



Introduction to theoretical foundations II (from experimenter's viewpoint)

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- **Relativistic quantum mechanics incorporates relativistic energy-momentum relation:**

$$E^2 = p^2 + m^2 \quad \text{or} \quad p^\mu p_\mu = E^2 - p^2 = m^2$$

- **Most important equations of motion:**

- ➔ **Spin-0 particles (scalars): Klein-Gordon** $(\partial_\mu \partial^\mu + m^2) \phi = 0$
- ➔ **Spin-1/2 particles: Dirac** $(i\gamma^\mu \partial_\mu - m) \psi = 0$
- ➔ **Spin-1 particles (vectors): Proca** $(\partial_\nu \partial^\nu + m^2) A^\mu = 0$
for completeness

- **From canonical operator replacement:**

$$\hat{E} \rightarrow i \frac{\partial}{\partial t} \quad \hat{\vec{p}} \rightarrow -i \vec{\nabla} \quad \text{or}$$

$$\hat{p}^\mu \rightarrow i \partial^\mu$$

- Transformation of spinors under **discrete symmetry operations** C , P , T important in particle physics

- **Charge conjugation** C : particle $\rightarrow$ anti-particle

$$\psi(x) \rightarrow \psi'(x) = i\gamma^2\psi^*(x)$$

- **Parity** P : mirroring at origin $x = (t, \vec{x}) \rightarrow x' = (t, -\vec{x})$

$$\psi(x) \rightarrow \psi'(x') = i\gamma^0\psi(x)$$

- **Time reversal** T : $x = (t, \vec{x}) \rightarrow x' = (-t, \vec{x})$

$$\psi(x) \rightarrow \psi'(x') = i\gamma^1\gamma^3\psi(x)$$

- **CPT theorem** (Pauli, Lüders 1957):

Every locally Lorentz-invariant quantum-field theory is invariant under CPT symmetry



- Physical observables: **bilinear forms of spinors**
- Classification by **transformation behaviour** under C and P transformations

Bilinear Form		C	P	T
$\bar{\psi}\psi$	scalar	+	+	+
$\bar{\psi}\gamma^5\psi$	pseudo-scalar	+	-	-
$\bar{\psi}\gamma^\mu\psi$	vector	-	$\gamma^0: +, \gamma^i: -$	$\gamma^0: +, \gamma^i: -$
$\bar{\psi}\gamma^\mu\gamma^5\psi$	axial-vector	+	$\gamma^0: -, \gamma^i: +$	$\gamma^0: +, \gamma^i: -$
$\bar{\psi}\Sigma^{\mu\nu}\psi$	tensor ($\Sigma^{\mu\nu} \equiv \frac{1}{4}[\gamma^\mu\gamma^\nu]$)	-	$\sigma^{0j}: -, \sigma^{ij}: +$ $\sigma^{0j}: -, \sigma^{ij}: +$	$\sigma^{0j}: +, \sigma^{ij}: +$ $\sigma^{0j}: +, \sigma^{ij}: +$



- **Helicity** λ ('direction of rotation')

- Projection of spin onto unit vector in direction of momentum

$$\boxed{\lambda = \vec{\Sigma} \cdot \frac{\vec{p}}{|\vec{p}|}} \quad \text{with spin operator } \vec{\Sigma}, \quad \Sigma_i = \frac{1}{2} \begin{pmatrix} \sigma_i & 0 \\ 0 & \sigma_i \end{pmatrix}$$

- Commutes with Hamilton operator: λ is '**good**' **quantum number**
- **Not Lorentz-invariant**: for massive particles, there is always a reference frame in which momentum but not spin is in opposite direction

- **Chirality** ('handedness')

- Important particle property in electroweak interaction
- **Eigenvalue of γ^5 operator** (+: right handed, -: left handed)
- u, v are chirality eigenstates: $\gamma^5 u = \pm u, \gamma^5 v = \pm v$
- Any spinor can be decomposed into left- and right-handed components

$$\boxed{\psi = (\psi_R + \psi_L) \quad \text{with} \quad \psi_{R/L} = P_{R/L}\psi, \quad P_{R/L} = \frac{1}{2} (1 \pm \gamma^5)}$$

