



Introduction to theoretical foundations III (from experimenter's viewpoint)

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- **Symmetries are a basic principle of physics**
- **Principle of local gauge invariance**
 - ➔ **Postulate of local gauge invariance of the Lagrange density**
→ leads to interaction terms with gauge bosons as mediators
- **QED: Symmetry under $U(1)$ gauge transformation → photon exchange**
- **Cross section from Lagrange density**
 - ➔ **Fermi's golden rule:**
matrix element squared $\times$ phase space → cross section
 - ➔ **Feynman rules:**
 - ➔ set of rules how to calculate matrix elements
 - ➔ can be read off Lagrange density (at leading order ...)
 - ➔ represented by Feynman graphs

- Postulation of local U(1) gauge symmetry → **Lagrangian of QED**

$$\begin{aligned}\mathcal{L}_{\text{QED}} &= \bar{\psi}(i\gamma^\mu D_\mu - m)\psi - \frac{1}{4}F^{\mu\nu}F_{\mu\nu} \\ &= \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free fermion}} - \underbrace{q(\bar{\psi}\gamma^\mu\psi)A_\mu}_{\text{interaction}} - \underbrace{\frac{1}{4}F^{\mu\nu}F_{\mu\nu}}_{\text{photon gauge field}}\end{aligned}$$

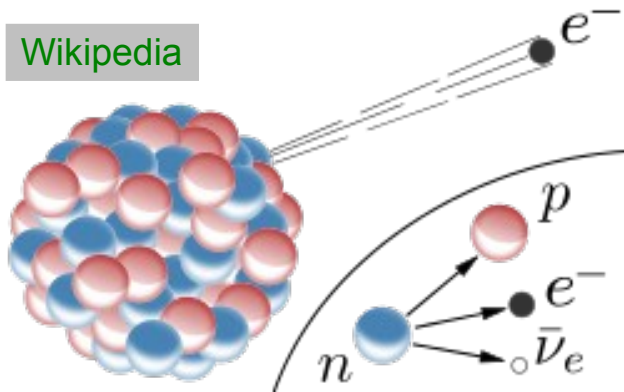
- We have fermions and (massless) vector bosons
- We have a conserved quantum number q identifiable with the electric charge
- We have electromagnetic interactions

→ **Quantum version of Maxwell's unified theory of electricity and magnetism**



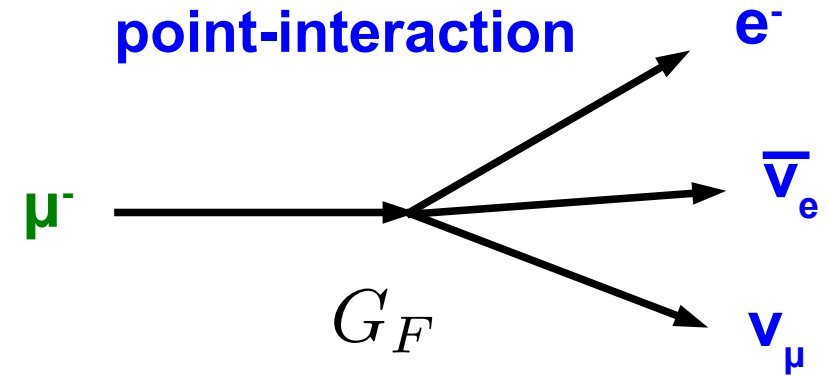
β -decay

Wikipedia



μ -decay

point-interaction



Versuch einer Theorie der β -Strahlen. I¹⁾.

Von E. Fermi in Rom.

Mit 3 Abbildungen. (Eingegangen am 16. Januar 1934.)

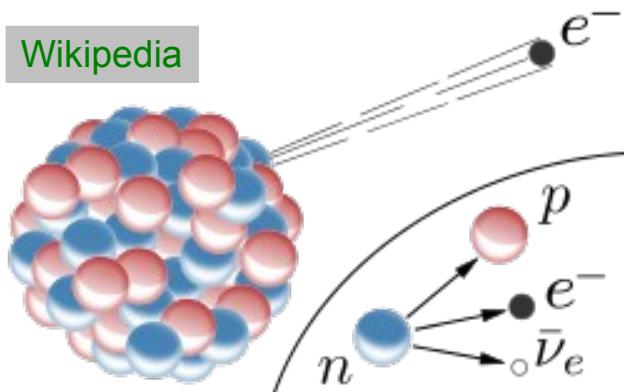
Eine quantitative Theorie des β -Zerfalls wird vorgeschlagen, in welcher man die Existenz des Neutrinos annimmt, und die Emission der Elektronen und Neutrinos aus einem Kern beim β -Zerfall mit einer ähnlichen Methode behandelt, wie die Emission eines Lichtquants aus einem angeregten Atom in der Strahlungstheorie. Formeln für die Lebensdauer und für die Form des emittierten kontinuierlichen β -Strahlenspektrums werden abgeleitet und mit der Erfahrung verglichen.

Fermi, Z. Phys., 1934, 88, 16; Nuovo Cim., 1934, 11, 1



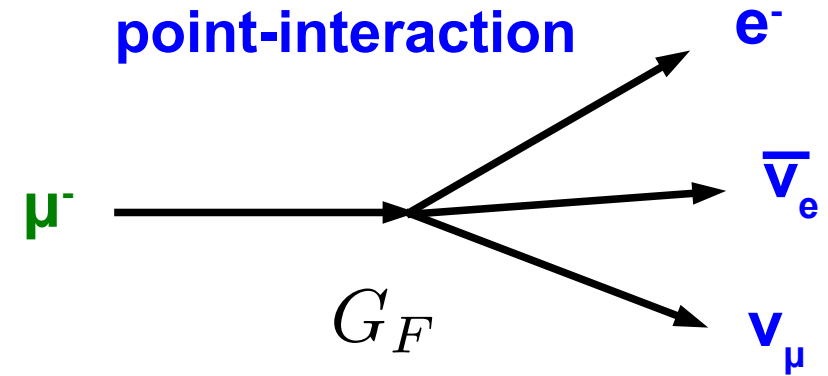
Fermi's four-fermion coupling

β -decay



μ -decay

point-interaction



First publikation declined by “Nature” as too speculative
→ **Appeared first in German and Italian!**

