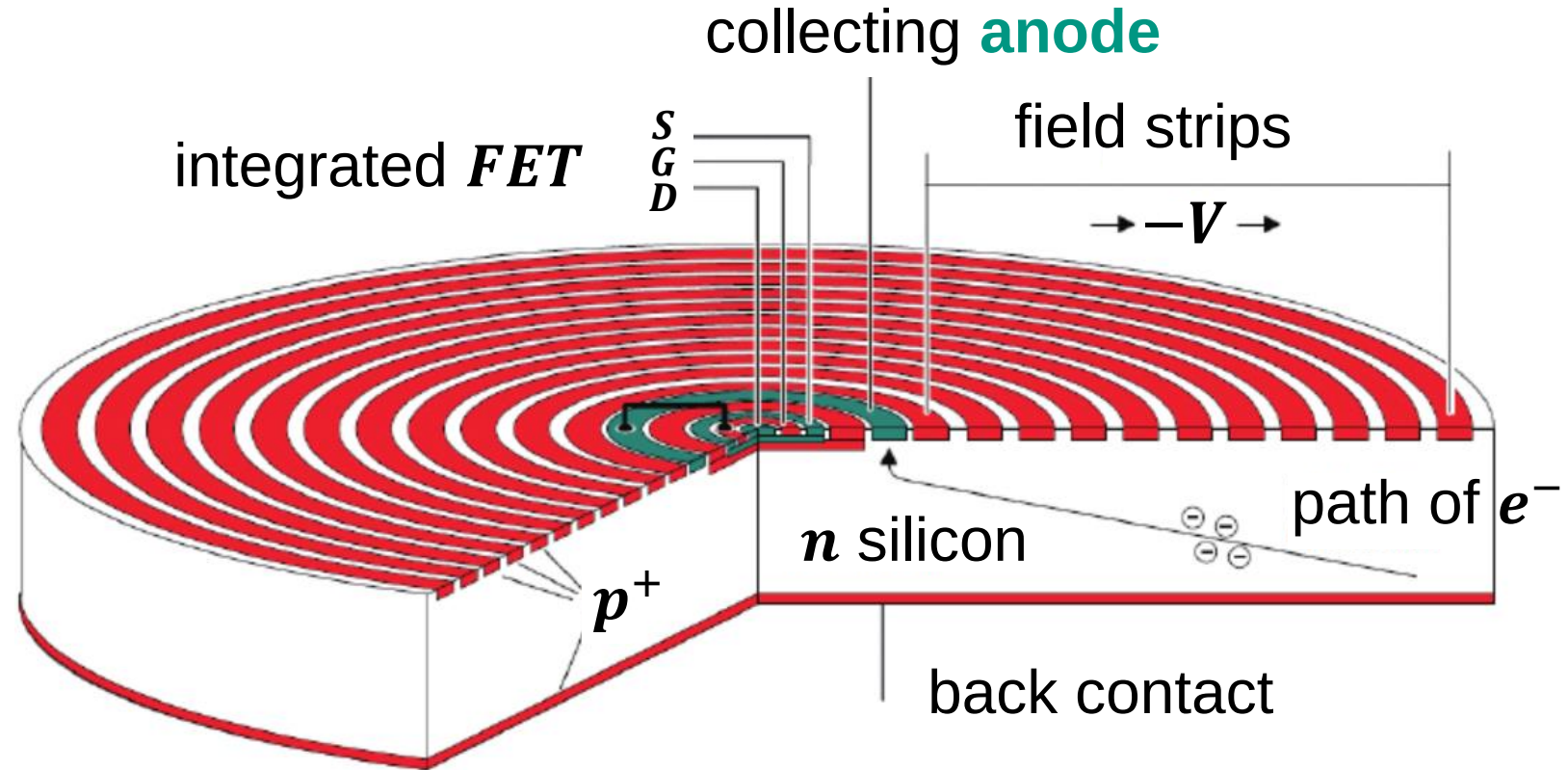
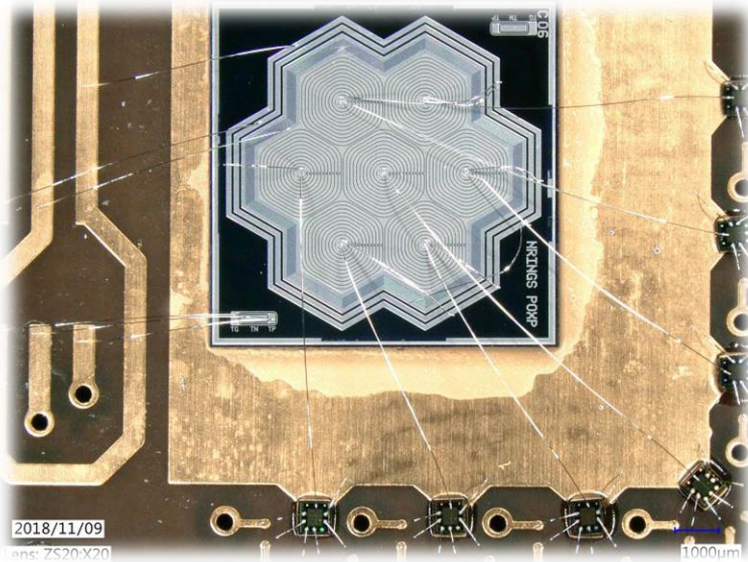


- *SDD* unit with integrated *jFET*:  $e^-$  are funneled to **anode** by drift field formed by circular field strips



