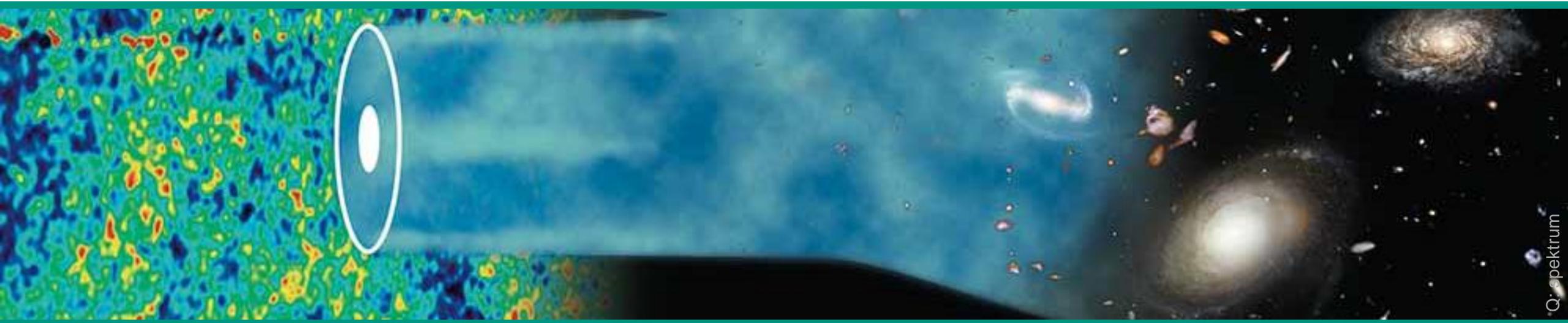


# Introduction to Cosmology

Winter term 22/23

Lecture 8

Dec. 20, 2022



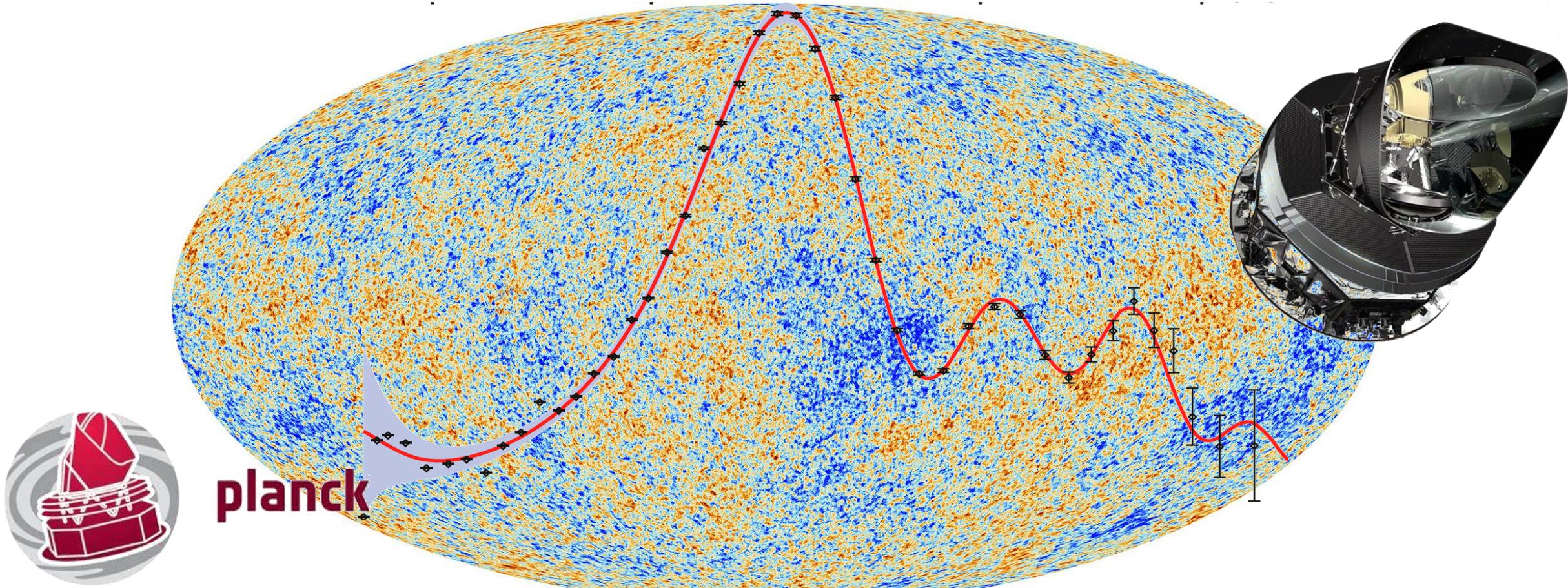
# Recap of Lecture 7

## ■ Primordial Nucleosynthesis: comparing BBN results with CMB data

- mass fraction of primordial  $^4He$ :  $Y_p = 0.245 \pm 0.003$  from blue compact dwarfs
- primordial deuterium  $d$  from Lyman- $\alpha$  –lines ('forest') at high- $z$  gas clouds
- difficult assessment of  $^7Li$  –abundance from halo stars (' $^7Li$  –anomaly')
- BBN light element yields: measurement of baryon density  $\Omega_B = 0.042 \dots 0.048$
- comparison of  $^4He$  – value ( $Y_p$ ) from BBN with value of  $\Omega_B$  from the CMB
- number of light  $\nu$  –generations:  $N_\nu = 2.92 \pm 0.36$  agrees with SM (3.045)

## 3.2 Cosmic microwave background radiation: essentials

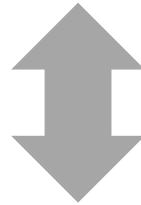
- The earliest view: thermal universe pictured at a time  $t = 380\,000$  yrs



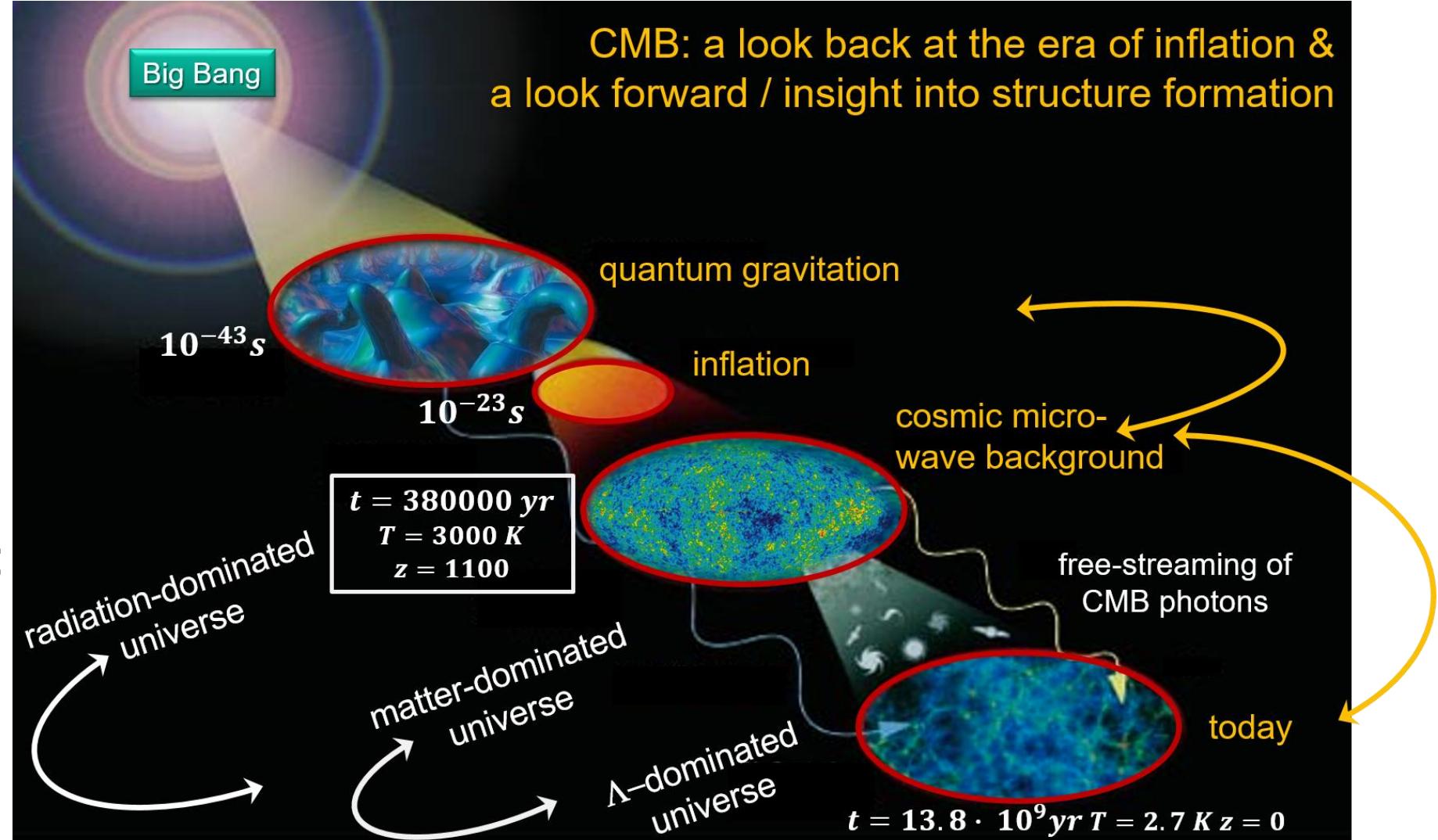
# CMB: classification & cross connections

## ■ overview

- $T$  –fluctuations:  
seeded in the era of  
**quantum gravity** at  
 $t \sim 10^{-43} s$



- **photon-freestreaming:**  
over  $t \sim 13.8 \cdot 10^9$  yr,  
up to present era of  
**dark energy**



# Cosmic microwave background: history

## ■ The 'classical' phase up to the mid-1960s: speculation & first detection

1941: (then unexplained) observation of excitation of interstellar *CN* molecules from the direction of gas cloud  $\zeta$  Ophiuchi ( $\Rightarrow$  rotational bands of *CN*)



1946: **G. Gamow et al.** – hot Big Bang should have resulted in a **cosmic microwave background radiation** (today:  $T \sim 5 K$ )



1964: **A. Penzias & R. Wilson** – **detection** of the CMB at Holmdel at  $\lambda = 7 cm$  (**Nobel prize 1978**)



# Cosmic microwave background: history

## ■ The 'intermediate' phase up to the end of the millenium: gearing up!

**1989:** start of the NASA satellite mission **COBE**

- measurement of the spectral form of the CMB with FIRAS (**J. Mather**): is it really a thermal spectrum?

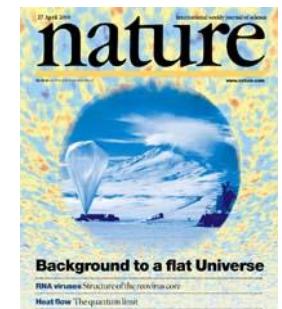


**1992 :** 4 yr measurement with NASA satellite mission **COBE**

- detection of tiny fluctuations of the CMB temperature  $T$  with DMR (**G. Smoot**): is there a seed of galaxies?



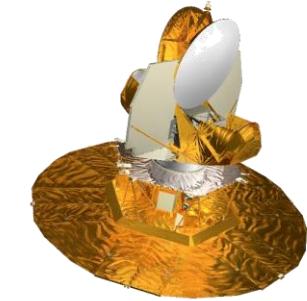
**1968:** first detection of fluctuations of the CMB temperature  $T$  at small scales: what is the **topology of the universe**?



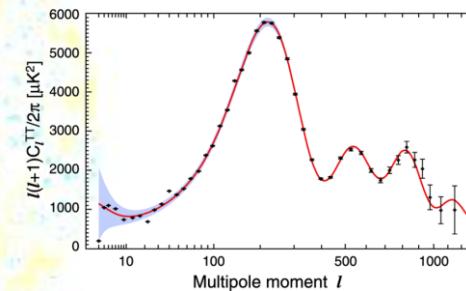
# Cosmic microwave background: history

## ■ The 'intermediate' phase since the end of the millenium: further gearing up!

2001: start of the NASA satellite mission **WMAP**  
- first detection of the CMB-polarization (DASI)



2003 : first analysis of data from NASA satellite **WMAP**  
- start of the precision age of cosmology



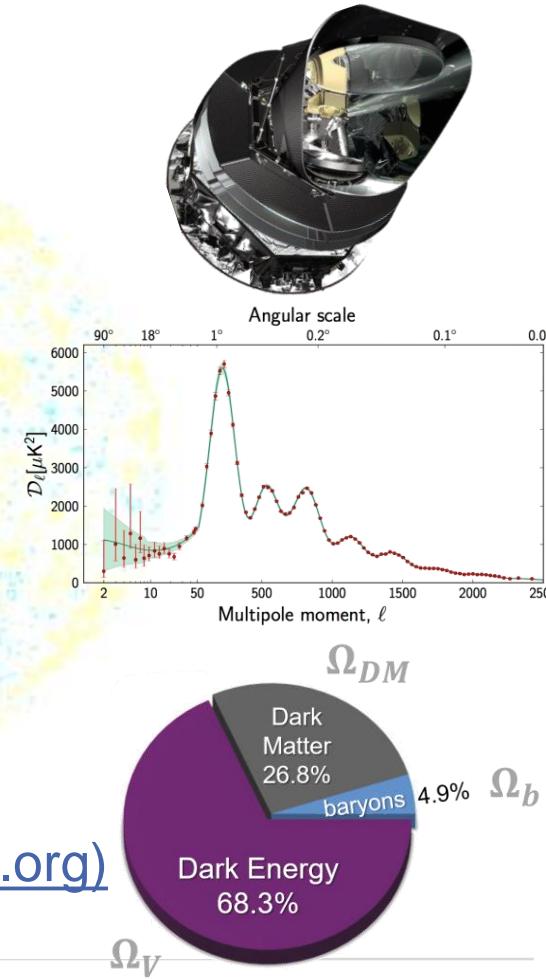
2006: Nobel prize for **J. Mather and G. Smoot**



# Cosmic microwave background: history

## ■ The 'present' phase in the last decade: further gearing up with Planck!

**2009:** start of the ESA satellite mission **Planck**  
- aim: 'ultimate' precision via bolometers



**2013 :** improved analysis of data from ESA satellite **Planck**  
- **extension of multipole down to small angles**

**2020:** final data from Planck published at

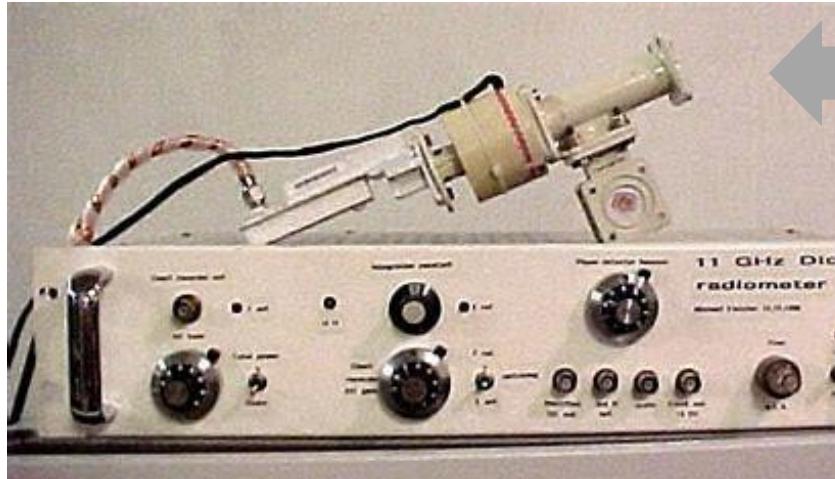
[Planck 2018 results - V. CMB power spectra and likelihoods \(aanda.org\)](https://aanda.org/paper/Planck2018/V)

# CMB – an unintended, accidental detection

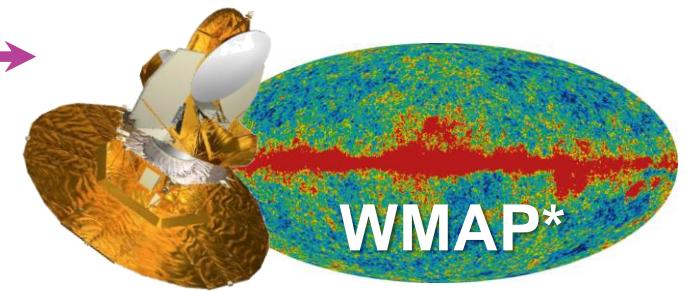
## ■ Robert Dicke & David Wilkinson at work in Princeton, NJ (1964)

- 1964: R.H. Dicke & D. Wilkinson ([Princeton](#)) perform a *dedicated* search for the CMB at microwave-ranges, based on 'CMB re-invented' by J. Peebles & him

*'I have long believed that an experimentalist should not be unduly inhibited by theoretical untidiness'*



Dicke  
radiometer



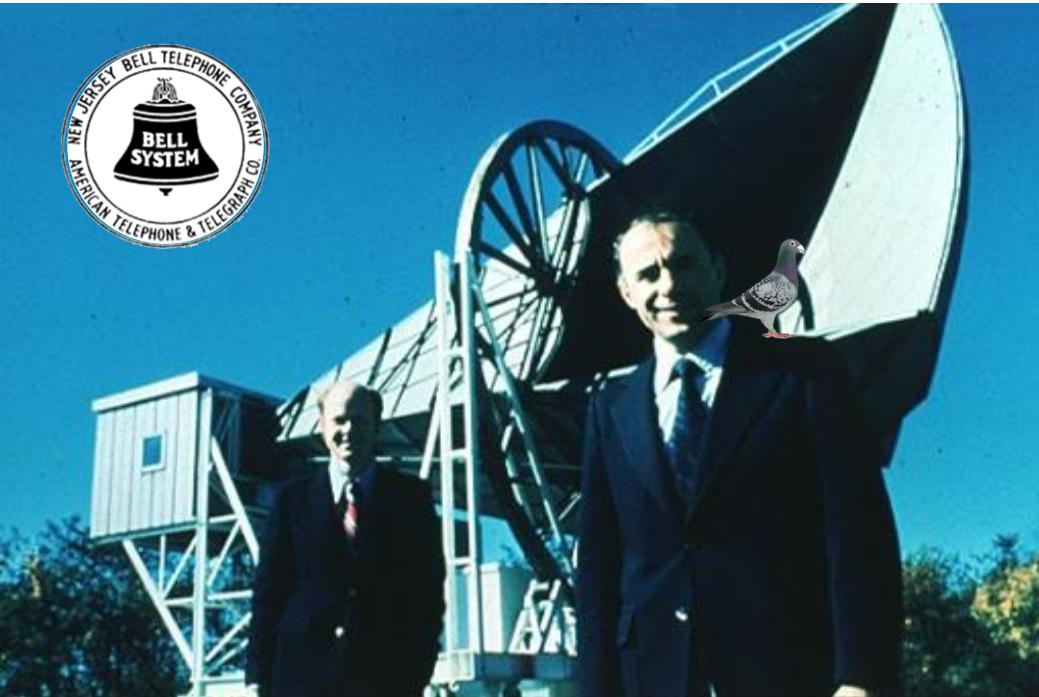
Dicke-switch (s. COBE)



# CMB – an unintended, accidental detection

## ■ Arno Penzias & Robert Wilson at work in Holmdel, NJ (1964/65) in $d = 50 \text{ km}$

- A. Penzias & R. Wilson (Bell Labs) are testing a new antenna at Holmdel (NJ) for satellite-based communication (US-Europe) at  $\lambda = 7.35 \text{ cm} / \nu = 4 \text{ GHz}$



- **excess noise signal:  
it is isotropic**



- remove 'dielectric white material' from dish
- **Princeton group** explains it to them as the **signal of CMB** they were searching for

# CMB – an unintended, accidental detection

## ■ Groups (Holmdel: discovery, Princeton:explanation) publish joint papers

- Nobel prize 1978 for Penzias & Wilson
- Nobel prize 2019 for J. Peebles (theory)

- R. Dicke



*'Boys, we've  
been scooped.'*



### A MEASUREMENT OF EXCESS ANTENNA TEMPERATURE AT 4080 Mc/s

Measurements of the effective zenith noise temperature of the 20-foot horn-reflector antenna (Crawford, Hogg, and Hunt 1961) at the Crawford Hill Laboratory, Holmdel, New Jersey, at 4080 Mc/s have yielded a value about 3.5° K higher than expected. This excess temperature is, within the limits of our observations, isotropic, unpolarized, and

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No. 1, 1965

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*Note added in proof.*—The highest frequency at which the background temperature of the sky had been measured previously was 404 Mc/s (Pauliny-Toth and Shakeshaft 1962), where a minimum temperature of 16° K was observed. Combining this value with our result, we find that the average spectrum of the background radiation over this frequency range can be no steeper than  $\lambda^0.7$ . This clearly eliminates the possibility that the radiation we observe is due to radio sources of types known to exist, since in this event, the spectrum would have to be very much steeper.