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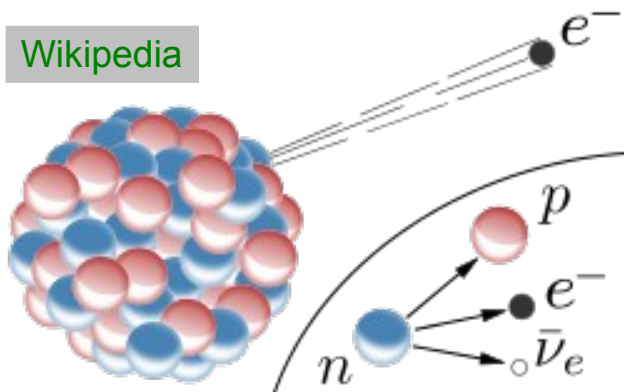
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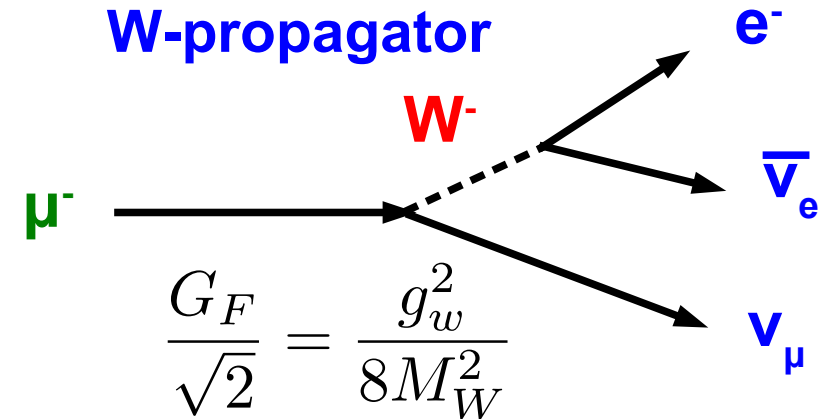
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β -decay



μ -decay

W-propagator



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- Fermi theory corresponds to contact interaction

- ➔ Coupling constant G_F has dimensions $[G_F] = [E]^{-2}$

$$G_F \approx 1.166 \cdot 10^{-5} \text{GeV}^{-2}$$

- ➔ Cross sections grow beyond all bounds

$$\sigma \sim G_F^2 E_{\text{cms}}^2 = G_F^2 \cdot s$$

- Interaction becomes very weak at large distances (low energies)
- Parity conservation is maximally violated
 - ➔ Weak reactions differentiate between left- and right-handed particles
- Particles change charge
- Particles change “flavor”

→ How to describe something so different?



Time out



Marius Sophus Lie
(*17. December 1842, † 18. February 1899)



- **$U(n)$: Group of unitary transformations in $\mathbb{R}^n$ with properties:**

• $\mathbf{G} \in U(n)$: $\mathbf{G}^\dagger \mathbf{G} = \mathbb{I}_n$ $\det \mathbf{G} = \pm 1$

For example:

$$\psi(x) \rightarrow \psi'(x) = e^{i\alpha} \psi(x) \quad \text{U(1) phase transformation}$$

- **Splitting a phase from $\mathbf{G}$ one can always achieve:** $\det \mathbf{G} = +1$

$$U(n) = U(1) \times SU(n)$$



Unitary transformations
 $\det \mathbf{G} = \pm 1$

Special unitary transformations
 $\det \mathbf{G} = +1$

- The $SU(n)$ can be composed from infinitesimal transformations with a continuous parameter: $\alpha \in \mathbb{R}$

$$\mathbf{G}|_{\text{finite}} = \mathbb{I}_n + i\alpha_{\text{finite}} \mathbf{t} \quad (\alpha_{\text{finite}} \in \mathbb{R}, \mathbf{t} \in \mathcal{M}(n \times n))$$

$$\mathbf{G}|_{\text{finite}} \approx \left(\mathbb{I}_n + i \frac{\alpha_{\text{finite}}}{2} \mathbf{t} \right)^2 = \mathbb{I}_n + 2 \cdot i \frac{\alpha_{\text{finite}}}{2} \mathbf{t} - \frac{\alpha_{\text{finite}}^2}{4} \mathbf{t}^2$$

$$\mathbf{G}|_{\text{finite}} \approx \left(\mathbb{I}_n + i \frac{\alpha_{\text{finite}}}{m} \mathbf{t} \right)^m \xrightarrow{m \rightarrow \infty} e^{i\alpha_{\text{finite}} \cdot \mathbf{t}}$$

- $\mathbf{t}$ are the generators of G and define the structure of G
- The set of G forms a **Lie group**
- The set of $\mathbf{t}$ form the tangential space or the **Lie algebra**

- Hermitian:

$$\mathbf{G}^\dagger \mathbf{G} = \mathbb{I}_n = (\mathbb{I}_n - i\alpha \mathbf{t}^\dagger) (\mathbb{I}_n + i\alpha \mathbf{t}) = \mathbb{I}_n + i\alpha (\mathbf{t} - \mathbf{t}^\dagger) + O(\alpha^2) \\ \rightarrow \quad \mathbf{t} = \mathbf{t}^\dagger$$

- Traceless (Ex. SU(n)):

$$\det \mathbf{G} = \det (\mathbb{I}_n + i\alpha \mathbf{t}) = 1 + i\alpha \text{Tr}(\mathbf{t}) + O(\alpha^2) = 1 \\ \rightarrow \quad \text{Tr}(\mathbf{t}) = 0$$

- Dimensionality:

- n real entries in diagonal
- $\frac{1}{2} n (n-1)$ complex entries
- -1 entry for determinant

$$\begin{pmatrix} * & * & * & * & * \\ & * & * & * & * \\ & & * & * & * \\ & & & * & * \\ & & & & * \end{pmatrix}$$

→ n^2 generators of U(n)