# *keV* – steriles: a new *SDD* detector for *KATRIN*

- Silicon *Drift* Detectors (*SDD*): early prototypes are being tested

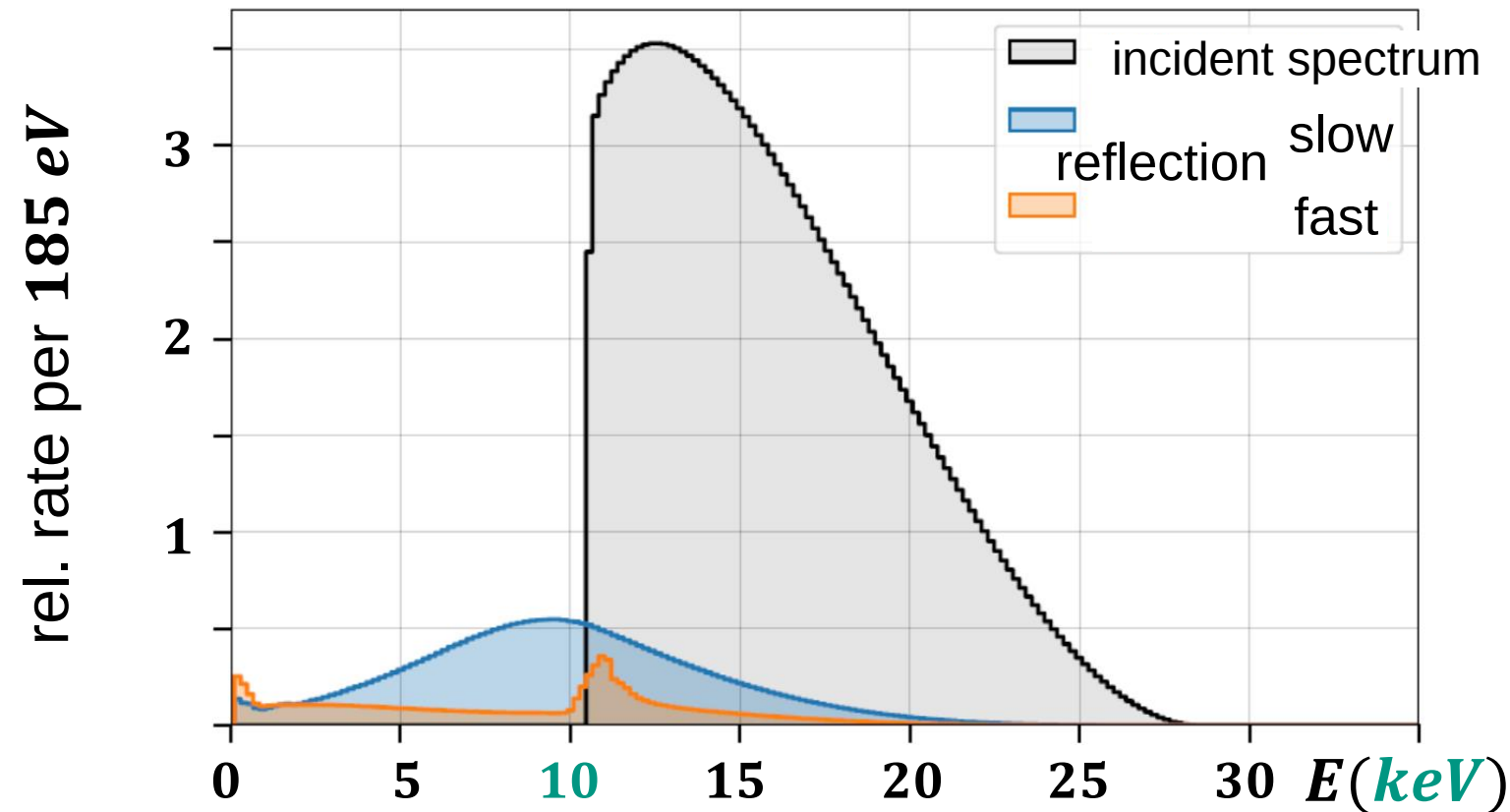


- *SDD* unit with integrated *jFET*:  $e^-$  are funneled to *anode* by drift field formed by circular field strips

