
Condensed Matter Theory 1 — Exercise 2

Winter term 2023/24

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To be discussed on: Thursday 2023/11/09

1. Fourier transformation on a 1d lattice of finite size

- a) Consider a periodic function $f(x)$ with period L , i.e. $f(x) = f(x + L)$. The Fourier transform f_q is defined by the transformations,

$$f(x) = \frac{1}{L} \sum_{q_m} f_{q_m} e^{iq_m x}, \quad f_{q_m} = \int_0^L dx f(x) e^{-iq_m x}. \quad (1)$$

Show with the help of the first equation that the function $f(x)$ is indeed periodic if the wavevector assumes only the values $q_m = 2\pi m/L$, with $m \in \mathbb{Z}$. Confirm that the second equation holds by evaluating the x integral explicitly using the first equation.

- b) Consider a function g which is defined only on discrete lattice points $x_n = na$ with $n = 0, \dots, N$, with lattice spacing $a > 0$, and the system size is $L = Na$. The function is assumed to obey periodic boundary conditions: $g(x_0) = g(x_N)$. The Fourier transform g_{q_m} is defined as follows

$$g(x_n) = \frac{1}{L} \sum_{q_m \in 1.\text{BZ}} g_{q_m} e^{iq_m x_n}, \quad g_{q_m} = a \sum_{n=1}^N g(x_n) e^{-iq_m x_n}. \quad (2)$$

Using the second equation show that $g_{q_m} = g_{q_m + G_\ell}$ with the reciprocal lattice vector $G_\ell = \frac{2\pi\ell}{a}$ where $\ell \in \mathbb{Z}$. This periodicity of g_{q_m} allows to restrict the sum over momenta in the first equation to the *first Brillouin zone* (1. BZ): $-\pi/a < q_m \leq \pi/a$. How many different values of q_m contains the 1. BZ for an even N ?

- c) Verify explicitly the two formulae

$$a \sum_{n=1}^N e^{-i(q_m - q_{m'})x_n} = L \delta_{m,m'}, \quad \frac{1}{L} \sum_{q_m \in 1.\text{BZ}} e^{iq_m(x_n - x_{n'})} = \frac{1}{a} \delta_{n,n'}, \quad (3)$$

by performing the sum. *Hint:* The sum is a geometric series.

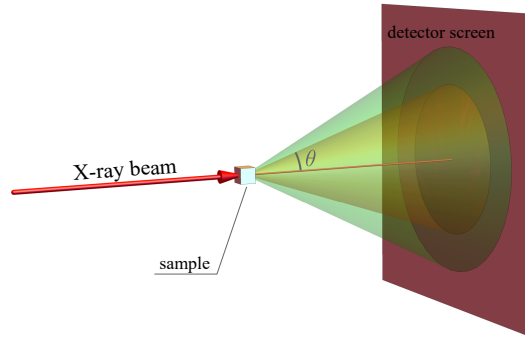


Figure 1: Debye-Scherrer diffraction of a X-ray beam.

- d) Convince yourself that one recovers Eqs. (1) from Eqs. (2) in the limit $N \rightarrow \infty, a \rightarrow 0$, with $Na = L = \text{const.}$ On the other hand, in the thermodynamic limit $L \rightarrow \infty$ while keeping a constant a show that momenta within the 1. BZ become dense and that

$$g(x_n) = \int_{-\pi/a}^{\pi/a} \frac{dq}{2\pi} g_q e^{iqx_n}. \quad (4)$$

2. X-ray diffraction

In the Debye-Scherrer method the X-ray beam diffracts off a polycrystalline sample or a powder with grains that are still very large on the atomic scale and therefore capable of diffracting X-rays. Because the crystal axes of the individual grains are randomly oriented, the diffraction pattern corresponds to an average over all possible crystal orientations. When the scattered radiation is collected on a flat detector screen, this averaging leads to diffraction rings around the beam axis in contrast to the discrete spots observed in Laue diffraction off a single crystal. The angle θ between the beam axis and the ring is called the scattering angle, see Fig. 1. In accordance with Bragg's law, each ring corresponds to a particular reciprocal lattice vector \vec{G} in the sample crystal.

- a) Show that $|\vec{G}| = \frac{4\pi}{\lambda} \sin \frac{\theta}{2}$, where λ is the wavelength of the incident X-ray beam.
- b) A Debye-Scherrer diffraction experiment is carried out for a fcc crystal lattice. Increasing the temperature from T_1 to $T_2 = T_1 + \Delta T$, a decrease of the scattering angle is observed from θ_1 to θ_2 . Express the coefficient of thermal expansion $\alpha_v = \mathcal{V}^{-1} d\mathcal{V}/dT \approx \mathcal{V}^{-1} \Delta\mathcal{V}/\Delta T$ of this material in terms of scattering angles, where \mathcal{V} is volume of the sample.
- c) What is the maximum number of diffraction cones that appear for a silver sample (lattice constant $a = 4.086 \text{ \AA}$) for the X-Ray wavelength $\lambda = 1.789 \text{ \AA}$?

3. Cohesion of solids

Consider a simple cubic crystal where the nearest neighbour interaction between atoms positioned at \vec{r}_1 and \vec{r}_2 can be approximated by the Morse potential

$$v(r) = D \left[e^{-2\alpha(r-r_0)} - 2e^{-\alpha(r-r_0)} \right], \quad (5)$$

where $r = |\vec{r}_1 - \vec{r}_2|$.

- a) Find the equilibrium lattice constant and compute the total binding energy V_{eff} of the crystal consisting of a large number $N \gg 1$ of atoms.
- b) Calculate the bulk modulus $K = -\mathcal{V}dP/d\mathcal{V}$ where P is the hydrostatic pressure, that is applied to the sample uniformly from all directions, and \mathcal{V} is the sample volume.
Hint: Use the relation $P = -\partial V_{\text{eff}}/\partial \mathcal{V}$.