A. A. PENZIAS  
R. W. WILSON

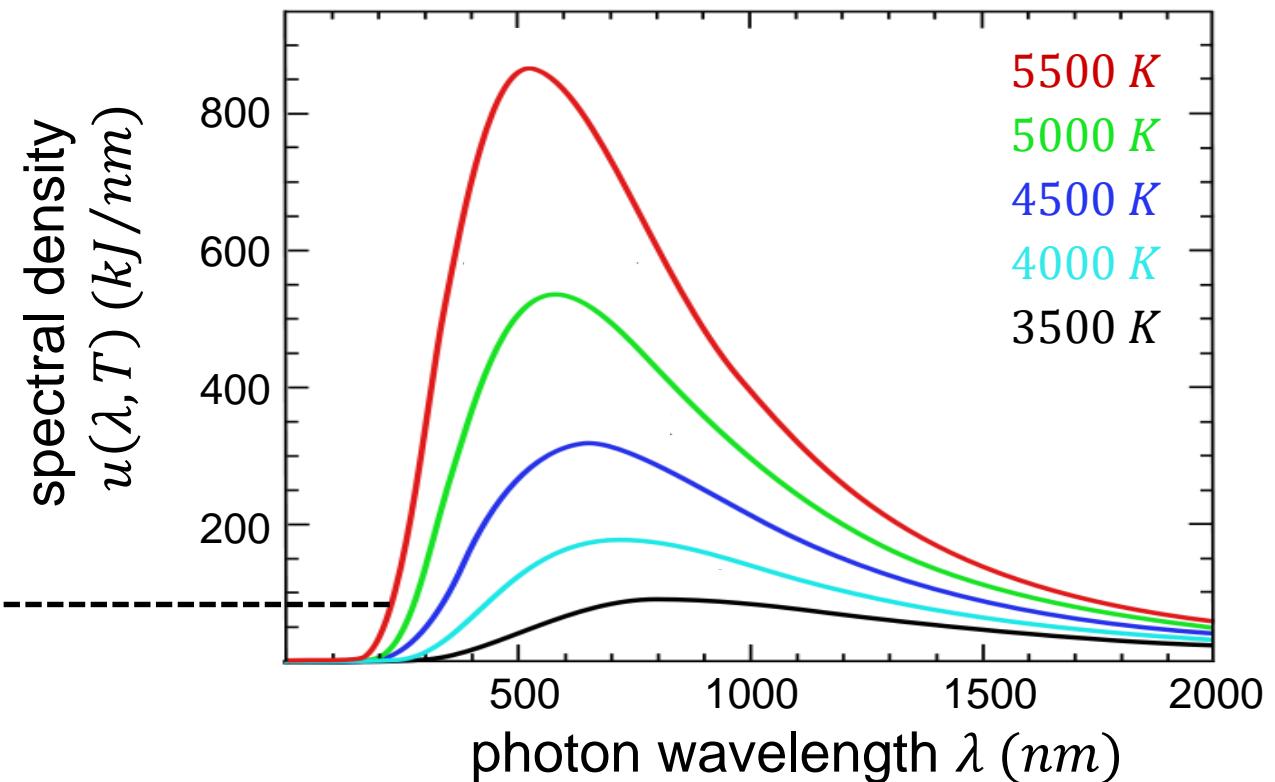
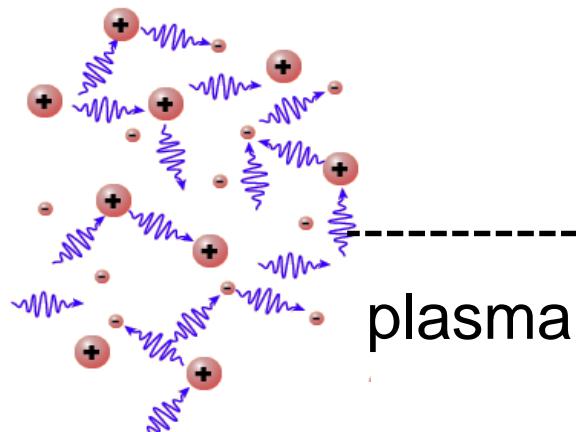
May 13, 1965

BELL TELEPHONE LABORATORIES, INC  
CRAWFORD HILL, HOLMDEL, NEW JERSEY

# CMB – a (perfect?) thermal black body radiation

## ■ Photons released after neutralization of the primordial plasma

- initial phase ( $t < 380000 \text{ yr}$ ): photon interactions with free  $e^-$  of hot plasma  
 $\Rightarrow$  **thermodynamical equilibrium**
- **Thomson scattering:**  
elastic scattering of photons off electrons ( $h \cdot \nu \ll m_e \cdot c^2$ )



# CMB – a (perfect?) thermal black body radiation

## ■ Photons released after neutralization of the primordial plasma

- RECAP: Planck distribution of a perfect black body has 1 free parameter only

absolute temperature  $T$

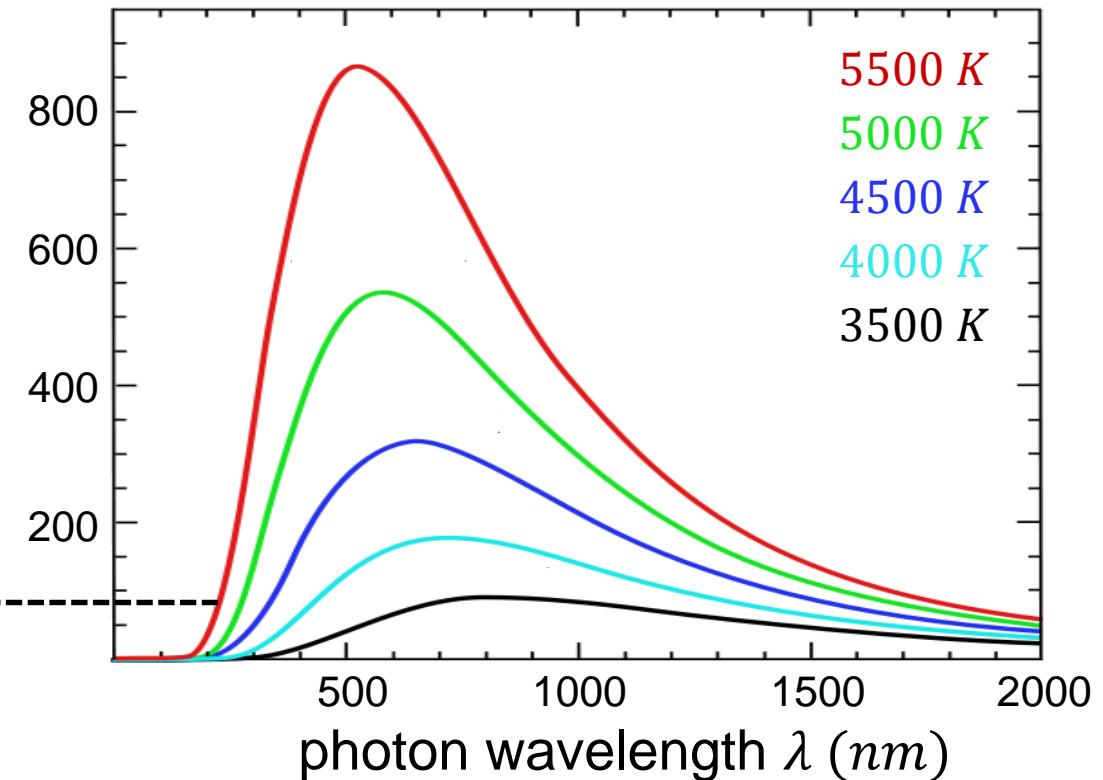


spectral energy density  $u(\nu, T)$

$$u(\nu, T) = \frac{4\pi}{c} I(\nu, T)$$
$$= \frac{8\pi h\nu^3}{c^3} \cdot \frac{1}{e^{h\nu/kT} - 1}$$



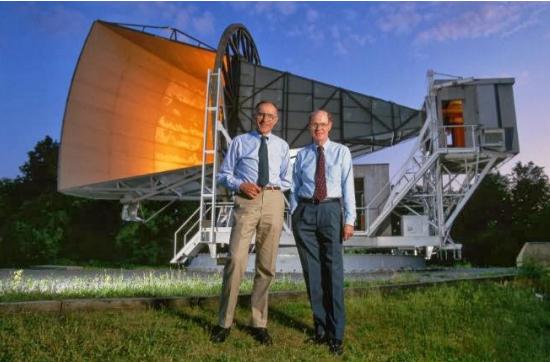
spectral density  
 $u(\lambda, T) (kJ/nm)$



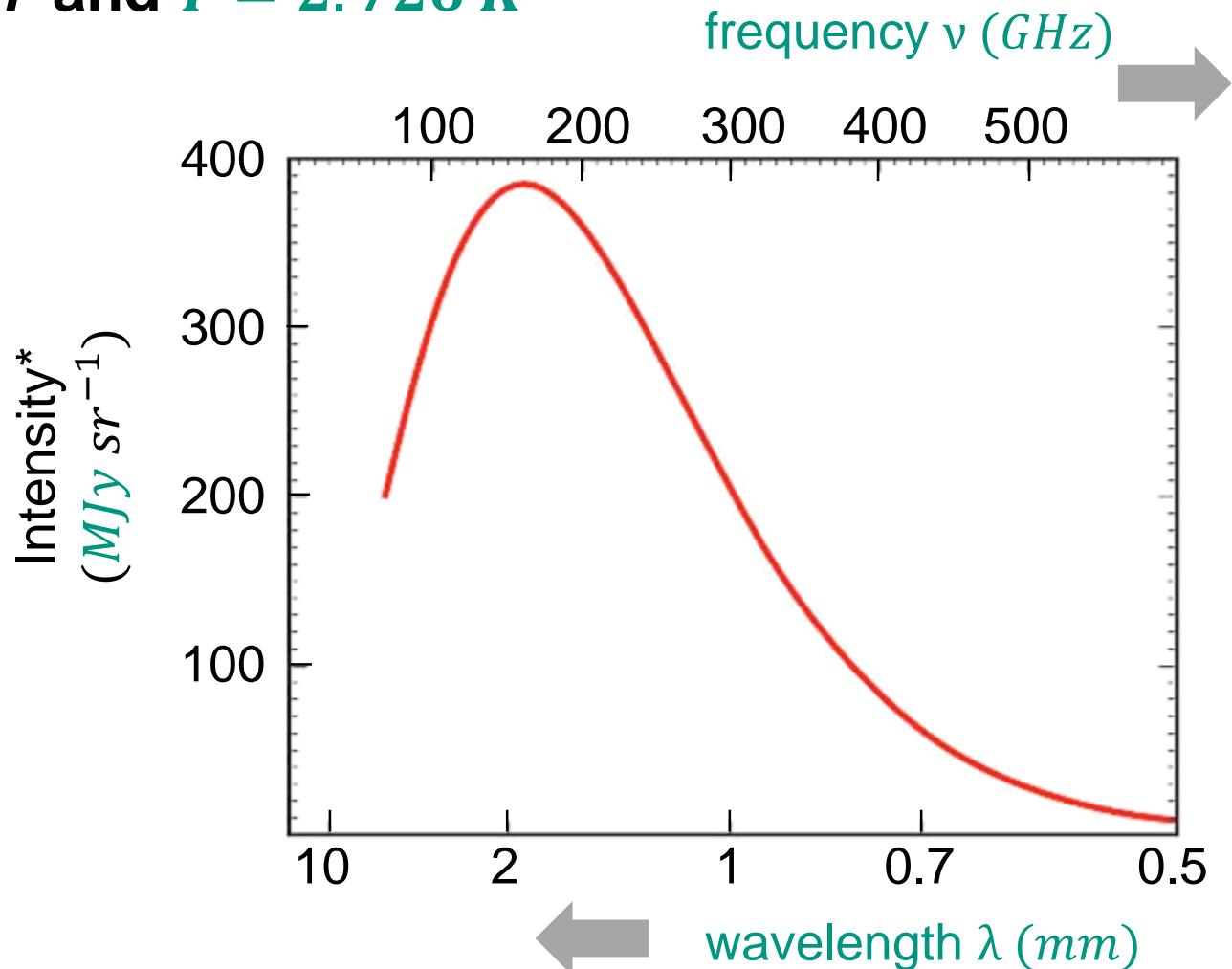
# CMB – a (perfect?) thermal black body radiation

## ■ CMB parameters at $t = 13.8 \cdot 10^9 \text{ yr}$ and $T = 2.726 \text{ K}$

- cosmological expansion since decoupling of photons shifts CMB to *microwave-band*
- wavelength:  $\lambda = 0.5 \dots \text{few mm}$
- frequency:  $\nu = 10 \dots \text{few} \cdot 10^2 \text{ GHz}$



we used  $\lambda = 7.35 \text{ cm}$   
with our Horn antenna!



# CMB – a (perfect?) thermal black body radiation

## ■ CMB parameters vs. commercial frequencies

- CMB at  $10 \dots \text{few} \cdot 10^2 \text{ GHz}$



- **WLAN:**

- 2.4 GHz, 5 GHz, 6 GHz, 60 GHz**

- **UHF:**  
**0.3 ... 3 GHz**



- **Bluetooth:**  
**2.4 ... 2.48 GHz**



- **radar:**  
**0.3 ... 300 GHz**  
example: M-band  $\sim 80 \text{ GHz}$  for  
**'automotive radar'**



# CMB – a (perfect?) thermal black body radiation

- CMB parameters at  $t = 13.8 \cdot 10^9 \text{ yr}$  and  $T = 2.726 \text{ K}$

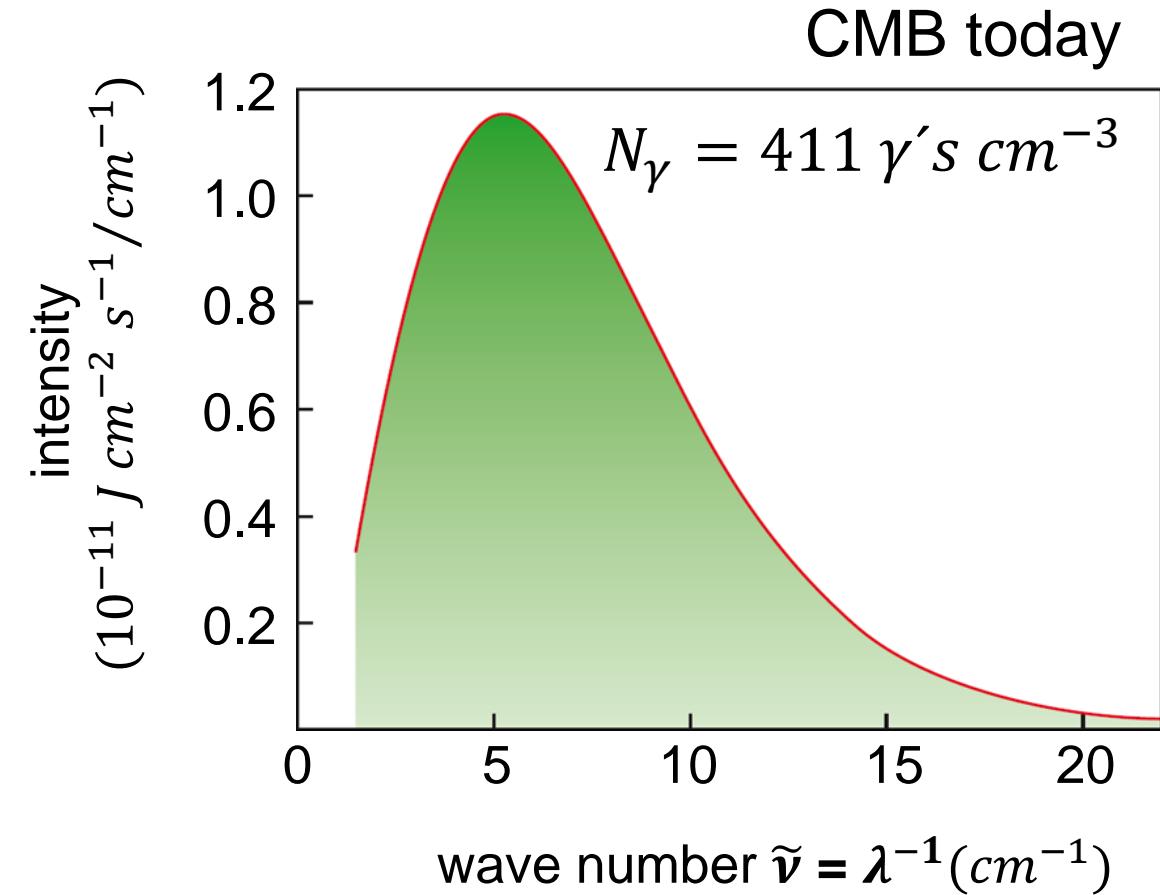
energy density of CMB today

$$u_\gamma = 4.39 \cdot 10^{-14} \text{ J/m}^3$$

$$\rho_\gamma = u_\gamma/c^2 = 4.65 \cdot 10^{-31} \text{ kg/m}^3$$

$$\rho_\gamma \cdot c^2 = 0.261 \text{ MeV/m}^3$$

$$\Omega_\gamma = 5.05 \cdot 10^{-5}$$



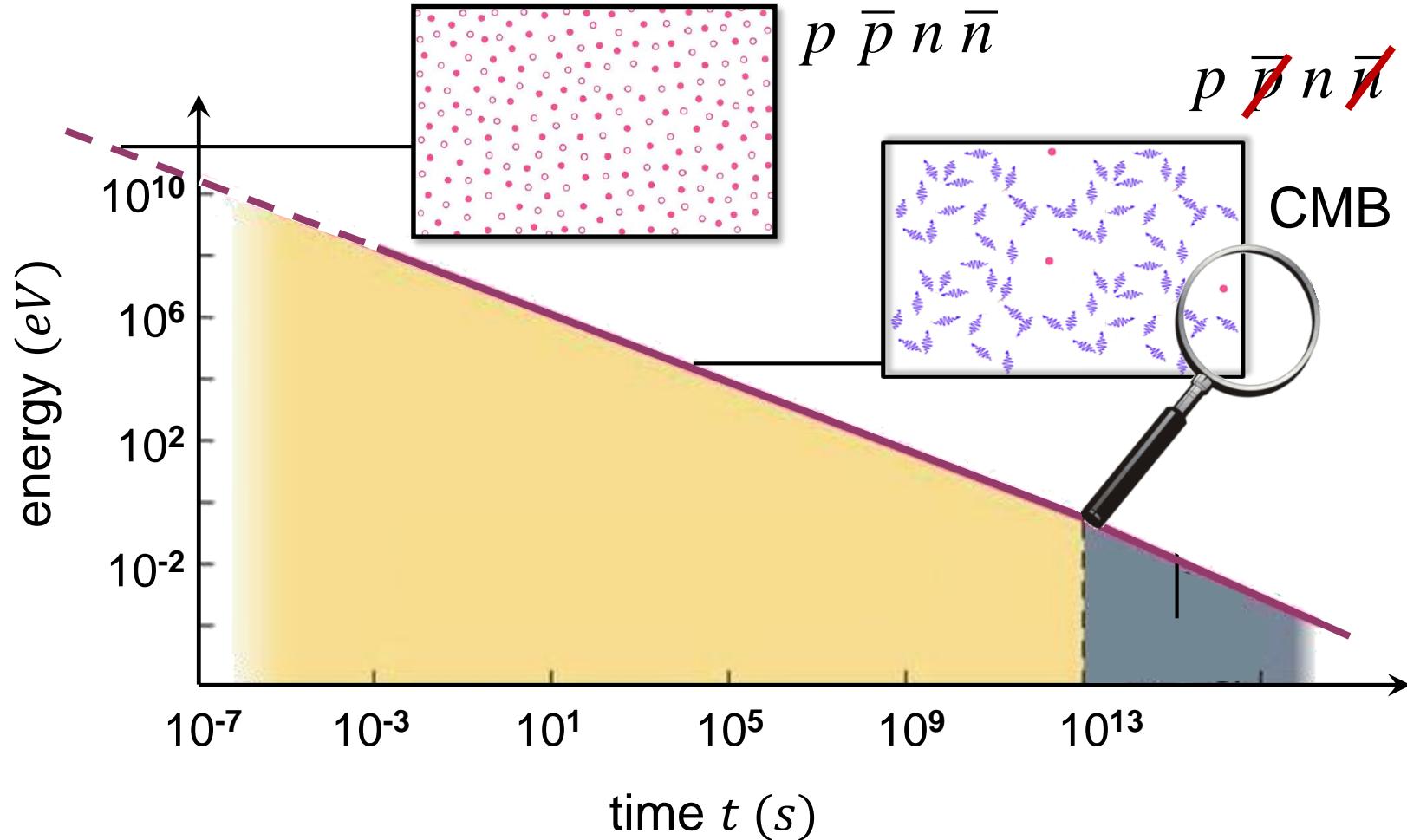
# CMB origin: matter – antimatter annihilation

## ■ annihilation\* of nucleons: $p, n, \bar{p}, \bar{n}$

- at  $T \sim 150 \text{ MeV}$ : QCD-phase transition to bound quarks (nucleons)
- annihilation processes (at somewhat later  $t$ ):  
⇒ observed baryon-asymmetry  $\eta$  of the universe

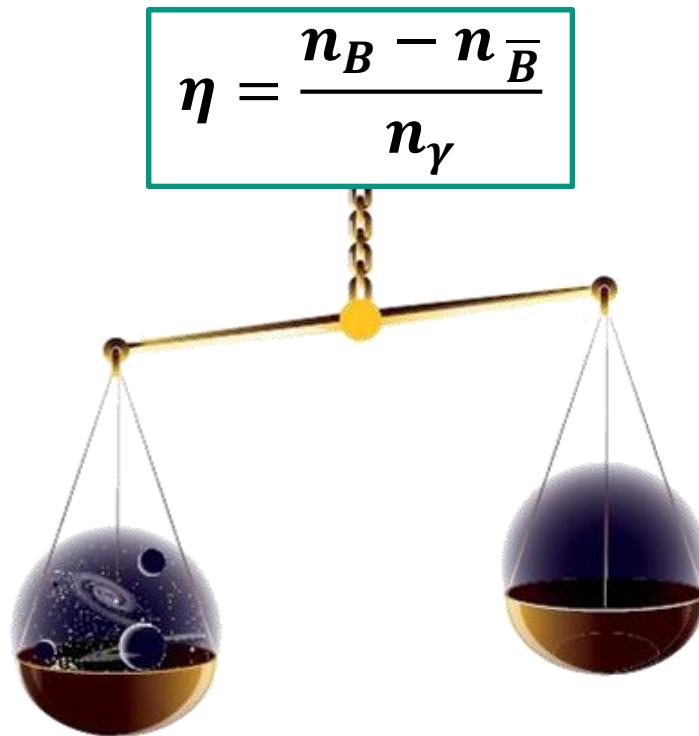


'baryogenesis'