- For **massless** particles: chirality = helicity



• Classical mechanics

- ➔ All information of a physical system is contained in the action

$$S = \int dt L(\vec{q}, \dot{\vec{q}}, t) \quad \vec{q} \text{ generalised coordinates}$$

Lagrange function L: $L = T - U = (E_{\text{kin}} - E_{\text{pot}})$

- ➔ Equations of motion from principle of stationary action, $dS = 0$:

$$\frac{d}{dt} \frac{\partial L}{\partial(\partial \dot{q}_i)} - \frac{\partial L}{\partial q_i} = 0 \quad \text{Euler-Lagrange equations}$$



- Relativistic quantum mechanics

- ➔ All information is contained in the action integral

$$S = \int dt \int d^3x \mathcal{L}(\phi(x), \partial_\mu \phi(x))$$

Field $\phi(x)$ here scalar:

Separate coordinate at each x
(generalisation of canonical coords.)

Lagrange density
(‘Lagrangian’) :

↑
 $\mathcal{L}$

$$T - U|_{\delta x} \equiv (E_{\text{kin}} - E_{\text{pot}})|_{\delta x}$$

- ➔ Equations of motion from principle of stationary action, $dS = 0$:

$$\partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu \phi(x))} - \frac{\partial \mathcal{L}}{\partial \phi(x)} = 0 \quad \text{Euler-Lagrange equations}$$

- Lagrange densities for various fields of particles with mass m

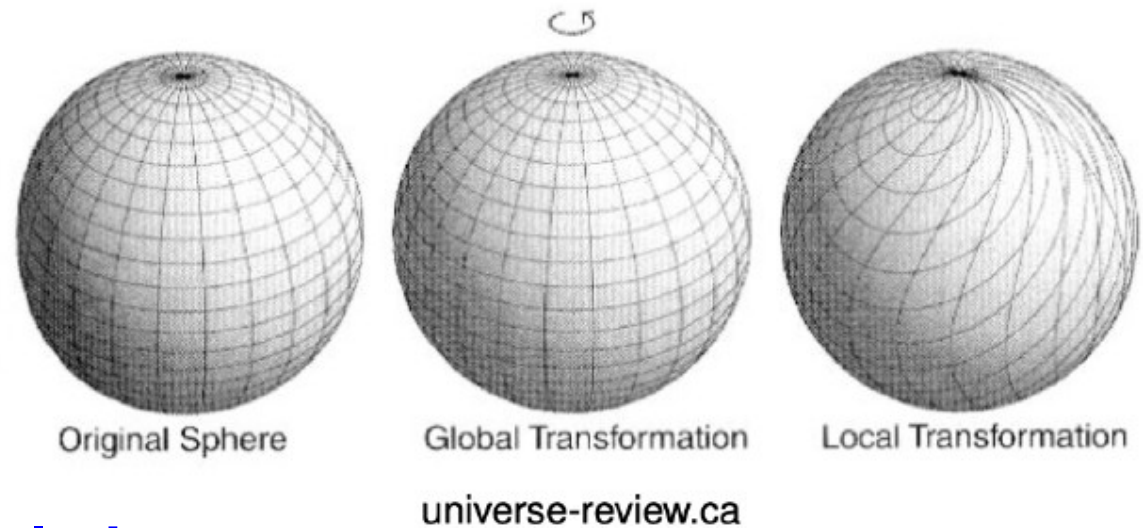
field	Lagrange density $\mathcal{L}$	equation of motion
scalar field $\phi(x)$ ($S = 0$)	$\frac{1}{2} [(\partial_\mu \phi) (\partial^\mu \phi)^* - m^2 \phi^2]$	Klein-Gordon eq.
fermion field $\psi(x)$ ($S = \frac{1}{2}$)	$\bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi$	Dirac eq.
vector field $A_\mu(x)$ ($S = 1$)	$-\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \frac{1}{2} m^2 A_\mu A^\mu$	Proca eq.