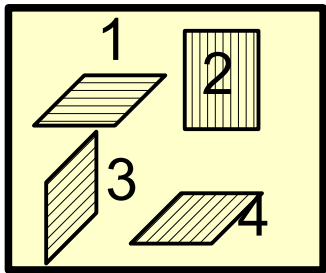
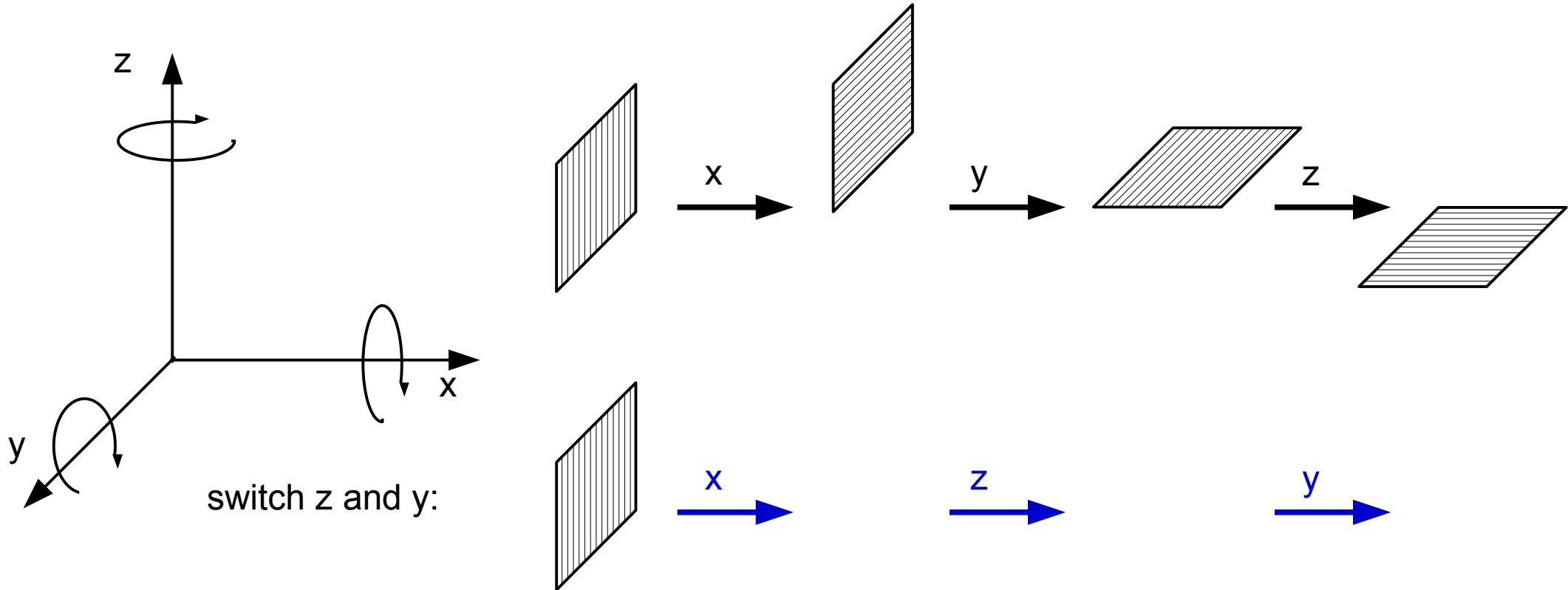
n^2-1 generators of SU(n)



- **U(1) → 1 generator:** $\mathfrak{t} = 1$ $G = e^{i\alpha \cdot 1}$
 - equivalent to rotations in 2-dim., i.e. the orthogonal group O(2)
- **Commutator:** $0 \rightarrow$ Abelian
- **SU(2) → 3 generators:** $\mathfrak{t}_a = \frac{1}{2}\sigma_a$ ($a = 1, 2, 3$)
 - Pauli matrices σ_a
$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$
 - equivalent to rotations in 3-dim., i.e. the orthogonal group O(3)
- **Commutator:** $[\mathfrak{t}_a, \mathfrak{t}_b] = i\epsilon_{abc}\mathfrak{t}_c$
 - Non-Abelian
- **With structure constants of SU(2):** ϵ_{abc} Levi-Civita tensor

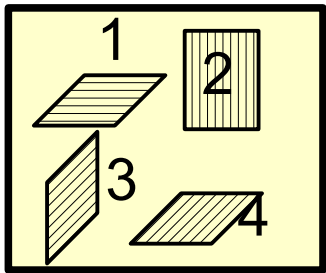
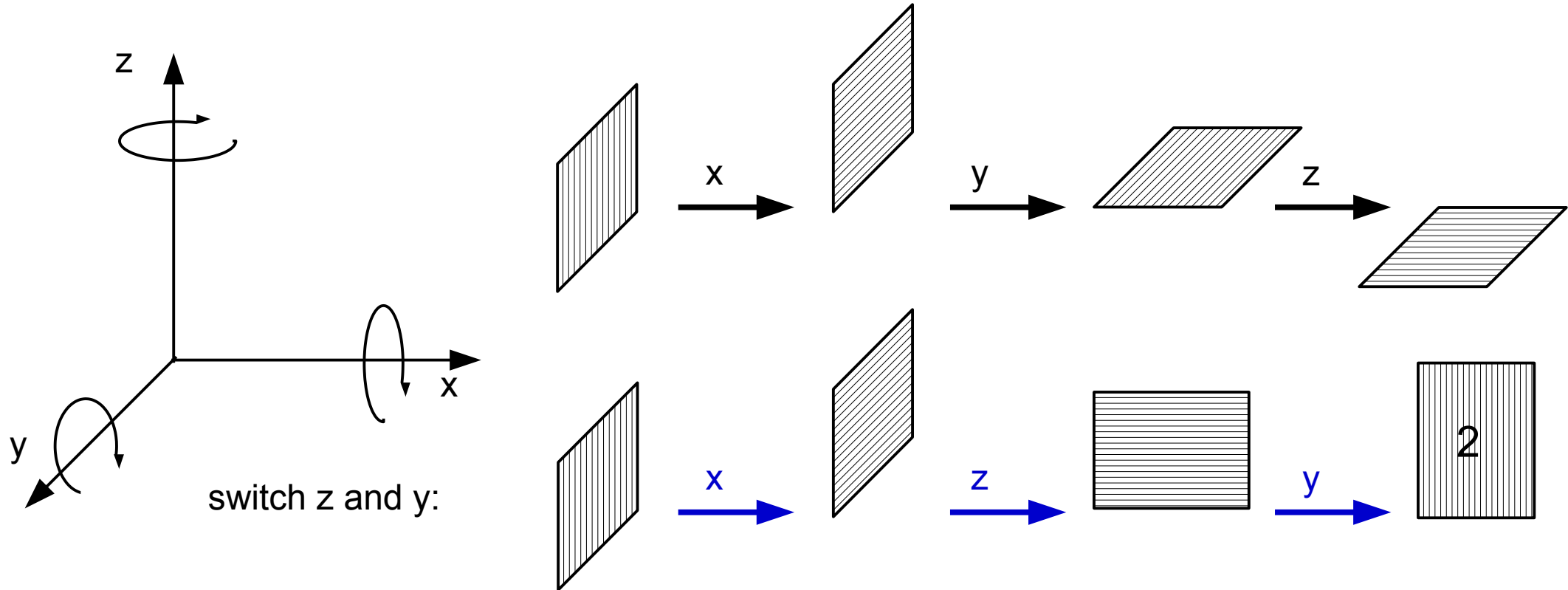


