Karlsruher Institut für Technologie – Institute for Condensed Matter Theory Institute for Quantum Materials and Technologies

Condensed Matter Theory II: Many-Body Theory (TKM II) SoSe 2023

PD Dr. I. Gornyi and Prof. Dr. A. Mirlin	Homework assignment 8
Dr. Risto Ojajärvi and Paul Pöpperl	Deadline: 23 June 2023

**1. Electron-phonon self-energy in Matsubara formalism** (10+5=15 points)

The electronic self-energy from electron-phonon interaction reads:

$$\Sigma(\mathbf{p},\omega_n) = -2\int \frac{\mathrm{d}^d q}{(2\pi)^d} \frac{1}{\beta} \sum_{\nu_n} \mathscr{G}_{M,0}(\mathbf{p}-\mathbf{q},\omega_n-\nu_n)\mathscr{D}_{M,0}(\mathbf{q},\nu_n).$$

(a) Transform the summation over bosonic frequency  $\nu_n$  into a contour integral in the complex energy plane and express the result as an integral over the momentum **q** involving Fermi and Bose distribution functions.

**Solution:** Using the expressions for the free Green's functions (from Sec. 4.7 of the lecture notes):

$$\Sigma(\mathbf{p},\omega_n) = \frac{2}{\beta} \sum_{\nu_n} \int \frac{\mathrm{d}^d q}{(2\pi)^d} \frac{1}{\mathrm{i}(\omega_n + \nu_n) - \varepsilon_{\mathbf{p}-\mathbf{q}}} \frac{\omega_{\mathbf{q}}^2}{\nu_n^2 + \omega_{\mathbf{q}}^2} \tag{1}$$

$$= \frac{1}{\beta} \sum_{\nu_n} \int \frac{\mathrm{d}^d q}{(2\pi)^d} \frac{\omega_{\mathbf{q}}}{\mathrm{i}(\omega_n + \nu_n) - \varepsilon_{\mathbf{p}-\mathbf{q}}} \left( \frac{1}{\omega_{\mathbf{q}} - \mathrm{i}\nu_n} + \frac{1}{\omega_{\mathbf{q}} + \mathrm{i}\nu_n} \right)$$
(2)

(3)

where we used

$$\frac{1}{\nu_n^2 + \omega_{\mathbf{q}}^2} = \frac{1}{(\omega_{\mathbf{q}} - \mathrm{i}\nu_n)(\omega_{\mathbf{q}} + \mathrm{i}\nu_n)} \tag{4}$$

$$=\frac{1}{2\omega_{\mathbf{q}}(\omega_{\mathbf{q}}-\mathrm{i}\nu_{n})}+\frac{1}{2\omega_{\mathbf{q}}(\omega_{\mathbf{q}}+\mathrm{i}\nu_{n})}.$$
(5)

To rewrite the sum over bosonic frequencies  $\nu_n$  as an integral, we consider

$$\oint_{\mathcal{C}} \frac{\mathrm{d}z}{2\pi \mathrm{i}} \mathcal{F}(z) n_{\mathrm{B}}(z) = \frac{1}{\beta} \sum_{\nu_n} \mathcal{F}(\nu_n) + \sum_{z \in \mathrm{Res}(\mathcal{F}(z))} \mathcal{F}(z) n_{\mathrm{B}}(z)$$
(6)

where the contour integral vanishes for our integrand. We end up with

$$\Sigma(\mathbf{p},\omega_n) = -\int \frac{\mathrm{d}^d q}{(2\pi)^d} \sum_{z \in \operatorname{Res}(\mathcal{F}(z))} \frac{\omega_{\mathbf{q}}}{\mathrm{i}\omega_n + z - \varepsilon_{\mathbf{p}-\mathbf{q}}} \left(\frac{1}{\omega_{\mathbf{q}} - z} + \frac{1}{\omega_{\mathbf{q}} + z}\right) n_{\mathrm{B}}(z) \quad (7)$$

where

$$\mathcal{F}(z) = \frac{\omega_{\mathbf{q}}}{\mathrm{i}\omega_n + z - \varepsilon_{\mathbf{p}-\mathbf{q}}} \left(\frac{1}{\omega_{\mathbf{q}} - z} - \frac{1}{\omega_{\mathbf{q}} + z}\right). \tag{8}$$