- $\mathcal{L}$ has dimension GeV^{-1}
- $\mathcal{L}$ is a Lorentz scalar: Lorentz-invariant without 'free' indices μ

- Global and local symmetries

- ➔ **Global:** same everywhere

- ➔ **Local:** varies with x



- Example: quantum-mechanical phase

- ➔ **Global:** physics unchanged for $\psi(x) \rightarrow U \psi(x) = \exp[i\alpha] \psi(x)$

- ➔ **Local:** physics unchanged for $\psi(x) \rightarrow U(x) \psi(x) = \exp[i\alpha(x)] \psi(x)$

- Connection to group theory

- ➔ Continuous transformations $\psi(x) \rightarrow U\psi(x)$ form Abelian group $U(1)$ under multiplication: Group of unitary transformations

- ➔ Abelian group: U commute, i.e. $[U_i, U_j] = 0$



- Lagrangians of fermions and bosons are invariant
 - ➔ **Global:** The phase $\alpha = \text{const}$ is the same for any space-time point x
- **Example: Lagrangian of free fermions**

$$\begin{aligned}\psi(x) &\rightarrow \psi'(x) = e^{i\alpha}\psi(x) \\ \bar{\psi}(x) &\rightarrow \bar{\psi}'(x) = \bar{\psi}(x)e^{-i\alpha}\end{aligned}$$

- **Proof**

$$\begin{aligned}\mathcal{L}' &= \bar{\psi}'(i\gamma^\mu\partial_\mu - m)\psi' \\ &= \bar{\psi}e^{-i\alpha}(i\gamma^\mu\partial_\mu - m)e^{i\alpha}\psi \\ &= \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi = \mathcal{L}\end{aligned}$$



Time out

- Let's allow different phases at each point in space-time ... $\alpha = \alpha(x)$?

→ physics still should stay invariant!



taken from A. Quandt



- **But: Lagrangian is NOT invariant under local phase transformations $\alpha = \alpha(x)$!**

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)} \psi(x)$$

$$\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = \bar{\psi}(x) e^{-i\alpha(x)}$$

- **Proof:**
$$\begin{aligned}\mathcal{L}' &= \bar{\psi}' (i\gamma^\mu \partial_\mu - m) \psi' \\ &= \bar{\psi} e^{-i\alpha(x)} (i\gamma^\mu \partial_\mu - m) e^{i\alpha(x)} \psi \\ &= \bar{\psi} (i\gamma^\mu (\partial_\mu + i\partial_\mu \alpha(x)) - m) \psi \neq \mathcal{L}\end{aligned}$$

- Invariance can be restored at the cost of introducing artificially the covariant derivative $\partial_\mu \rightarrow D_\mu = \partial_\mu + iqA_\mu$ and an additional gauge field A_μ with transformation behaviour:

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha(x)} \psi(x)$$

$$\bar{\psi}(x) \rightarrow \bar{\psi}'(x) = \bar{\psi}(x) e^{-i\alpha(x)}$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \frac{1}{q} \partial_\mu \alpha(x)$$