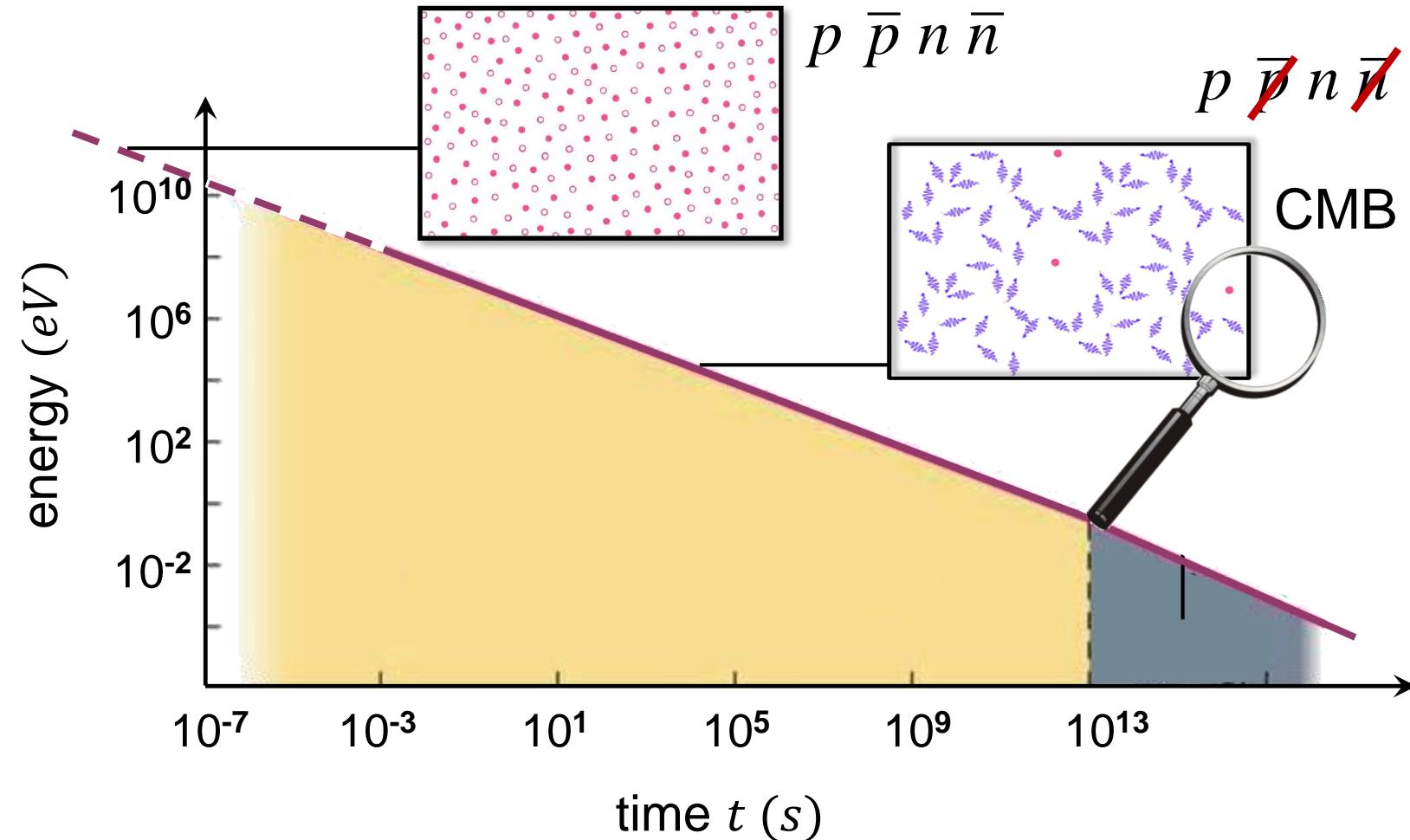


# CMB origin: matter – antimatter annihilation

## ■ annihilation of nucleons: $p, n, \bar{p}, \bar{n}$



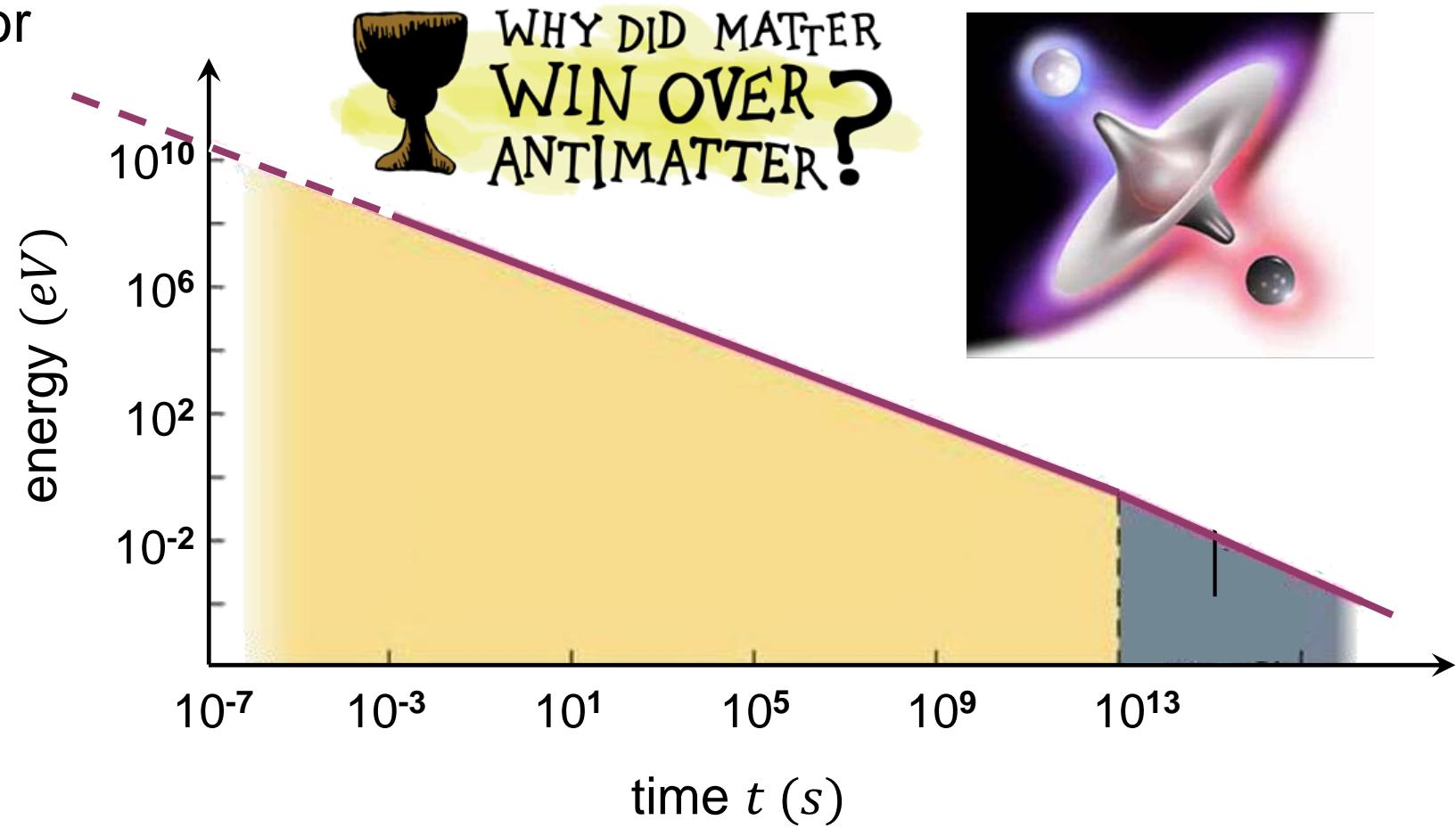
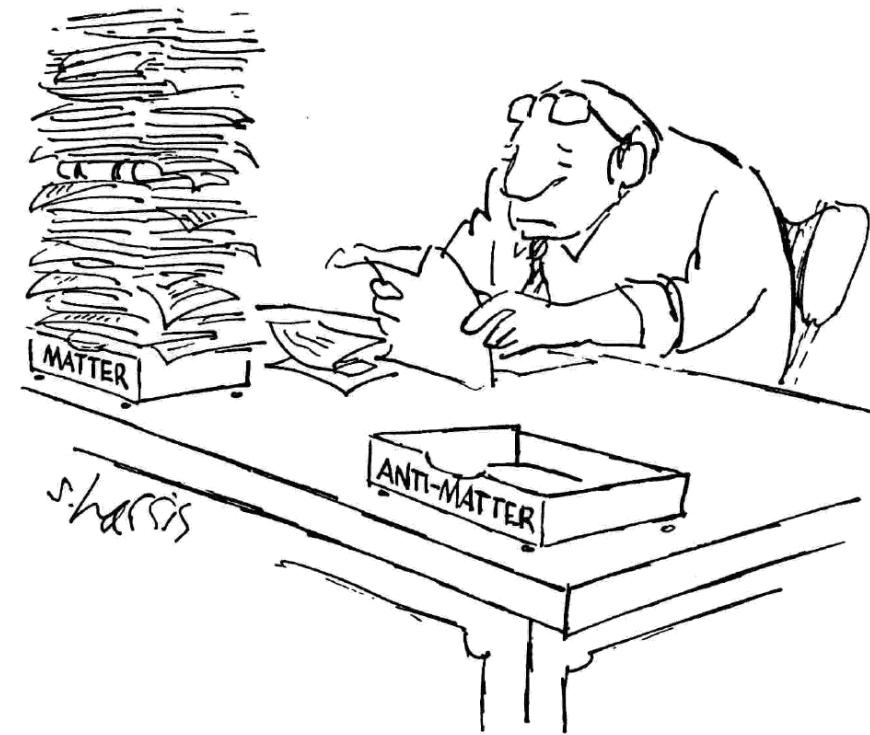
$$\eta = (6.14 \pm 0.24) \cdot 10^{-10}$$



# CMB origin: matter – antimatter annihilation

■ We need to explain the **asymmetry** between matter and anti-matter

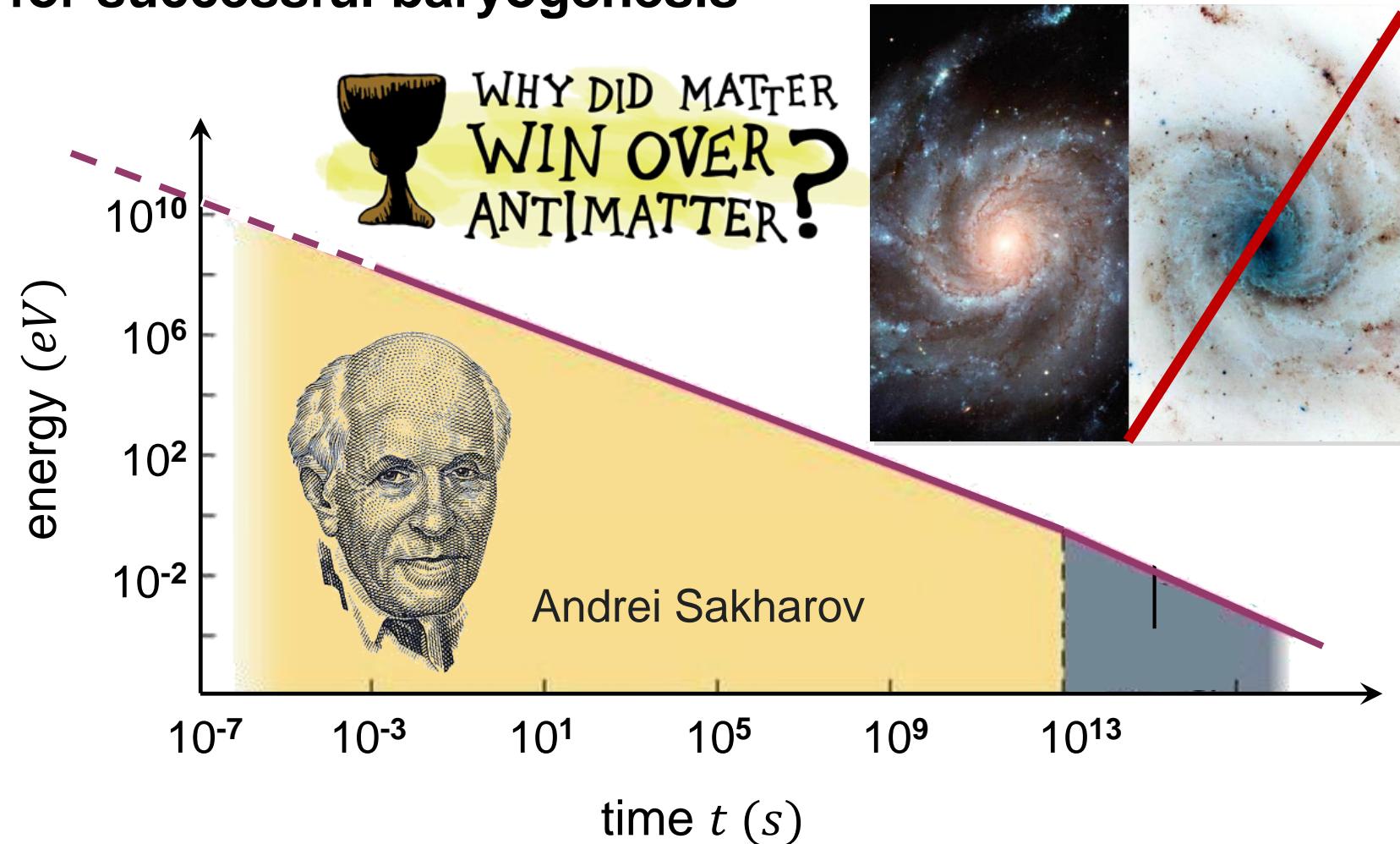
- 3 necessary conditions for successful **baryogenesis**



# CMB origin: matter – antimatter annihilation

## ■ 3 Sakharov conditions for successful baryogenesis

- #1:  $CP$  – and  $C$  – violating processes
- #2: processes which are violating baryon-number conservation ( $\Delta B \neq 0$ )
- #3: no thermodynamic equilibrium

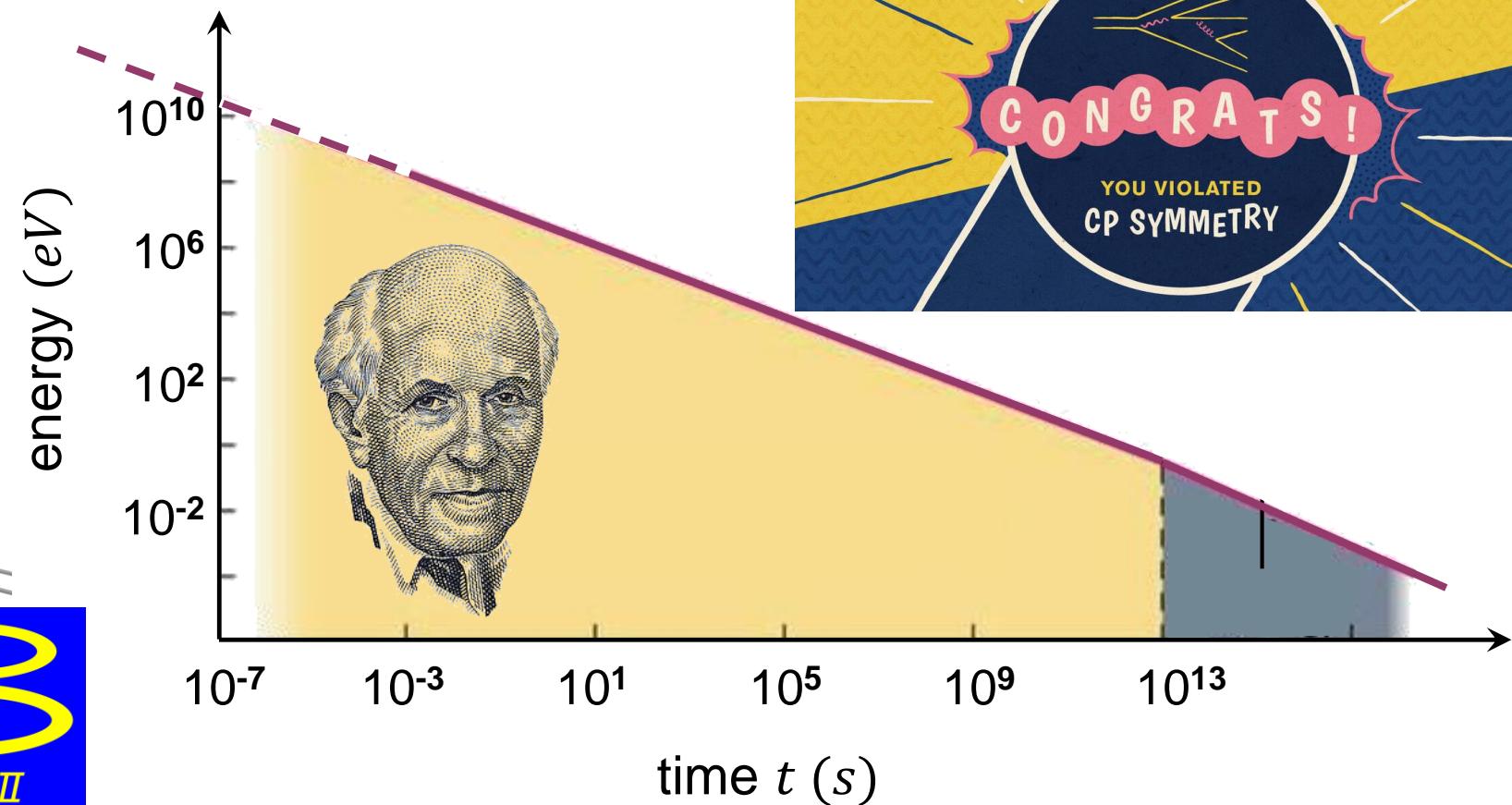
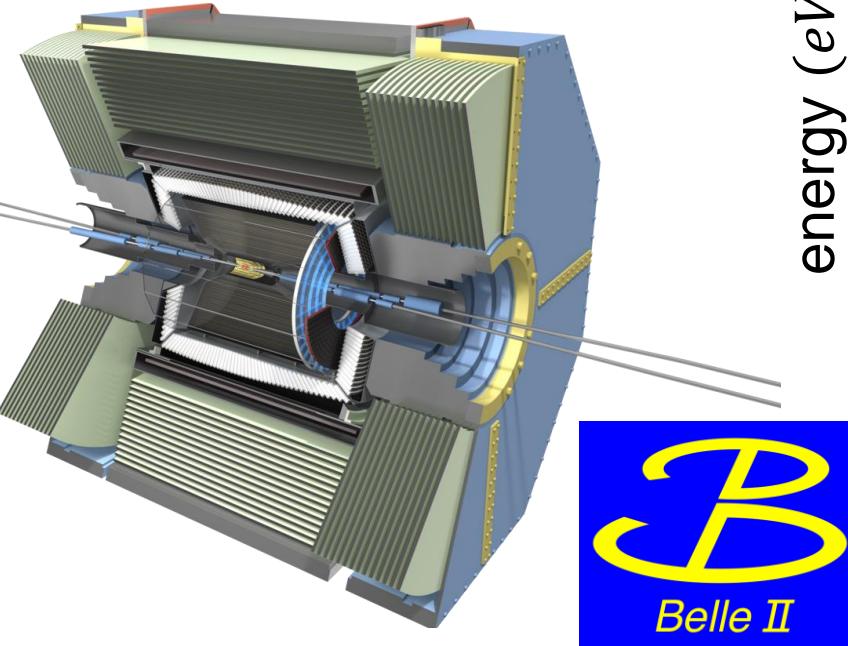


# CMB origin: matter – antimatter annihilation

## ■ Sakharov condition #1: $CP$ – and $C$ – violating processes (origin??)

- hadronic origin?

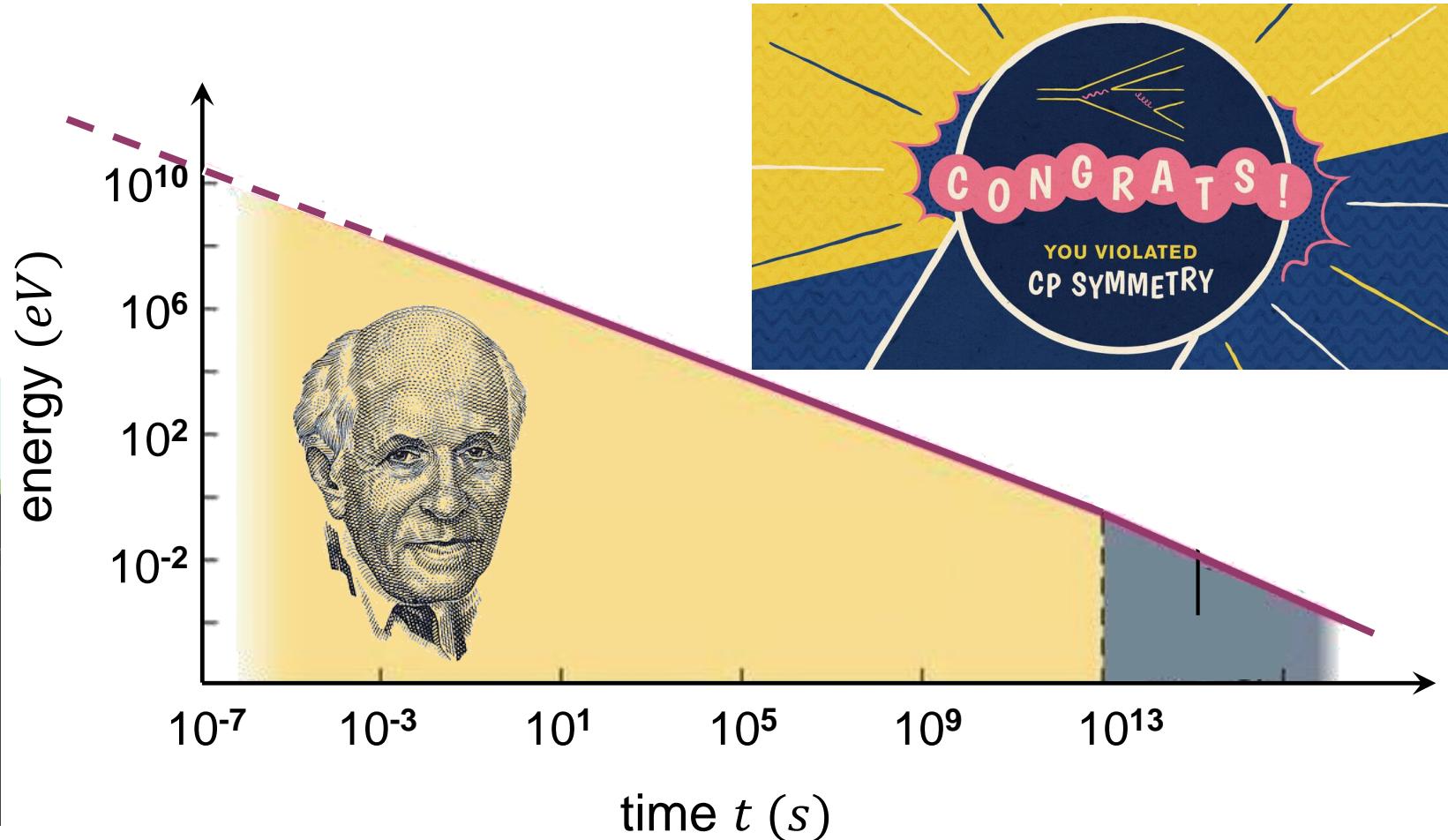
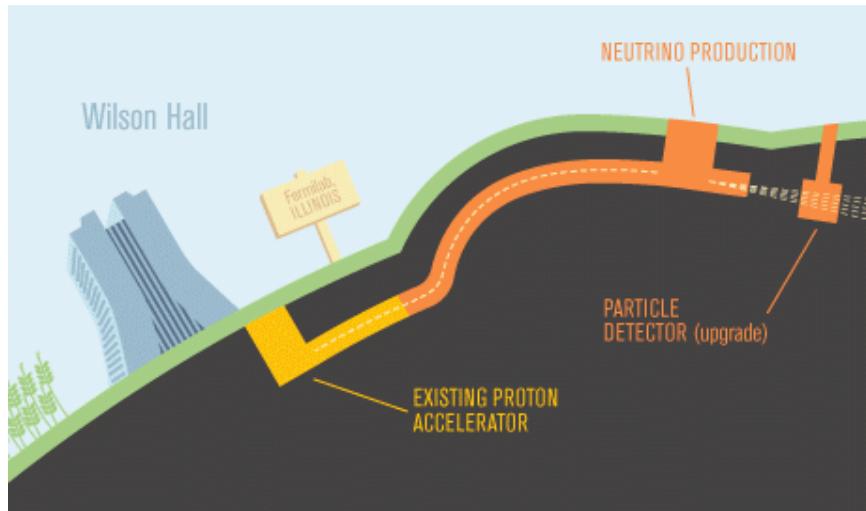
decay amplitudes of  
kaons,  $b$  – quarks



# CMB origin: matter – antimatter annihilation

## ■ Sakharov condition #1: $CP$ – and $C$ – violating processes (origin??)

- leptonic origin?  
decay amplitude of  
heavy neutrinos,  
neutrino mixing...