Calculating the residues at  $z_1 = \omega_{\mathbf{q}}, z_2 = -\omega_{\mathbf{q}}$  and  $z_3 = \varepsilon_{\mathbf{p}-\mathbf{q}} - i\omega_n$ , we find

$$\Sigma(\mathbf{p},\omega_n) = -\int \frac{\mathrm{d}^d q}{(2\pi)^d} \left[ -\frac{n_\mathrm{B}(\omega_\mathbf{q})\omega_\mathbf{q}}{\mathrm{i}\omega_n + \omega_\mathbf{q} - \varepsilon_{\mathbf{p}-\mathbf{q}}} + \frac{n_\mathrm{B}(-\omega_\mathbf{q})\omega_\mathbf{q}}{\mathrm{i}\omega_n - \omega_\mathbf{q} - \varepsilon_{\mathbf{p}-\mathbf{q}}} \right] \tag{9}$$

$$+n_{\rm B}(\varepsilon_{\mathbf{p}-\mathbf{q}}-\mathrm{i}\omega_n)\omega_{\mathbf{q}}\left(\frac{1}{\omega_{\mathbf{q}}-\varepsilon_{\mathbf{p}-\mathbf{q}}+\mathrm{i}\omega_n}+\frac{1}{\omega_{\mathbf{q}}+\varepsilon_{\mathbf{p}-\mathbf{q}}-\mathrm{i}\omega_n}\right)\right]$$
(10)

using

$$n_{\rm B}(\varepsilon - \mathrm{i}\omega_n) = \frac{1}{\exp(\beta[\varepsilon - \mathrm{i}(2n+1)\pi/\beta]) - 1}$$
(11)

$$= -\frac{1}{\exp(\beta\varepsilon) + 1}$$
(12)

$$= -n_{\rm F}(\varepsilon) \tag{13}$$

(note that  $\omega_n$  is a fermionic Matsubara frequency); and  $n_{\rm B}(-\omega) = -(1 + n_{\rm B}(\omega))$ we find

$$\Sigma(\mathbf{p},\omega_n) = \int \frac{\mathrm{d}^d q}{(2\pi)^d} \omega_{\mathbf{q}} \left[ \frac{n_{\mathrm{B}}(\omega_{\mathbf{q}}) + n_{\mathrm{F}}(\varepsilon_{\mathbf{p}-\mathbf{q}})}{\mathrm{i}\omega_n + \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}}} + \frac{1 - n_{\mathrm{F}}(\varepsilon_{\mathbf{p}-\mathbf{q}}) + n_{\mathrm{B}}(\omega_{\mathbf{q}})}{\mathrm{i}\omega_n - \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}}} \right]$$
(14)

(b) For a one-dimensional system with  $\varepsilon_p = p^2/2m - \mu$ , calculate Im  $\Sigma^R(\mathbf{p}, \omega)$ : Analytically continue  $\Sigma(\mathbf{p}, \omega_n)$  from discrete Matsubara frequencies  $\omega_n > 0$  to a frequency just above the real axis:  $i\omega_n \to \omega + i0$ , take the imaginary part and perform the *q*-integration.

Solution: Analytic continuation:

$$\Sigma^{\mathrm{R}}(\mathbf{p},\omega) = \int \frac{\mathrm{d}^{d}q}{(2\pi)^{d}} \omega_{\mathbf{q}} \left[ \frac{n_{\mathrm{B}}(\omega_{\mathbf{q}}) + n_{\mathrm{F}}(\varepsilon_{\mathbf{p}-\mathbf{q}})}{\omega + \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}} + \mathrm{i0}} + \frac{1 - n_{\mathrm{F}}(\varepsilon_{\mathbf{p}-\mathbf{q}}) + n_{\mathrm{B}}(\omega_{\mathbf{q}})}{\omega - \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}} + \mathrm{i0}} \right]$$
(15)