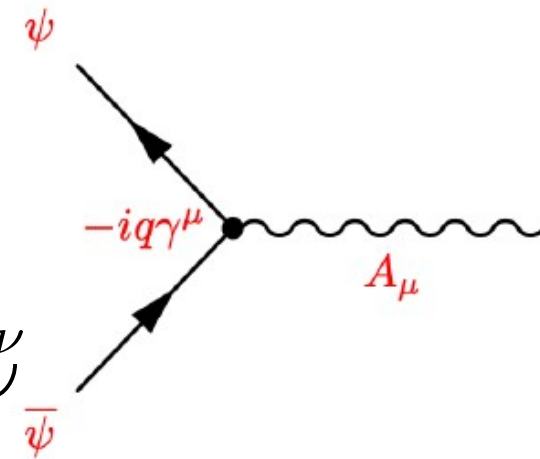
- Proof:**

$$\begin{aligned} \mathcal{L}' &= \bar{\psi}' (i\gamma^\mu D'_\mu - m) \psi' \\ &= \bar{\psi}' (i\gamma^\mu (\partial_\mu + iqA'_\mu) - m) \psi' \\ &= \bar{\psi} e^{-i\alpha(x)} (i\gamma^\mu (\partial_\mu + iqA_\mu - i\partial_\mu \alpha(x)) - m) e^{i\alpha(x)} \psi \\ &= \bar{\psi} (i\gamma^\mu (\partial_\mu + i\partial_\mu \alpha(x) + iqA_\mu - i\partial_\mu \alpha(x)) - m) \psi \\ &= \bar{\psi} (i\gamma^\mu D_\mu - m) \psi = \mathcal{L} \end{aligned}$$

- Covariant derivative introduces gauge field A_μ
- Allows arbitrary phase $\alpha(x)$ of $\psi(x)$
 - ➔ Gauge field transports phase information from x to x' (no instantaneous information exchange)
- A_μ couples to property q of spinor field $\psi(x)$
 - ➔ q can be identified with electric charge

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu D_\mu - m)\psi$$

$$\rightarrow \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu \psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon}}$$



- A_μ can be identified with photon field

- Dynamics of A_μ given by $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu = \frac{i}{q}[D_\mu, D_\nu]$
 $L_{\text{kin}} = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} \rightarrow$ Proca equation for massless vector boson

- Postulation of local U(1) gauge symmetry → **Lagrangian of QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} \\ &= \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu\psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon gauge field}}\end{aligned}$$

- Euler-Lagrange eq. for $\bar{\psi}$: $\partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu \bar{\psi})} - \frac{\partial \mathcal{L}}{\partial \bar{\psi}} = 0$

→ Dirac equation for interacting fermion

$$\rightarrow (i\gamma^\mu \partial_\mu - m)\psi = q\gamma^\mu A_\mu \psi$$

- Postulation of local U(1) gauge symmetry → **Lagrangian of QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} \\ &= \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu\psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon gauge field}}\end{aligned}$$

- Euler-Lagrange eq. for A_ν** $\partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0$

$$\partial_\mu \frac{\partial \mathcal{L}}{\partial(\partial_\mu A_\nu)} = \partial_\mu F^{\mu\nu} = 0$$

$$\partial_\mu (\partial^\mu A^\nu - \partial^\nu A^\mu) = (\partial_\mu \partial^\mu A^\nu - \partial^\nu \partial_\mu A^\mu) = 0$$

$$\partial_\mu A^\mu = 0$$

Lorenz gauge

- ➔ **Proca equation for massless vector boson**

$$\rightarrow (\partial_\mu \partial^\mu - 0) A^\nu = 0$$

- Postulation of local U(1) gauge symmetry → **Lagrangian of QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} \\ &= \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu\psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon gauge field}}\end{aligned}$$

- Electromagnetic interaction derived as a consequence of local gauge invariance
- Lagrangian must not have boson mass term $m^2 A_\mu A^\mu$
 - massive gauge bosons break local gauge invariance



- **Process rate** given by (cf. Fermi's gold rule)

$$\frac{dN}{dt} = \frac{|\text{matrix element}|^2}{\text{flux of incoming particles}} \cdot \text{phase space}$$

- **Dynamics** of process encoded in **matrix element**
 - Element of scattering matrix that transforms initial state into outgoing state ('scattered wave')
- From Lagrange density: rules how to compute matrix element in perturbation theory → **Feynman rules**
 - Graphical representation: **Feynman graphs**

- Process dynamics given by **matrix element**

$$\mathcal{M}_{fi} = \psi_f^\dagger \psi_{\text{scat}} = \psi_f^\dagger \mathcal{S} \psi_i$$

- ψ_f : final state after scattering of initial state ψ_i
- E.g. fermion scattering: ψ_{scat} given by solution of inhomogeneous Dirac equation

