

Teilchenphysik II - W, Z, Higgs am Collider

Lecture 06: Higgs Mechanism

PD Dr. K. Rabbertz, **Dr. Nils Faltermann** | 26. Mai 2023

Recap: Electroweak Theory

- **Interactions as consequence of local gauge invariance**
 - Invariance requires introduction of gauge fields
 - Geometrical interpretation: gauge bosons transport phase information between space-time points
- Extension to non-Abelian gauge theories → **Electroweak gauge group $SU(2)_L \times U(1)_Y$**
 - Physical gauge boson ($W^\pm$, Z , γ) superposition of underlying gauge fields W^a (from $SU(2)_L$) and B (from $U(1)_Y$)
 - Chiral theory: interaction different for left- and right-handed states

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- **BUT, there are also problems:**
 - 1) Gauge-boson mass terms violate gauge invariance
 - a problem of gauge theories in general
 - 2) Fermion mass terms violate invariance under electroweak $(\text{SU}(2)_L \times \text{U}(1)_Y)$ symmetry
 - follows from the chiral structure
 - 3) Longitudinal WW boson scattering violates unitarity
 - cross section diverges with higher energy

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 - Vector field (photon) transforms as $A_\mu \rightarrow A'_\mu = A_\mu - \frac{1}{q} \partial_\mu \alpha$
 - Transformation of mass terms

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✗ Gauge-boson **mass terms break local gauge invariance**

- Property of all gauge-field theories

✗ **Fundamental problem:** W and Z bosons have masses!

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- ✓ No problem with fermion masses for $U(1)$ transformations
- ✓ Similarly, no problem in $SU(3)$ (non-Abelian gauge group)

Problem of Massive Fermions!

- $SU(2)_L \times U(1)_Y$ transformations act differently on chiral components
- Decomposition of mass term

$$m_f \bar{\psi} \psi = m_f (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R)$$

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- **Left- and right-handed components transform differently!**

$$\psi_L \rightarrow \psi'_L = e^{i\alpha^a \tau^a + i\alpha Y} \psi_L \quad (\text{component of isospin doublet, } I = \frac{1}{2})$$

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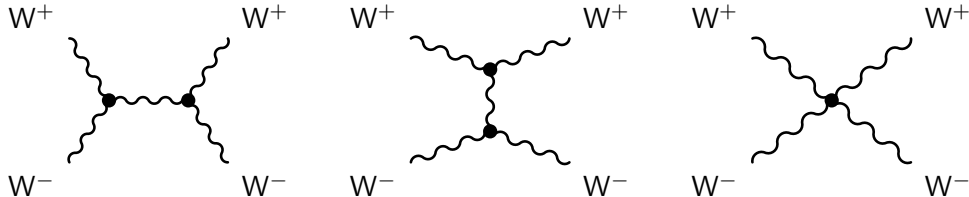
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- ✗ Left- and right-handed fermions transform differently under $SU(2)_L \times U(1)_Y$
- ✗ **Fermion mass terms in chiral theory are not gauge invariant**

Unitarity Violation

- Several Standard Model scattering cross-sections violate unitarity, i. e. become divergent at large $\sqrt{s}$
 - $e^+e^- \rightarrow WW$ (for $m_e \neq 0$)
 - $WW \rightarrow WW$ scattering



→ **theory becomes non-renormalizable**

How to Solve the Problems?

- Concept of **spontaneous symmetry breaking** (SSB)
 - Applied to the Standard Model:
the **Higgs mechanism** (1960s)

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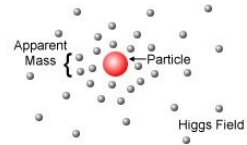
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"Broken symmetries, massless particles and gauge fields"
Physics Letters. 12 (2): 132–201.
 - Englert, F.; Brout, R. (1964)
"Broken symmetry and the mass of gauge vector mesons"
Physical Review Letters. 13 (9) 321–23.
 - Higgs, P. W. (1964)
"Broken symmetries and the masses of gauge bosons"
Physical Review Letters. 13 (16): 508–09.
 - Guralnik, G.S.; Hagen, C.R.; Kibble, T.W.B. (1964)
"Global conservation laws and massless particles"
Physical Review Letters. 13 (20): 585–87.

How to Solve the Problems?

- New **background field** that has **non-zero amplitude** v in ground state everywhere
 - **Particles interact with the field and get ‘slowed down’**: movement as if they have mass
 - Mass explained as restoring force

$$m \propto v \quad (v = \text{field amplitude})$$

Higgs Mechanism



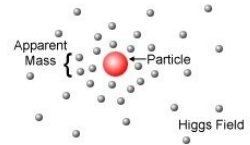
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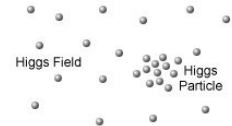
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Higgs Particles



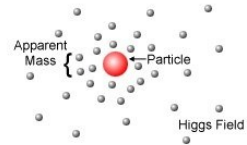
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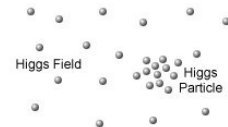
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- In the Standard Model
 - Weak interactions themselves have infinite range and are described by gauge-invariant theory
 - **Interactions are screened by background field**: effective masses for the gauge bosons
 - SSB: field spontaneously takes ground-state which does not have symmetry

Higgs Mechanism

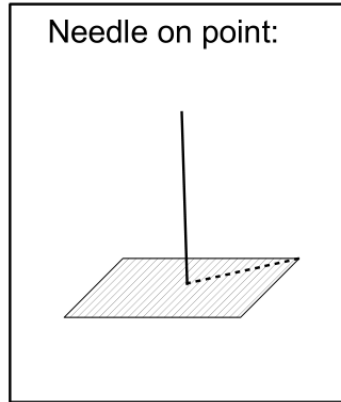


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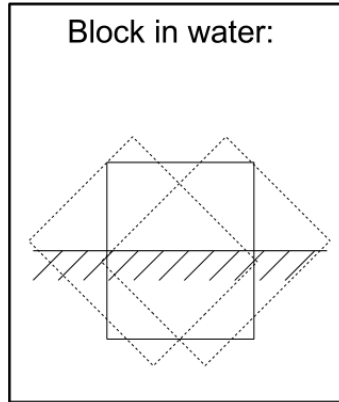