# CMB origin: matter – antimatter annihilation

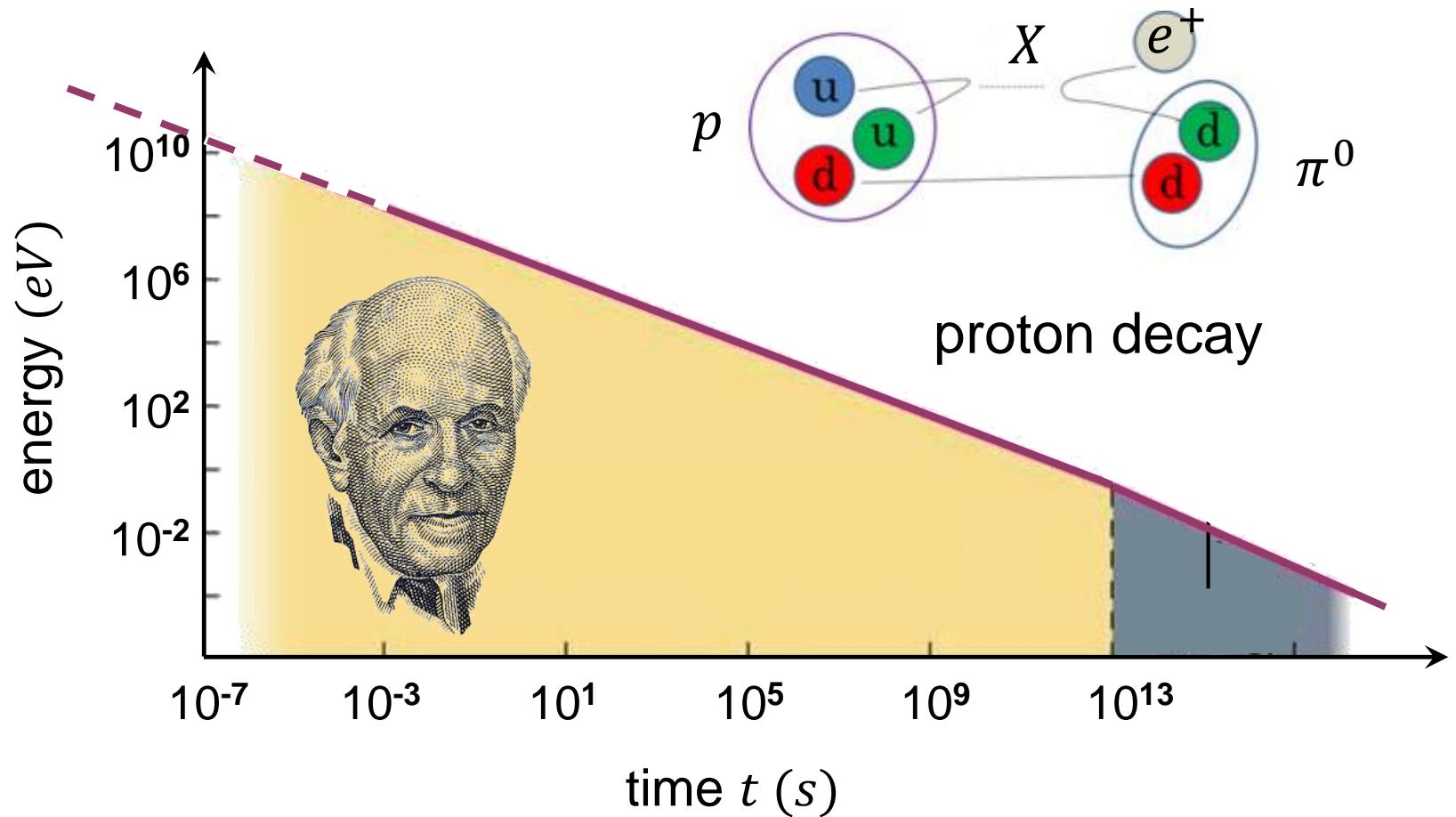
## ■ Sakharov condition #2: $B$ – violating processes

- GUT\*-scenarios predict violation of  $B$  (while they are conserving  $(B - L)$ )

baryon number

$$B = \frac{1}{3} \cdot [N(q) - N(\bar{q})]$$

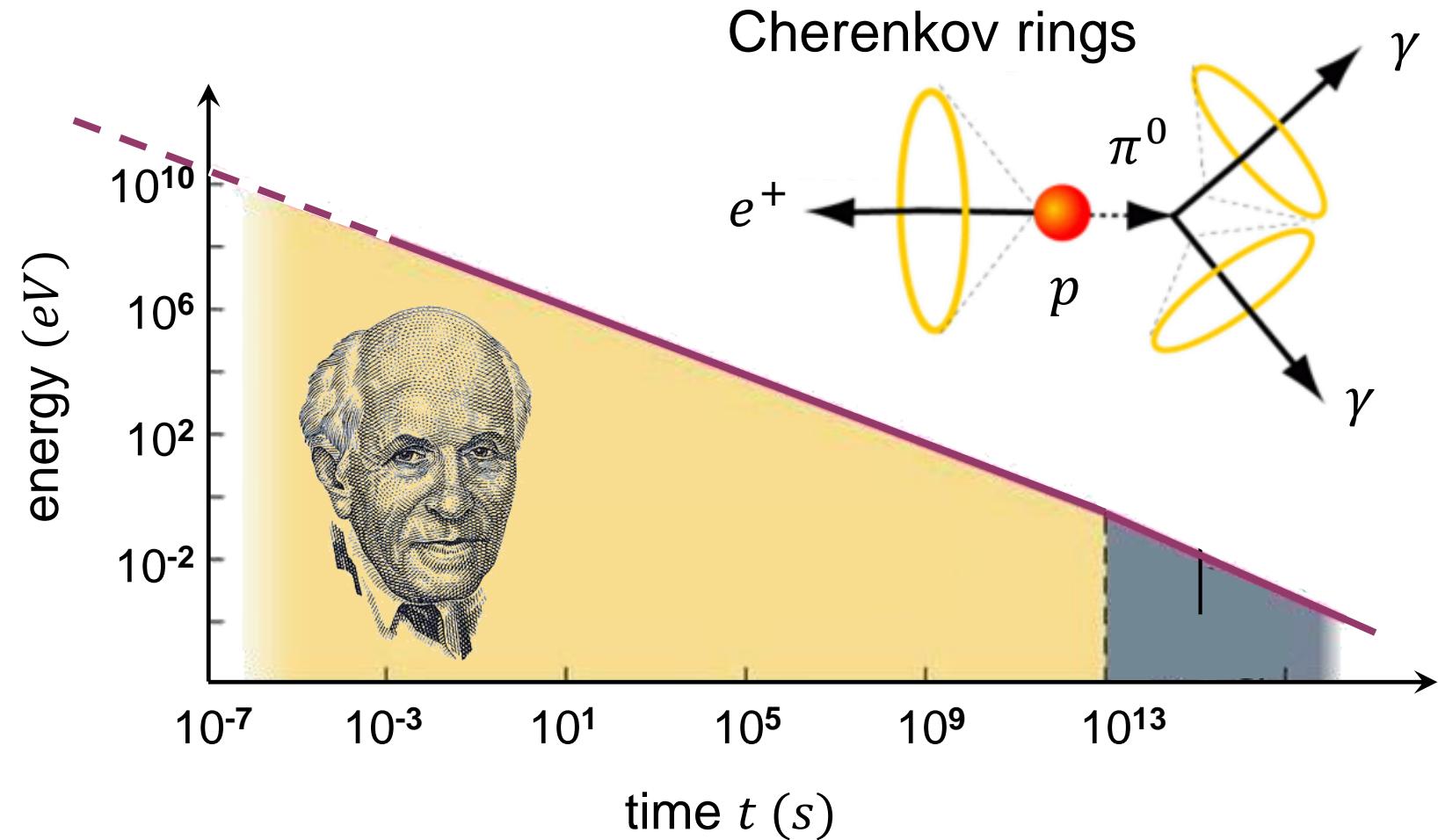
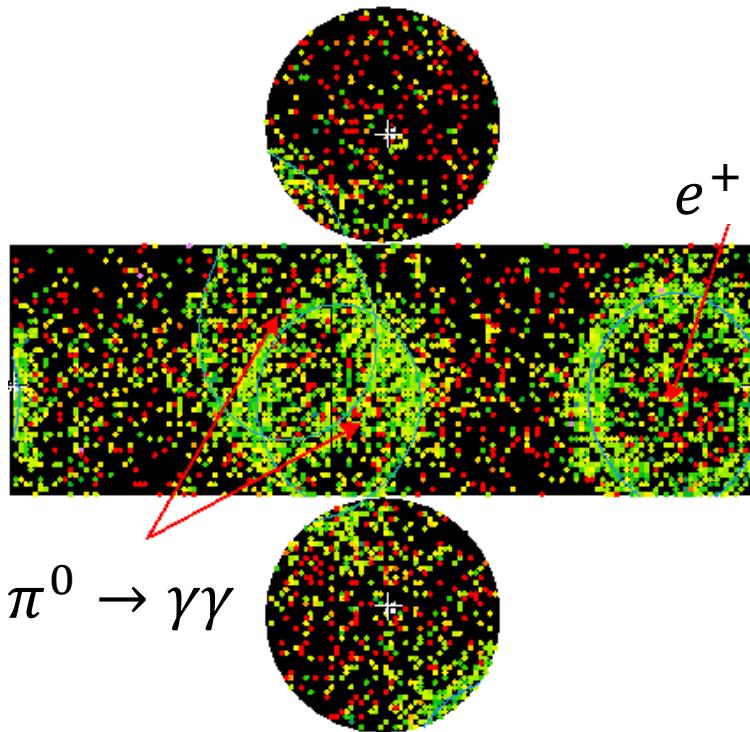
$N(q), N(\bar{q})$  :  
number of quarks/  
antiquarks



# CMB origin: matter – antimatter annihilation

## ■ Sakharov condition #2: $B$ – violating processes

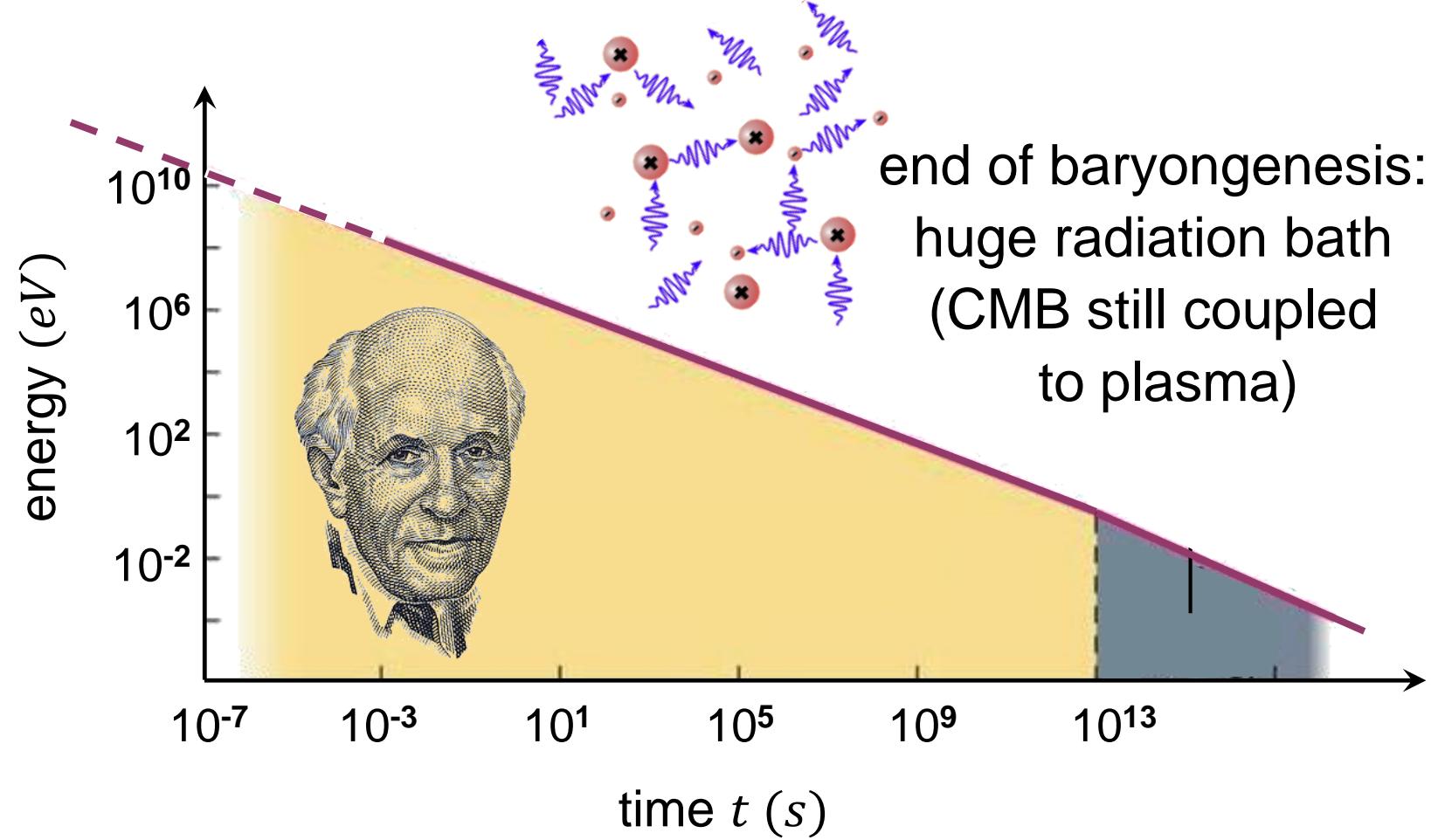
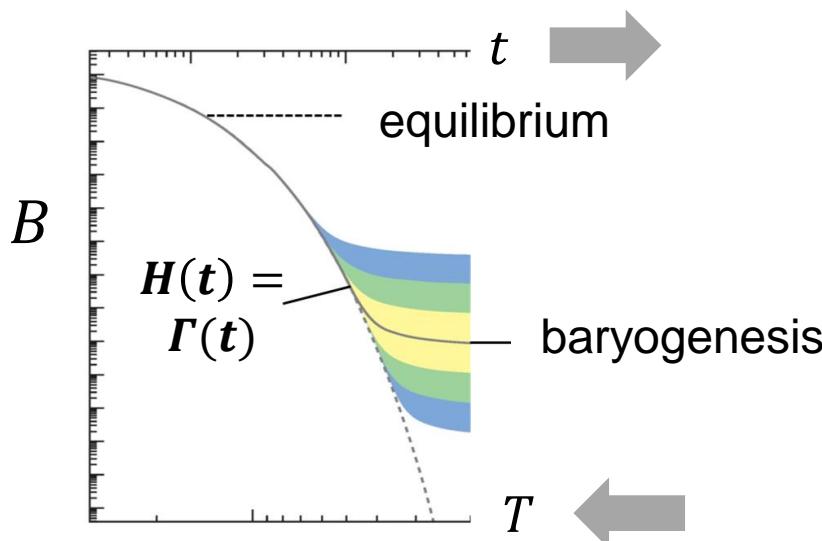
- simulated  $p$  – decay  
in Super-Kamiokande



# CMB origin: matter – antimatter annihilation

## ■ Sakharov condition #3: interactions out of thermal equilibrium\*

- expansion rate  $H(t)$  is larger than reaction rate  $\Gamma(t)$  responsible for  $B - violation$



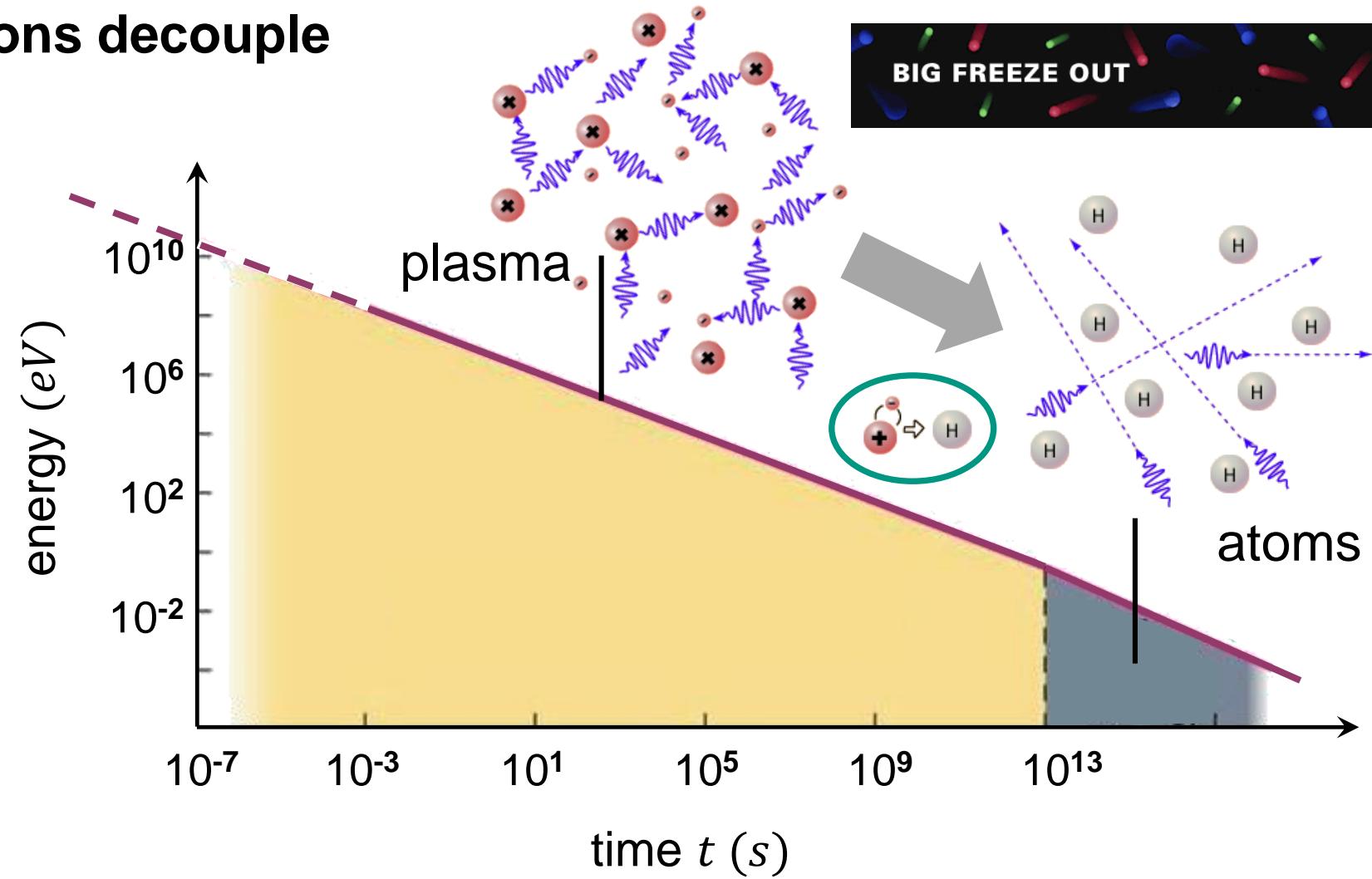
# Freeze-out of the thermal radiation: much later!