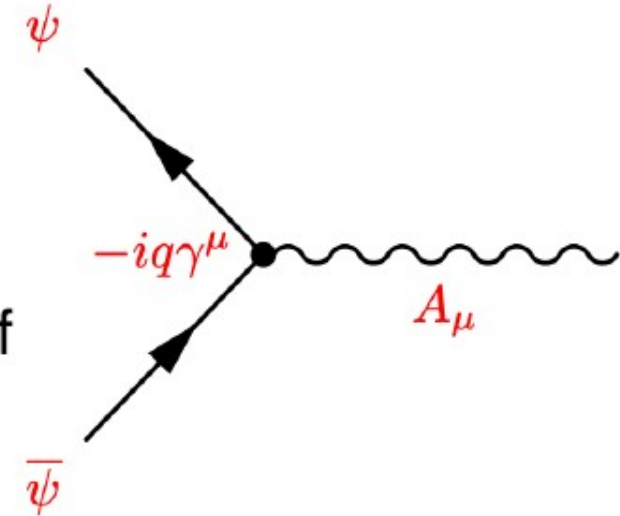
$$(i\gamma^\mu \partial_\mu - m)\psi_{\text{scat}} = -e\gamma^\mu A_\mu \psi_{\text{scat}}$$

Cannot be solved analytically!

- Possible to **expand solution** in orders of coupling constant $\alpha \equiv e^2 \ll 1$

$$\psi_{\text{scat}} = \mathcal{S} \psi_i = \left[\sum_{n=0}^{\infty} \alpha^n \mathcal{S}_n \right] \psi_i$$

- Each term in **perturbation series** associated with distinct process
- $\mathcal{S}_n$ can be computed with **Feynman rules**

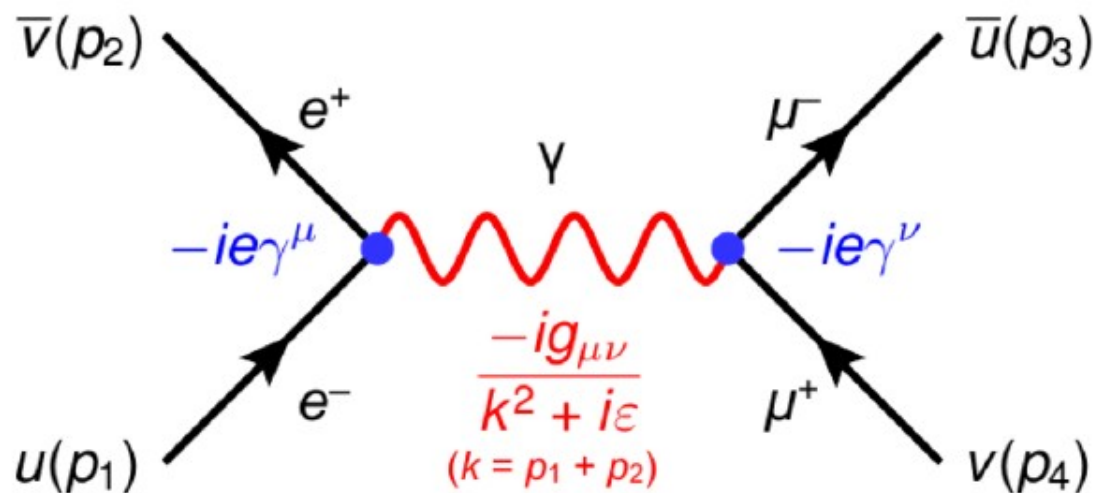


■ Elements of Feynman rules

- External lines: incoming/outgoing particles
- **Vertices**: coupling between particles
- **Propagators** (=internal lines): exchange of virtual particles during scattering process
(Green's function of free field equation in momentum space)

- fermion
- ← anti-fermion
- ~~~~~ vector boson: γ, W, Z
- ~~~~~ gluon
- - - scalar: Higgs
- vertex

■ For example, $e^+ e^- \rightarrow \mu^+ \mu^-$ scattering



$$\mathcal{M} = \bar{v}(p_2)(-ie\gamma^\mu)u(p_1)\frac{-ig_{\mu\nu}}{(p_1 + p_2)^2 + i\epsilon}\bar{u}(p_3)(-ie\gamma^\nu)v(p_4)$$