SSB in Classical Mechanics

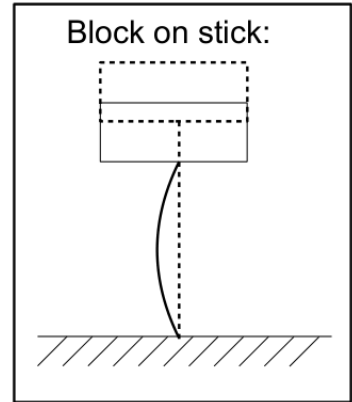
- Symmetry is present in the system (i. e. the Lagrangian)
- But it is broken in the energy ground-state



φ symmetry



axis-symmetry



φ symmetry

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→ **Higgs mechanism**

- Solves all the discussed problems
- Introduces a **fundamental scalar particle: the Higgs boson**

Simple Example of SSB

- Illustrate idea of Higgs field and spontaneous symmetry breaking
- Real scalar field $\phi(x)$ in specific potential $V(\phi)$

$$\mathcal{L} = \underbrace{\frac{1}{2} (\partial_\mu \phi(x)) (\partial^\mu \phi(x))}_{T(\phi)} - \underbrace{\left[\frac{1}{2} \mu^2 \phi^2(x) + \frac{1}{4} \lambda \phi^4(x) \right]}_{V(\phi)}$$

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- Two possibilities for sign of μ^2

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- Investigate particle spectrum: **investigate $\mathcal{L}$ around energy ground-state** (*vacuum expectation value* or short *vacuum*)

Energy ground-state at minimum of Hamiltonian density

$$\mathcal{H} = \frac{\partial \mathcal{L}}{\partial (\partial_0 \phi)} (\partial_0 \phi) - \mathcal{L} = \frac{1}{2} [(\partial_0 \phi)^2 + (\nabla \phi)^2] + V(\phi)$$

Lowest energy if $\phi(x) = \phi_0 = \text{const}$ and $V(\phi_0)$ minimal

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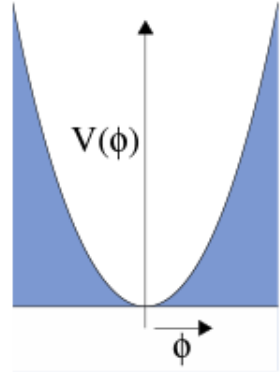
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- Ground state retains symmetry in $\phi \rightarrow -\phi$

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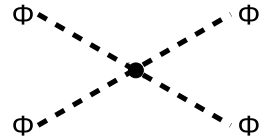
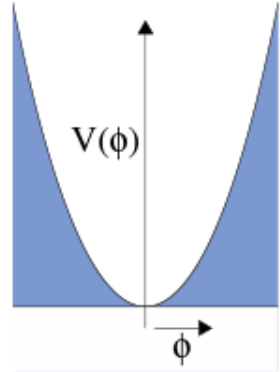
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→ **free scalar particle with mass μ and four-point self-interaction**

- Mass = excitation against “restoring force”



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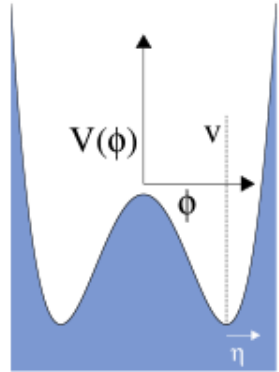
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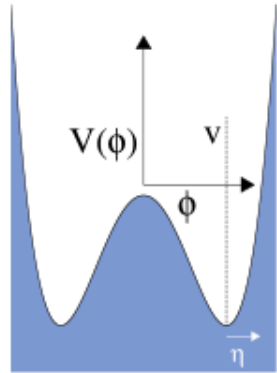
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$$\begin{aligned} \text{Potential term: } V &= \frac{1}{2} \mu^2 (v + \eta)^2 + \frac{1}{4} \lambda (v + \eta)^4 \\ &= \lambda v^2 \eta^2 + \lambda v \eta^3 + \underbrace{\frac{1}{4} \lambda v^4}_{\text{const}}, \text{ since } \mu^2 = -\lambda v^2 \end{aligned}$$



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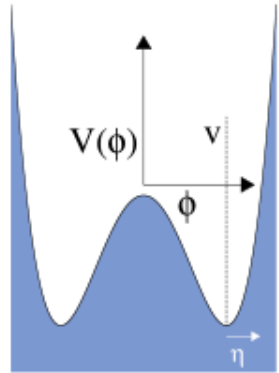
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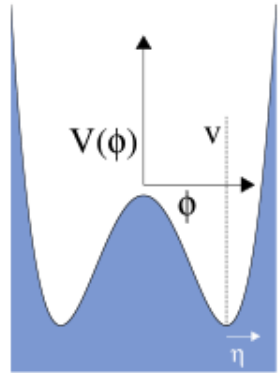
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- Scalar particle η with mass $\frac{1}{2} m_\eta^2 \equiv \lambda v^2 = -\mu^2 \Rightarrow m_\eta = \sqrt{2\lambda v^2}$

- Additional 3- and 4-point self-interactions



Simple Example of SSB

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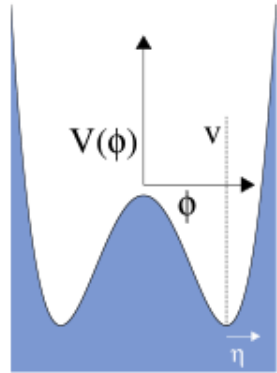
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Symmetry in ϕ retained but ground state not symmetric in η : $\mathcal{L}(\eta) \neq \mathcal{L}(-\eta)$
→ **spontaneous symmetry breaking (SSB)**



Intermediate Summary - SSB

- Lagrangian for scalar field ϕ without mass terms + potential $V(\phi)$ with minimum (= ground-state of system) at $\phi \equiv v \neq 0$
 - Particle spectrum obtained by investigating $\mathcal{L}$ close to the minimum: expansion of ϕ around the minimum v
 - **Adding V leads to massive scalar particle (consequence of ‘restoring force’ in potential) with self-interaction**
 - Keeps the full Lagrangian invariant under the original symmetry (here: global phase transformation)
 - But the energy ground-state is *not* invariant under this symmetry→ “spontaneous symmetry breaking”
- tools needed for the Higgs mechanism

Breaking Global Gauge Symmetry

■ Example: complex scalar field $\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$