# *KATRIN* experiment – ongoing studies

## ■ Search for sterile $\nu$ 's: implement a *Post–Acceleration Electrode (PAE)*

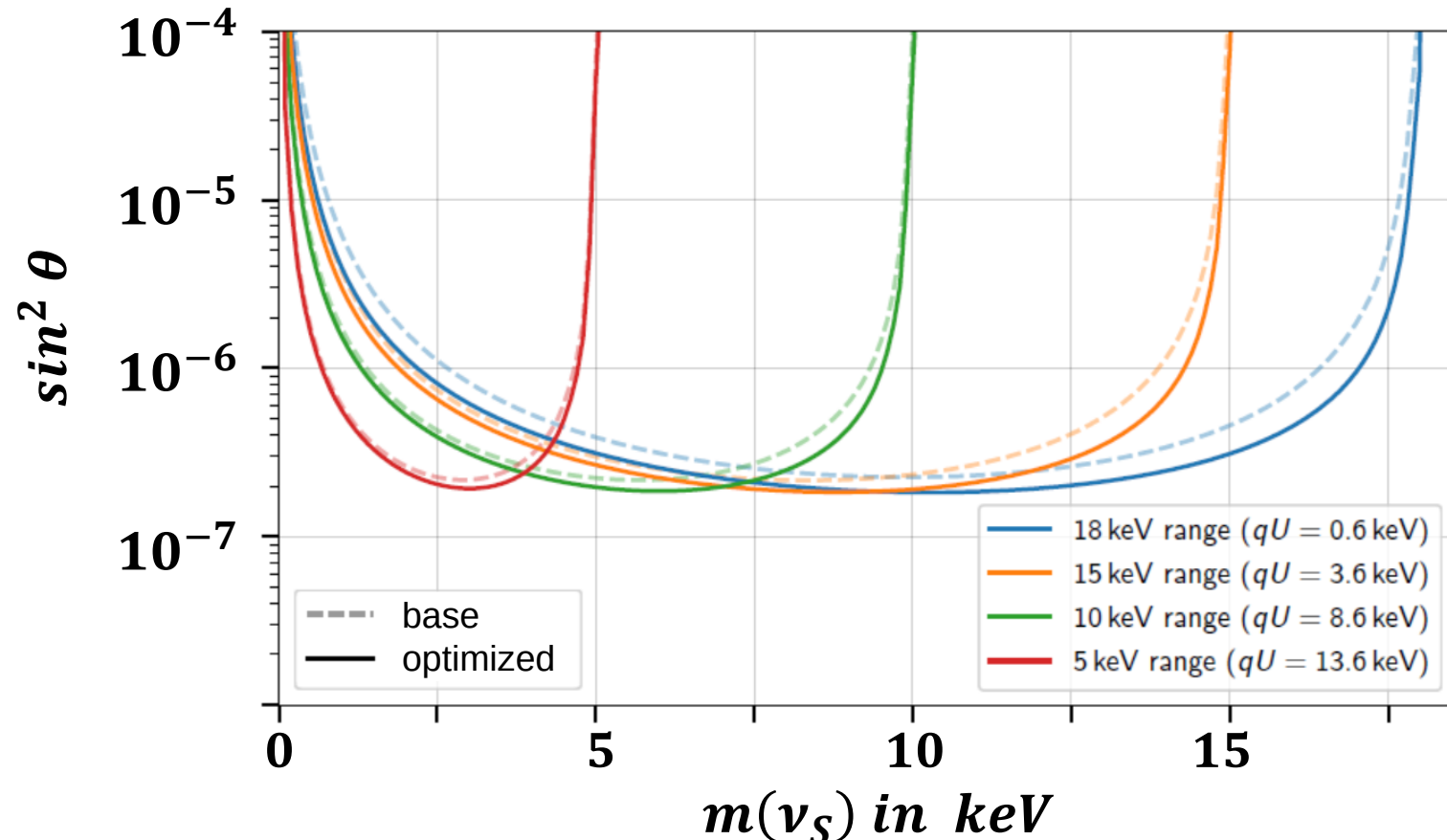
- careful study of **systematic effects** due to post–acceleration & back–scattering
- purpose of *PAE*: allows detection of low–energy electrons by constant (post) acceleration with  $\Delta U = 10 \text{ kV}$  (planned)
- systematic effects due to **backscattering** (at surface of *SDD* &  $\Delta U$ )



# $keV$ – steriles: stat. sensitivities (integral scan)

## ■ Impact of different scan strategies & other optimisation procedures

- 95%  $CL$  exclusion limits for  $keV$  – steriles after 1 *yr* of *KATRIN* data taking
- collected statistics:  
 $N_{ev} \approx 2.95 \times 10^{15}$
- impact of different scan strategies: here an **INDEPENDENT** cross check can be provided by an **integral scan** with excellent sensitivity



# *keV* – steriles: evolution of stat. sensitivities

## ■ Impact of collected data sample over time in differential mode ( $m_s = 10 \text{ keV}$ )

- evolution of statistical sensitivity (95% *CL*) for fixed mass  $m_s = 10 \text{ keV}$