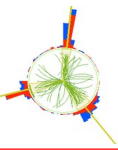
- **Symmetries are a basic principle of physics**
- **Principle of local gauge invariance**
 - ➔ **Postulate of local gauge invariance of the Lagrange density**
→ leads to interaction terms with gauge bosons as mediators
- **QED: Symmetry under $U(1)$ gauge transformation → photon exchange**
- **Cross section from Lagrange density**
 - ➔ **Fermi's golden rule:**
matrix element squared $\times$ phase space → cross section
 - ➔ **Feynman rules:**
 - ➔ set of rules how to calculate matrix elements
 - ➔ can be read off Lagrange density (at leading order ...)
 - ➔ represented by Feynman graphs



Time out



The standard model so far



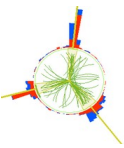
- **Postulation of local U(1) gauge symmetry → Lagrangian of QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} \\ &= \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu\psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon gauge field}}\end{aligned}$$

- **We have fermions and (massless) vector bosons**
- **We have a conserved quantum number q identifiable with the electric charge**
- **We have electromagnetic interactions**
 - **Quantum version of Maxwell's unified theory of electricity and magnetism**

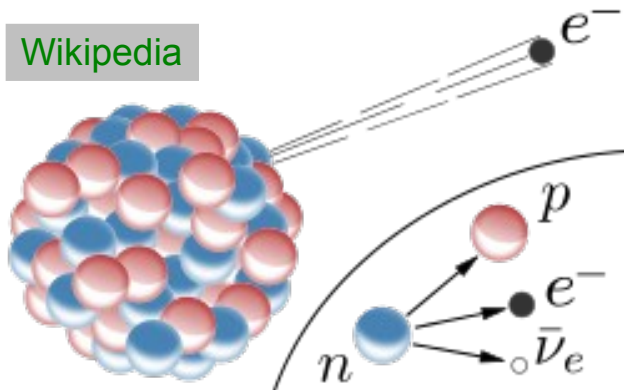


Fermi's four-fermion coupling



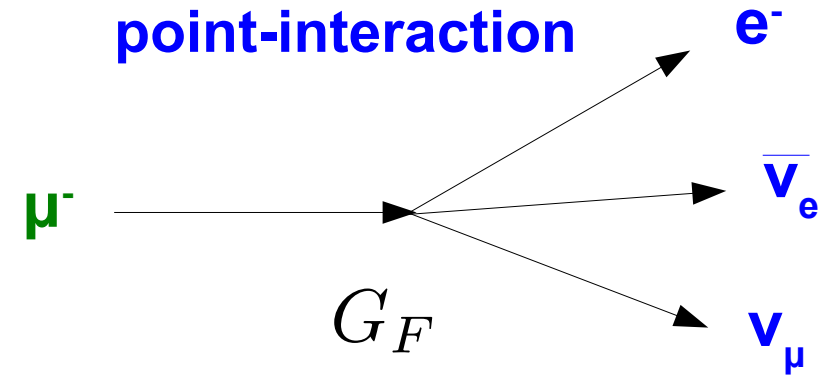
β -decay

Wikipedia



μ -decay

point-interaction



Versuch einer Theorie der β -Strahlen. I¹⁾.

Von E. Fermi in Rom.

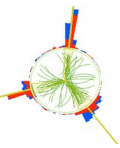
Mit 3 Abbildungen. (Eingegangen am 16. Januar 1934.)

Eine quantitative Theorie des β -Zerfalls wird vorgeschlagen, in welcher man die Existenz des Neutrinos annimmt, und die Emission der Elektronen und Neutrinos aus einem Kern beim β -Zerfall mit einer ähnlichen Methode behandelt, wie die Emission eines Lichtquants aus einem angeregten Atom in der Strahlungstheorie. Formeln für die Lebensdauer und für die Form des emittierten kontinuierlichen β -Strahlenspektrums werden abgeleitet und mit der Erfahrung verglichen.