■ Higgs potential $V(\phi) = \mu^2|\phi|^2 + \lambda|\phi|^4$

■ Lagrangian $\mathcal{L} = (\partial_\mu \phi^*)(\partial^\mu \phi) - V(\phi)$

■ $V = V(|\phi|^2) \rightarrow$ invariant under global U(1) transformations

$$\begin{aligned}\phi &\rightarrow e^{i\alpha}\phi \\ \phi^* &\rightarrow e^{-i\alpha}\phi^* \quad \alpha = \text{const}\end{aligned}$$

■ $\mu^2 > 0$: ground state at $|\phi_0| = 0$

$\rightarrow$ 2 massive scalar particles with additional self-interaction

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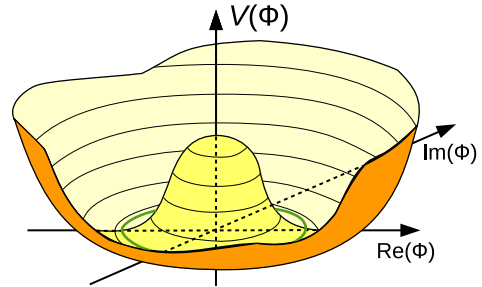
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■ $\mu^2 < 0$: infinitely many ground states on circle with

$$|\phi| = \sqrt{\frac{1}{2}(\phi_1^2 + \phi_2^2)} = \sqrt{\frac{-\mu^2}{2\lambda}} \equiv \frac{v}{\sqrt{2}}$$



Breaking Global Gauge Symmetry

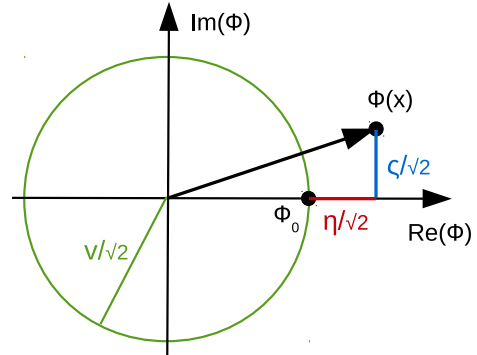
- Choose real ground state (U(1) symmetry!)

$$\phi_0 = \frac{v}{\sqrt{2}} = \sqrt{\frac{-\mu^2}{2\lambda}}$$

- Study perturbation around ϕ_0 :

$$\phi(x) = \frac{1}{\sqrt{2}} (v + \eta(x) + i\zeta(x))$$

$\eta(x)$, $\zeta(x)$: infinitesimal field amplitudes



Breaking Global Gauge Symmetry

- Choose real ground state (U(1) symmetry!)

$$\phi_0 = \frac{v}{\sqrt{2}} = \sqrt{\frac{-\mu^2}{2\lambda}}$$

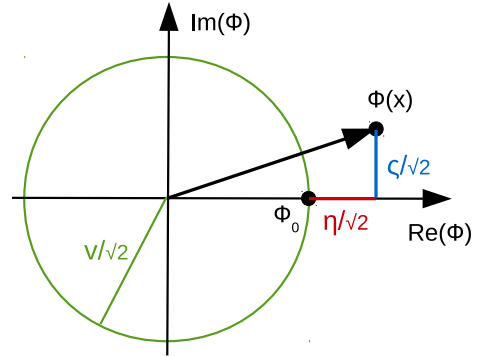
- Study perturbation around ϕ_0 :

$$\phi(x) = \frac{1}{\sqrt{2}} (v + \eta(x) + i\zeta(x))$$

$\eta(x)$, $\zeta(x)$: infinitesimal field amplitudes

$$\begin{aligned}
 T &= \frac{1}{2} \partial_\mu (v + \eta - i\zeta) \partial^\mu (v + \eta + i\zeta) \\
 &= \frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) + \frac{1}{2} (\partial_\mu \zeta) (\partial^\mu \zeta), \quad \partial_\mu v = 0
 \end{aligned}$$

$$\begin{aligned}
 V &= \mu^2 |\phi|^2 + \lambda |\phi|^4 \\
 &= -\frac{1}{2} \lambda v^2 [(v + \eta)^2 + \zeta^2] + \frac{1}{4} \lambda [(v + \eta)^2 + \zeta^2]^2, \quad \mu^2 = -\lambda v^2 \\
 &= +\lambda v^2 \eta^2 + \mathcal{O}(\eta^3, \eta^4, \zeta^4, \eta \zeta^2, \eta^2 \zeta^2, \dots)
 \end{aligned}$$



Breaking Global Gauge Symmetry

- Full Lagrangian after symmetry breaking

$$\mathcal{L} = \underbrace{\frac{1}{2} (\partial_\mu \eta) (\partial^\mu \eta) - \lambda v^2 \eta^2}_{\text{massive scalar particle}} + \underbrace{\frac{1}{2} (\partial_\mu \zeta) (\partial^\mu \zeta)}_{\text{massless scalar particle}} + \underbrace{\text{higher-order terms}}_{\text{self interaction}}$$

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- η : massive scalar particle with $m_\eta = \sqrt{2\lambda}v$
 - Consequence of ‘restoring force’ in radial direction
- ζ : massless scalar particle “**Goldstone Boson**”
 - No restoring force in azimuth, consequence of the global U(1) symmetry

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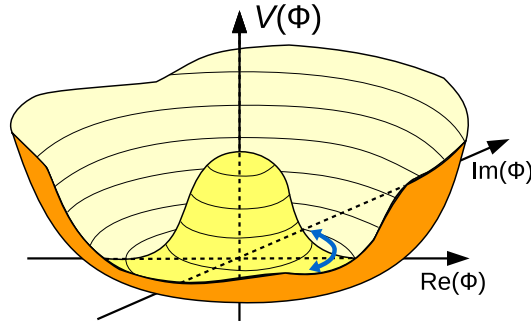
Goldstone Theorem *For each generator of a spontaneously broken¹ continuous symmetry², a massless spin-zero particle will appear*

¹ a symmetry of $\mathcal{L}$ that is not present in the ground state

² that 'connects' the ground states

Intermediate Summary

Spontaneously breaking a continuous global symmetry leads to the appearance of a **massless Goldstone boson**



Higgs Mechanism: Breaking Local Symmetry

Example QED: local $U(1)$ symmetry

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- Invariance under local U(1) gauge transformations

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)}\psi(x)$$

achieved by introduction of covariant derivative

$$\partial_\mu \rightarrow D_\mu = \partial_\mu + iqA_\mu \quad \text{with} \quad A_\mu \rightarrow A'_\mu = A_\mu - \frac{1}{q}\partial_\mu\alpha(x)$$