-  $10^5 \text{ cps}$  per pixel &  
936 ‘golden’ pixels

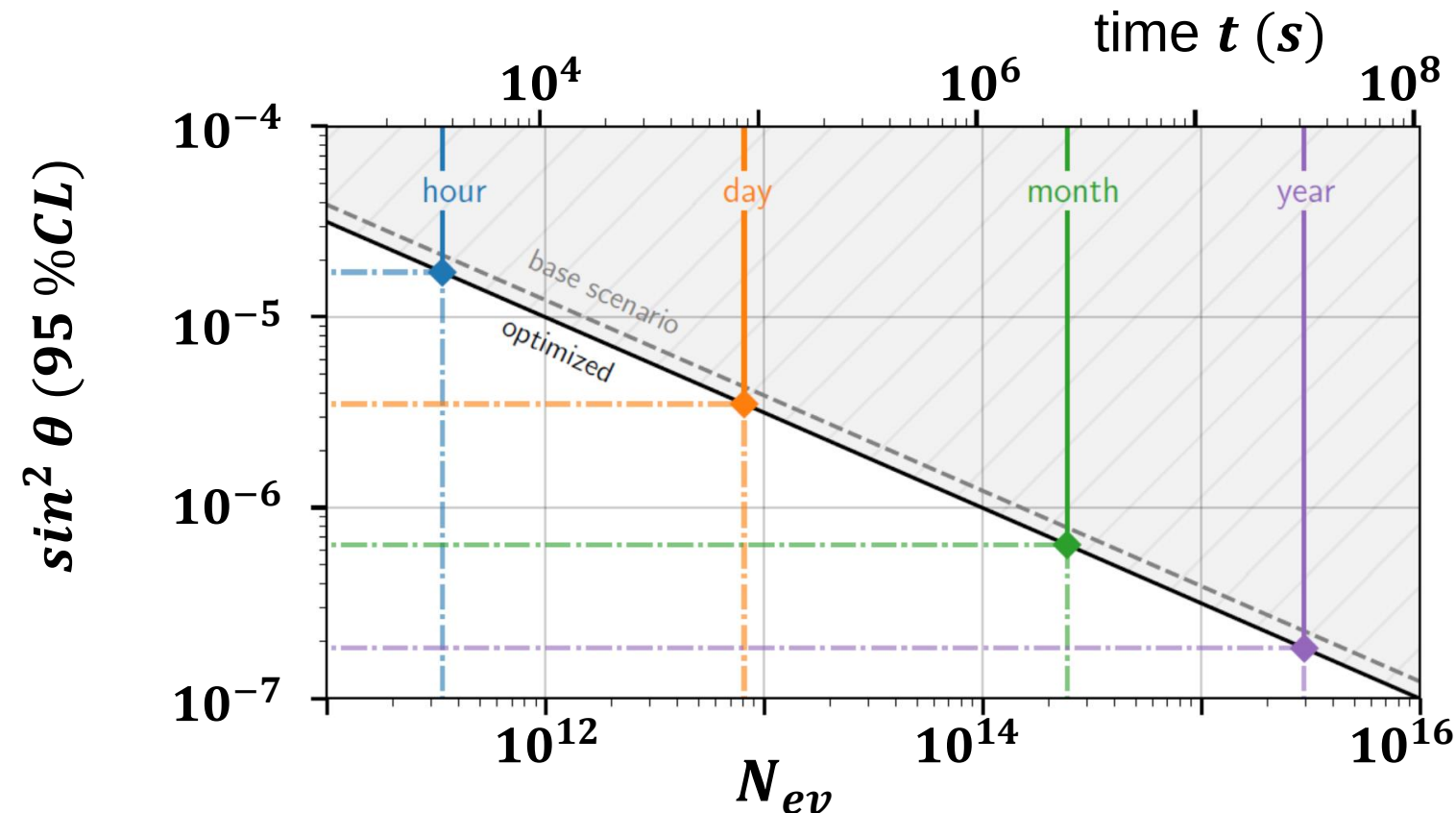
- sensitivities obtained after

**1 hr**

**1 day**

**1 month**

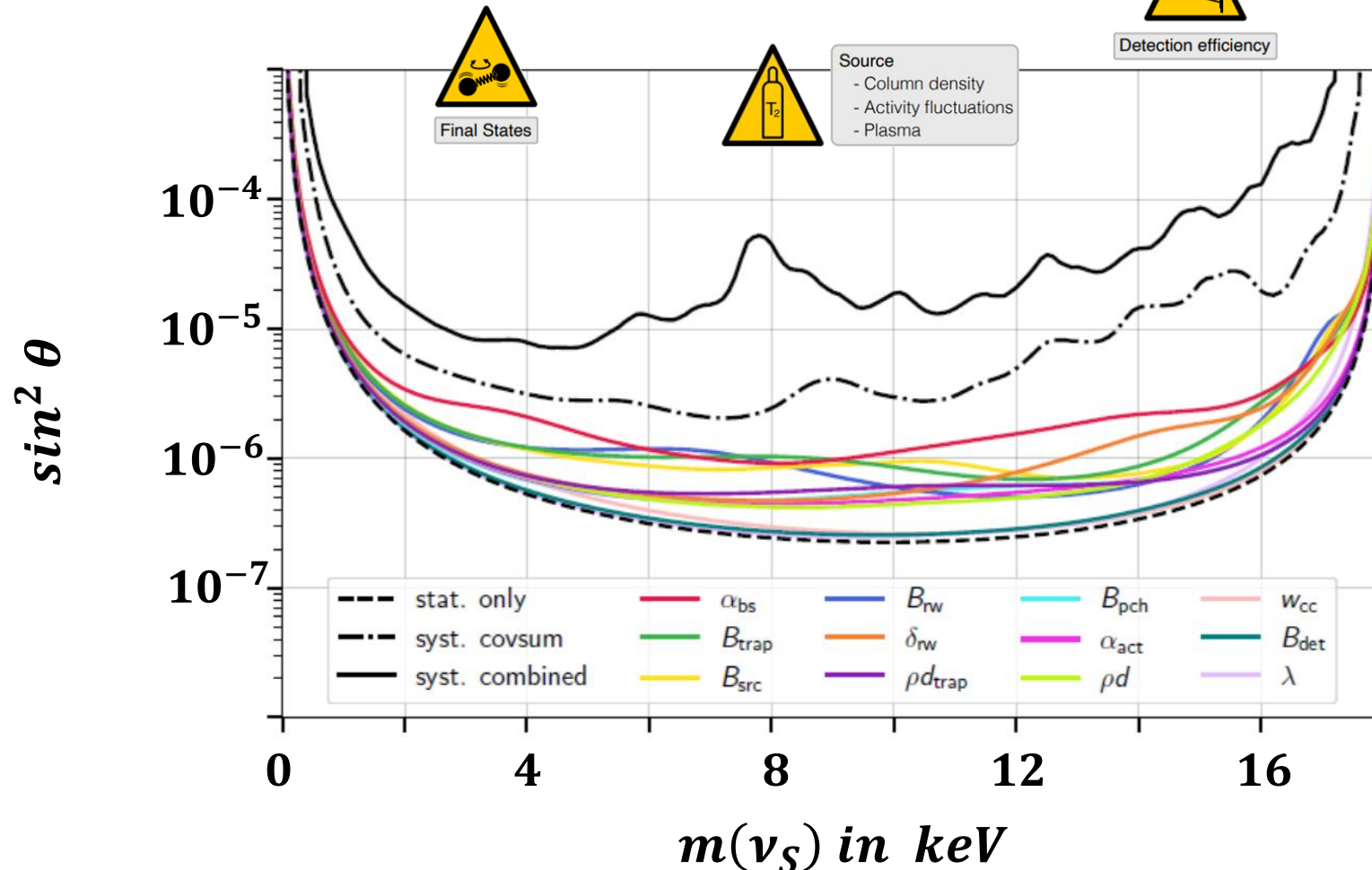
**1 yr**



# $keV$ – steriles: impact of systematic effects

## ■ Impact of various systematic effects on the overall sensitivity

- careful investigation of the impact of **12 systematic effects** on the sensitivity, individually (example: magnetic field  $B_{det}$ ), and overall\*
- systematics, systematics, systematics ...