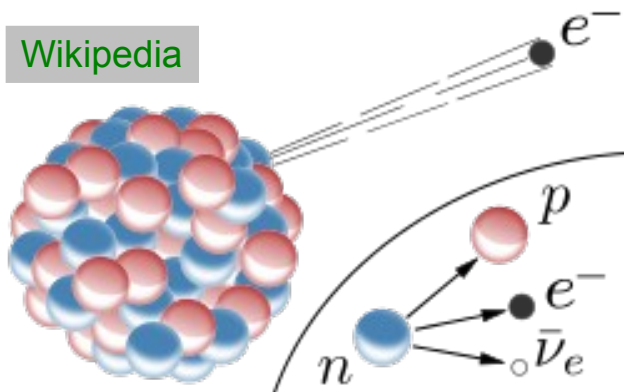
Fermi, Z. Phys., 1934, 88, 16; Nuovo Cim., 1934, 11, 1



Fermi's four-fermion coupling

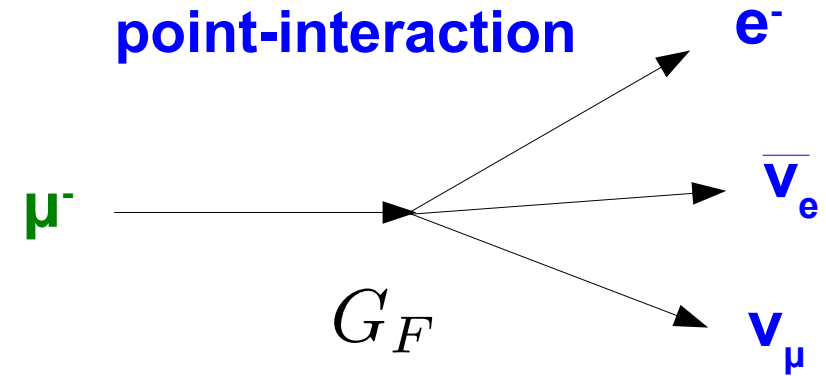


β -decay



μ -decay

point-interaction



First publikation declined by “Nature” as too speculative
→ **Appeared first in German and Italian!**

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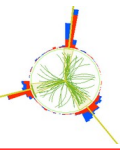
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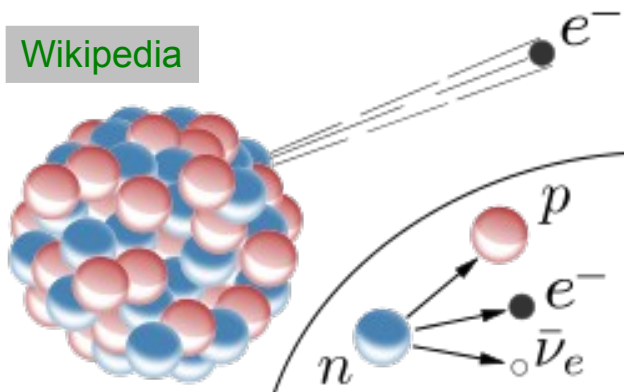
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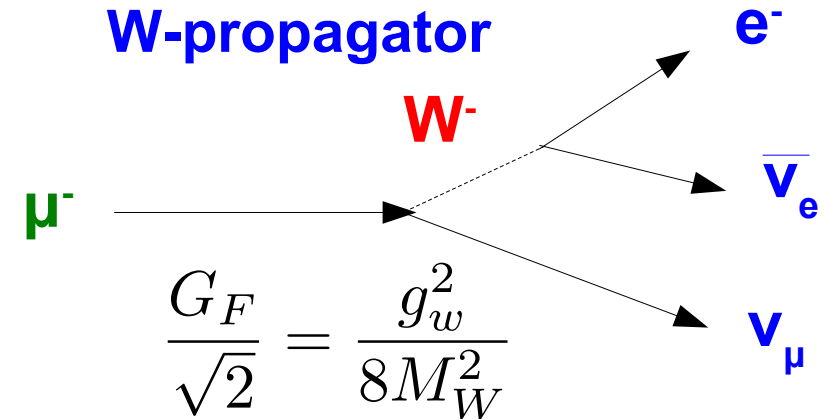


β -decay



μ -decay

W-propagator



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