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- Adding a **complex scalar Higgs field** $\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$
(also transforms under U(1)!)
 - Local-U(1) **gauge-invariant Lagrangian** for Higgs and photon field
(omitting fermion terms)

$$\mathcal{L} = (D_\mu\phi)^\dagger (D^\mu\phi) - V(\phi) - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}$$

with Higgs potential $V(\phi) = \mu^2|\phi|^2 + \lambda|\phi|^4$ with $\mu^2 < 0$

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 &\quad + q^2 ((v + \eta)^2 + \zeta^2) A_\mu A^\mu + 2qvA_\mu (\partial^\mu \zeta)] + \text{higher orders}
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$$\begin{aligned}
 \rightarrow \mathcal{L} &= \underbrace{\frac{1}{2} (\partial_\mu \eta)^2}_{\text{massive scalar boson}} - \underbrace{\lambda v^2 \eta^2}_{\text{Goldstone boson}} - \underbrace{\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} q^2 v^2 A_\mu A^\mu}_{\text{photon with mass term}} \\
 &\quad + \underbrace{qvA_\mu (\partial^\mu \zeta)}_{?} + \text{interaction } \eta/\zeta A_\mu + \text{self-interaction } \eta/\zeta
 \end{aligned}$$

Rewriting Lagrangian in Unitary Gauge

- Terms involving ζ and A_μ :

$$\frac{1}{2} (\partial_\mu \zeta)^2 + \frac{1}{2} q^2 v^2 A_\mu A^\mu + qv A_\mu (\partial^\mu \zeta) = \frac{1}{2} q^2 v^2 \left[A_\mu + \frac{1}{qv} (\partial^\mu \zeta) \right]^2$$

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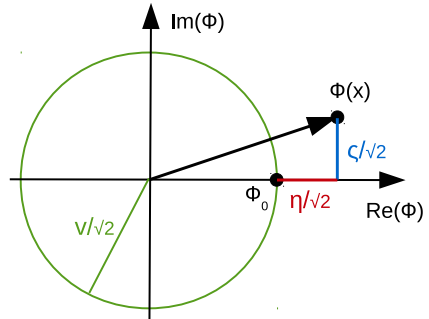
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Rewriting Lagrangian in Unitary Gauge

(for simplicity, from now on writing: $\phi' = \phi$, $A'_\mu = A_\mu$)

$$\begin{aligned}\mathcal{L} &= (D_\mu \phi)^\dagger (D^\mu \phi) - V(\phi) \\ &= \frac{1}{2} \left[\left(\partial_\mu - iqA_\mu \right) (v + \eta) \right] \left[\left(\partial^\mu + iqA^\mu \right) (v + \eta) \right] - V(\phi)\end{aligned}$$

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 &= \underbrace{\frac{1}{2} (\partial_\mu \eta)^2 - \lambda v^2 \eta^2}_{\text{massive Higgs boson}} + \underbrace{\frac{1}{2} q^2 v^2 A_\mu^2}_{\text{photon mass}} + \underbrace{q^2 v A_\mu^2 \eta + \frac{1}{2} q^2 A_\mu^2 \eta^2}_{\text{Higgs-photon interaction}} - \underbrace{\lambda v \eta^3 - \frac{1}{4} \lambda \eta^4}_{\text{Higgs self-interaction}}
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- Expansion of $\phi \rightarrow \phi(\eta, \zeta)$ around energy ground-state of Higgs potential generates **mass term** $m_A = qv$ for gauge field A_μ from coupling $q^2 |\phi|^2 A_\mu^2$ **by covariant derivative**
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- From point-of-view of the gauge field, two interpretations
 1. Photon field interacts with external background (Higgs) field:
dynamic mass term
 2. Background field unknown: interpretation as massive photon field

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*“The gauge boson has eaten up the Goldstone boson
and has become fat on it.”*

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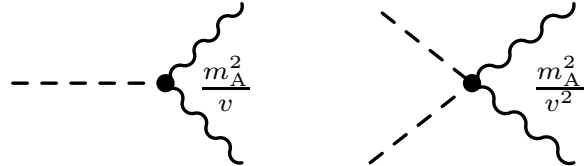
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- **Interaction of photon and Higgs boson**

- Photon-Higgs three-point interaction
- Photon-Higgs four-point interaction



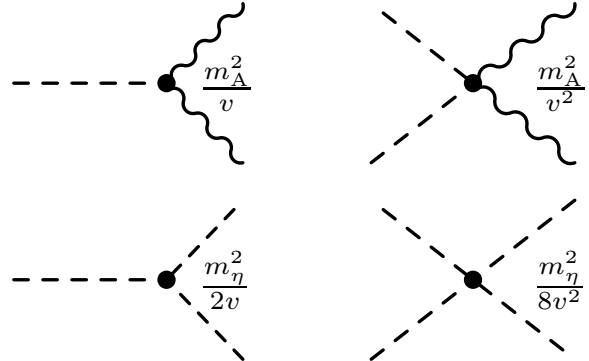
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Higgs self-interaction

- Three-point self-coupling
- Four-point self-coupling

This is not the Complete Story

- Previous discussion was just an example to illustrate the Higgs mechanism: Apparently, **there is no charged Higgs field** with $v > 0$ because the **photon is massless!**
- But principle can be applied to $SU(2)_L \times U(1)_Y$ symmetry of the Standard Model

The Standard-Model Higgs Field ϕ

- $\mathcal{L}_{\text{SM}}$ should retain all gauge symmetries: add Higgs field ϕ as **left-chiral weak-isospin doublet of two complex fields**

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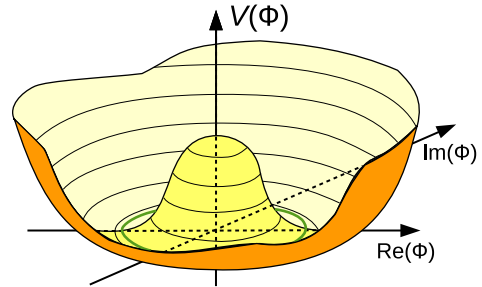
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with $\mu^2 < 0$ (SSB!)



