

Aufgabe 1:

Wie in der Vorlesung

$$\frac{\hbar}{2e} \underline{I}_1 = N m_1 - k m_4 - (N-k) m_2$$

$$\frac{\hbar}{2e} \underline{I}_2 = N m_2 - N m_1 = 0$$

$$\frac{\hbar}{2e} \underline{I}_3 = k m_3 - k m_2$$

$$\frac{\hbar}{2e} \underline{I}_4 = k m_4 - k m_3 = 0$$

$$m_4 = m_3 = 0 \quad [\text{Def: } m_3 = 0]$$

$$m_2 = m_1 = eV \quad [\text{Def: } m_1 = eV]$$

$$\frac{\hbar}{2e} = N m_1 - (N-k) m_2 = k eV$$

$$\frac{1}{1-p} = \left(1 - \frac{N-k}{N}\right)^{-1} = \left(\frac{N-N+k}{N}\right)^{-1} = \left(\frac{k}{N}\right)^{-1}$$

$$\Rightarrow R_H = \frac{\hbar}{2e^2 N} \frac{1}{1-p} = \frac{\hbar}{2e^2 k}$$

Aufgabe 2

a) $KV = \checkmark$

$$H - \mu IV = \sum_{k\sigma} \frac{1}{2} \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} - \sum_k (\Delta_k C_{k\uparrow}^\dagger C_{-k\downarrow} + \Delta_k^* C_{-k\downarrow} C_{k\uparrow})$$

Bogoliubov - Transformation:

$$C_{k\uparrow} = U_k^* \gamma_{k\uparrow} + V_k \gamma_{k\downarrow}^\dagger$$

$$C_{k\downarrow}^\dagger = -V_k^* \gamma_{k\uparrow} + U_k \gamma_{k\downarrow}^\dagger$$

$$\Rightarrow C_{k\uparrow}^\dagger C_{k\uparrow} = (U_k^* \gamma_{k\uparrow}^\dagger + V_k^* \gamma_{k\downarrow}^\dagger) (U_k^* \gamma_{k\uparrow}^\dagger + V_k^* \gamma_{k\downarrow}^\dagger)$$

~~$$= |U_k|^2 \gamma_{k\uparrow}^\dagger \gamma_{k\uparrow}^\dagger + |V_k|^2 \gamma_{k\downarrow}^\dagger \gamma_{k\downarrow}^\dagger + U_k V_k^* \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow}^\dagger + V_k^* U_k \gamma_{k\downarrow}^\dagger \gamma_{k\uparrow}^\dagger$$~~

$$= |U_k|^2 \gamma_{k\uparrow}^\dagger \gamma_{k\uparrow} + |V_k|^2 \gamma_{k\downarrow} \gamma_{k\downarrow}^\dagger + U_k V_k^* \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow} + V_k^* U_k \gamma_{k\downarrow} \gamma_{k\uparrow}$$

$$C_{k\downarrow}^\dagger C_{k\downarrow} = (-V_k^* \gamma_{k\uparrow}^\dagger + U_k \gamma_{k\downarrow}^\dagger) (-V_k \gamma_{k\uparrow}^\dagger + U_k^* \gamma_{k\downarrow}^\dagger)$$

$$= |V_k|^2 \gamma_{k\uparrow}^\dagger \gamma_{k\uparrow}^\dagger + |U_k|^2 \gamma_{k\downarrow}^\dagger \gamma_{k\downarrow}^\dagger - V_k^* U_k \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow}^\dagger - U_k V_k \gamma_{k\downarrow}^\dagger \gamma_{k\uparrow}^\dagger$$

Damit:

$$\sum_{k\sigma} \frac{1}{2} \epsilon_k C_{k\sigma}^\dagger C_{k\sigma} = \sum_k \frac{1}{2} \epsilon_k \left[(|U_k|^2 - |V_k|^2) (\gamma_{k\uparrow}^\dagger \gamma_{k\uparrow} + \gamma_{k\downarrow}^\dagger \gamma_{k\downarrow}) + 2|V_k|^2 + 2U_k^* V_k \gamma_{k\downarrow} \gamma_{k\uparrow} + 2U_k V_k \gamma_{k\uparrow}^\dagger \gamma_{k\downarrow}^\dagger \right]$$

$$C_{n\uparrow}^\dagger C_{-n\downarrow}^\dagger = (u_n \gamma_{n\uparrow}^\dagger + v_n^* \gamma_{n\downarrow}) (-v_n^* \gamma_{n\uparrow} + u_n \gamma_{n\downarrow}^\dagger)$$

$$= -u_n v_n^* \gamma_{n\uparrow}^\dagger \gamma_{n\uparrow} + v_n^* u_n \gamma_{n\downarrow} \gamma_{n\downarrow}^\dagger + u_n^2 \gamma_{n\uparrow}^\dagger \gamma_{n\downarrow} - v_n^{*2} \gamma_{n\downarrow} \gamma_{n\uparrow}$$

$$C_{-n\downarrow} C_{n\uparrow} = -u_n^* v_n \gamma_{n\uparrow}^\dagger \gamma_{n\uparrow} + v_n u_n^* \gamma_{n\downarrow} \gamma_{n\downarrow}^\dagger + u_n^{*2} \gamma_{n\downarrow} \gamma_{n\uparrow} - v_n^2 \gamma_{n\uparrow}^\dagger \gamma_{n\downarrow}$$

$$\Rightarrow 2 \xi_n u_n v_n + \Delta_n^* v_n^2 - \Delta_n u_n^2 = 0 \quad \left| \cdot \frac{\Delta_n^*}{u_n^2} \right.$$

$$\Rightarrow 2 \xi_n \Delta_n^* \frac{v_n}{u_n} + \frac{\Delta_n^{*2} v_n^2}{u_n^2} - |\Delta_n|^2 = 0$$

