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## Surface Plasmons

(SP - surface plasmon; SPP - surface plasmon polariton)

Dielectric  
Plasma

$\epsilon_2$

$\epsilon_1$

$E$

$H$

$z < 0:$

$$\vec{E}_1 = (E_{x1}, 0, E_{z1}) \cdot e^{i(k_{x1}x - k_{z1}z - \omega t)}$$

$$\vec{H}_1 = (0, H_{y1}, 0) \cdot e^{i(k_{x1}x - k_{z1}z - \omega t)}$$

$z > 0:$

$$\vec{E}_2 = (E_{x2}, 0, E_{z2}) \cdot e^{i(k_{x2}x + k_{z2}z - \omega t)}$$

$$\vec{H}_2 = (0, H_{y2}, 0) \cdot e^{i(k_{x2}x + k_{z2}z - \omega t)}$$

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## SPP – dispersion relation

Boundary conditions:

$$\left. \begin{array}{l} E_{x1} = E_{x2} \quad (= E_x) \\ H_{y1} = H_{y2} \quad (= H_y) \end{array} \right\} \Rightarrow k_{x1} = k_{x2} \quad (= k_x)$$

Maxwell equation:

$$\text{curl } \vec{H} = \vec{D} = \epsilon_0 \epsilon \vec{E} \quad \Rightarrow \quad -\frac{\partial H_y}{\partial z} = \epsilon_0 \epsilon E_x$$

$$\text{curl } \vec{H} = \begin{pmatrix} \partial_y H_z - \partial_z H_y \\ \partial_z H_x - \partial_x H_z \\ \partial_x H_y - \partial_y H_x \end{pmatrix}$$

$$\left. \begin{array}{l} \underline{z \geq 0}: -i k_{z2} H_y = -i \omega \epsilon_2 \epsilon_0 E_x \\ \underline{z \leq 0}: +i k_{z1} H_y = -i \omega \epsilon_1 \epsilon_0 E_x \end{array} \right\} \Rightarrow \boxed{-\frac{k_{z2}}{k_{z1}} = \frac{\epsilon_2}{\epsilon_1}} \quad (1)$$

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## SPP – dispersion relation

Dispersion relation:

$$\omega = \frac{c}{n} \cdot k \quad \Rightarrow \quad k^2 = \epsilon \cdot \left(\frac{\omega}{c}\right)^2 \quad (2)$$

$$\left. \begin{array}{l} \underline{z \geq 0}: k_x^2 + k_{z2}^2 = \epsilon_2 \left(\frac{\omega}{c}\right)^2 \Rightarrow k_{z2}^2 = \epsilon_2 \left(\frac{\omega}{c}\right)^2 - k_x^2 \\ \underline{z \leq 0}: k_x^2 + k_{z1}^2 = \epsilon_1 \left(\frac{\omega}{c}\right)^2 \Rightarrow k_{z1}^2 = \epsilon_1 \left(\frac{\omega}{c}\right)^2 - k_x^2 \end{array} \right\} \Rightarrow \boxed{\frac{\epsilon_2^2}{\epsilon_1^2} = \frac{\epsilon_2 \left(\frac{\omega}{c}\right)^2 - k_x^2}{\epsilon_1 \left(\frac{\omega}{c}\right)^2 - k_x^2}}$$

**Result:**

$$k_x(\omega) = \sqrt{\frac{\epsilon_1 \cdot \epsilon_2}{\epsilon_1 + \epsilon_2}} \cdot \frac{\omega}{c}$$

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## SPPs at a Dielectric-Metal Interface

$\omega$

$k_x$

$\omega = \frac{c}{n_2} k_x$

$k_x(\omega) = \sqrt{\frac{\epsilon_1 \cdot \epsilon_2}{\epsilon_1 + \epsilon_2}} \cdot \frac{\omega}{c}$

$\epsilon_1(\omega \geq \omega_p) \geq 1$

$\omega_p$

$\omega_p/\sqrt{1+\epsilon_2}$

$\epsilon_1(\omega \leq \omega_{sp}) \leq -\epsilon_2$

$\epsilon_2 \geq 1; n_2 = \sqrt{\epsilon_2}$

Dielectric-Metal Interface:  
 $\epsilon_1(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$

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**SPP – decay length**

If  $k_{zi}$  is the z-component of the wavevector in media  $i$  with permittivity  $\epsilon_i$ , then:

$$k = \frac{\omega}{n} \implies k_i^2 = \epsilon_i \cdot \left(\frac{\omega}{c}\right)^2 = k_x^2 + k_{zi}^2 \implies k_{zi} = \frac{\epsilon_i}{\sqrt{\epsilon_1 + \epsilon_2}} \cdot \frac{\omega}{c}$$