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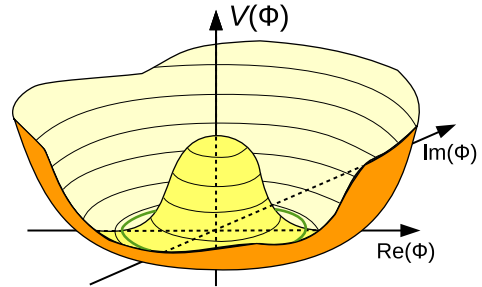
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- The **Standard-Model Lagrangian** becomes

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{kin}} + \mathcal{L}_{\text{CC}} + \mathcal{L}_{\text{NC}} + \mathcal{L}_{\text{gauge}} + \mathcal{L}_{\text{Higgs}}$$



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$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_1 + i\phi_2 \\ \phi_3 + i\phi_4 \end{pmatrix}$$

- Invariance of $\mathcal{L}_{\text{Higgs}}$ under local $\text{SU}(2)_L \times \text{U}(1)_Y$ transformations

$$\phi(x) \rightarrow e^{[i\frac{g}{2}\alpha^a(x)\tau^a]} e^{[i\frac{g'}{2}\alpha(x)Y_\phi]} \phi(x)$$

enforced by **covariant derivative**

$$\partial_\mu \rightarrow \partial_\mu + i\frac{g}{2}\tau_a W_\mu^a + i\frac{g'}{2}Y_\phi B_\mu$$

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$\text{SU}(2) \times \text{U}(1)$ hypercharges of ϕ

field	Y_ϕ	I_3	Q
ϕ^+	+1	+1/2	+1
ϕ^0		-1/2	0

$$Q = I_3 + \frac{Y}{2} \text{ (Gell-Mann–Nishijima)}$$

Choice of Vacuum

- Ground state ϕ_0 with non-zero amplitude $\phi_0 \equiv v/\sqrt{2}$ ($\rightarrow$ SSB)
- Choose **ground state** with $I_3 = -\frac{1}{2}$, $Q = 0$ (i. e. $\phi^+ = 0$):

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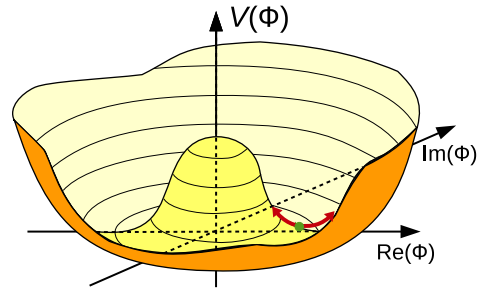
- Expansion of ϕ around ground state in **unitarity gauge**

$$\phi(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

Vacuum expectation value
 $v \neq 0$: gauge-boson masses

Radial excitation:
the Higgs boson

Goldstone boson (term $i\zeta$) eliminated by gauge transformation



Dynamic Term in $\mathcal{L}_{\text{Higgs}}$

- **Covariant derivative** will give rise to
 - Masses for gauge bosons ($\propto v$)
 - Interactions between gauge bosons and Higgs boson ($\propto vH, \propto H^2$)

$$(D_\mu \phi) = \frac{\partial_\mu}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} + \frac{i}{\sqrt{2}} \left[\frac{g}{2} \tau_a W_\mu^a + \frac{g'}{2} Y_\phi B_\mu \right] \begin{pmatrix} 0 \\ v + H \end{pmatrix}$$

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 \end{aligned}$$

$$\begin{aligned}
 \tau_1 &= \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \\
 \tau_3 &= \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}
 \end{aligned}$$

→ Pauli matrices

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- Full dynamic term $(D^\mu \phi)^\dagger (D_\mu \phi)$ in $\mathcal{L}_{\text{Higgs}}$

$$\frac{1}{2} (\partial_\mu H)^2 + \frac{g^2}{8} (v + H)^2 (|W^1|^2 + |W^2|^2) + \frac{1}{8} (v + H)^2 \left| -gW_\mu^3 + g'Y_\phi B_\mu \right|^2$$

Dynamic Term in $\mathcal{L}_{\text{Higgs}}$

- Re-writing $(D^\mu \phi)^\dagger (D_\mu \phi)$ in terms of **physical bosons**:

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- $W^\pm$ bosons

$$W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp iW_\mu^2) \quad \Rightarrow \quad |W^1|^2 + |W^2|^2 = |W^+|^2 + |W^-|^2$$

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$$\begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_W & -\sin \theta_W \\ \sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix}$$

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- Results depend on choice of Higgs-sector structure** ($v = 0$ for ϕ^+)
- Absolute masses of gauge bosons not predicted but their relation

$$\rho = \frac{m_W}{m_Z \cos \theta_W} = 1 \quad \Rightarrow \quad m_Z > m_W$$

Vacuum Expectation Value v

- Higgs mechanism does not predict value of $v = \sqrt{-\mu^2/\lambda}$

Vacuum Expectation Value v

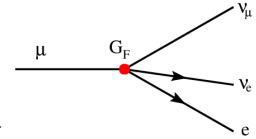
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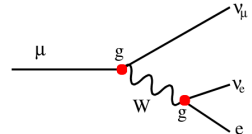
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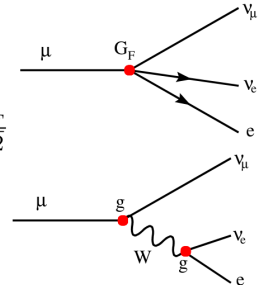
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$v = 246.22 \text{ GeV}$ sets the scale of electroweak symmetry breaking

The Standard Model Higgs Mechanism

- Adding ϕ as $SU(2)_L$ doublet with specific non-zero ground-state

$$\begin{aligned}\mathcal{L}_{\text{Higgs}} = & \frac{1}{2} (\partial_\mu H) (\partial^\mu H) - \lambda v^2 H^2 + \lambda v H^3 - \frac{1}{4} \lambda H^4 \\ & + \frac{1}{2} m_Z^2 Z_\mu Z^\mu + \frac{m_Z^2}{v} H Z_\mu Z^\mu + \frac{1}{2} \frac{m_Z^2}{v^2} H^2 Z_\mu Z^\mu \\ & + m_W^2 W_\mu^+ W^{-,\mu} + 2 \frac{m_W^2}{v} H W_\mu^+ W^{-,\mu} + \frac{m_W^2}{v^2} H^2 W_\mu^+ W^{-,\mu}\end{aligned}$$

(Here, the equality $|W^+|^2 + |W^-|^2 = 2W^+W^-$ was used)

