

Condensed Matter Theory I WS 2022/2023**Prof. Dr. A. Shnirman**
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Tutorial: 27.10.2022**1. Boson and fermion operators**

Let b^\dagger and f^\dagger be the creation operators of a boson and a fermion, respectively. We write $[\dots]$ for the commutator and $\{\dots\}$ for the anti-commutator. Calculate the following expressions:

- (a) $[b^\dagger b, b^\dagger]$ and $\{f^\dagger f, f^\dagger\}$
- (b) $[b^\dagger b, b]$ and $\{f^\dagger f, f\}$
- (c) $[e^{-b^\dagger b}, b]$ (*hint: expand exponential in power series*)
- (d) $\{e^{-f^\dagger f}, f\}$

Solution:

- (a) For the bosons, we use $[b^\dagger, b^\dagger] = 0$ and $[b, b^\dagger] = 1$:

$$[b^\dagger b, b^\dagger] = b^\dagger [b, b^\dagger] + [b^\dagger, b^\dagger] b = b^\dagger$$

For the fermions, we use the Pauli principle, i.e. $(f^\dagger)^2 = 0$, and $\{f, f^\dagger\} = 1$:

$$\{f^\dagger f, f^\dagger\} = f^\dagger f f^\dagger + (f^\dagger)^2 f = - (f^\dagger)^2 f + f^\dagger = f^\dagger$$

- (b)

$$\begin{aligned} [b^\dagger b, b] &= b^\dagger [b, b] + [b^\dagger, b] b = -b \\ \{f^\dagger f, f\} &= f^\dagger f^2 + f f^\dagger f = -f^\dagger f^2 + f = f \end{aligned}$$

- (c) We express the exponential function as a power series:

$$[e^{-b^\dagger b}, b] = \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} [(b^\dagger b)^n, b]$$

To evaluate $[(b^\dagger b)^n, b]$, we show that

$$(b^\dagger b)^n b = b (b^\dagger b - 1)^n. \quad (1)$$

This is true for $n = 0$. Going from n to $n + 1$, we find

$$(b^\dagger b)^{n+1} b = b^\dagger b b (b^\dagger b - 1)^n = b (b^\dagger b - 1) (b^\dagger b - 1)^n = b (b^\dagger b - 1)^{n+1}, \quad (2)$$

which proves Eq. (1). Thus,

$$[(b^\dagger b)^n, b] = b (b^\dagger b - 1)^n - b (b^\dagger b)^n.$$

Inserting this in the series expansion, we find

$$[e^{-b^\dagger b}, b] = b e^{-b^\dagger b + 1} - b e^{-b^\dagger b} = (e - 1) b e^{-b^\dagger b} \quad (3)$$

(d) We use the series expansion as in the previous task, but for fermions we use

$$(f^\dagger f)^2 = f^\dagger f f^\dagger f = - (f^\dagger)^2 f^2 + f^\dagger f = f^\dagger f$$

and thereby $(f^\dagger f)^n = f^\dagger f$. Recall that $f^\dagger f$ is the number operator, which can only yield 1 or 0 for fermions. We obtain

$$\begin{aligned} \{e^{-f^\dagger f}, f\} &= \sum_{n=0}^{\infty} \frac{(-1)^n}{n!} \{(f^\dagger f)^n, f\} = \{1, f\} + \sum_{n=1}^{\infty} \frac{(-1)^n}{n!} \{f^\dagger f, f\} \\ &= 2f + \frac{1-e}{e}f = \frac{e+1}{e}f \end{aligned}$$

2. Many-particle quantum states

We consider a system where the (normalized) single-particle orbitals $\phi_\lambda(\mathbf{r})$ are known, where \mathbf{r} denotes the position coordinate.

- (a) Let the system be populated by three identical spinless bosons in the states $\lambda_1, \lambda_2, \lambda_3$. Write down the normalized three-particle wave-function $\psi_{\lambda_1 \lambda_2 \lambda_3}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3)$ with proper bosonic symmetry! Beware that $\lambda_1, \lambda_2, \lambda_3$ are not necessarily different and distinguish all possible cases.

Solution: There are three cases, depending on the number of *different* occupied single-particle states. In each case, the three-particle wavefunction must be constructed as a symmetric linear combination of all possible permutations of single-particle states, such that ψ is invariant under particle exchange.

- (i) three different states, $\lambda_1 \neq \lambda_2 \neq \lambda_3 \neq \lambda_1$

$$\begin{aligned} \psi_{\lambda_1, \lambda_2, \lambda_3}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) &= \frac{1}{\sqrt{6}} \left[\phi_{\lambda_1}(\mathbf{r}_1) \phi_{\lambda_2}(\mathbf{r}_2) \phi_{\lambda_3}(\mathbf{r}_3) + \phi_{\lambda_1}(\mathbf{r}_1) \phi_{\lambda_2}(\mathbf{r}_3) \phi_{\lambda_3}(\mathbf{r}_2) \right. \\ &\quad + \phi_{\lambda_1}(\mathbf{r}_2) \phi_{\lambda_2}(\mathbf{r}_1) \phi_{\lambda_3}(\mathbf{r}_3) + \phi_{\lambda_1}(\mathbf{r}_2) \phi_{\lambda_2}(\mathbf{r}_3) \phi_{\lambda_3}(\mathbf{r}_1) \\ &\quad \left. + \phi_{\lambda_1}(\mathbf{r}_3) \phi_{\lambda_2}(\mathbf{r}_1) \phi_{\lambda_3}(\mathbf{r}_2) + \phi_{\lambda_1}(\mathbf{r}_3) \phi_{\lambda_2}(\mathbf{r}_2) \phi_{\lambda_3}(\mathbf{r}_1) \right] \end{aligned}$$