Taking the imaginary part, going to d = 1:

$$\operatorname{Im}\left[\Sigma^{\mathrm{R}}(\mathbf{p},\omega)\right] = -\pi \int_{-\infty}^{\infty} \frac{\mathrm{d}q}{(2\pi)} \omega_{\mathbf{q}} \left[ (n_{\mathrm{B}}(\omega_{\mathbf{q}}) + n_{\mathrm{F}}(\varepsilon_{\mathbf{p}-\mathbf{q}})) \delta(\omega + \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}}) \right]$$
(16)

$$+(1 - n_{\rm F}(\varepsilon_{\mathbf{p}-\mathbf{q}}) + n_{\rm B}(\omega_{\mathbf{q}}))\delta(\omega - \omega_{\mathbf{q}} - \varepsilon_{\mathbf{p}-\mathbf{q}})]$$
(17)

since  $\mu \gg |\omega|$ , both  $\delta$ -functions have two roots; say  $q_1$  and  $q_2$ , and  $q_3$  and  $q_4$ .

$$\operatorname{Im}\left[\Sigma^{\mathrm{R}}(\mathbf{p},\omega)\right] = -\frac{1}{2}\sum_{i=1}^{2} \left( \left[ \frac{n_{\mathrm{B}}(\omega_{q}) + n_{\mathrm{F}}(\varepsilon_{p-q})}{\left|\frac{\partial}{\partial q}(\varepsilon_{p-q} - \omega_{q})\right|} \right]_{q=q_{i}} + \sum_{i=3}^{4} \left[ \frac{n_{\mathrm{B}}(\omega_{q}) + 1 - n_{\mathrm{F}}(\varepsilon_{p-q})}{\left|\frac{\partial}{\partial q}(\varepsilon_{p-q} + \omega_{q})\right|} \right]_{q=q_{i}} \right)$$
(18)

## 2. RKKY interaction in finite temperature

(5+10+10+5+5=35 points)

Let us consider two impurity spins embedded in a metal. If the spins are close enough, they will interact with each other via the spin-polarization of the conduction electrons. This effect is known as the Ruderman–Kittel–Kasuya–Yosida (RKKY) interaction.

An impurity spin in a free 3D Fermi gas interacts with the local electronic spin density by means of the Hamiltonian

$$H_{\rm imp} = J\Sigma_i \hat{S}_i(\mathbf{x} = 0), \tag{19}$$

where the local spin density operator is given by

$$\hat{S}_i(\mathbf{x}) = \frac{\hbar}{2} \psi^{\dagger}_{\alpha}(\mathbf{x}) \sigma^i_{\alpha\beta} \psi_{\beta}(\mathbf{x})$$
(20)

and  $\Sigma_i$  is a component of the impurity spin-vector, which is treated as an external field localized at  $\mathbf{x} = 0$ .

Let us assume that  $J \ll 0$ , and determine the coupling between the two impurity spins.

(a) Determine the Matsubara Green's function for a non-interacting 3D Fermi gas as a function of position **r** and Matsubara frequency  $\omega_n$  at large distances  $(k_{\rm F}r \gg 1)$ and for  $\omega_n \ll E_{\rm F}$ .

Solution:  $G_{\rm M}(\mathbf{r},\omega_n)$  in the limit  $k_{\rm F}r \gg 1$ :

$$G_{\rm M}(\mathbf{r},\omega_n) = \int \frac{\mathrm{d}^3 p}{(2\pi)^3} \frac{\exp(\mathrm{i}\mathbf{p}\mathbf{r})}{\mathrm{i}\omega_n - \varepsilon_{\mathbf{p}}}$$
(21)

$$= \frac{1}{(2\pi)^2} \frac{2}{r} \int_0^\infty \mathrm{d}p \, \frac{p \sin(pr)}{\mathrm{i}\omega_n - \frac{p^2}{2m} + \frac{p_{\rm F}^2}{2m}}$$
(22)

$$= -\frac{1}{(2\pi)^2} \frac{2m\pi}{r} \exp\left(-rp_{\rm F}\sqrt{-1 - 2\mathrm{i}m\omega_n/p_{\rm F}^2}\right)$$
(23)