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- Masses (mass terms) for the gauge bosons $W^\pm$ and Z

w/o ϕ -W/Z interaction: d.o.f.	with ϕ -W/Z interaction: d.o.f.
4 massless vector fields W^a , B: 8	3 massive vector fields $W^\pm$, Z: 9
2 complex Higgs fields: 4	1 massless vector field A: 2
	1 massive scalar: 1
total number d.o.f.: 12	total number d.o.f.: 12

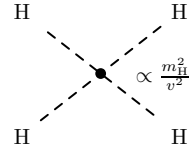
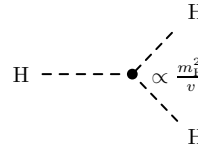
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- Masses (mass terms) for the gauge bosons $W^\pm$ and Z
- A massive scalar particle H (Higgs boson) with self-interaction

- Higgs-boson mass $m_H = \sqrt{2\lambda v^2}$
- Three-point Higgs-boson self-coupling
- Four-point Higgs-boson self-coupling



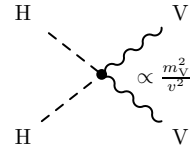
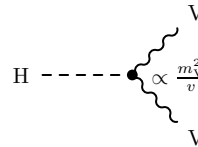
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- Interactions of the Higgs boson with the $W^\pm$ and Z bosons

- V-Higgs three-point interaction
- V-Higgs four-point interaction



Reminder: Problem of Massive Fermions

- $SU(2)_L \times U(1)_Y$ transformations act differently on chiral components
- Decomposition of mass term

$$m_f \bar{\psi} \psi = m_f (\bar{\psi}_R \psi_L + \bar{\psi}_L \psi_R)$$

- **Left- and right-handed components transform differently!**

$$\psi_L \rightarrow \psi'_L = e^{i\alpha^a \tau^a + i\alpha Y} \psi_L \quad (\text{component of isospin doublet, } I = \frac{1}{2})$$

$$\psi_R \rightarrow \psi'_R = e^{i\alpha Y} \psi_R \quad (\text{isospin singlet, } I = 0)$$

- ✗ Left- and right-handed fermions transform differently under $SU(2)_L \times U(1)_Y$
- ✗ **Fermion mass terms in chiral theory are not gauge invariant**

$SU(2)_L \times U(1)_Y$ Invariant Fermion-Mass Term

- Higgs field can also be used to generate mass terms for fermions!
- Terms as the following are gauge invariant under $SU(2)_L \times U(1)_Y$

$$\mathcal{L}_{\text{Yukawa}} = -y_f \bar{\psi}_L \phi \psi_R - y_f \bar{\psi}_R \phi^\dagger \psi_L \quad y_f: \text{“Yukawa coupling”}$$

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$$\begin{aligned} \bar{\psi}_L \phi \psi_R &\rightarrow (\bar{\psi}_L A_{Y_L}^\dagger B^\dagger) (A_{Y_\phi} B \phi) (A_{Y_R} \psi_R) \\ &= A_{Y_L}^\dagger A_{Y_\phi} A_{Y_R} \bar{\psi}_L B^\dagger B \phi \psi_R \\ &= e^{i \frac{g'}{s} (-Y_L + Y_\phi + Y_R) \alpha(x)} \bar{\psi}_L \underbrace{B^\dagger B}_{=1} \phi \psi_R \\ &= e^{i \frac{g'}{s} (-(-1) + (+1) + (-2)) \alpha(x)} \bar{\psi}_L \phi \psi_R \\ &= \bar{\psi}_L \phi \psi_R \end{aligned}$$

... and analogously for $\bar{\psi}_R \phi^\dagger \psi_L$

Transformations:

$$U(1)_Y : A_Y \equiv e^{i \frac{g'}{2} Y \alpha(x)}$$

$$SU(2)_L : B \equiv e^{i \frac{g}{2} \tau^a \alpha^a(x)}$$

Hypercharges Y , e. g. for e :

e_L	-1
e_R	-2
ϕ	+1

$SU(2)_L \times U(1)_Y$ Invariant Fermion-Mass Term

- Higgs field can also be used to generate mass terms for fermions!
- Terms as the following are gauge invariant under $SU(2)_L \times U(1)_Y$

$$\mathcal{L}_{\text{Yukawa}} = -y_f \bar{\psi}_L \phi \psi_R - y_f \bar{\psi}_R \phi^\dagger \psi_L \quad y_f: \text{“Yukawa coupling”}$$

- Summary: under $SU(2)_L \times U(1)_Y$ transformations

Dirac mass terms	$m_f(\bar{\psi}_L \psi_R + \bar{\psi}_R \psi_L)$	break invariance
Yukawa mass terms	$y_f(\bar{\psi}_L \phi \psi_R + \bar{\psi}_R \phi^\dagger \psi_L)$	are invariant

Coupling to Higgs field restores gauge invariance!

... and how does this help?

Example: Electron Mass

- Expand ϕ around vacuum $|\phi_0| = \frac{v}{\sqrt{2}}$: $\phi \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$

$$\mathcal{L}_{\text{Yukawa}}^e = -y_e \bar{\psi}_L \phi e_R - y_e \bar{e}_R \phi^\dagger \psi_L$$

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- Expand ϕ around vacuum $|\phi_0| = \frac{v}{\sqrt{2}}: \phi \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix}$

$$\begin{aligned}
 \mathcal{L}_{\text{Yukawa}}^e &= -y_e \bar{\psi}_L \phi e_R - y_e \bar{e}_R \phi^\dagger \psi_L \\
 &= -y_e \frac{1}{\sqrt{2}} \left[(\bar{\nu} \quad \bar{e})_L \begin{pmatrix} 0 \\ v + H \end{pmatrix} e_R + \bar{e}_R (0 \quad v + H) \begin{pmatrix} \nu \\ e \end{pmatrix}_L \right]
 \end{aligned}$$

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 &= -\frac{y_e}{\sqrt{2}} (v + H) \underbrace{[\bar{e}_L e_R + \bar{e}_R e_L]}_{=\bar{e}e}
 \end{aligned}$$

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 &= -\frac{y_e}{\sqrt{2}} (v + H) \underbrace{[\bar{e}_L e_R + \bar{e}_R e_L]}_{=\bar{e}e} \\
 &= -\underbrace{\frac{y_e}{\sqrt{2}} v \bar{e}e}_{e \text{ mass}} - \underbrace{\frac{y_e}{\sqrt{2}} H \bar{e}e}_{H\bar{e}e \text{ interaction}} \equiv -m_e \bar{e}e - \frac{m_e}{v} H \bar{e}e
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 \end{aligned}$$