\* individual covariances (covsum)  
simultaneous fluctuations (combined)

# $keV$ – steriles: evolution of overall sensitivity

## ■ Impact of collected data sample over time in differential mode ( $m_s = 10\text{ keV}$ )

- evolution of overall sensitivity (95%  $CL$ ) for fixed mass  $m_s = 10\text{ keV}$

-  $10^5\text{ cps}$  per pixel &  
936 ‘golden’ pixels

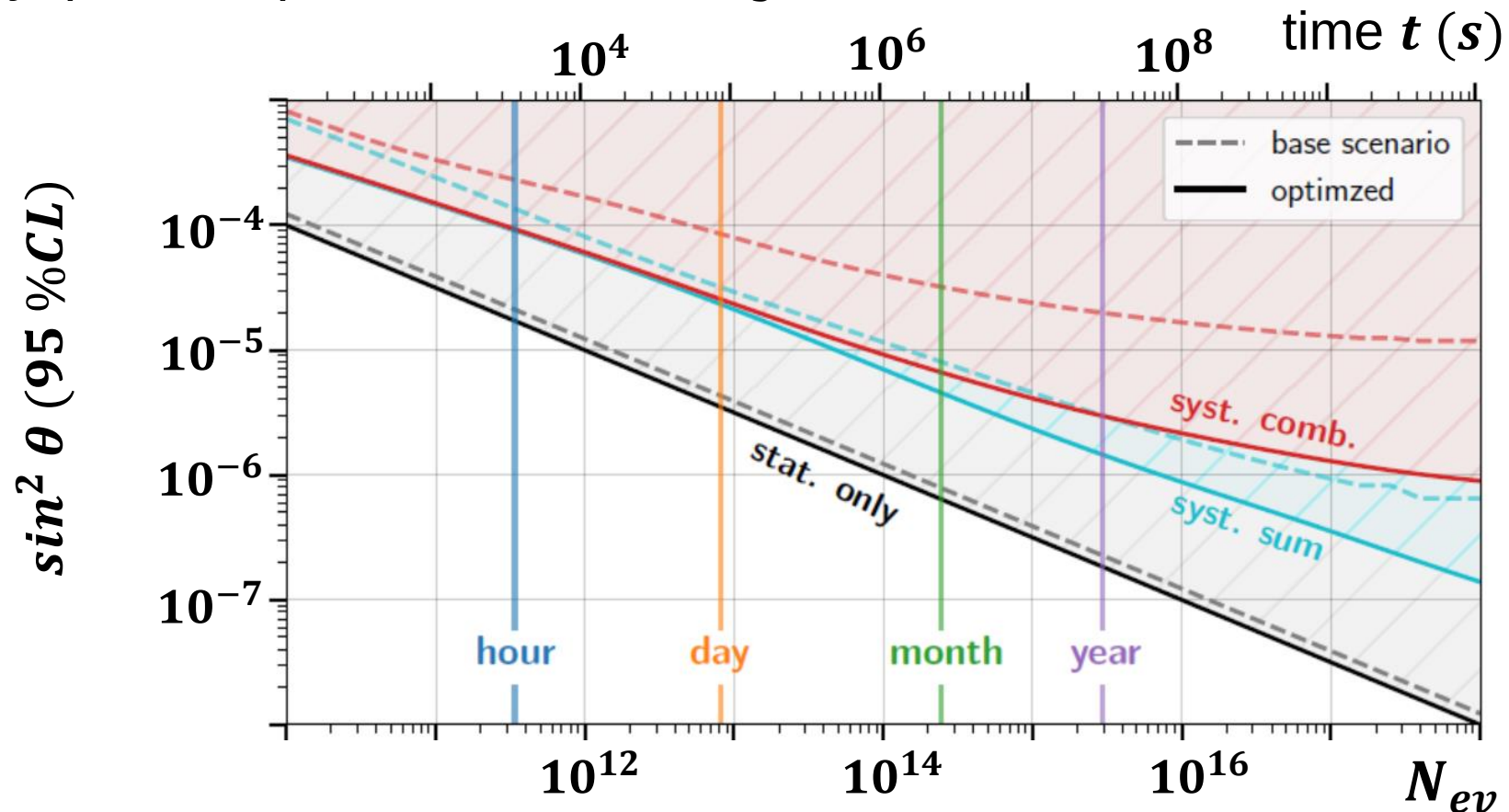
- sensitivities obtained after

1 *hr*

1 *day*

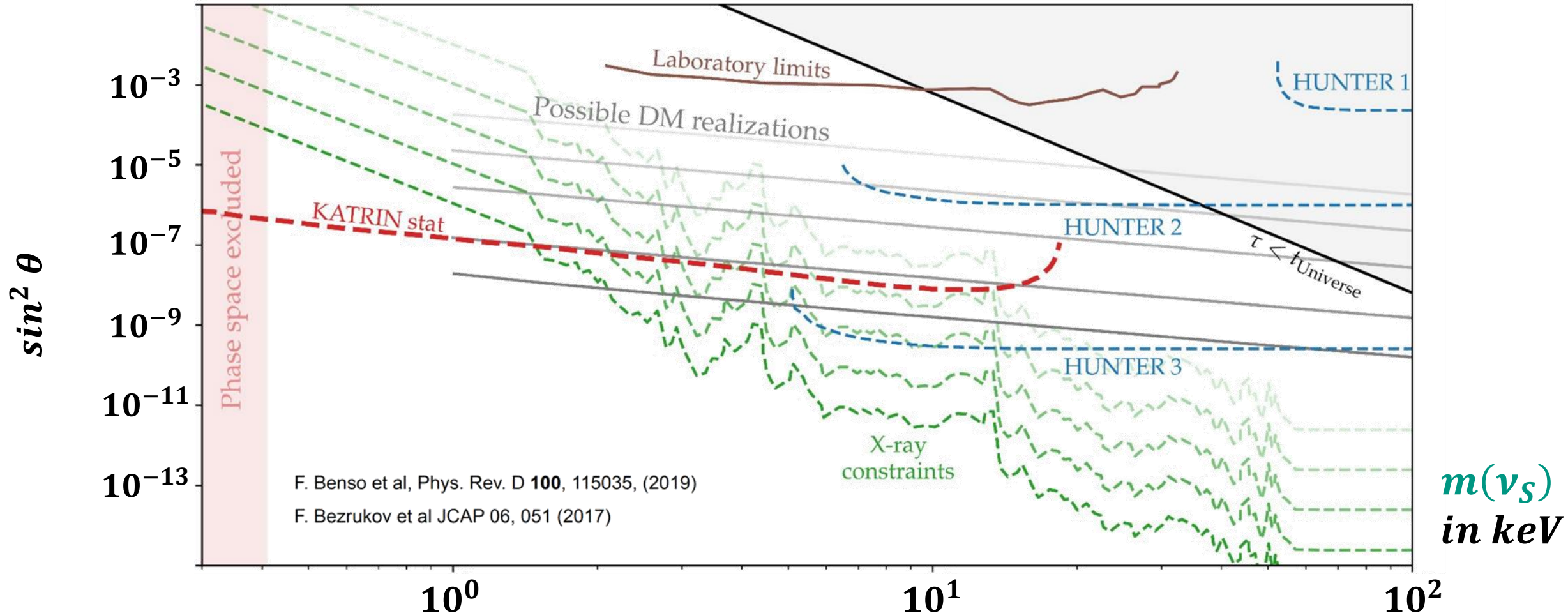
1 *month*

1 *yr*



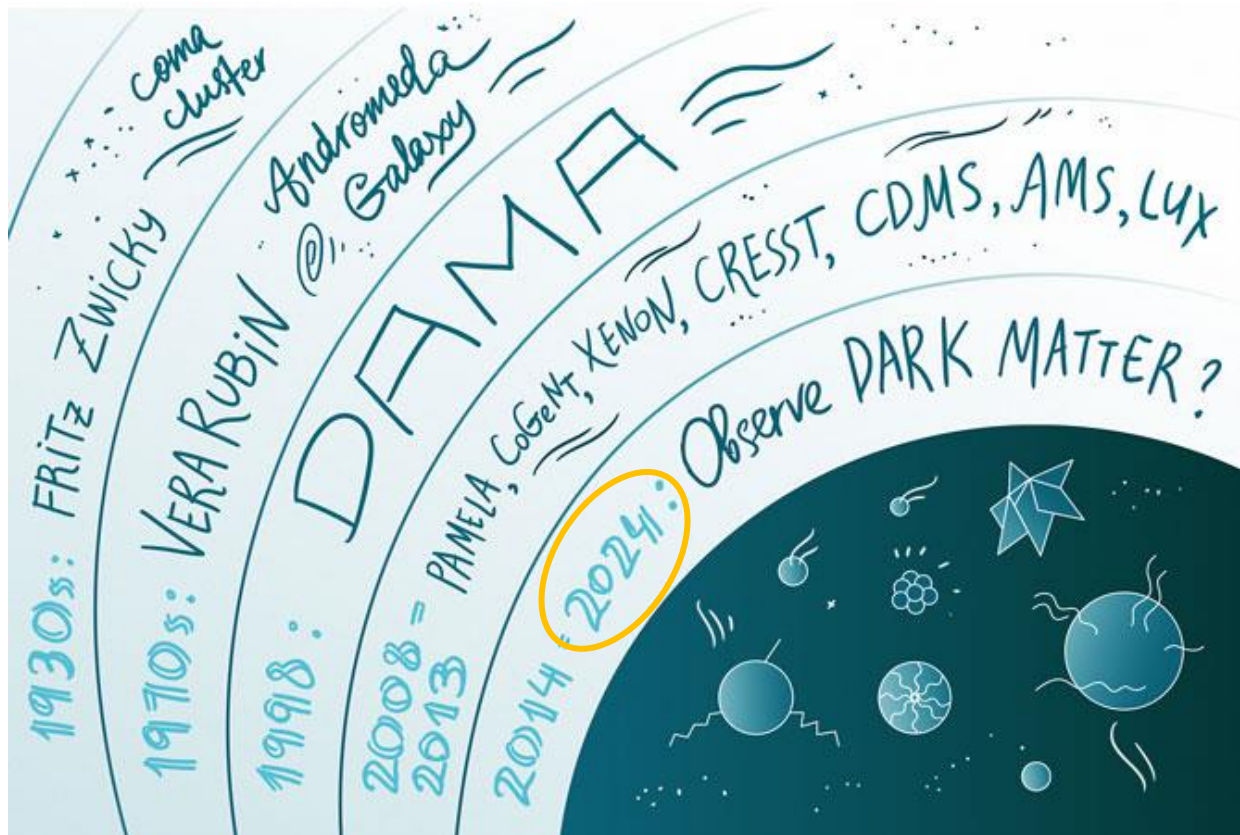
# $keV$ – steriles: sensitivity of *KATRIN* & others