We expand the square root:

$$\sqrt{-1 - 2\mathrm{i}m\omega_n/p_{\mathrm{F}}^2} \stackrel{m\omega_n/p_{\mathrm{F}}^2 \ll 1}{\approx} \mathrm{sign}(\omega_n)[-\mathrm{i} + m\omega_n/p_{\mathrm{F}}^2]$$
(24)

$$= -i \cdot \operatorname{sign}(\omega_n)[1 + im\omega_n/p_F^2]$$
(25)

We find

$$G_{\rm M}(\mathbf{r},\omega_n) \approx -\frac{m}{2\pi r} \exp(\mathrm{i} r \mathrm{sign}(\omega_n) \left[ p_{\rm F} + \mathrm{i} \omega_n / v_{\rm F} \right])$$
 (26)

(b) Calculate the spin susceptibility

$$\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\tau) := -\left\langle \mathcal{T}_{\tau}\hat{S}_{i}(\mathbf{r},\tau)\hat{S}_{j}(0,0)\right\rangle_{0}$$
(27)

where the (thermal) trace is defined in lecture Eq. (4.106). (The calculation is similar to that for T = 0 in exercise 3 (a) from sheet 6.)

**Solution:** We know from the lecture that Wick's theorem works analogously to T = 0 at T > 0, with the zero-temperature Green's functions replaced by Matsubara Green's functions. Taking into account signs, we get

$$\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\tau) = \frac{1}{2}\delta_{i,j}G_{M}(\mathbf{r},\tau)G_{M}(-\mathbf{r},-\tau).$$
(28)

(c) At finite temperature, find the static ( $\omega = 0$ ) spin polarization  $s_i(\mathbf{x}) = \langle \hat{S}_i(\mathbf{x}) \rangle$  in the electronic system at large distances away from a single impurity spin. Consider the spin susceptibility in the limit  $T \to 0$ . Show that the polarization oscillates as a function of r and find the oscillation period.

**Solution:** The static spin polarization is given in terms of the retarded susceptibility as

$$s_i(\mathbf{x}, \omega = 0) = J \left[ \mathcal{D}_{SS}^{\mathbf{R}} \right]_{i,j} (\mathbf{x}, \omega = 0) \Sigma_j$$
<sup>(29)</sup>

We calculate the (discrete) Fourier-transform of the Matsubara spin susceptibility:

$$\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\omega_{n}) = \frac{1}{2}\delta_{i,j}\int_{0}^{\beta} \mathrm{d}\tau \exp(\mathrm{i}\omega_{n}\tau)G_{\mathrm{M}}(\mathbf{r},\tau)G_{\mathrm{M}}(-\mathbf{r},-\tau)$$
(30)

$$= \frac{T}{2} \delta_{i,j} \sum_{\varepsilon_m} G_{\mathrm{M}}(\mathbf{r}, \varepsilon_m) G_{\mathrm{M}}(-\mathbf{r}, \varepsilon_m + \omega_n)$$
(31)

Since  $\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\tau) = \left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\tau+\beta)$  (as can be seen from the periodicity of the Green's functions),  $\omega_{n}$  is a bosonic Matsubara frequency.

In order to evaluate Eq. (31) in the limit  $rk_{\rm F} \gg 1$ , we use the corresponding expression for the Matsubara Green's function (26). We find

$$\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\omega_{n}) = \frac{\delta_{i,j}}{2} \left(\frac{m}{2\pi r}\right)^{2} T \sum_{\varepsilon_{m}} e^{irsign(\varepsilon_{m})[p_{\mathrm{F}}+\mathrm{i}\varepsilon_{m}/v_{\mathrm{F}}]} e^{irsign(\omega_{n}+\varepsilon_{m})[p_{\mathrm{F}}+\mathrm{i}(\omega_{n}+\varepsilon_{m})/v_{\mathrm{F}}]}$$
(32)

Here, it is OK to use the small  $\varepsilon$  expression for the Green's function, since high  $\varepsilon_m$  terms vanish exponentially in the sum. For obtaining the analytic continuation (to find the retarded response function) we need the susceptibility at  $\omega_n > 0$ . In this case, the sum can be solved:

$$\left[\mathcal{D}_{SS}^{M}\right]_{i,j}(\mathbf{r},\omega_{n}) = \frac{\delta_{i,j}}{2} \left(\frac{m}{2\pi r}\right)^{2} \exp(-r\omega_{n}/v_{\mathrm{F}}) \left[\frac{T\cos(2p_{\mathrm{F}}r)}{\sinh(2\pi rT/v_{\mathrm{F}})} + \frac{\omega_{n}}{\pi}\right]$$
(33)