- **Example in $O(3)$: 90° rotations in $\mathbb{R}^3$**



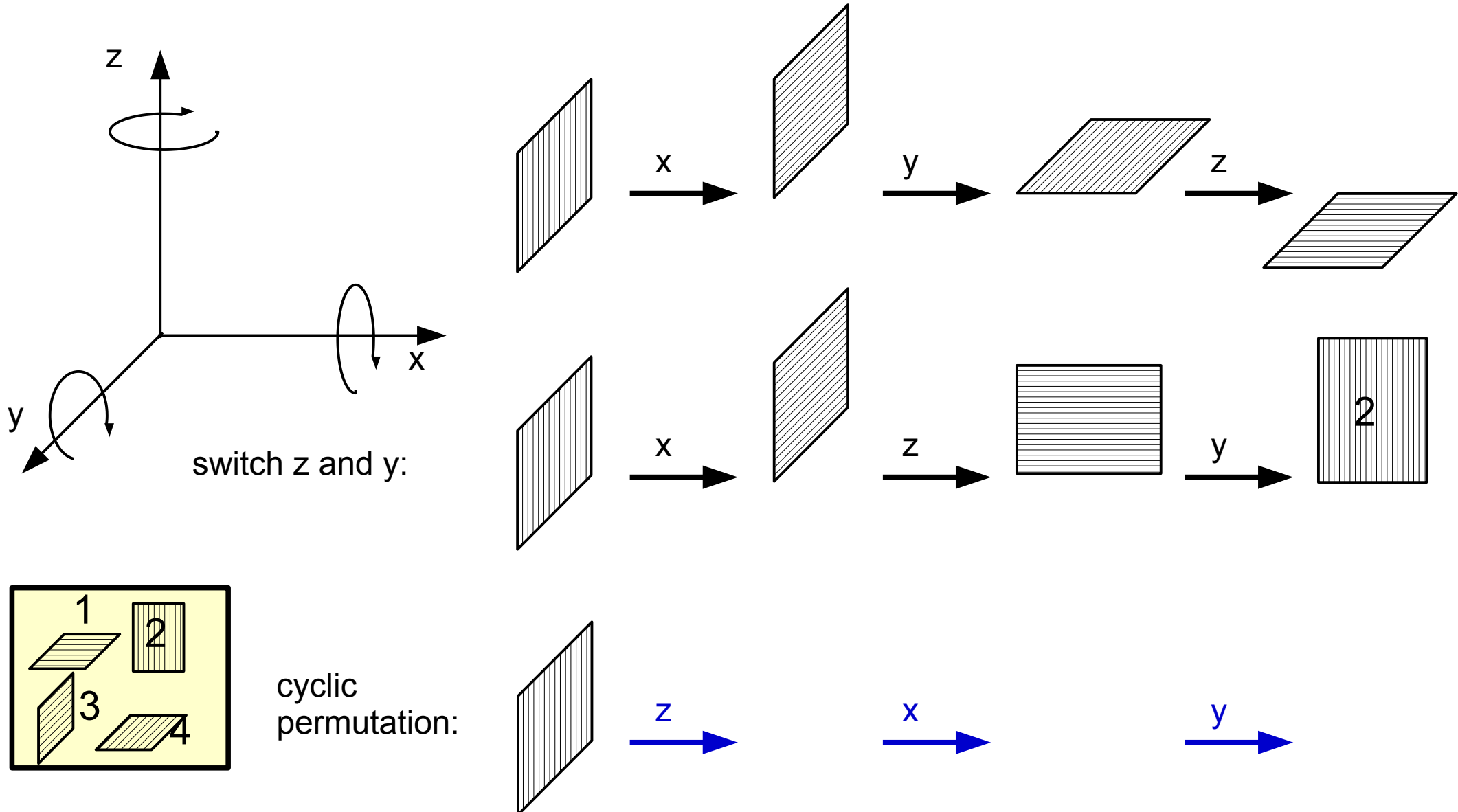


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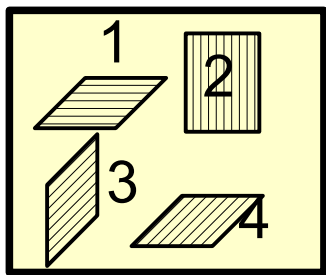
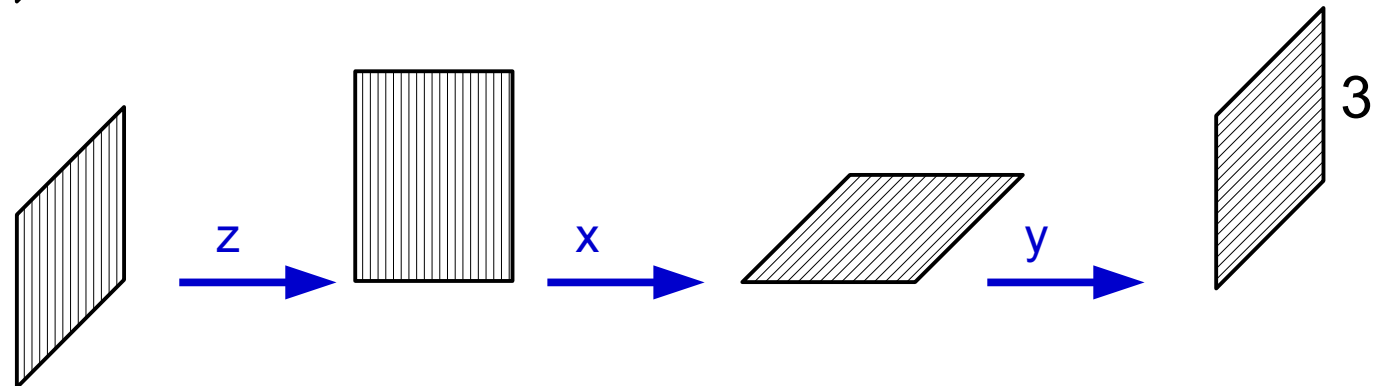