## ■ Phase transition: photons decouple

- at  $T_{dec} = 3000 \text{ K (eV)}$
- at  $t_{dec} = 378 \, 000 \text{ yr}$
- (redshift  $z_{dec} = 1100$ )
- plasma  $\rightarrow$  atoms**
- $\Rightarrow$  neutral atoms form different opacity for  $\gamma$ 's

$$\Gamma(t) = H(t)$$

photon scattering  
rate off  $e^-$  in plasma



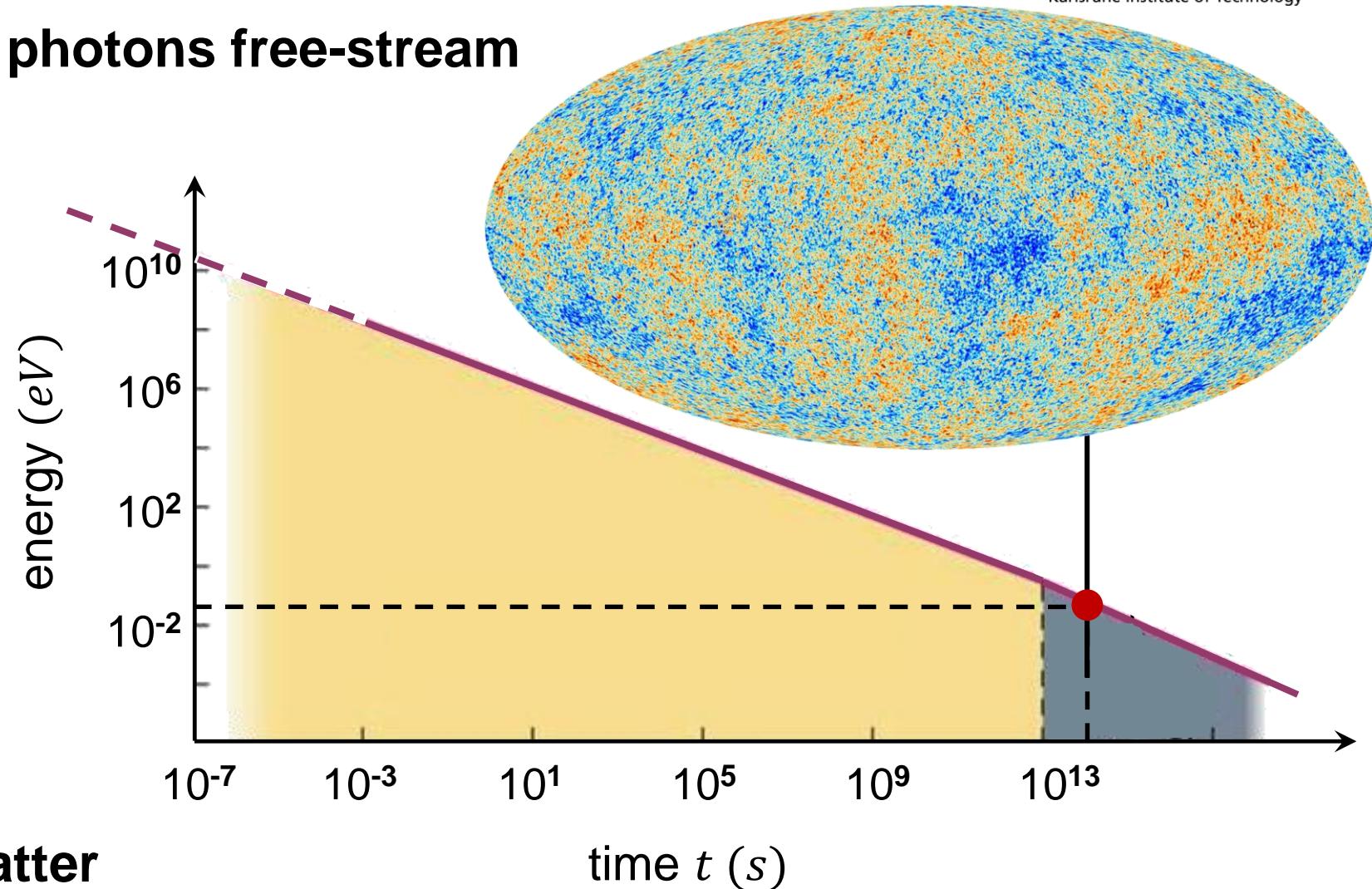
# Freeze-out of the thermal radiation

## ■ Phase transition: CMB photons free-stream

- at  $T_{dec} = 3000 \text{ K (eV)}$   
at  $t_{dec} = 378 \, 000 \text{ yr}$   
(redshift  $z_{dec} = 1100$ )  
universe = transparent  
to photons (radiation)



- matter & radiation are no longer coupled via Thomson scattering:  
**only gravity acts on matter**



# Decoupling of radiation from matter

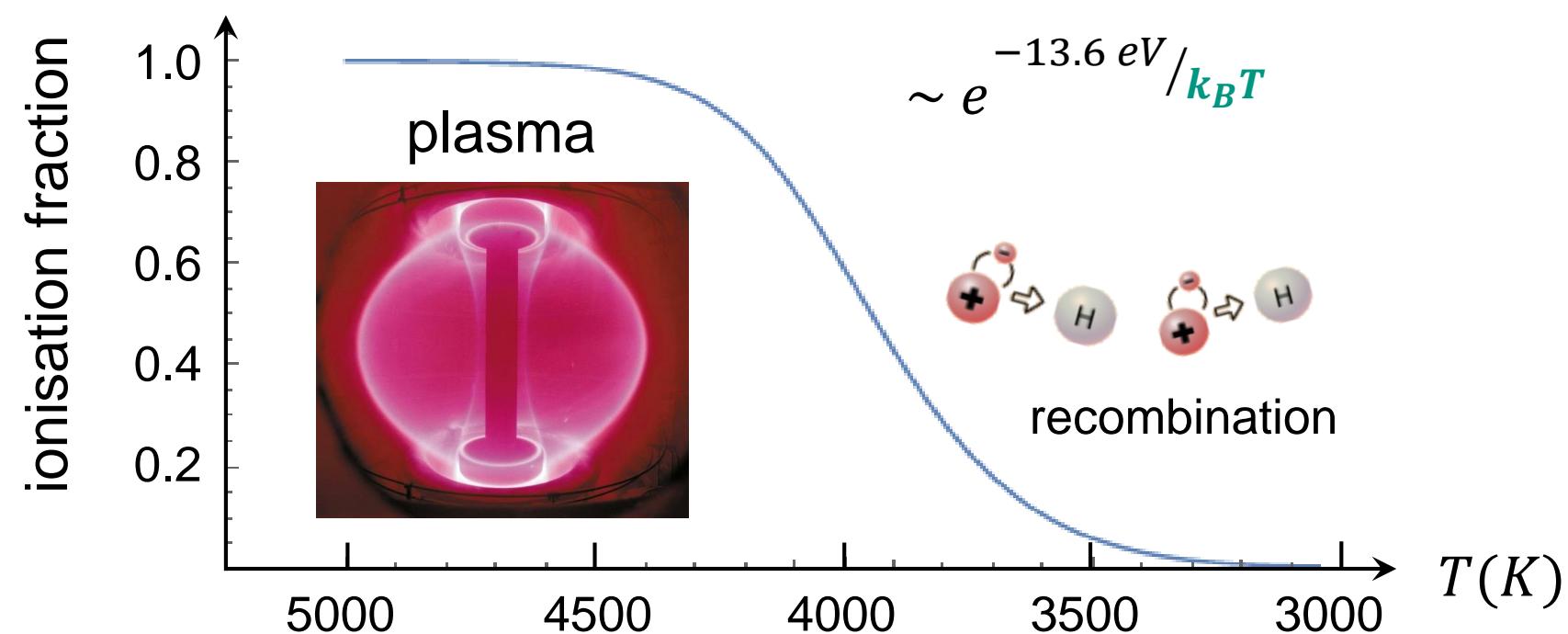
## ■ A closer look with M. Saha on the transition from plasma to neutral state

- **Saha ionisation equation:** describes the fraction of ionised atoms ( $H, He$ ) as function of the temperature  $k_B T$  of the universe



M. Saha

- universe became transparent at a temperature  
 $T \sim 3000 K$  ( $z = 1100$ )



# Before the freeze-out: a transition from $\Omega_\gamma$ to $\Omega_M$

## ■ From a radiation-dominated ( $\Omega_\gamma$ ) to a matter-dominated ( $\Omega_M$ ) universe

- time  $t_{eq}$  of equality

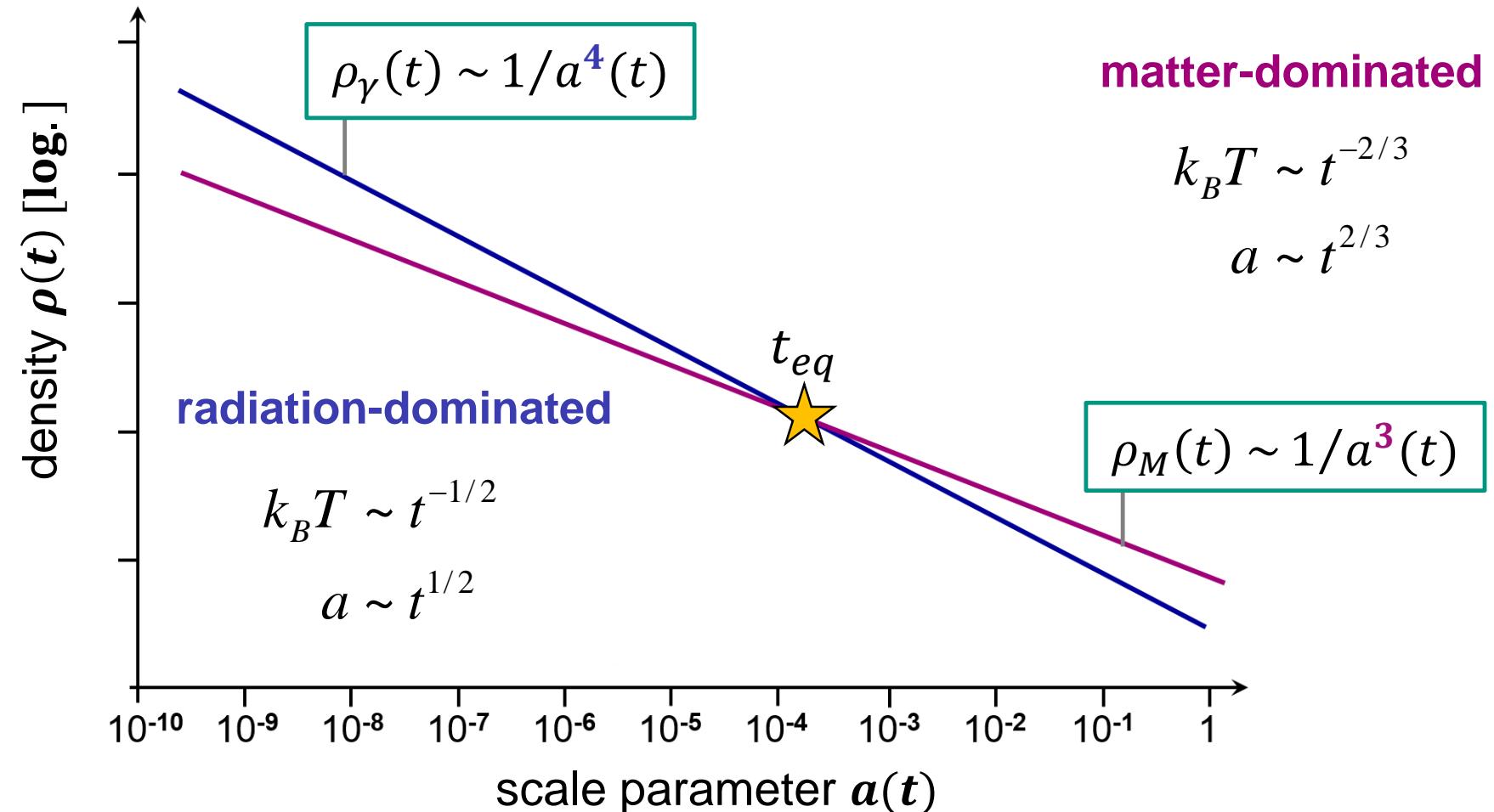
$$\rho_\gamma(t) = \rho_M(t)$$

(radiation – matter)

$$t_{eq} \approx 30000 \text{ yr}$$

$$z_{eq} \approx 1500$$

- decoupling of matter & radiation at  $t = 378000 \text{ yr}$  occurs later!



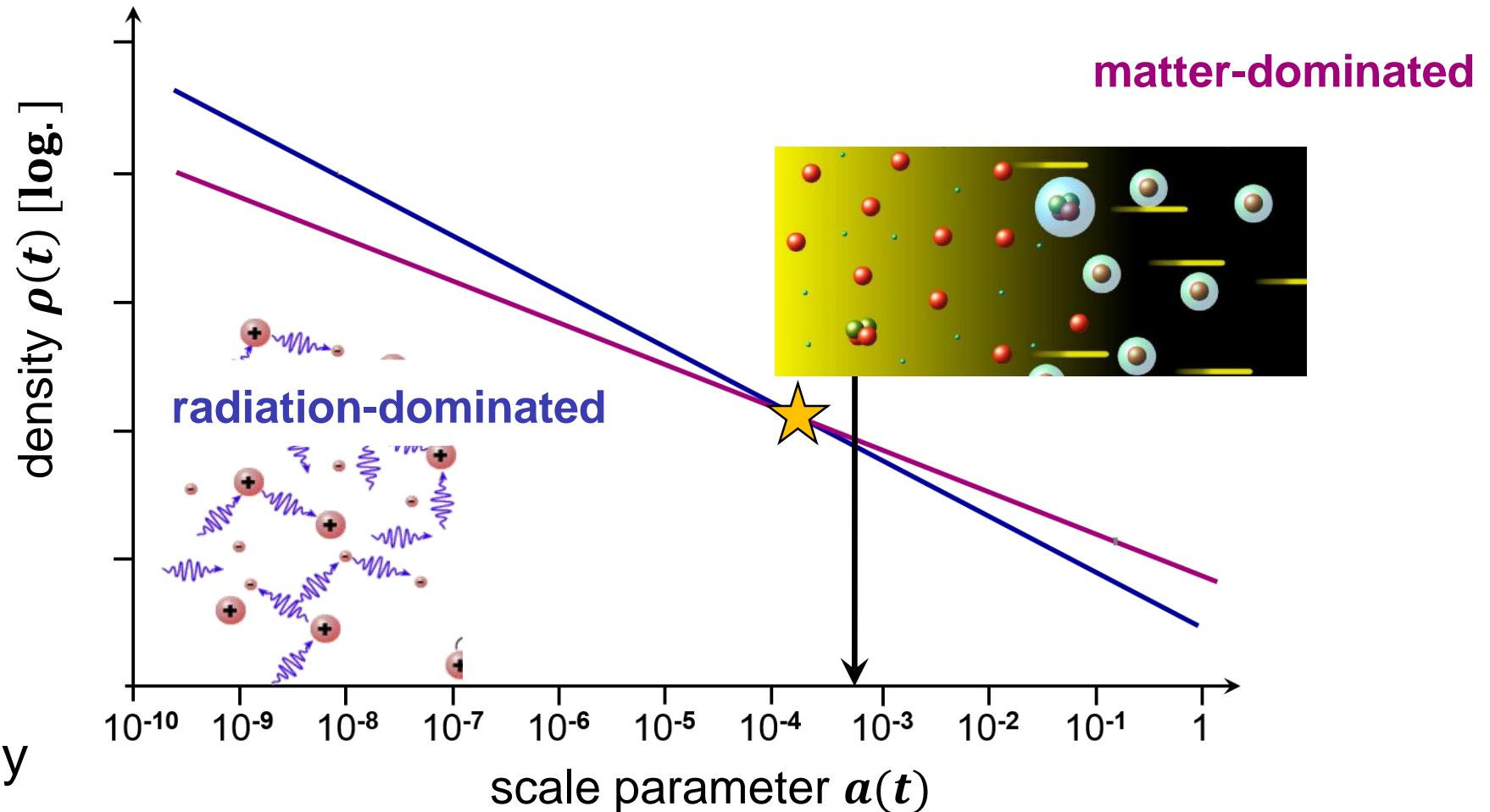
# Before the freeze-out: a transition from $\Omega_\gamma$ to $\Omega_M$

## ■ From a radiation-dominated ( $\Omega_\gamma$ ) to a matter-dominated ( $\Omega_M$ ) universe

- after decoupling matter can (finally) clump together

$$t_{dec} = 378000 \text{ yr}$$
$$z_{dec} \approx 1100$$

- also important:  
speed of sound  
(density waves)  
changes considerably



# Radiation- and matter- dominated universe

## ■ Properties of the phase transition from a plasma to neutral atoms

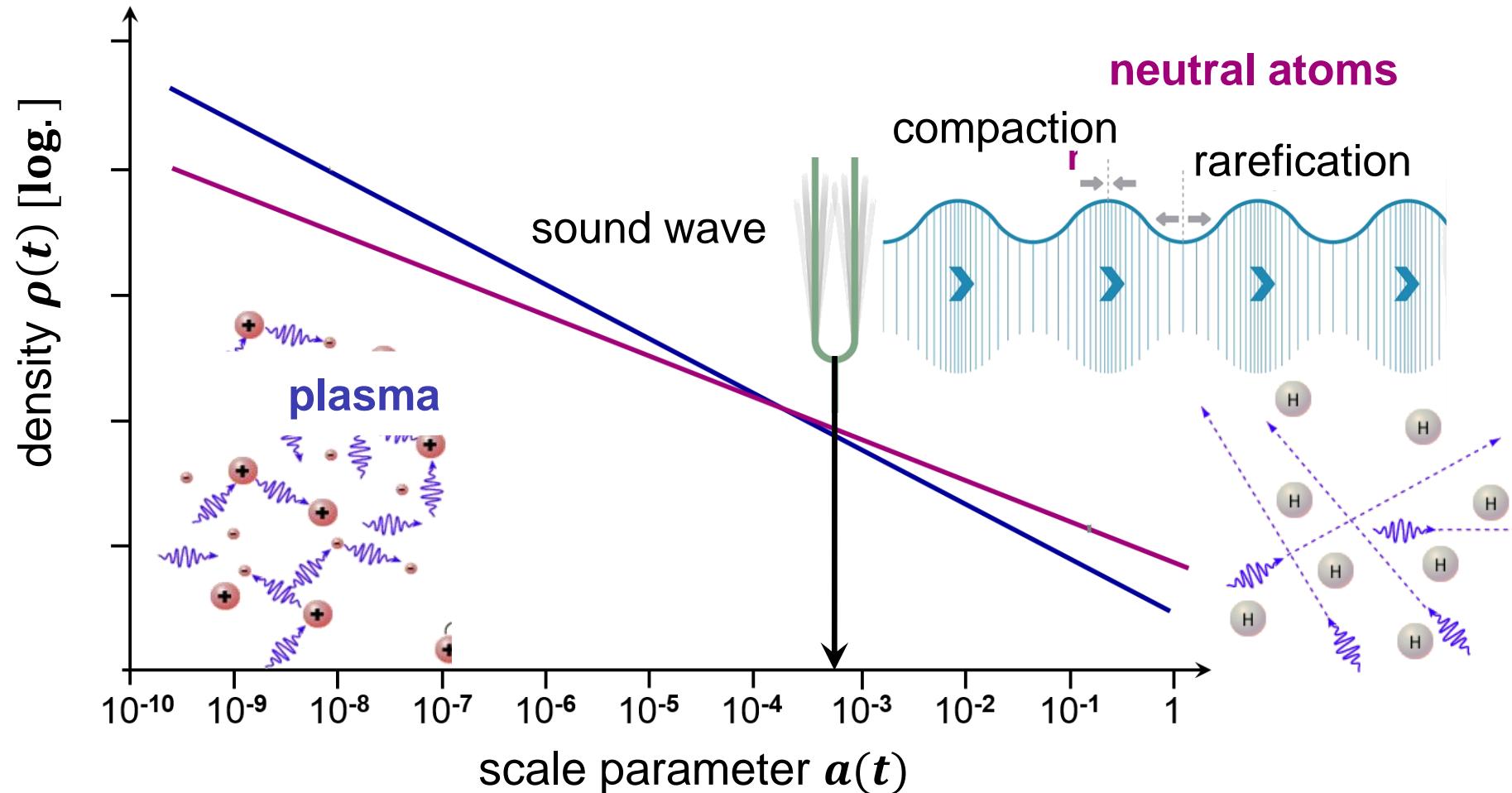
- sound speed  $v_s$  before (plasma) & after (neutral atoms) recombination:

- plasma: very fast!

$$v_s^2 = \frac{\partial p}{\partial \rho} = \frac{c^2}{3}$$

- neutral matter:

