

# Condensed Matter Theory 1 — Exercise 1

Winter term 2023/24

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To be discussed on: Thursday 2023/11/02

## 1. Reciprocal lattice of the trigonal lattice

The trigonal lattice is a Bravais lattice generated by three primitive vectors of equal length  $a$  enclosing equal angles  $\theta$  with one another, see Figure 1 (left). Show that the reciprocal lattice of a trigonal lattice is also trigonal one with primitive vectors of equal length  $b = \frac{2\pi}{a} \cot \frac{\theta}{2} / \sqrt{1 + 2 \cos \theta}$  and the trigonal angle  $\cos \theta^* = -\cos \theta / (1 + \cos \theta)$ . Discuss the limit  $\theta \rightarrow \pi/2$ .

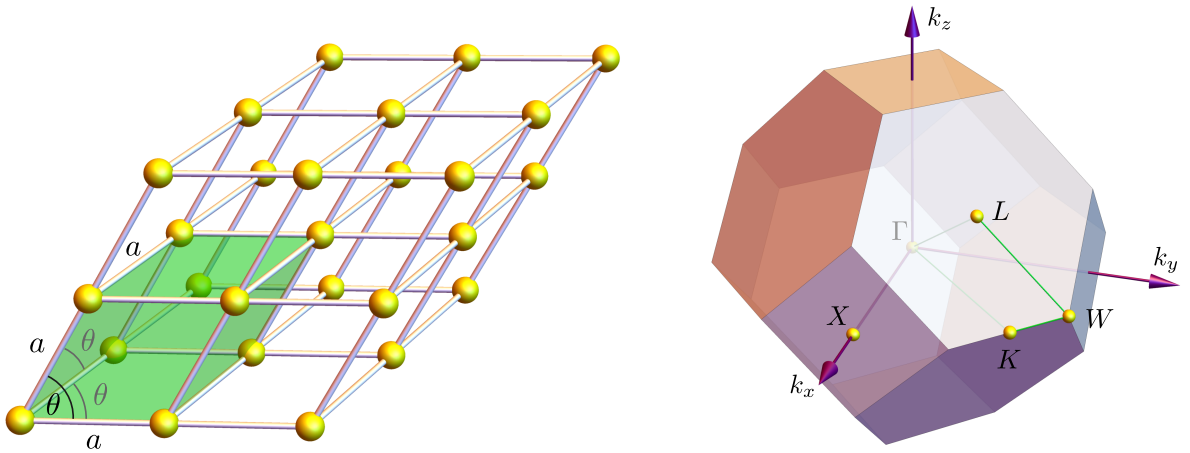


Figure 1: Left: Trigonal Bravais lattice. Right: The first Brillouin zone of the fcc Bravais lattice.

## 2. Brillouin zone of the fcc Bravais lattice

The first Brillouin zone of the fcc Bravais lattice is the truncated octahedron shown in Figure 1 (right).

- The edge length of the cubic unit cell of the fcc lattice is equal to  $a$ . Find the distances  $\Gamma X$ ,  $\Gamma K$ ,  $\Gamma L$ ,  $KW$ , and  $WL$  between points of the first Brillouin zone.
- Convince yourself that the volume of the first Brillouin zone  $\Omega_{\text{BZ}}$  calculated by means of geometrical methods (using the results of **a**)) coincides with the volume calculated by means of the formula  $\Omega_{\text{BZ}} = (2\pi)^3 / \mathcal{V}_{\text{PUC}}$  with  $\mathcal{V}_{\text{PUC}}$  being the volume of the primitive unit cell of the fcc Bravais lattice.

### 3. Miller indices

The Miller indices  $h, k, l \in \mathbb{Z}$  of a lattice plane  $(h, k, l)$  are the coordinates of the shortest reciprocal vector  $\vec{K}_0 = h\vec{b}^1 + k\vec{b}^2 + l\vec{b}^3$  perpendicular to this plane. Here  $\{\vec{b}^1, \vec{b}^2, \vec{b}^3\}$  are the primitive basis vectors of the reciprocal lattice. The distance between the lattice planes is  $d = 2\pi/|\vec{K}_0|$ .

- a) Show that the distance between the lattice planes  $(h, k, l)$  of the trigonal lattice (Figure 1 (right)) is

$$d = a \left\{ h^2 + \frac{[l \cot \frac{\theta}{2} - (h+k) \cot \theta]^2}{1 + 2 \cos \theta} + \frac{(h \cos \theta - k)^2}{\sin^2 \theta} \right\}^{-1/2}. \quad (1)$$

- b) Derive the corresponding formulae for the distance between the lattice planes  $(h, k, l)$  of a simple cubic lattice with lattice constant  $a$  and a hexagonal lattice with the lattice constants  $a$  and  $c$ .

### 4. Geometrical structure factor

- a) Consider diffraction by a monatomic lattice with a two-point basis, i.e., identical atoms are placed at points  $\vec{R}_{\vec{n}}$  and  $\vec{R}_{\vec{n}} + \vec{d}$ . Each atom scatters with a potential  $u(\mathbf{r})$ . The scattering intensity is proportional to  $|V_{\vec{k}}|^2$ , where  $V_{\vec{k}}$  is the Fourier transform of the total scattering potential that is produced by all atoms. With the help of the formula  $\mathcal{V}_{\text{PUC}} \sum_{\vec{R}_{\vec{n}}} e^{i\vec{q}\vec{R}_{\vec{n}}} = \sum_{\vec{G}_{\vec{m}}} (2\pi)^3 \delta(\vec{q} - \vec{G}_{\vec{m}})$ , where  $\mathcal{V}_{\text{PUC}}$  is the volume of the primitive unit cell and  $\vec{G}_{\vec{m}}$  are reciprocal lattice vectors, show that

$$V_{\vec{k}} = \sum_{\vec{G}_{\vec{n}}} \frac{(2\pi)^3}{\mathcal{V}_{\text{PUC}}} \delta(\vec{k} - \vec{G}_{\vec{n}}) u_{\vec{G}_{\vec{n}}} S_{\vec{G}_{\vec{n}}} \quad (2)$$

where  $u_{\vec{G}_{\vec{n}}}$  is the Fourier component of the atomic potential and  $S_{\vec{G}_{\vec{n}}} = 1 + e^{-i\vec{G}_{\vec{n}}\vec{d}}$  is the geometrical structure factor.

- b) In principle, the body-centered cubic (bcc) lattice is a Bravais lattice. Sometimes, however, it is convenient to regard the bcc lattice as a simple cubic (sc) lattice with a two-point basis. Evaluate the geometrical structure factor for this case and determine the Bragg reflections that are forbidden, i.e., for which  $S_{\vec{G}_{\vec{n}}}$  vanishes. Keeping only the points on the reciprocal lattice with a finite structure factor, confirm that you obtain a face-centered cubic (fcc) lattice which is indeed the reciprocal lattice of the bcc lattice.