- Yukawa coupling of electron with Higgs field**

- Electron-mass term (cf. Dirac equation) in gauge-invariant way! Electron mass: $m_e = \frac{y_e}{\sqrt{2}} v$
- In addition: **interaction of electron with Higgs boson** $\propto m_e$
- No prediction of electron mass:** free parameter y_e

Fermion Masses

- $\bar{\psi}_L \phi \psi_R + \bar{\psi}_R \phi^\dagger \psi_L$: masses only for ‘down’-type fermions
- Additional **term for ‘up’-type fermions**:

$$\bar{\psi}_L \phi^c \psi_R, \quad \phi^c \equiv i\tau_2 \phi^* = \begin{pmatrix} \phi^{0*} \\ -\phi^{-*} \end{pmatrix}$$

ϕ^c : charge conjugate of ϕ $Y_{\phi^c} = -1$

- Conjugate ϕ^c transforms in same way as ϕ under $SU(2)_L \times U(1)_Y$: above terms are gauge invariant

Fermion Masses

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- **Fermion-mass terms** (without *h.c.* terms):

$$\text{d-type: } -y_d (\bar{u}_L \quad \bar{d}_L) \phi d_R = -\frac{y_d}{\sqrt{2}} (\bar{u}_L \quad \bar{d}_L) \begin{pmatrix} 0 \\ v \end{pmatrix} d_R = -\frac{y_d}{\sqrt{2}} v \bar{d}_L d_R$$

$$\text{u-type: } -y_u (\bar{u}_L \quad \bar{d}_L) \phi^c u_R = -\frac{y_u}{\sqrt{2}} (\bar{u}_L \quad \bar{d}_L) \begin{pmatrix} v \\ 0 \end{pmatrix} u_R = -\frac{y_u}{\sqrt{2}} v \bar{u}_L u_R$$

- $\mathcal{L}_{\text{Yukawa}}$ for generation i (massless neutrinos)

$$\mathcal{L}_{\text{Yukawa}} = -y_i^d \bar{Q}_{Li} \phi d_{Ri} - y_i^u \bar{Q}_{Li} \phi^c u_{Ri} - y_i^l \bar{L}_{Li} \phi l_{Ri} - h.c.$$

Quark Masses

- Most general case: $y_i \rightarrow G_{ij}$ **complex matrices**

$$\mathcal{L}_{\text{Yukawa}}^{\text{quarks}} = G_{ij} \bar{\psi}_{Li} \phi \psi_{Rj} = -G_{ij}^d \bar{Q}'_{Li} \phi d'_{Rj} - G_{ij}^u \bar{Q}'_{Li} \phi^c u'_{Rj} - h.c.$$

- $d', \dots$: states in **flavour (= SU(2)-interaction) base**

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- $d', \dots$: states in **flavour (= SU(2)-interaction) base**
- For example, first term (after electroweak symmetry breaking)

$$G_{ij}^d \bar{Q}'_{Li} \phi d'_{Rj} = \frac{1}{\sqrt{2}} \left[\begin{pmatrix} G_{dd}^d \cdot \overline{(u \ d)}'_L & G_{ds}^d \cdot \overline{(u \ d)}'_L & G_{db}^d \cdot \overline{(u \ d)}'_L \\ G_{sd}^d \cdot \overline{(c \ s)}'_L & G_{ss}^d \cdot \overline{(c \ s)}'_L & G_{sb}^d \cdot \overline{(c \ s)}'_L \\ G_{bd}^d \cdot \overline{(t \ b)}'_L & G_{bs}^d \cdot \overline{(t \ b)}'_L & G_{bb}^d \cdot \overline{(t \ b)}'_L \end{pmatrix} \begin{pmatrix} 0 \\ v \end{pmatrix} \right] \cdot \begin{pmatrix} d'_R \\ s'_R \\ b'_R \end{pmatrix}$$

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- Lagrangian becomes**

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}}^{\text{quarks}} &= - G_{dd}^d \frac{v}{\sqrt{2}} \cdot \bar{d}'_L d'_R - G_{ds}^d \frac{v}{\sqrt{2}} \cdot \bar{d}'_L s'_R - \dots - h.c. \\ &= - \quad M_{dd}^{d'} \cdot \bar{d}'_L d'_R - \quad M_{ds}^{d'} \cdot \bar{d}'_L s'_R - \dots - h.c. \\ &= - \quad \underbrace{M_{dd}^{d'} \cdot \bar{d}' d'}_{d\text{-quark mass}} - \quad \underbrace{M_{ds}^{d'} \cdot \bar{d}' s'}_{?} - \dots \end{aligned}$$

Quark Masses

- States with proper mass terms by **diagonalizing the mass matrices**

$$M^d = V_L^d M^{d'} V_R^{d'\dagger} = \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix}, \quad M^u = V_L^u M^{u'} V_R^{u'\dagger} = \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix}$$

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with unitary matrices V (i. e. $V^\dagger V = \mathbb{1}$)

$$\begin{aligned} \mathcal{L}_{\text{Yukawa}}^{\text{quarks}} &= - \bar{d}'_{Li} M_{ij}^{d'} d'_{Rj} - \bar{u}'_{Li} M_{ij}^{u'} u'_{Rj} \\ &= - \underbrace{\bar{d}'_{Li} V_L^{d\dagger} V_L^d M_{ij}^{d'} V_R^{d\dagger}}_{M_{ij}^d} \underbrace{V_R^d d'_{Rj}}_{d_{Rj}} - \underbrace{\bar{u}'_{Li} V_L^{u\dagger} V_L^u M_{ij}^{u'} V_R^{u\dagger}}_{M_{ij}^u} \underbrace{V_R^u u'_{Rj}}_{u_{Rj}} \\ &= - \bar{d}_{Li} M_{ij}^d d_{Rj} - \bar{u}_{Li} M_{ij}^u u_{Rj} \end{aligned}$$

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with **quark mass-eigenstates**

$$\begin{aligned} d_{Li} &= (V_L^d)_{ij} d'_{Lj} & d_{Ri} &= (V_R^d)_{ij} d'_{Rj} \\ u_{Li} &= (V_L^u)_{ij} u'_{Lj} & u_{Ri} &= (V_R^u)_{ij} u'_{Rj} \end{aligned}$$