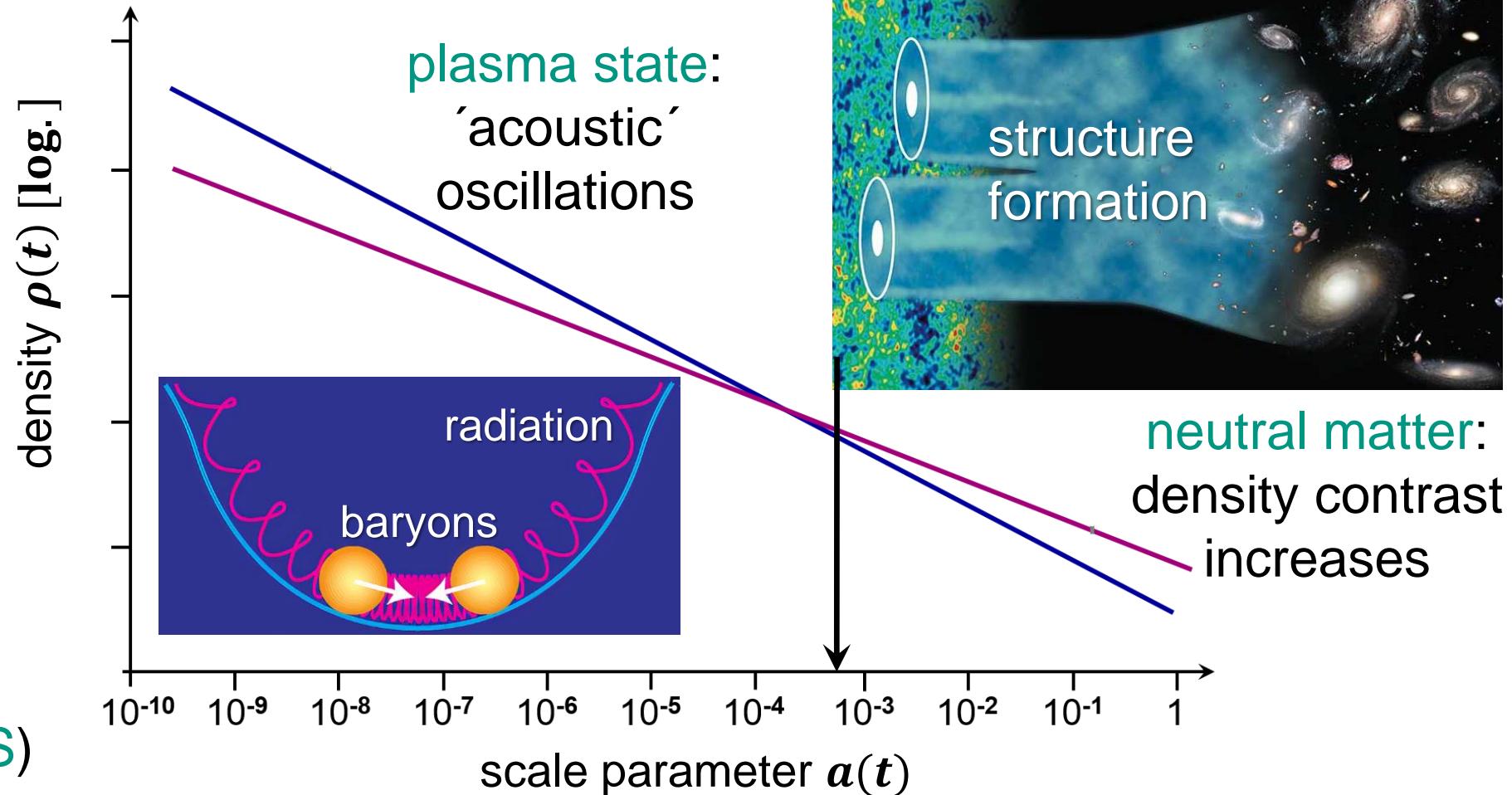
$$v_s^2 \approx 0$$



# Radiation- and matter- dominated universe

## ■ Decoupling of radiation from matter: structure formation starts!

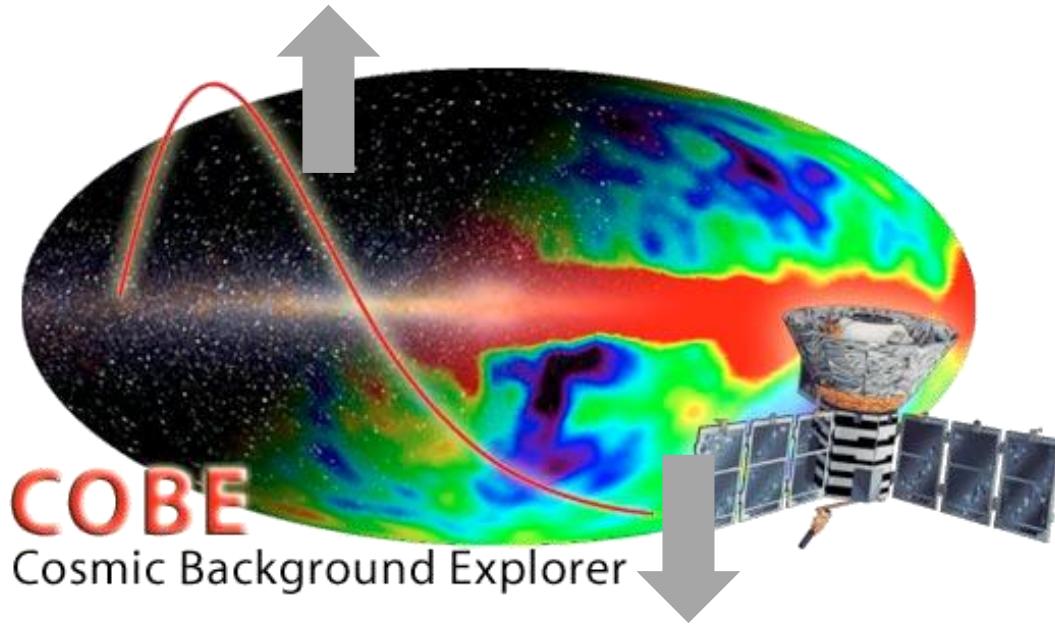
- plasma:  
small fluctuations  
 $\Delta T/T \Rightarrow (\text{BAO})$   
'Baryon Acoustic Oscillations'
- ↓
- neutral matter:  
gravity amplifies  
local overdensities  
to the current Large-Scale Structures (LSS)



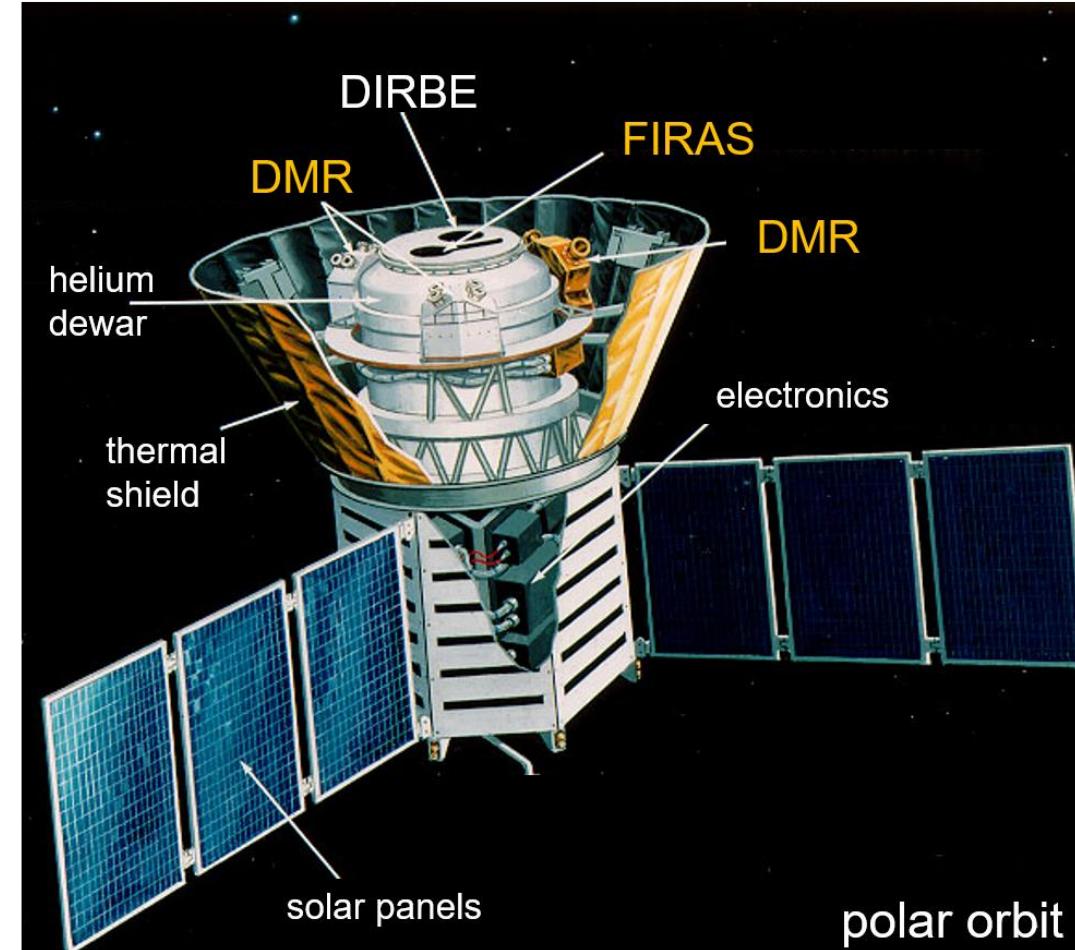
### 3.3 Cosmic Microwave Background: experiments

#### ■ The first space-based CMB mission: COsmic Background Explorer (COBE)

- NASA mission 1989-1993, two goals:
- is CMB a **perfect black body** spectrum?



- does CMB exhibit **fluctuations  $\Delta T / T$** ?



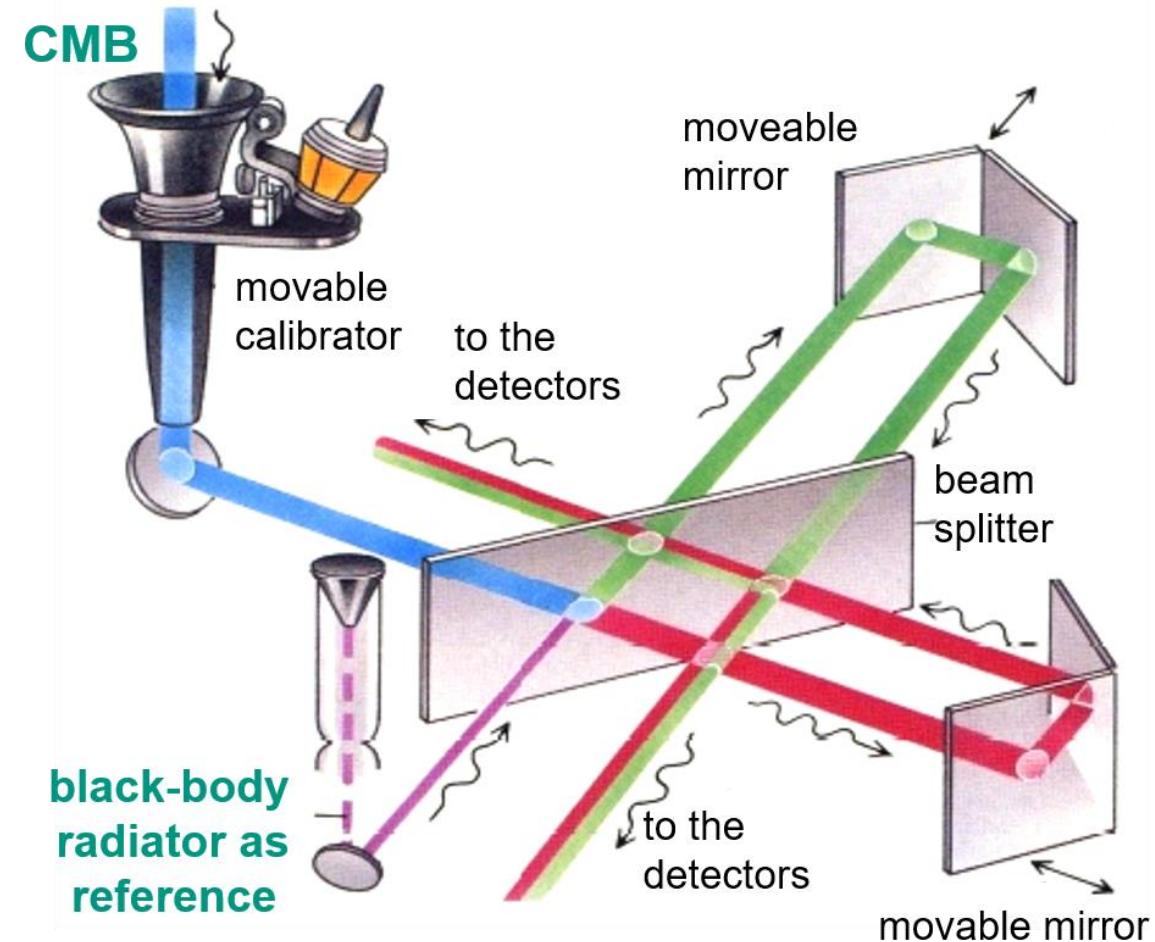
# COBE mission: the FIRAS instrument

## ■ John Mather investigates the **spectral form** of the CMB with FIRAS

- Far InfraRed Absolute Spectrophotometer (**FIRAS**)
- a classical Michelson-interferometer using *LHe* – cooled bolometers
- **principle:**  
compare CMB from horn with **7° opening angle** with a *LHe* – cooled reference black-body radiator



FIRAS horn

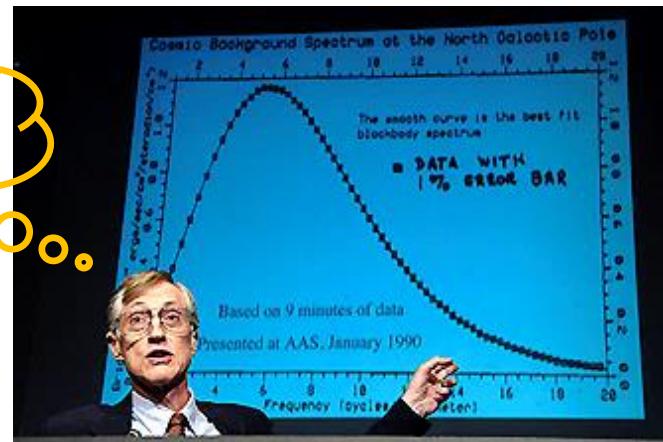


# FIRAS: first results already after 9 min.

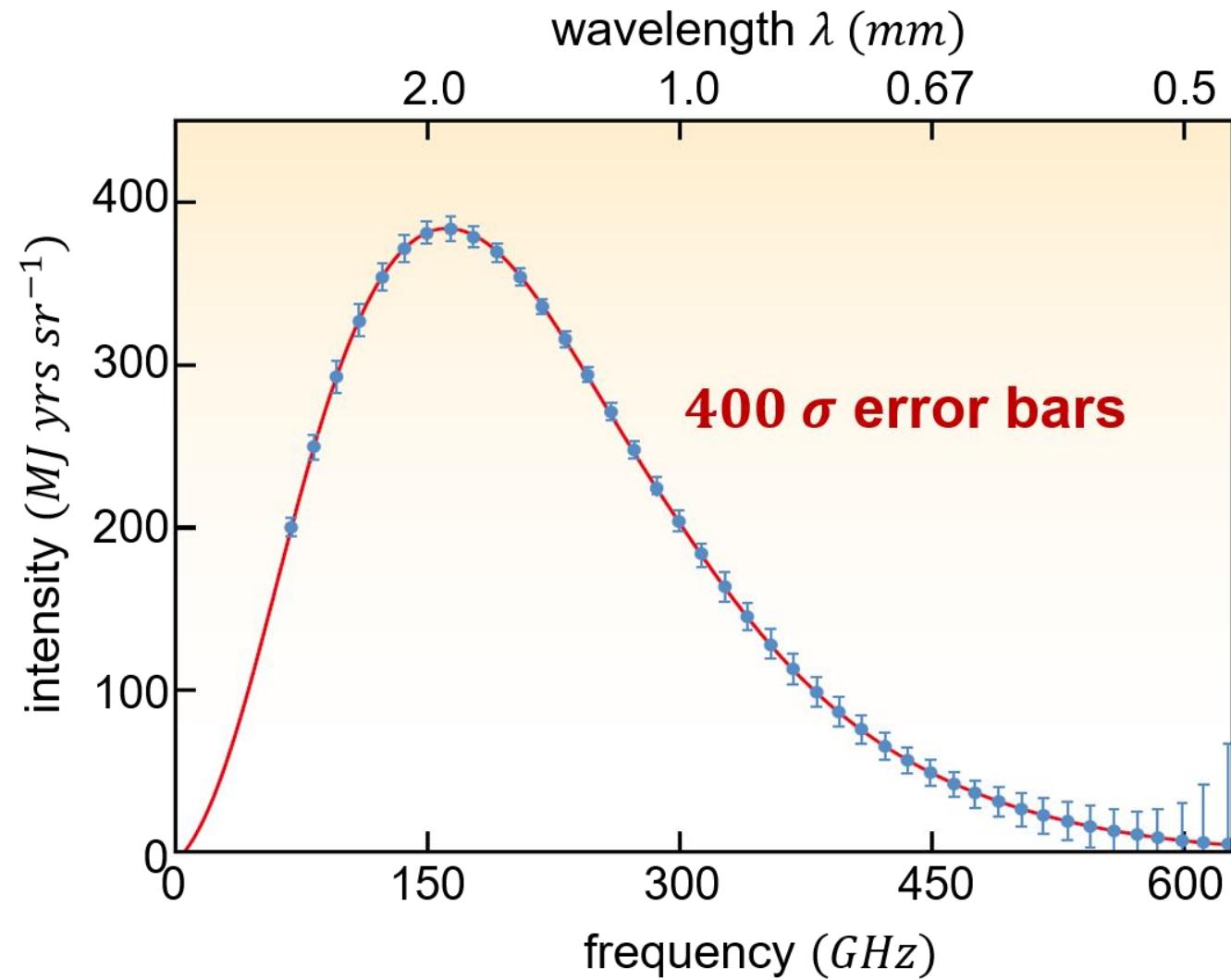
- FIRAS reveals: BBR is a perfect black-body radiator

- FIRAS operation ended when 650  $\ell$  LHe – dewar was empty (1990)

9 min. for my Nobel



$$T(CMB) = (2.72548 \pm 0.00057)K$$



# COBE mission: the DMR instrument

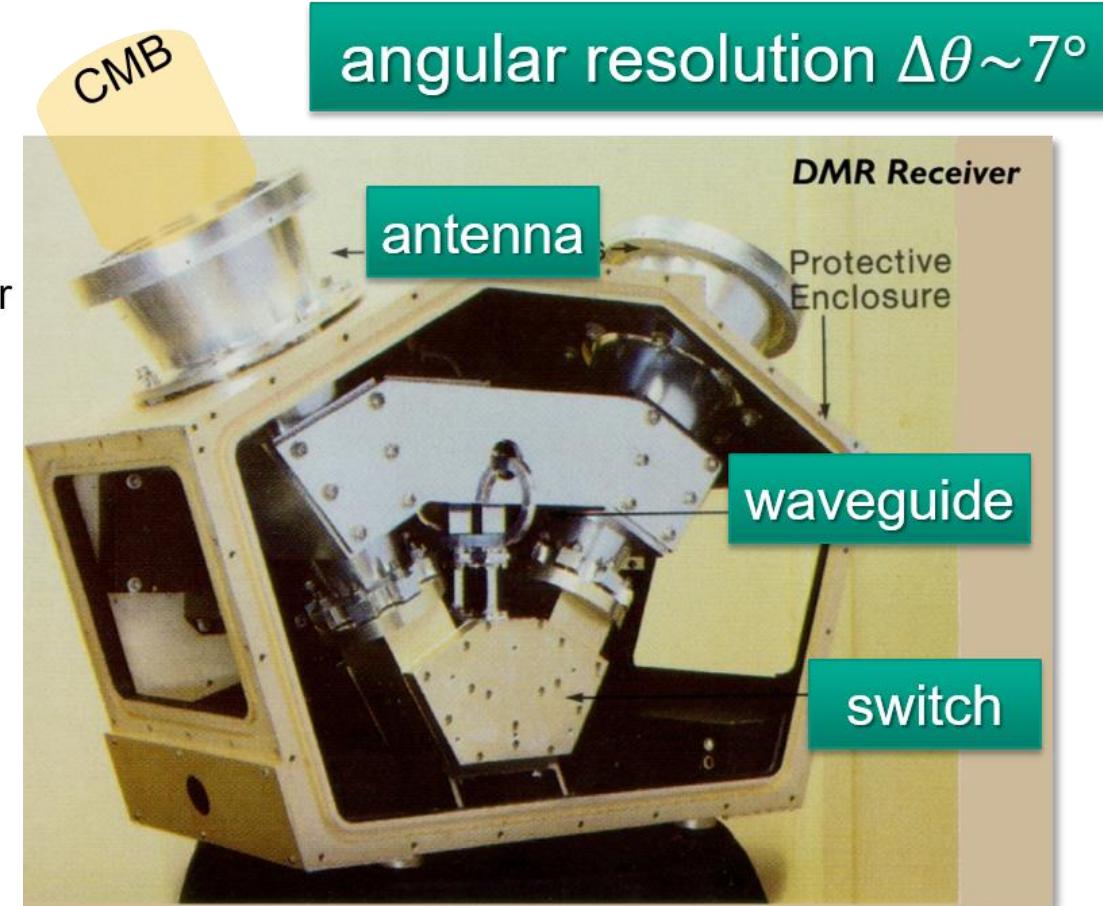
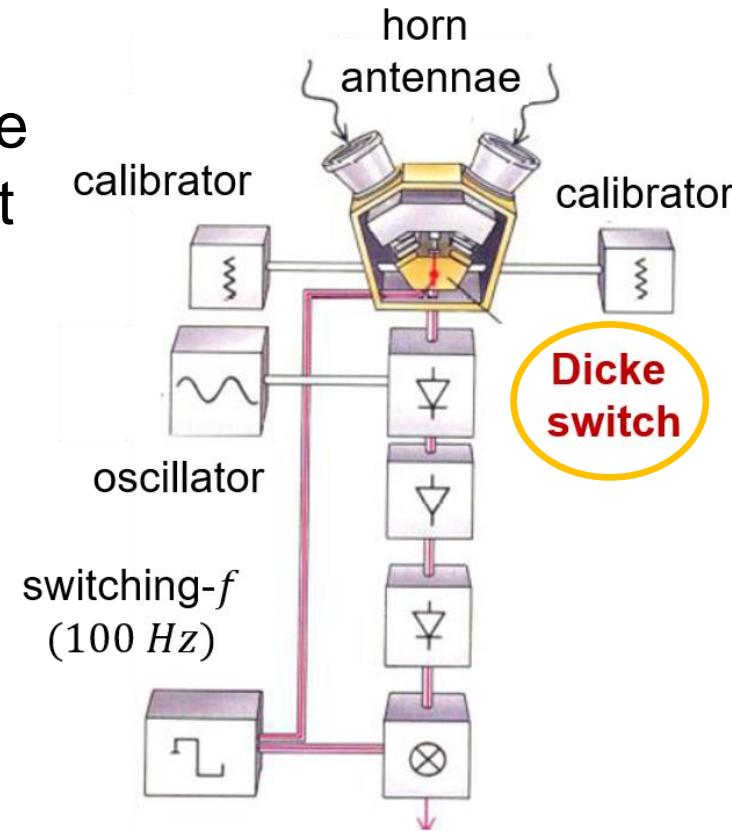
■ George Smoot is hunting tiny CMB **temperature fluctuations  $\Delta T/T$**  with DMR

- Differential Microwave Radiometer - **DMR**

- **principle:**

compare  $\Delta T/T$  of the CMB from 2 spots at  $60^\circ$  relative to each other with two horn antenna integrating over a  $7^\circ$  opening angle of the sky