- *KATRIN* will advance today's experimental sensitivity by many orders



# Dark Matter: *neutralinos, axions & neutrinos*

- **Coming decade** will be decisive in our quest to unravel the nature of *DM*



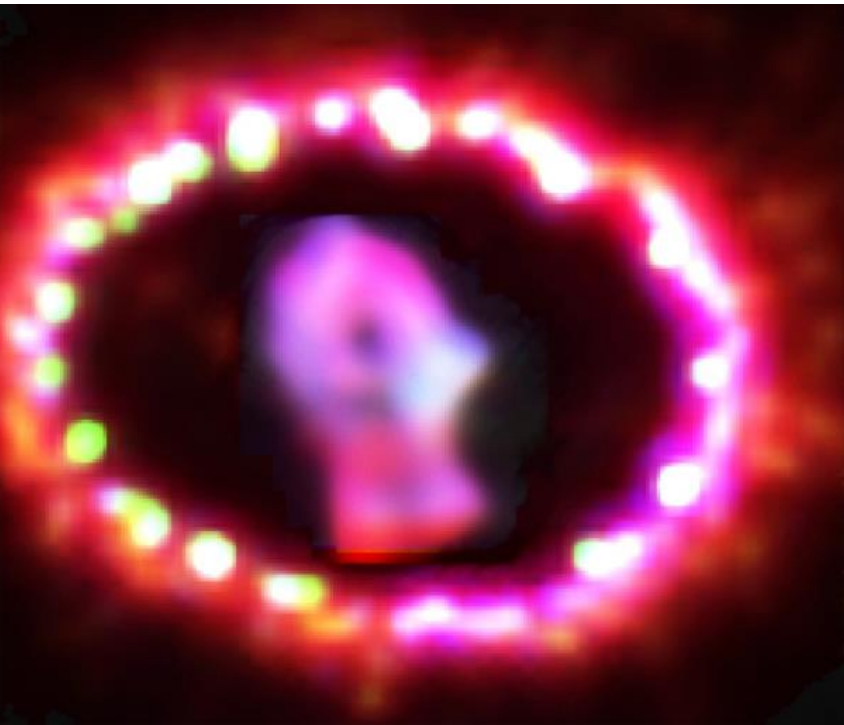
search for exceedingly  
rare processes



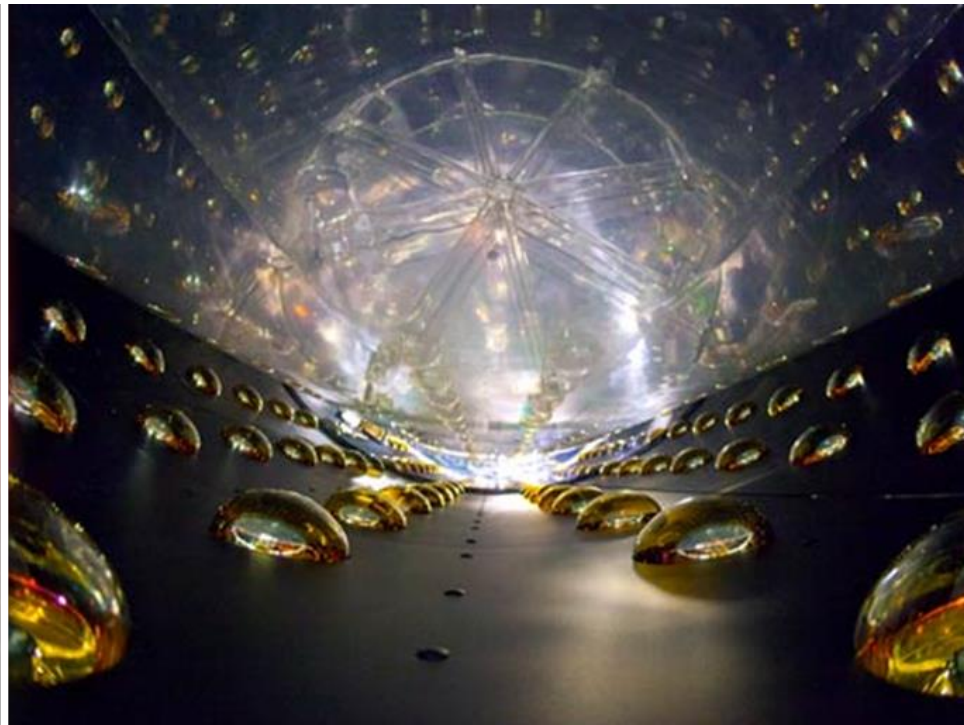
# ATP – II: Topics in the summer term 2024

■ Stay tuned...

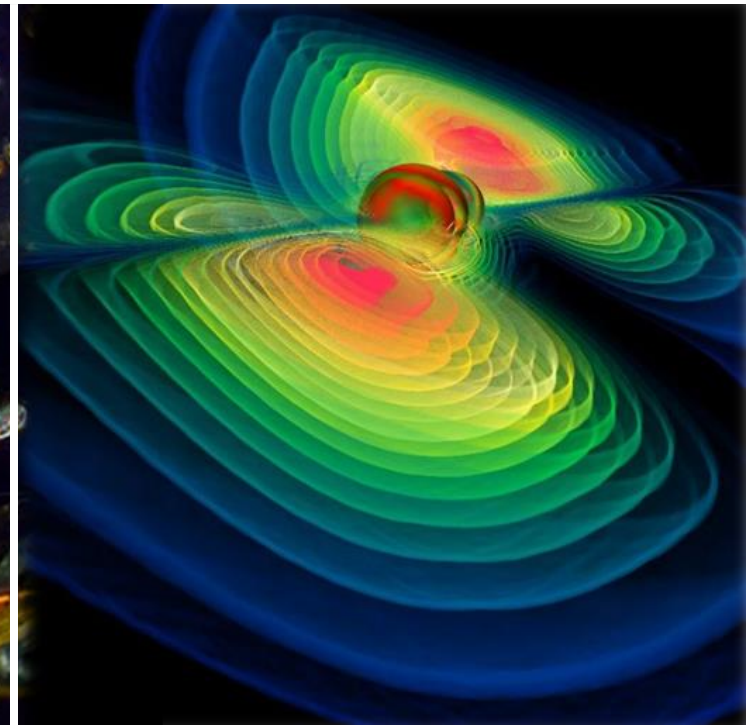
## Astroparticle physics – II particles & stars