- (ii) two different states (two particles in the same state), w.l.o.g. $\lambda_1 = \lambda_2 \neq \lambda_3$

$$\begin{aligned} \psi_{\lambda_1, \lambda_1, \lambda_3}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) &= \frac{1}{\sqrt{3}} \left[\phi_{\lambda_1}(\mathbf{r}_1) \phi_{\lambda_1}(\mathbf{r}_2) \phi_{\lambda_3}(\mathbf{r}_3) + \phi_{\lambda_1}(\mathbf{r}_1) \phi_{\lambda_1}(\mathbf{r}_3) \phi_{\lambda_3}(\mathbf{r}_2) \right. \\ &\quad \left. + \phi_{\lambda_1}(\mathbf{r}_2) \phi_{\lambda_1}(\mathbf{r}_3) \phi_{\lambda_3}(\mathbf{r}_1) \right] \end{aligned}$$

- (iii) all particles occupy the same state, $\lambda_1 = \lambda_2 = \lambda_3$

$$\psi_{\lambda_1, \lambda_1, \lambda_1}(\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3) = \phi_{\lambda_1}(\mathbf{r}_1) \phi_{\lambda_1}(\mathbf{r}_2) \phi_{\lambda_1}(\mathbf{r}_3)$$

- (b) Now assume that there is only one spatial orbital $\phi(\mathbf{r})$, but we fill the system with three bosons of spin $S = 2$. How many independent three-particle states exist in this system?

Solution: Now the single-particle states gain a spin quantum number, namely $\sigma \in \{-2, -1, 0, 1, 2\}$. Thus, one spatial orbital yields 5 distinct states. There are three cases depending on how many of the bosons have the same σ :

- (i) $\sigma_1 \neq \sigma_2 \neq \sigma_3 \neq \sigma_1$: There are $\binom{5}{3} = 10$ possibilities to distribute the bosons on the different spin states. This equals the amount of independent three-particle states.
- (ii) $\sigma_1 = \sigma_2 \neq \sigma_3$: 5 possibilities for σ_1 times 4 possibilities to pick a different σ_3 , thus 20 independent three-particle states.
- (iii) $\sigma_1 = \sigma_2 = \sigma_3$: 5 distinct states.

In total, there are 35 independent three-boson wavefunctions.

- (c) How many spin- $\frac{1}{2}$ fermions or spin- $\frac{3}{2}$ fermions could one place in one spatial orbital $\phi(\mathbf{r})$? Write down the Slater determinant for the second case. State explicitly the normalization factor.

Solution: Only two spin- $\frac{1}{2}$ fermions (e.g., electrons) fit in one orbital with $\sigma_1 = \uparrow$ and $\sigma_2 = \downarrow$, respectively. For spin- $\frac{3}{2}$ fermions, $\sigma \in \{-\frac{3}{2}, -\frac{1}{2}, \frac{1}{2}, \frac{3}{2}\}$. Thus, up to 4 such fermions can exist in the system without violation of the Pauli principle. Indicating the spin part of the single-particle states by χ_σ , the corresponding four-fermion wavefunction can be expressed in terms of the Slater determinant

$$\psi(\mathbf{r}_1\sigma_1, \mathbf{r}_2\sigma_2, \mathbf{r}_3\sigma_3, \mathbf{r}_4\sigma_4) = \phi(\mathbf{r}_1)\phi(\mathbf{r}_2)\phi(\mathbf{r}_3)\phi(\mathbf{r}_4) \times$$

$$C \begin{vmatrix} \chi_{-\frac{3}{2}}(\sigma_1) & \chi_{-\frac{1}{2}}(\sigma_1) & \chi_{\frac{1}{2}}(\sigma_1) & \chi_{\frac{3}{2}}(\sigma_1) \\ \chi_{-\frac{3}{2}}(\sigma_2) & \chi_{-\frac{1}{2}}(\sigma_2) & \chi_{\frac{1}{2}}(\sigma_2) & \chi_{\frac{3}{2}}(\sigma_2) \\ \chi_{-\frac{3}{2}}(\sigma_3) & \chi_{-\frac{1}{2}}(\sigma_3) & \chi_{\frac{1}{2}}(\sigma_3) & \chi_{\frac{3}{2}}(\sigma_3) \\ \chi_{-\frac{3}{2}}(\sigma_4) & \chi_{-\frac{1}{2}}(\sigma_4) & \chi_{\frac{1}{2}}(\sigma_4) & \chi_{\frac{3}{2}}(\sigma_4) \end{vmatrix}$$

with the normalization factor $C = \frac{1}{\sqrt{4!}}$.