- **key to success:**  
Dicke switch\*



# DMR: stat. significant results only after 4 yrs.

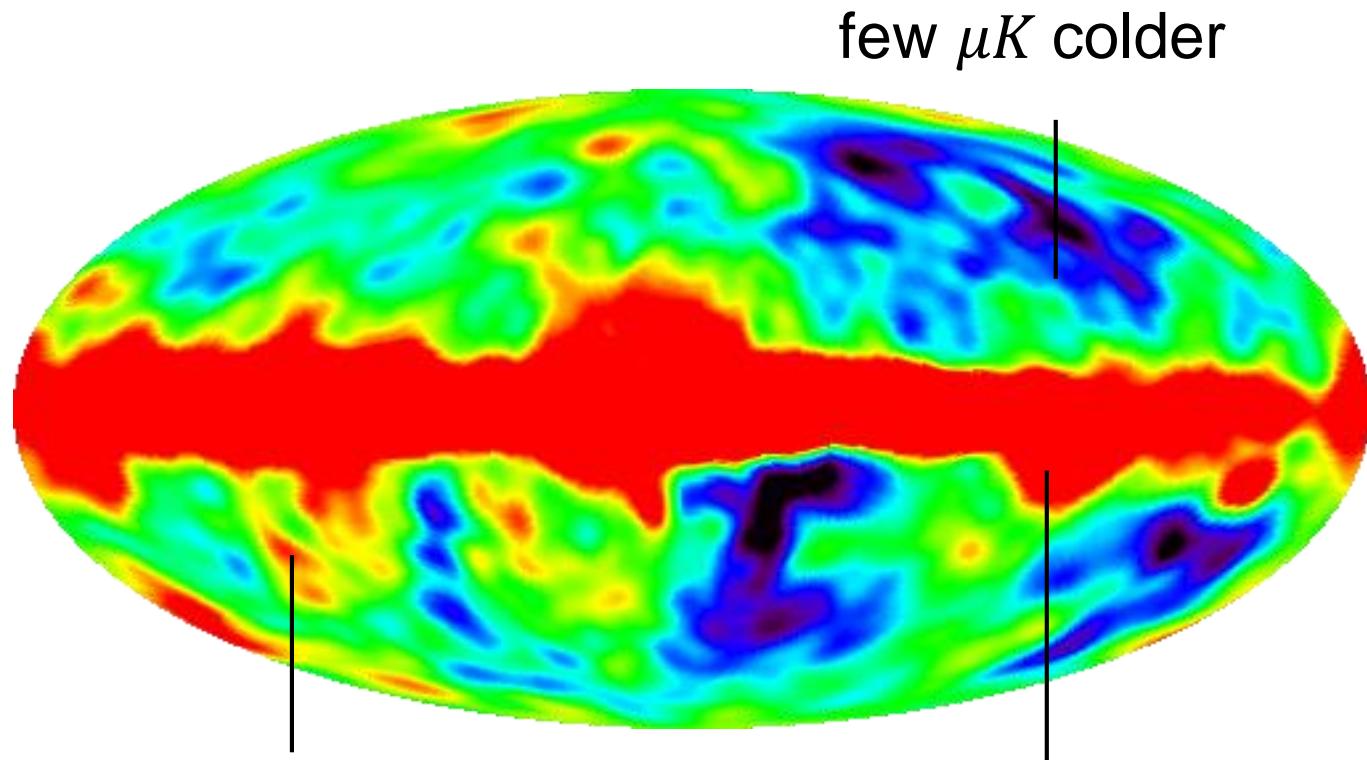
- DMR reveals: BBR shows tiny fluctuations temperature  $\Delta T/T$

- DMR operation ended in 1993  
(data do not depend on cooling by *LHe*-dewar)



4 yrs. for my Nobel

we had to integrate the data over four years...



few  $\mu K$  warmer

galactic emission:  
a noise signal

# DMR: stat. significant results only after 4 yrs.

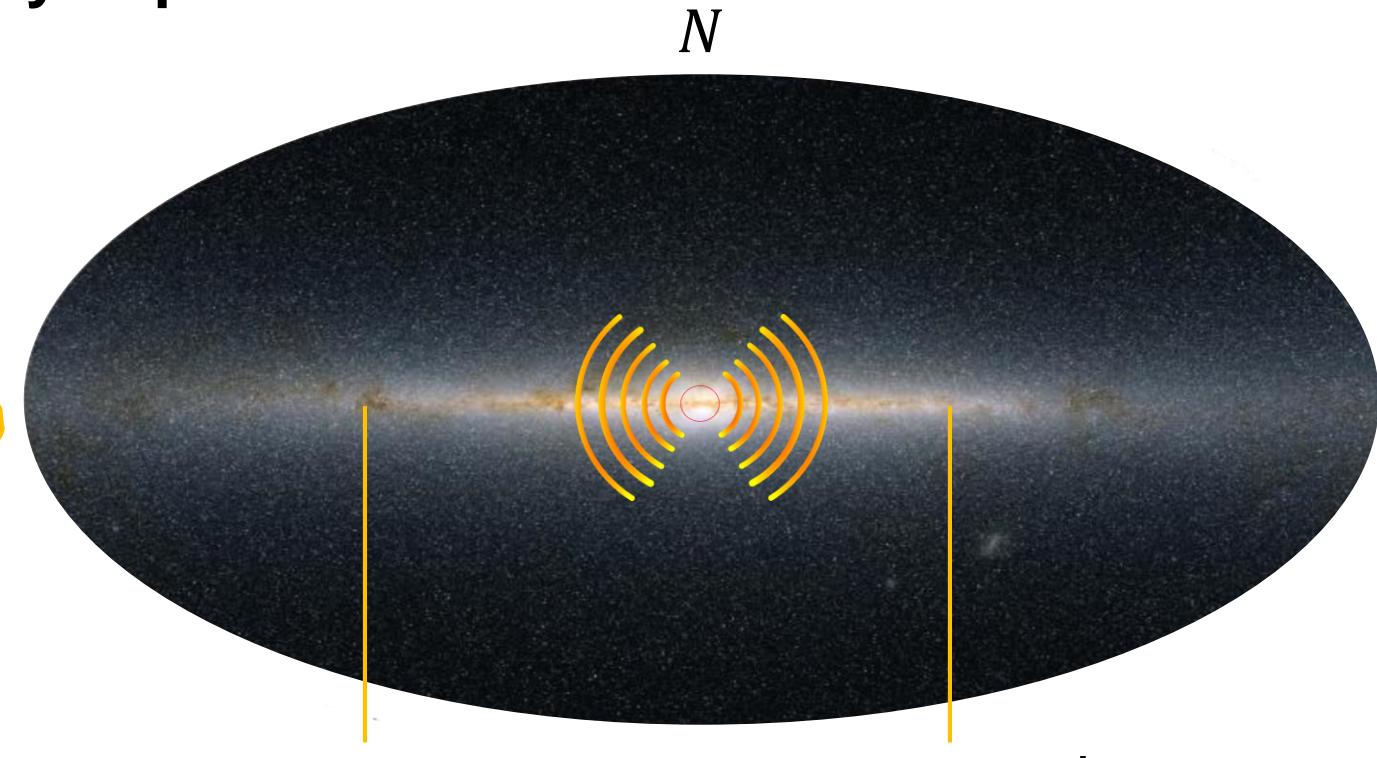
- DMR r signal reveals: BBR signal needs to be separated from the galactic noise via their respective frequency dependence

- galactic plane is a strong radio source: noise signal for CMB



we had to cut out the galactic plane ...

avoid the galactic plane



thermal emission:  
cold dust clouds

N  
S  
synchrotron  
radiation of  $e^-$

# DMR: stat. significant results only after 4 yrs.

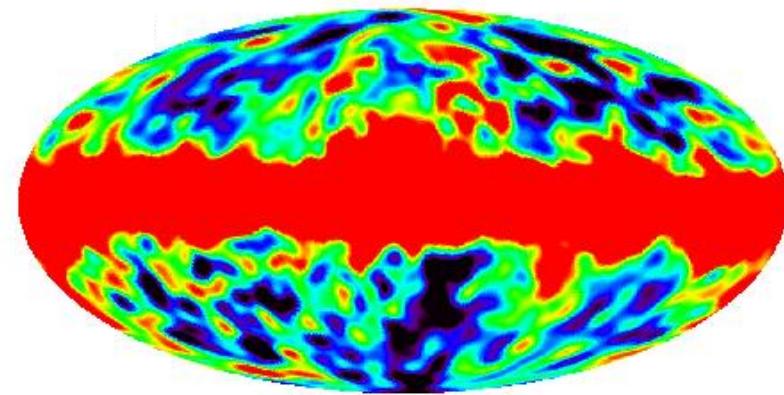
- DMR reveals: BBR shows tiny fluctuations temperature  $\Delta T/T$ 
  - DMR operated at 3 different frequencies: 31.5 – 53 – 90 GHz



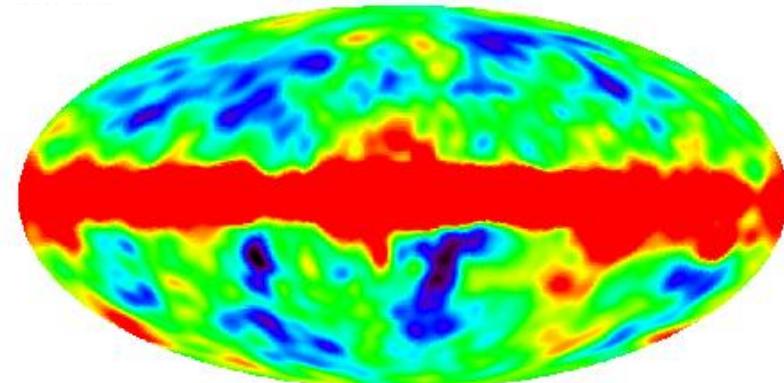
consistent  
results!!

$-100 \mu K$       $+100 \mu K$

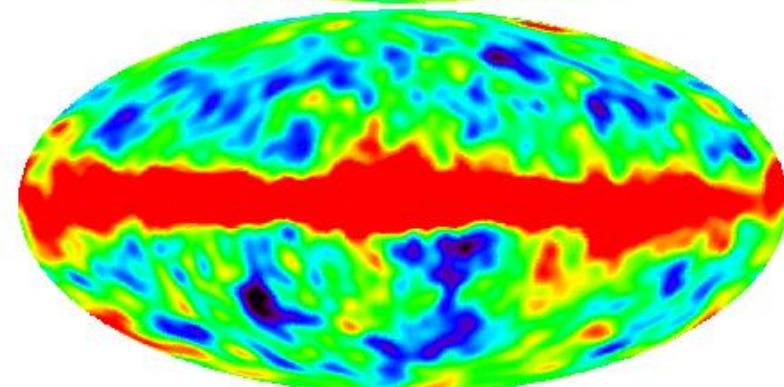
we had to compare hot & cold spots at 3 frequencies: good match!



31.5 GHz



35 GHz



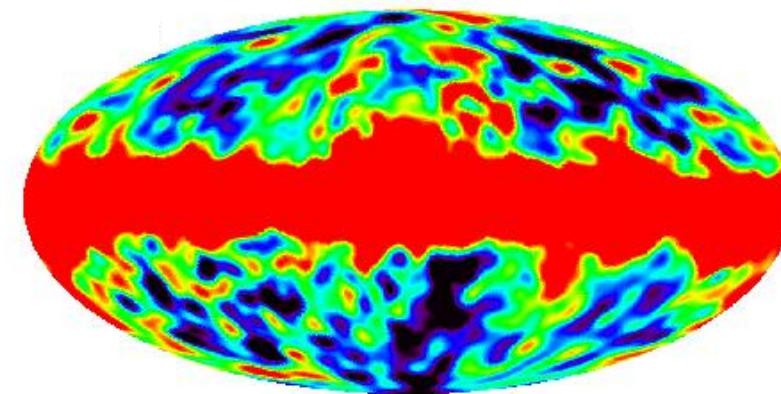
90 GHz

# DMR: stat. significant results only after 4 yrs.

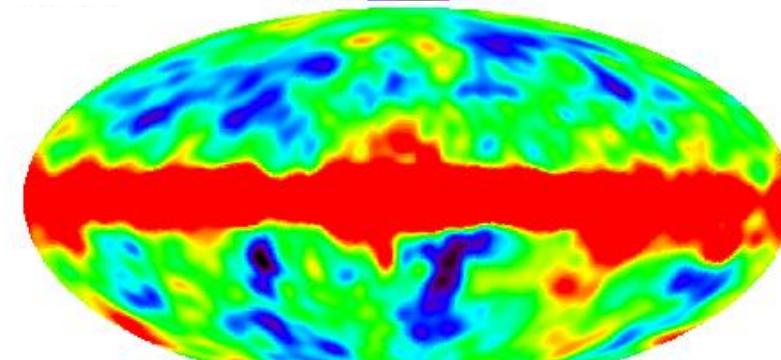
- DMR reveals: BBR shows tiny fluctuations temperature  $\Delta T/T$ 
  - DMR operated at 3 different frequencies: 31.5 – 53 – 90 GHz



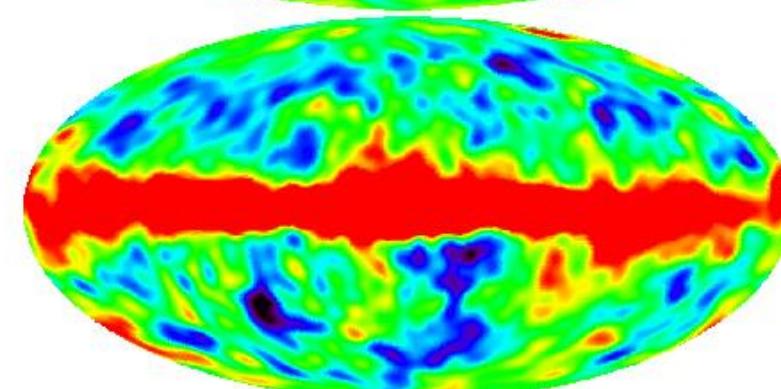
the  
**BIG**  
**BANG**  
THEORY



31.5 GHz



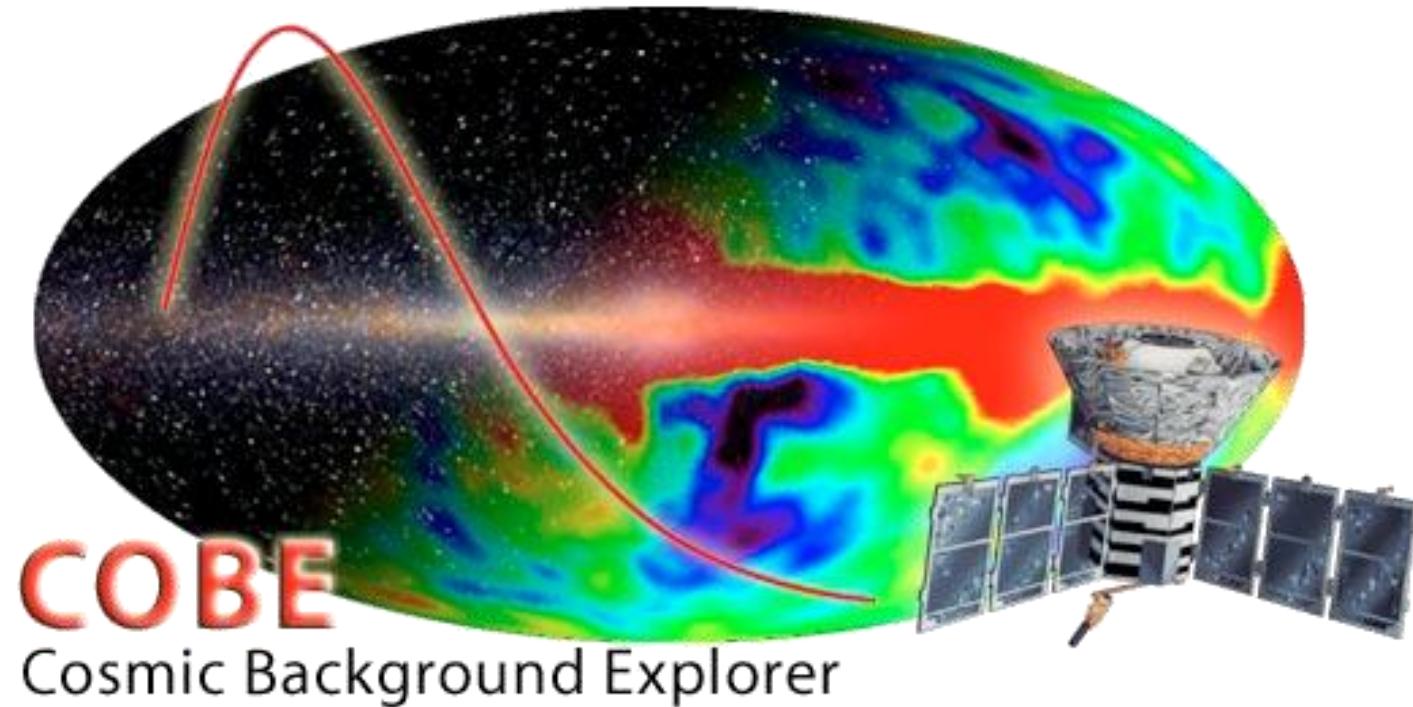
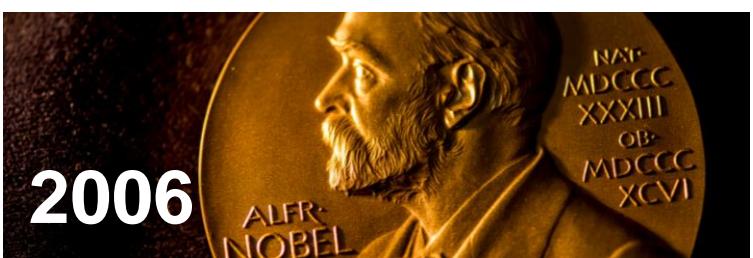
35 GHz



90 GHz

# COBE mission: important CMB results

- J. Mather and G. Smoot are awarded the Nobel prize in physics in 2006

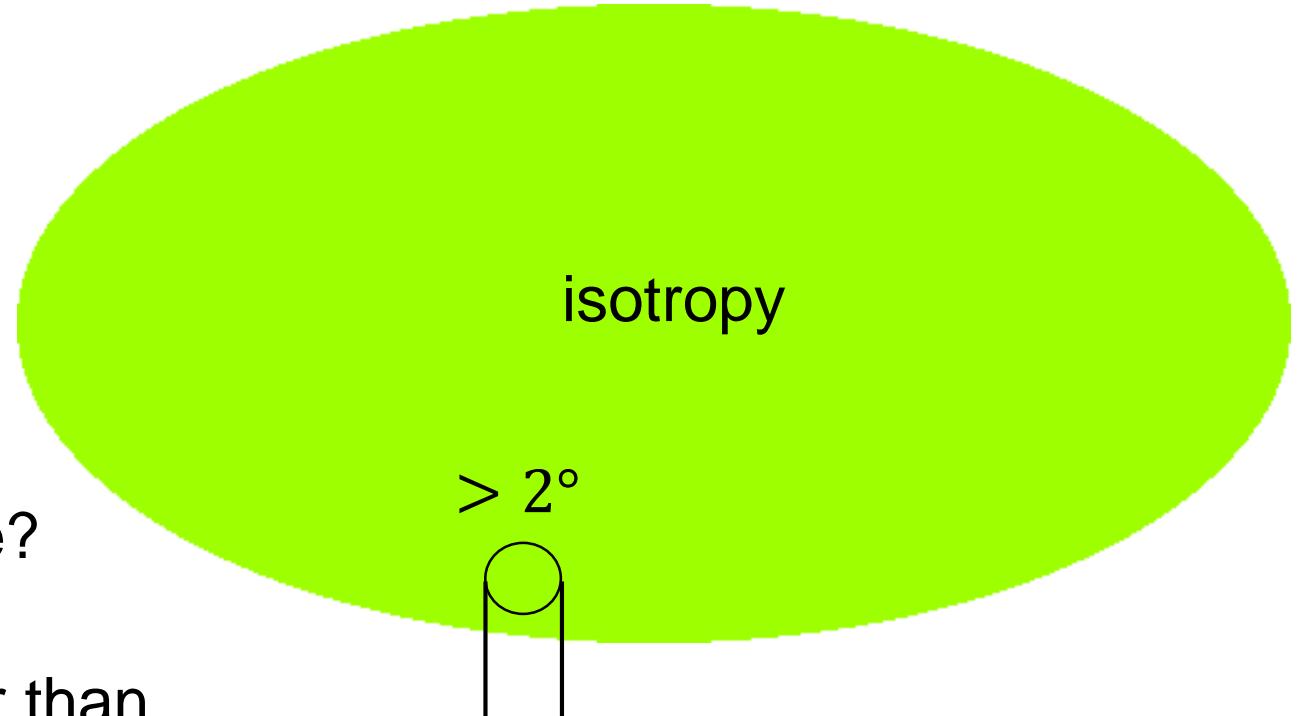


*"for their discovery of the blackbody form and anisotropy  
of the cosmic microwave background radiation."*

# Scientific legacy of COBE for cosmology

## ■ Legacy #1: to first order at the *sub – K – scale*, the universe is isotropic

- homogenous & isotropic universe with **Robertson-Walker metrics**
- **cosmological origin** of CMB
- what is the **origin of the isotropy** of the CMB on the *sub – K – scale*?
- **horizon problem**: all scales larger than **2°** have never been in causal contact!