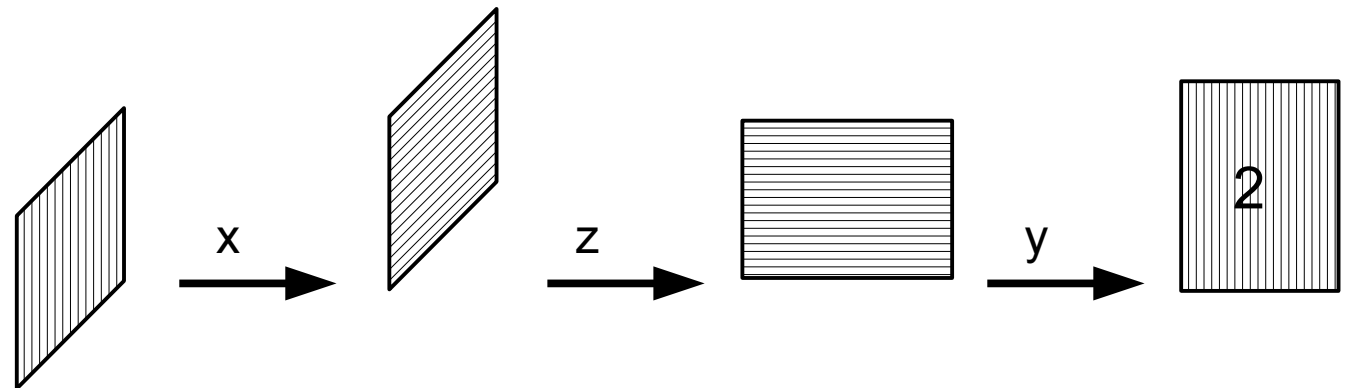
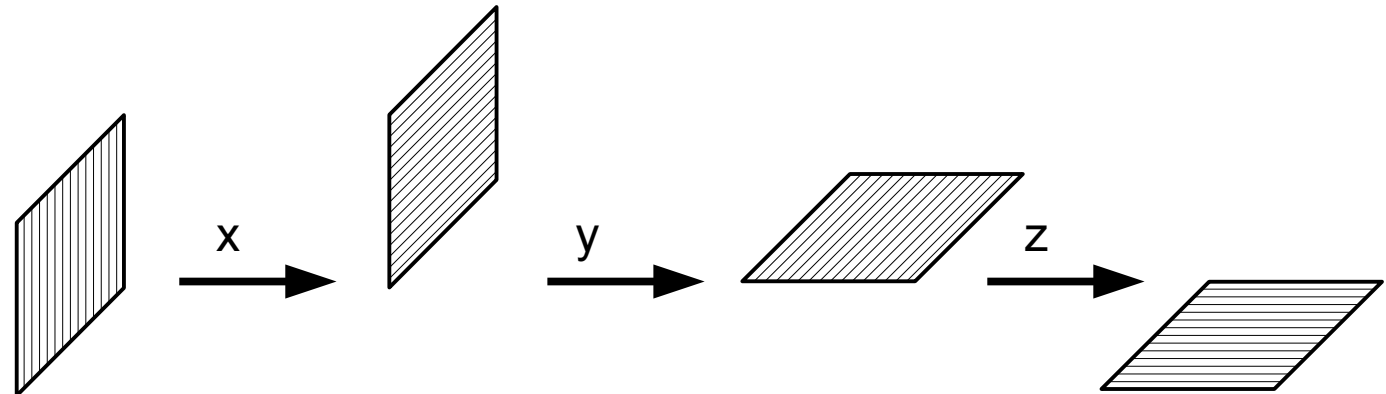
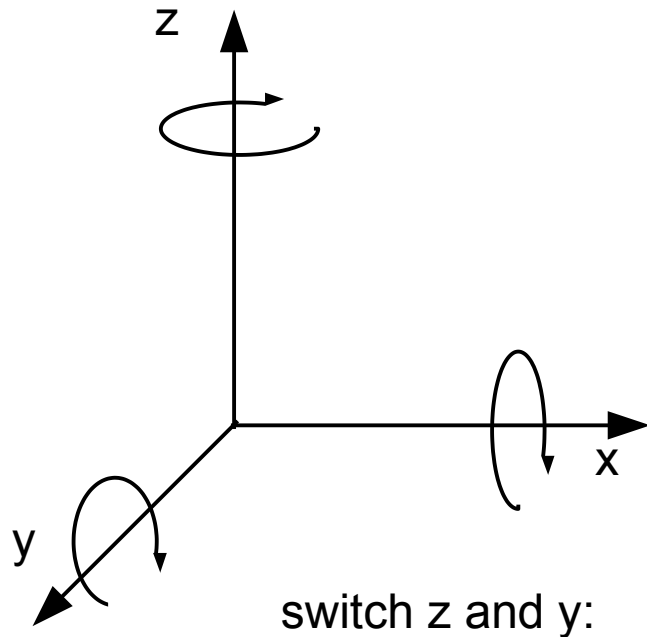


- Example in $O(3)$: 90° rotations in $\mathbb{R}^3$





- Example in $O(3)$: 90° rotations in $\mathbb{R}^3$



cyclic permutation:

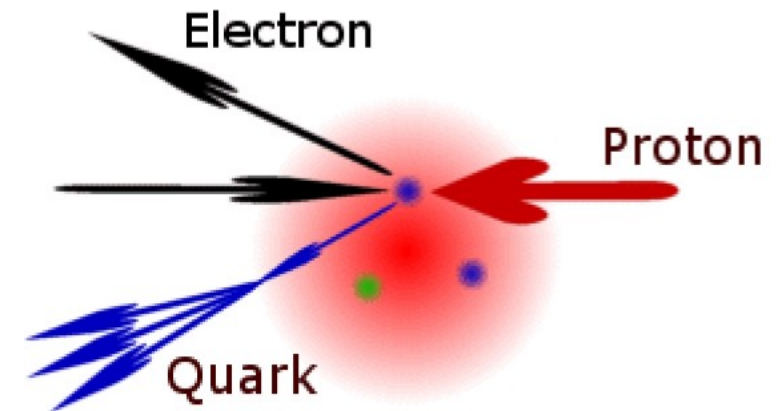
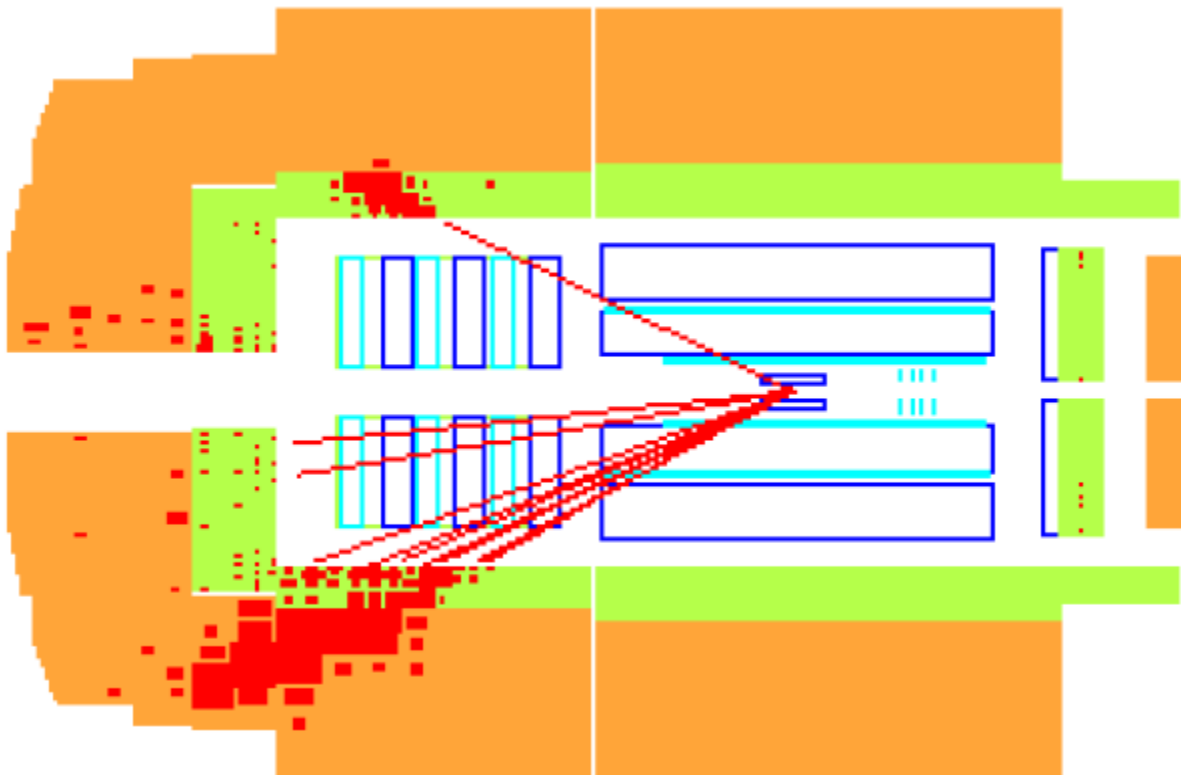


Time out

Electromagnetic reaction:

Backscattering of electron off charged proton constituent

H1 Detector

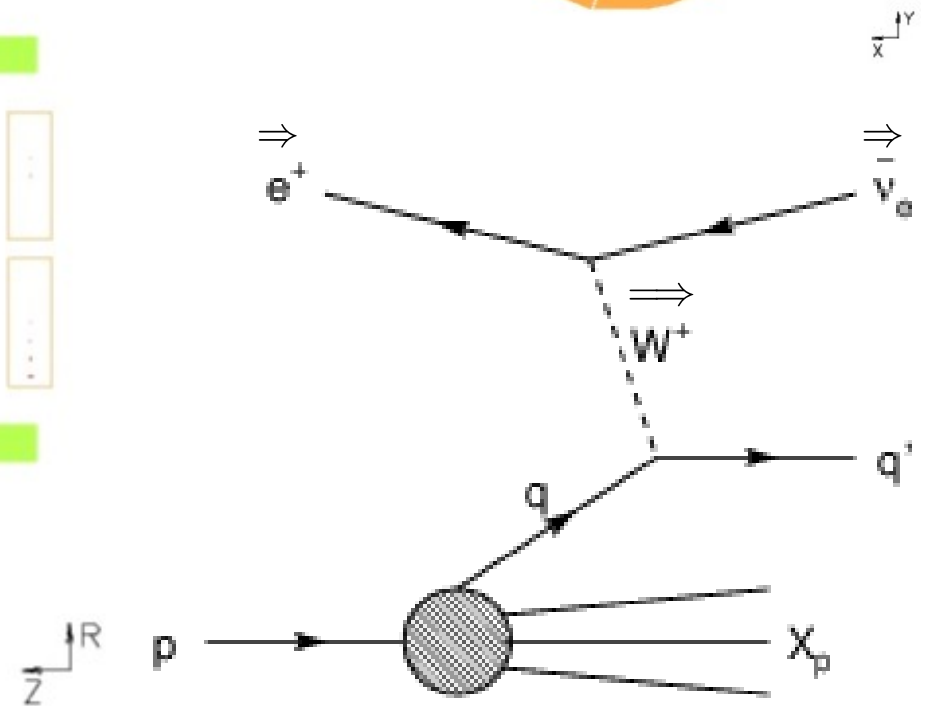
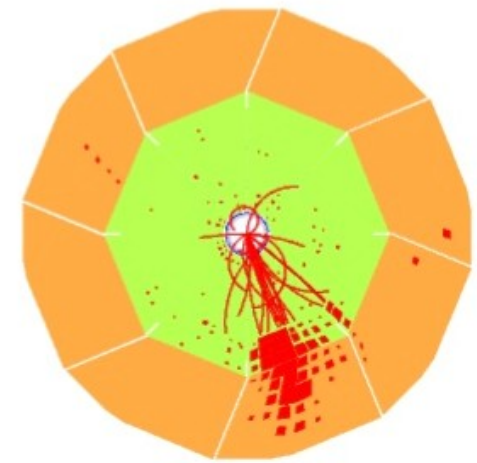
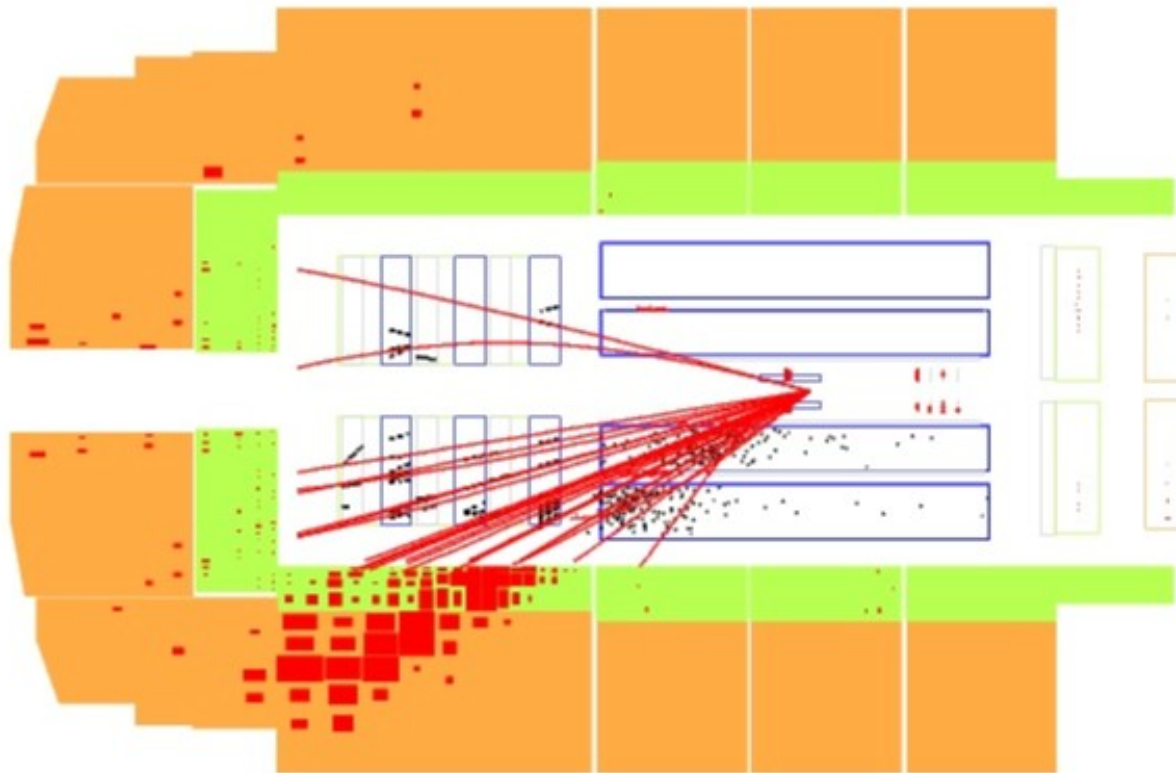


H1 Event Tutorial, J Meyer, DESY (2005)

Weak reaction:

Electron $\rightarrow$ neutrino $\sim$ missing transverse momentum

H1 Detector





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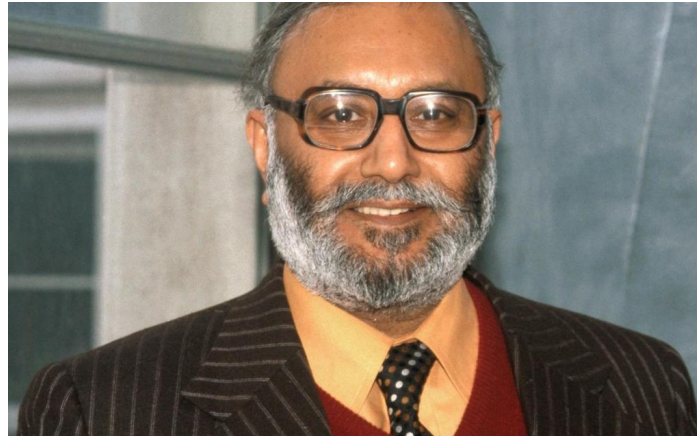
- Interaction becomes very weak at large distances (low energies)
- Parity conservation is maximally violated
 - ➔ Weak reactions differentiate between left- and right-handed particles → **update Fermi model from V to (V – A) interaction**
- Particles change charge
- Particles change “flavor”

→ **How to describe something so different?**

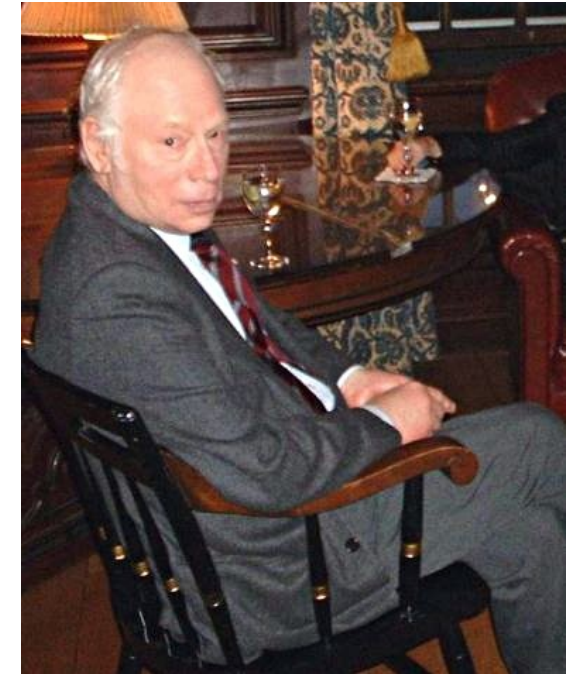
Nobel prize 1979



Sheldon Glashow
(*5. December 1932)



Abdus Salam
(29. January 1926 –
21. November 1996)



Steven Weinberg
(*3. Mai 1933)