Next, we need to perform the analytic continuation to find the retarded response function. To this end, we consider the function

$$\mathcal{F}_{i,j}(z) := \frac{\delta_{i,j}}{2} \left(\frac{m}{2\pi r}\right)^2 \exp(\mathrm{i}rz/v_{\mathrm{F}}) \left[\frac{T\cos(2p_{\mathrm{F}}r)}{\sinh(2\pi rT/v_{\mathrm{F}})} - \frac{\mathrm{i}z}{\pi}\right]$$
(34)

which is analytic for  $z \in \mathbb{C}_+$ . Furthermore, it holds  $\mathcal{F}_{i,j}(i\omega_n) = \left[\mathcal{D}_{SS}^M\right]_{i,j}(\mathbf{r},\omega_n)$ . Therefore, the retarded spin susceptibility is given by

$$\left[\mathcal{D}_{SS}^{\mathrm{R}}\right]_{i,j}(\mathbf{r},\omega) := \frac{\delta_{i,j}}{2} \left(\frac{m}{2\pi r}\right)^2 \exp(\mathrm{i}r\omega/v_{\mathrm{F}}) \left[\frac{T\cos(2p_{\mathrm{F}}r)}{\sinh(2\pi rT/v_{\mathrm{F}})} - \frac{\mathrm{i}\omega}{\pi}\right].$$
 (35)

For  $T \to 0$  and in the static limit  $\omega \to 0$  we get

$$\left[\mathcal{D}_{SS}^{\mathrm{R}}\right]_{i,j}\left(\mathbf{r},\omega=0\right) := \frac{\delta_{i,j}}{2} \left(\frac{m}{2\pi r}\right)^2 \frac{\cos(2p_{\mathrm{F}}r)}{2\pi r/v_{\mathrm{F}}}$$
(36)

$$= \frac{\delta_{i,j}}{2} \frac{mp_{\rm F}}{(2\pi r)^3} \cos(2p_{\rm F}r).$$
(37)

Thus, the spin polarization at  $T \to 0$  is

$$s_i(\mathbf{x}, \omega = 0) = J \frac{\sum_i mp_F}{2(2\pi r)^3} \cos(2p_F r).$$
 (38)

From the cosine we get an oscillation with period  $\pi/p_{\rm F}$ .

(d) Study the temperature dependence of the spin polarization. What changes qualitatively as compared to the zero temperature case?

Solution: At finite temperature, we have

$$s_i(\mathbf{x}, \omega = 0) = \frac{J\Sigma_i}{2} \left(\frac{m}{2\pi r}\right)^2 \frac{T\cos(2p_{\rm F}r)}{\sinh(2\pi r T/v_{\rm F})}.$$
(39)

This means that the oscillations are dampened exponentially with increasing temperature.

(e) Assume that there are two localized spins  $\Sigma_1$  and  $\Sigma_2$  at distance r from each other  $(rk_{\rm F} \gg 1)$ . The energy of the system will depend on the relative orientation of the two spins and can be written as

$$E = K \Sigma_1 \cdot \Sigma_2$$

Calculate the coupling constant K between the two spins by evaluating  $\langle H_{\rm imp} \rangle$ . Solution: We have

$$\langle H_{\rm imp} \rangle = J \Sigma_i \langle S_i(\mathbf{x} = 0) \rangle$$
 (40)

$$= J^2 \Sigma_1 \cdot \Sigma_2 \frac{1}{2} \left(\frac{m}{2\pi r}\right)^2 \frac{T \cos(2p_{\rm F} r)}{\sinh(2\pi r T/v_{\rm F})} \tag{41}$$

We thus find

$$K = J^2 \frac{1}{2} \left(\frac{m}{2\pi r}\right)^2 \frac{T\cos(2p_{\rm F}r)}{\sinh(2\pi r T/v_{\rm F})} \tag{42}$$