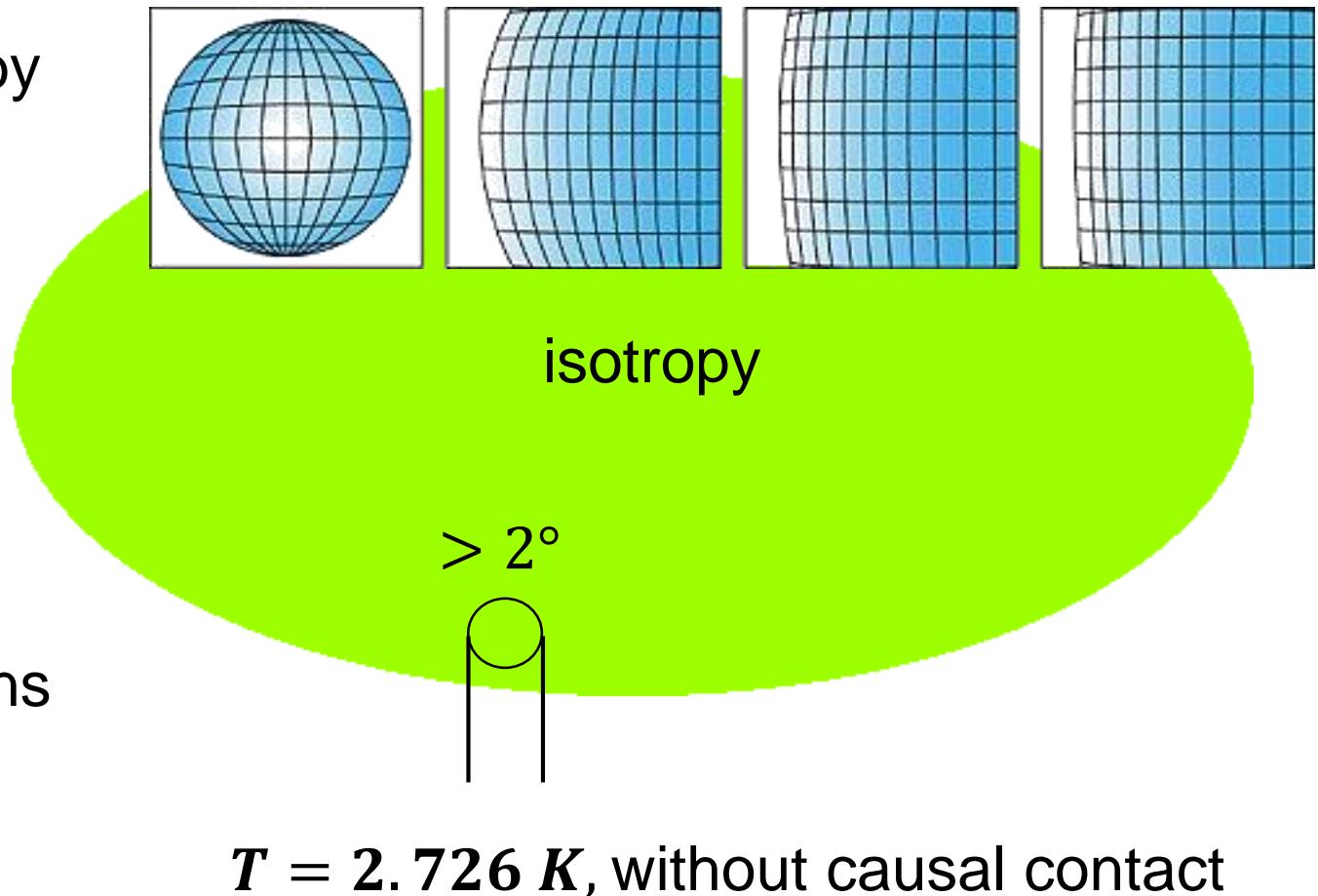


$T = 2.726 K$ , without causal contact

# Scientific legacy of COBE for cosmology

## ■ Legacy #1: to first order at the *sub – K* –scale, the universe is isotropic

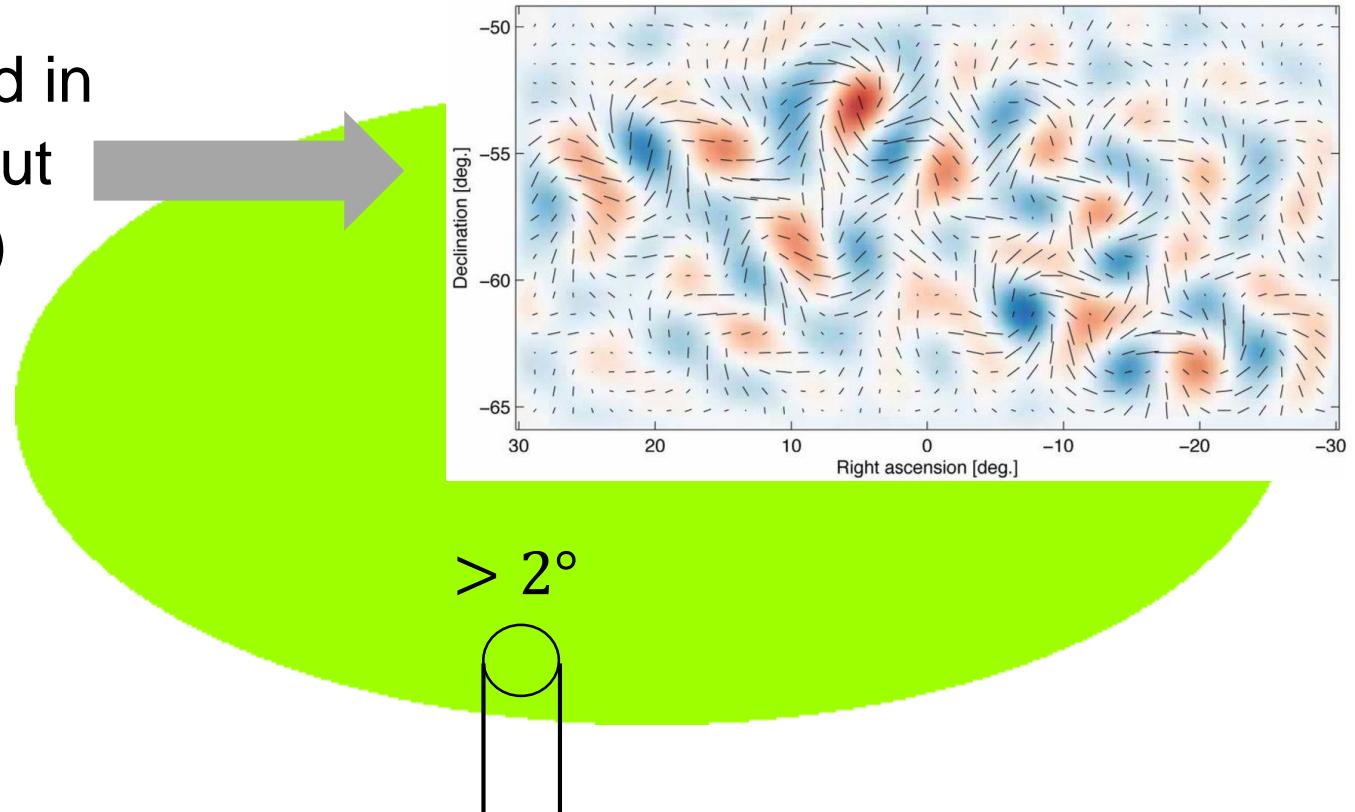
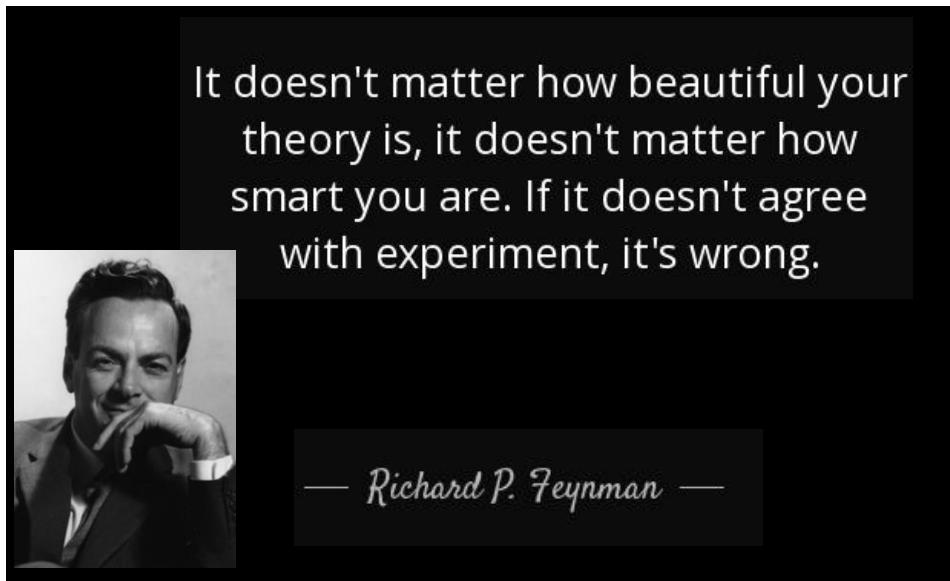
- inflationary theory addresses this by an **exponential growth of  $a(t)$**  at  $t = 10^{-36} \dots 10^{-32} s$
- expansion driven by **inflaton field**
- increase of scale factor  $a(t)$  by more than  $10^{22}$  (or  $e^{60}$  – fold)
- inflation would smooth out variations in the  $T$  –distribution of the CMB (down to the  $10^{-5}$  level observed)



# Scientific legacy of COBE for cosmology

## ■ Legacy #1: to first order at the *sub – K* –scale, the universe is isotropic

- rapid expansion should have resulted in the emission **gravitational waves**, but no signal has been measured\* (yet?)



$T = 2.726 \text{ K}$ , without causal contact

# Scientific legacy of COBE for cosmology

## ■ Legacy #2: at the *mK* –scale, the **dipole anisotropy** manifests

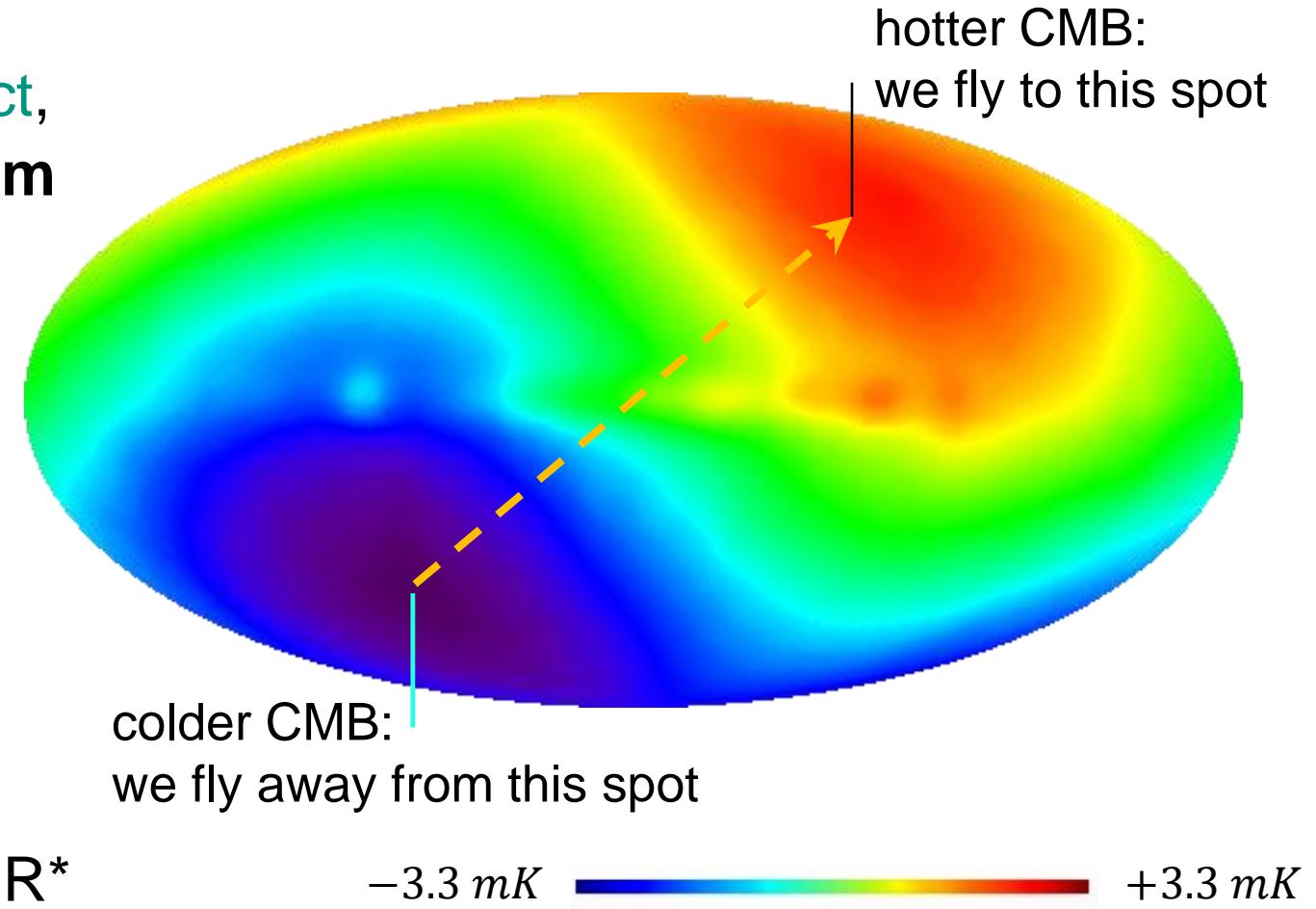
- Dipole anisotropy: a **Doppler effect**, caused by **motion of solar system** relative to CMB radiation field

- Doppler amplitude:

$$\Delta T(\theta) = T_0 \cdot \left( 1 + \frac{v}{c} \cdot \cos \theta \right)$$
$$= (3.365 \pm 0.0275) mK$$

$$\Rightarrow v = 371 \text{ km/s}$$

- CMB is an absolute reference system, but not distinguished in SR\*



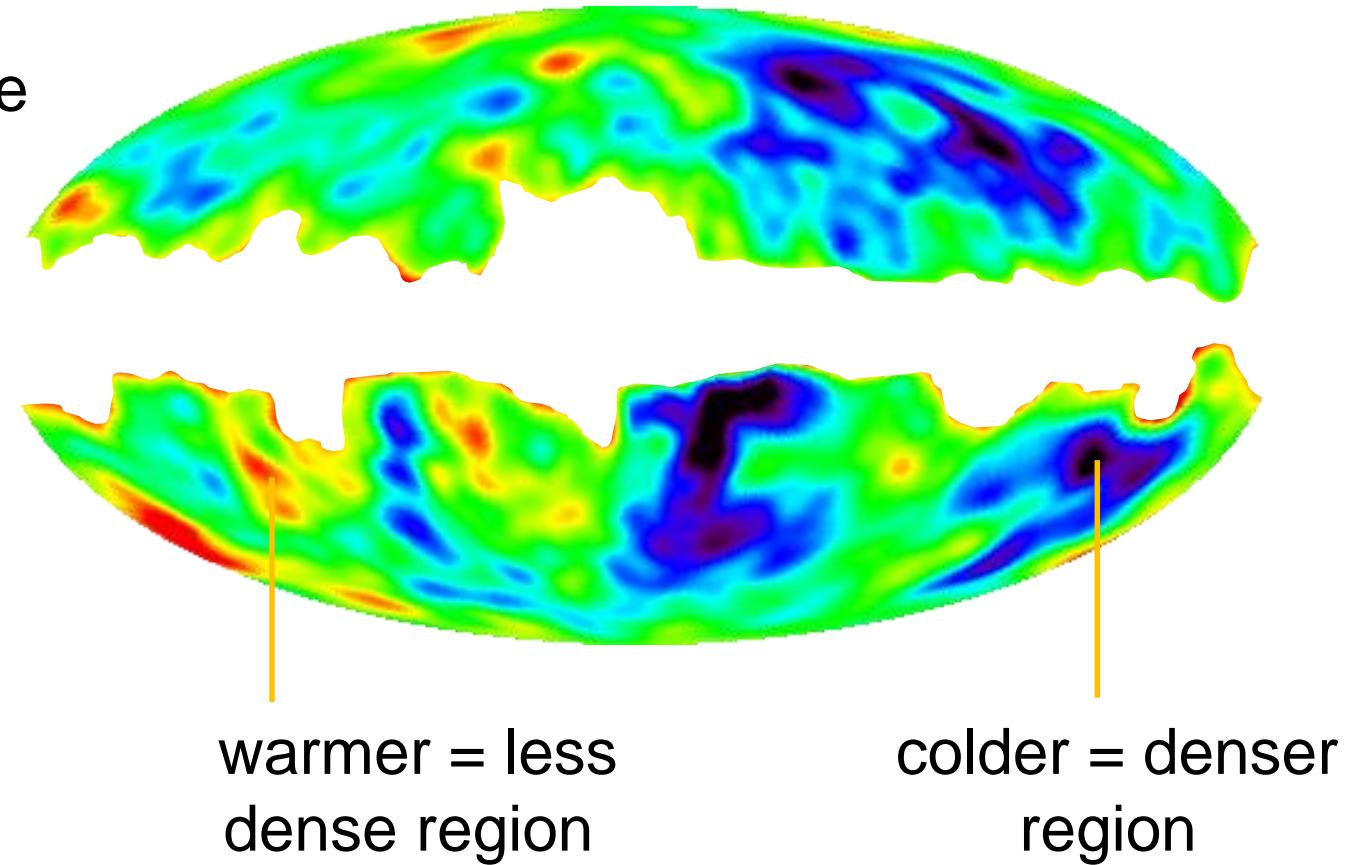
# Scientific legacy of COBE for cosmology

## ■ Legacy #3: at the $\mu K$ –scale, temperature fluctuations $\Delta T/T$ manifest

- temperature fluctuations  $\Delta T/T$  are **anti-correlated** to fluctuations of the density  $\Delta\rho/\rho$  of specific regions

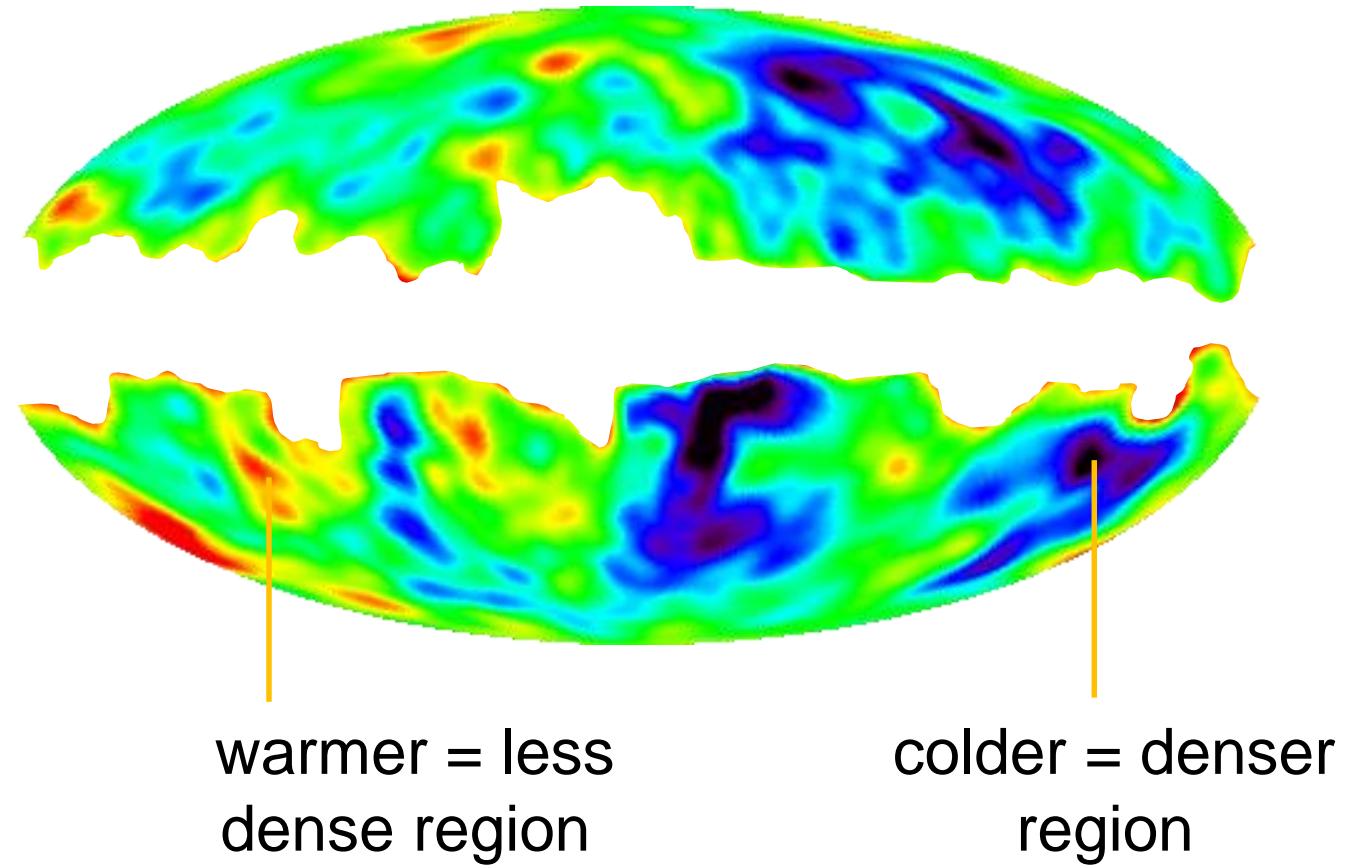
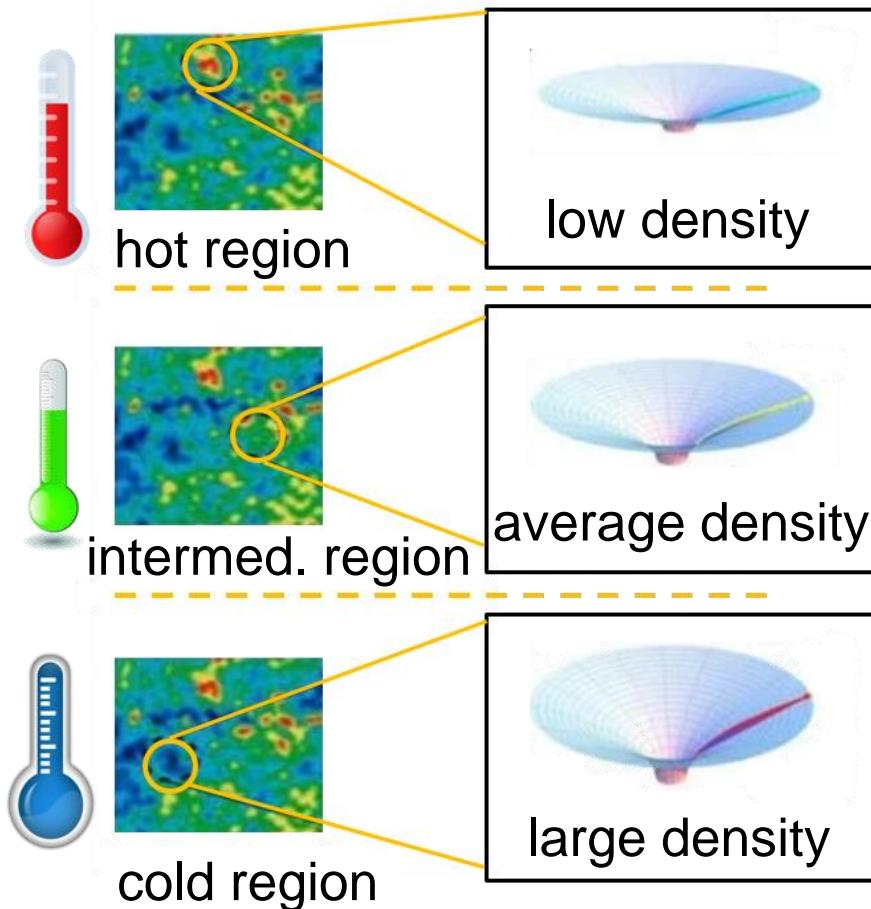
$$\frac{\Delta T}{T} = - \frac{\Delta\rho}{\rho}$$

- amplitude at the level  $\sim 10^{-5}$  ( $10 \dots 20 \mu K$ ): agrees with inflation



# Scientific legacy of COBE for cosmology

## ■ Legacy #3: fluctuations $\Delta T/T$ as seeds for (much later) structure formation



# Legacy of cosmology

■ Happy Holidays & a happy New Year 2023

**FROHE FESTTAGE UND EIN GUTES NEUES JAHR**