Quadratische Gl. für $\Delta_n^* \frac{v_n}{u_n}$

$$\Rightarrow \frac{\Delta_n^* v_n}{u_n} = \left(\xi_n^2 + |\Delta_n|^2 \right)^{1/2} - \xi_n = E_n - \xi_n$$

$$\Rightarrow \frac{v_n}{u_n} = \frac{E_n - \xi_n}{\Delta_n}$$

$$|u_n|^2 + |v_n|^2 = 1$$

$$\Rightarrow |v_n|^2 = 1 - |u_n|^2 = \frac{1}{2} \left(1 - \frac{\xi_n}{E_n} \right)$$

Aufgabe 2b)

$$\gamma_{h\uparrow} |\psi_0\rangle = \gamma_{-h\downarrow} |\psi_0\rangle = 0$$

$$|\psi_0\rangle = \prod_k (u_k + v_k c_{k\uparrow}^+ c_{-k\downarrow}^+) |0\rangle$$

$$\gamma_{h\uparrow} |\psi_0\rangle = (u_h c_{h\uparrow} - v_h c_{-h\downarrow}^+) \prod_c (u_c + v_c c_{c\uparrow}^+ c_{-c\downarrow}^+) |0\rangle$$

$$= \prod_{c \neq h} (u_c + v_c c_{c\uparrow}^+ c_{-c\downarrow}^+)$$

$$[\cancel{u_h^2 c_{h\uparrow}} + u_h v_h c_{h\uparrow} c_{h\uparrow}^+ c_{-h\downarrow}^+]$$

$$- u_h v_h c_{-h\downarrow}^+$$

$$- v_h^2 \cancel{c_{-h\downarrow}^+ c_{h\uparrow}^+ c_{-h\downarrow}^+}] |0\rangle$$

heben sich weg

$$= 0 //$$

particle number in ground state is not fixed
 norm $\langle \psi_0 | \psi_0 \rangle = 1, \text{ so } |u_k|^2 + |v_k|^2 = 1$

$$\bar{N} = \langle N \rangle = \langle \psi_0 | \sum_k (C_{k\uparrow}^\dagger C_{k\uparrow} + C_{k\downarrow}^\dagger C_{k\downarrow}) | \psi_0 \rangle$$

$$= 2 \sum_k \langle 0 | \prod_{e \neq k} (u_e^\dagger + v_e^\dagger C_{e\downarrow} C_{e\uparrow}) (u_k^\dagger + v_k^\dagger C_{k\downarrow} C_{k\uparrow})$$

$$C_{k\uparrow}^\dagger C_{k\uparrow} (u_k + v_k C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger) \prod_{e \neq k} (u_e + v_e C_{e\uparrow}^\dagger C_{e\downarrow}^\dagger) | 0 \rangle$$

$$= 2 \sum_k \langle 0 | \prod_{e \neq k} (|u_e|^2 + u_e^\dagger v_e C_{e\uparrow}^\dagger C_{e\downarrow}^\dagger + v_e^\dagger v_e C_{e\downarrow} C_{e\uparrow} + |v_e|^2 C_{e\downarrow}^\dagger C_{e\uparrow}^\dagger C_{e\downarrow} C_{e\uparrow})$$

$$(|u_k|^2 C_{k\downarrow}^\dagger C_{k\downarrow} + u_k^\dagger v_k C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger + v_k^\dagger u_k C_{k\downarrow} C_{k\uparrow} C_{k\downarrow}^\dagger C_{k\uparrow}^\dagger$$

$$+ |v_k|^2 C_{k\downarrow}^\dagger C_{k\uparrow}^\dagger C_{k\downarrow} C_{k\uparrow}) | 0 \rangle = 1$$

$$= 2 \sum_k \prod_{e \neq k} (|u_e|^2 + |v_e|^2) |v_k|^2 = 2 \sum_k |v_k|^2 \approx \# \text{ of electrons in ground state} \approx E_F N^0$$

similarly

$$\langle (N - \bar{N})^2 \rangle = 4 \sum_k |u_k|^2 |v_k|^2 \neq 0 \Rightarrow \text{particle \# is not sharp}$$

$\neq 0$ for \sum_k near E_F in range $E_F \pm \Delta$

$$\text{but } \frac{\sqrt{\langle (N - \bar{N})^2 \rangle}}{\bar{N}} = \frac{\sqrt{\pi \Delta N(0)}}{\bar{N}} \approx \sqrt{\frac{\Delta}{E_F}} N^{-1/2} \ll 1$$

$$N(0) E_F \approx \bar{N} \approx 10^{23}$$

For most problems this uncertainty is not matter.

$|\psi_0\rangle$ depends on phase $|\psi_0\rangle = \prod_k (|u_k| + |v_k| e^{i\phi} C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger) | 0 \rangle$

We can construct ground states with fixed N

$$|\Phi_N\rangle = \int_0^{2\pi} d\phi e^{-iN\phi} \prod_k (|u_k| + |v_k| e^{i\phi} C_{k\uparrow}^\dagger C_{k\downarrow}^\dagger) | 0 \rangle$$

pick out states with $N/2$ pairs occupied \Rightarrow phase is uncertain

$$\Rightarrow \text{uncertainty relation } \Delta N \Delta \phi \geq 1$$