**supernovae**



**neutrinos**



**gravitational waves**

# ATP – II: Topics in the summer term 2024

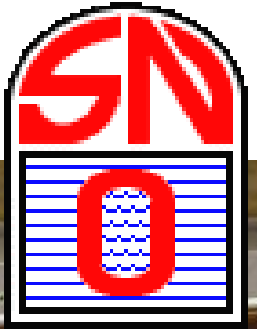
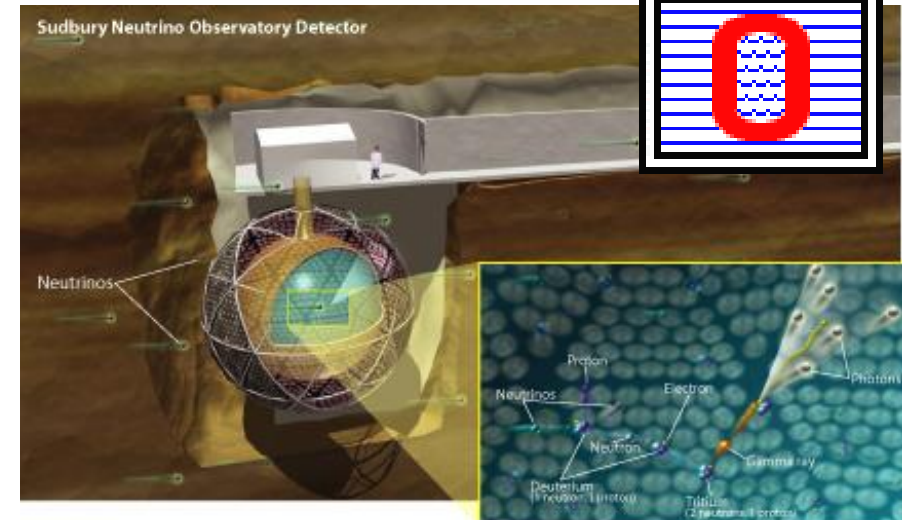
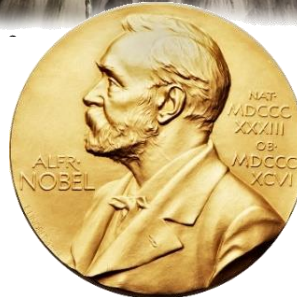
■ Stay tuned...

## Astroparticle physics – II particles & stars

2015 NOBEL PRIZE IN PHYSICS



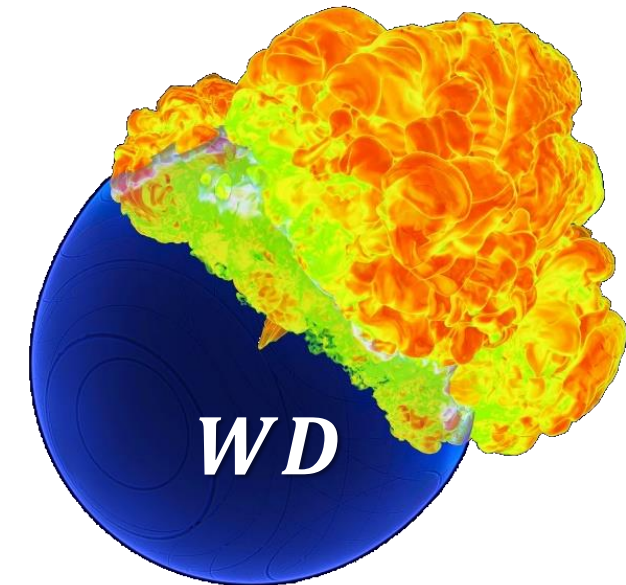
**UPER**  
**SK**



# ATP – II: Topics in the summer term 2024

■ Stay tuned...

**Astroparticle physics – II**  
particles & (exploding) stars



**Supernova!!**



next galactic supernova

# ATP – II: Topics in the summer term 2024

■ Stay tuned...

## Astroparticle physics – II particles & (explosive gravitational) waves



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**Barry C. Barish**



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**Kip S. Thorne**



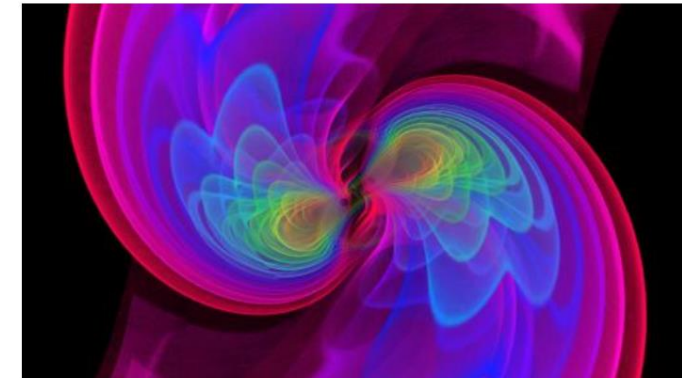
© Nobel Media. Ill. N. Elmehed  
**Rainer Weiss**  
Prize share: 1/2

$$R_{\mu\nu} - \frac{1}{2}R g_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G}{c^4} T_{\mu\nu}$$



+++ EILMELDUNG +++

**Physik-Nobelpreis geht an  
Gravitationswellen-Forscher**



Albert Einstein sagte Gravitationswellen vor hundert Jahren voraus, für den Nachweis bekommen nun drei Forscher den Physik-Nobelpreis. Die Auszeichnung geht an die US-Wissenschaftler Rainer Weiss, Kip Thorne, Barry Barish. **mehr...**

# ASTROPARTICLE PHYSICS ... ON THE MOVE!

■ *Axions, neutrinos, neutralinos*: a lot to explore in the coming years...



## THANK YOU