SM Interactions in Quark Mass-Eigenstates

- Electroweak interaction terms rewritten in SU(2)-interaction base

$$\begin{aligned}
 \mathcal{L}_{\text{EWK}} &= i\bar{Q}'_L \gamma^\mu \left[\partial_\mu + i\frac{g}{2} \mathbf{W}_\mu^a \tau^a + i\frac{g'}{2} Y_L B_\mu \right] Q'_L + i\bar{q}'_R \gamma^\mu \left[\partial_\mu + i\frac{g'}{2} Y_L B_\mu \right] q'_R \\
 &= i\bar{Q}'_L \gamma^\mu \partial_\mu Q'_L + i\bar{q}'_R \gamma^\mu \partial_\mu q'_R && \longrightarrow \mathcal{L}_{\text{kin}} \\
 &\quad + \bar{Q}'_L \gamma^\mu \mathbf{W}_\mu^\pm \tau^\pm Q'_L && \longrightarrow \mathcal{L}_{\text{CC}} \\
 &\quad + \bar{Q}'_L \gamma^\mu (c_L^Z Z_\mu, c^A A_\mu) Q'_L + \bar{q}'_R \gamma^\mu (c_R^Z Z_\mu, c^A A_\mu) q'_R && \longrightarrow \mathcal{L}_{\text{NC}}
 \end{aligned}$$

SM Interactions in Quark Mass-Eigenstates

- Electroweak interaction terms rewritten in SU(2)-interaction base
- For $\mathcal{L}_{\text{NC}}$ (and similarly $\mathcal{L}_{\text{kin}}$), terms of the form

$$\overline{Q}'_L \gamma^\mu (Z_\mu, A_\mu) Q'_L = \overline{(u \ d)}'_{Li} \gamma^\mu (Z_\mu, A_\mu) \begin{pmatrix} u \\ d \end{pmatrix}'_{Li}$$

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 &= \overline{u}'_{Li} \gamma^\mu (Z_\mu, A_\mu) u'_{Li} + \dots
 \end{aligned}$$

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 &= \overline{u}'_{Li} \gamma^\mu (Z_\mu, A_\mu) u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \overline{u}'_{Li} u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \underbrace{\overline{u}_{Li}}_{\overline{u}'_{Li}} \underbrace{(V_L^\mu)_{ij}}_{u'_{Lj}} u_{Lj} + \dots
 \end{aligned}$$

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 &= \overline{u}'_{Li} \gamma^\mu (Z_\mu, A_\mu) u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \overline{u}'_{Li} u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \overline{u}_{Li} \underbrace{(V_L^\mu V_L^{\mu\dagger})_{ij}}_{\delta_{ij}} u_{Lj} + \dots
 \end{aligned}$$

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 &= \overline{u}'_{Li} \gamma^\mu (Z_\mu, A_\mu) u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \overline{u}'_{Li} u'_{Li} + \dots \\
 &= \gamma^\mu (Z_\mu, A_\mu) \overline{u}_{Li} \underbrace{(V_L^\mu V_L^{\mu\dagger})_{ij}}_{\delta_{ij}} u_{Lj} + \dots
 \end{aligned}$$

Kinetic and NC interaction terms act on quark mass-eigenstates

SM Interactions in Quark Mass-Eigenstates

- Electroweak interaction terms rewritten in SU(2)-interaction base
- For $\mathcal{L}_{CC}$, e. g. W^+ , terms of the form

$$\overline{Q}'_L \gamma^\mu W_\mu^+ \tau^+ Q'_L = \overline{(u \ d)}'_{Li} \gamma^\mu W_\mu^+ \tau^+ \begin{pmatrix} u \\ d \end{pmatrix}'_{Li}$$

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 &= \gamma^\mu W_\mu^+ \underbrace{\overline{u}_{Li}}_{\overline{u}'_{Li}} (V_L^\mu)_{ij} \underbrace{(V_L^{d\dagger})_{ij}}_{d'_{Li}} d_{Lj} + \dots
 \end{aligned}$$

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 \overline{Q}'_L \gamma^\mu W_\mu^+ \tau^+ Q'_L &= \gamma^\mu W_\mu^+ \overline{u}'_{Li} d'_{Li} + \dots \\
 &= \gamma^\mu W_\mu^+ \underbrace{\overline{u}_{Li}}_{\overline{u}'_{Li}} \underbrace{(V_L^\mu)_{ij} (V_L^{d\dagger})_{ij}}_{d'_{Li}} d_{Lj} + \dots \\
 &= \gamma^\mu W_\mu^+ \overline{u}_{Li} \underbrace{(V_L^u V_L^{d\dagger})_{ij}}_{V_{CKM}^{ij}} d_{Lj} + \dots
 \end{aligned}$$

SM Interactions in Quark Mass-Eigenstates

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$$\begin{aligned}
 \overline{Q}'_L \gamma^\mu W_\mu^+ \tau^+ Q'_L &= \gamma^\mu W_\mu^+ \overline{u}'_{Li} d'_{Lj} + \dots \\
 &= \gamma^\mu W_\mu^+ \underbrace{\overline{u}_{Li}}_{\overline{u}'_{Li}} \underbrace{(V_L^u)_{ij} (V_L^{d\dagger})_{ij}}_{d'_{Lj}} d_{Lj} + \dots \\
 &= \gamma^\mu W_\mu^+ \overline{u}_{Li} \underbrace{(V_L^u V_L^{d\dagger})_{ij}}_{V_{\text{CKM}}^{ij}} d_{Lj} + \dots
 \end{aligned}$$

CC act on superposition of mass-eigenstates (**quark mixing**)

$V_L^u V_L^{d\dagger} = V_{\text{CKM}}$: Cabibbo-Kobayashi-Maskawa (CKM) matrix

Convention: V_{CKM} elements such that no mixing for u -type quarks: $u'_i = u_i$

Summary: The Higgs Mechanism

- No prediction of but **allows masses of the elementary particles** without breaking local gauge invariance
 - Higgs field ϕ with spontaneously-symmetry-breaking potential
→ non-zero vacuum expectation value v of ϕ
 - Coupling of gauge bosons to ϕ (by covariant derivative) generates boson mass-terms $\propto v$ ('eat up' Goldstone bosons to gain mass)
 - In addition: Yukawa coupling of fermions to ϕ generates fermion mass-terms $\propto v$ (and introduces freedom for mixing between fermion mass- and interaction-eigenstates)
- Predicts a **massive scalar particle (the Higgs boson)**
 - Coupling to fermions and bosons depending on their masses
 - Additional self-interaction
- **Higgs sector determined by Higgs-boson mass** (free parameter)