Media 2 is a dielectric and media 1 is a metal. Then for the upper branch of the dispersion relation, we get:

$$\omega > \omega_p \implies \epsilon_1(\omega) > 0 \implies k_{z1} \text{ and } k_{z2} \text{ are real (propagating waves)}$$

For the lower branch of the dispersion relation, we have:

$$\omega < \frac{\omega_p}{\sqrt{1 + \epsilon_2}} \implies \epsilon_1(\omega) = 1 - \frac{\omega_p^2}{\omega^2} < -\epsilon_2 \implies k_{z1} \text{ and } k_{z2} \text{ are imaginary!}$$

The decay lengths of the evanescent wave are:

$$d_i = \frac{1}{|k_{zi}|} = \frac{\lambda}{2\pi} \sqrt{\left|\frac{\epsilon_1 + \epsilon_2}{\epsilon_i^2}\right|}$$

Silver @ 600 nm:  $d_1 = 24 \text{ nm}$   $d_2 = 390 \text{ nm}$

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**Dispersion relation of SPPs**

$$\omega = \frac{c}{n_2} k_x$$

$$\omega_p$$

$$\frac{\omega_p}{\sqrt{1 + \epsilon_2}}$$

$$k_x(\omega) = \sqrt{\frac{\epsilon_1 \cdot \epsilon_2}{\epsilon_1 + \epsilon_2}} \cdot \frac{\omega}{c}; \quad k_{zi} = \frac{\epsilon_i}{\sqrt{\epsilon_1 + \epsilon_2}} \cdot \frac{\omega}{c}$$

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**Excitation of SPPs by ATR**

(ATR = attenuated total reflection)

Otto-configuration      Kretschmann-configuration

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H. Raether, Surface Plasmons; Novotny & Hecht, Nano-Optics

**SPP Excitation of Gold and Silver films**

critical angle of TIR

reflectivity

Angle of incidence [°]

silver 53

reflectivity

Angle of incidence [°]

3nm H<sub>2</sub>O

Shift of SPP resonance induced by a 3 nm layer of water on a 53 nm silver film (calculation).

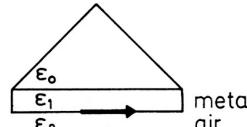
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Novotny & Hecht, Nano-Optics

**Field amplification**

$$T_{\max}^{el} = \frac{|E\langle 2/1 \rangle|^2}{|E\langle 0/1 \rangle|^2} = \frac{1}{\epsilon_2} \frac{2|\epsilon'_1|^2}{\epsilon''_1} \frac{\sqrt{|\epsilon'_1|(\epsilon_0 - 1) - \epsilon_0}}{1 + |\epsilon'_1|}$$

with  $\epsilon_1 = \epsilon'_1 + i\epsilon''_1$



Examples:

- Ag (600 nm) : T=200
- Au (600 nm) : T=30
- Al (600 nm) : T=40

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**1 Theoretical aspects**

⋮

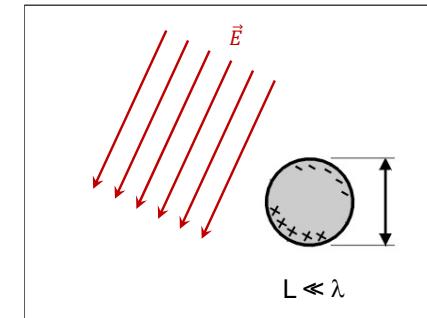
**1.3 Light scattering and emission by particles**

1.3.1 *Localized Plasmons – Mie scattering*  
 1.3.1 *Far- and near-field of a radiating (point-) dipole*  
 1.3.2 *Fluorescence of molecules*

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**Localized plasmons**

*Metallic nanoparticle*

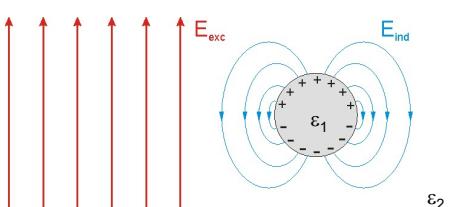


$L \ll \lambda$

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**Localized Plasmon**

Induced electric dipole in a spherical metal particle with  $\epsilon_1(\omega) = \epsilon'_1 + i\epsilon''_1$



$$\vec{p}_{ind} = \alpha \cdot \vec{E}_{exc}, \quad \alpha = 4\pi\epsilon_0 \cdot R^3 \cdot \frac{\epsilon_1(\omega) - \epsilon_2}{\epsilon_1(\omega) + 2\epsilon_2}$$

Resonance at  $\epsilon'_1 \approx -2\epsilon_2$  with

$$T_{el}^{\max} = \left| \frac{E_{ind}}{E_{exc}} \right|^2 \approx \left| \frac{3\epsilon_2}{\epsilon'_1} \right|^2 \quad (= 480 \text{ for Ag in vacuum})$$

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