- **Postulation of local $SU(2)$ gauge symmetry**
- **Acts only on left-handed particles (right-handed anti-particles)**
 - ➔ **Left-handed particles: Doublets of weak isospin** $\psi_L = \begin{pmatrix} \nu \\ e \end{pmatrix}_L \quad I_3 = \pm \frac{1}{2}$
 - ➔ **Right-handed particles: Singlets of weak isospin** $\psi_R = e_R \quad I_3 = 0$
- Projection operators:** $P_{R/L} = \frac{1 \pm \gamma^5}{2}$

$$e = e_L + e_R \quad \begin{cases} e_L = \left(\frac{1 - \gamma^5}{2} \right) e \\ e_R = \left(\frac{1 + \gamma^5}{2} \right) e \end{cases} \quad \bar{e} \gamma^\mu \left(\frac{1 - \gamma^5}{2} \right) \nu = \bar{e}_L \gamma^\mu \nu_L$$
- ➔ **Parity conservation maximally violated**
- **Conserved quantum number of weak isospin I_3**
- **Massive electrically(!) charged vector bosons $W^\pm$**

$$SU(2)_L \times U(1)?$$
- ➔ **Need to combine with electromagnetic interactions ...**



Time out



- Covariant derivative of SU(2) acts on isospin doublet only $\rightarrow \text{SU}(2)_L$
- Interaction lagrangian of $\text{SU}(2)_L \times \text{U}(1)$

$$\mathcal{L}_{IA}^{\text{SU}(2) \times \text{U}(1)} = \bar{\psi}_L \gamma^\mu \left(\partial_\mu + ig W_\mu^a \mathbf{t}^a \right) \psi_L \dots$$



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$$\mathbf{t}^+ = \mathbf{t}_1 + i \mathbf{t}_2 = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad (\text{ascending operator})$$

$$\mathbf{t}^- = \mathbf{t}_1 - i \mathbf{t}_2 = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad (\text{descending operator})$$

$$W_\mu^a \mathbf{t}^a = \frac{1}{\sqrt{2}} (W_\mu^+ \mathbf{t}^+ + W_\mu^- \mathbf{t}^-) + W_\mu^3 \mathbf{t}^3$$

?

$$\mathbf{t}^3 = 1/2 \cdot \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

3 generators of SU(2)!?

- Covariant derivative of U(1) acts on isospin doublet and singlet
- Interaction lagrangian of $SU(2)_L \times U(1)_Y$

$$\mathcal{L}_{IA}^{SU(2) \times U(1)} = \bar{\psi}_L \gamma^\mu \left(\partial_\mu + i \frac{g'}{2} Y_L B_\mu + ig W_\mu^a \mathbf{t}^a \right) \psi_L + \bar{e}_R \gamma^\mu \left(\partial_\mu + i \frac{g'}{2} Y_R B_\mu \right) e_R$$

- ➔ Two new coupling constants g and g'
- ➔ Requires 3 weak bosons with one neutral W_μ^3
- ➔ U(1) different from electromagnetic ...
- ➔ Quantum number of weak hypercharge Y for U(1) $\rightarrow U(1)_Y$



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$SU(2) \times U(1)$ Hypercharges			
Particle	$Y_{R/L}$	I_3	Q
ν	-1	$+1/2$	0
e_L	-1	$-1/2$	-1
e_R	-2	0	-1

$$Q = I_3 + \frac{Y}{2}$$

(Gell-Mann—Nishijima Formula)

Covariant derivatives:

$$D_\mu \psi_L = \left(\partial_\mu + ig \frac{\tau^a}{2} W_\mu^a + ig' \frac{Y}{2} \mathbb{I}_2 B_\mu \right) \psi_L$$

$$D_\mu \psi_R = \left(\partial_\mu + ig' \frac{Y}{2} \mathbb{I}_2 B_\mu \right) \psi_R$$

Field strength tensors:

$$B_{\mu\nu} = \partial_\mu B_\nu - \partial_\nu B_\mu$$

$$W_{\mu\nu}^a = \partial_\mu W_\nu^a - \partial_\nu W_\mu^a - g\epsilon^{abc} W_\mu^b W_\nu^c$$

Lagrangian of $SU(2)_L \times U(1)_Y$:

$$\mathcal{L}_{EW} = \overline{\psi}_L (i\gamma^\mu D_\mu) \psi_L + \overline{\psi}_R (i\gamma^\mu D_\mu) \psi_R - \frac{1}{4} B^{\mu\nu} B_{\mu\nu} - \frac{1}{4} W^{a\mu\nu} W_{\mu\nu}^a$$

But: Boson mass terms violate $SU(2)_L$ invariance and are forbidden!

$$m^2 B^\mu B_\mu \quad m^2 W^{a\mu} W_\mu^a$$

Charged current interaction

$$\mathcal{L}_{IA}^{CC} = -\frac{g}{\sqrt{2}} \left[\underbrace{\bar{\nu} (W_\mu^+ \gamma^\mu) e_L}_{e \rightarrow \nu} + \underbrace{\bar{e}_L (W_\mu^- \gamma^\mu) \nu}_{\nu \rightarrow e} \right]$$

$t^+ \qquad t^-$

Neutral current interaction

$$\mathcal{L}_{IA}^{NC} = - \left(\frac{g}{2} W_\mu^3 - \frac{g'}{2} B_\mu \right) (\bar{\nu} \gamma^\mu \nu) + \left(\frac{g}{2} W_\mu^3 + \frac{g'}{2} B_\mu \right) (\bar{e}_L \gamma^\mu e_L) + \frac{g'}{2} B_\mu (\bar{e}_R \gamma^\mu e_R)$$

$t^3 \rightarrow \underbrace{\qquad}_{\propto Z_\mu}$

Mix of neutral bosons W_μ^3 & B_μ

→ Weinberg rotation

→ Physical states of Z_μ and A_μ

$$\begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_W & -\sin \theta_W \\ \sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix}$$

$$\sin \theta_W = \frac{g'}{\sqrt{g^2 + g'^2}} \quad \cos \theta_W = \frac{g}{\sqrt{g^2 + g'^2}}$$

Charged current interaction

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Neutral current interaction with $Z_\mu, A_\mu \rightarrow$

$$\begin{aligned} \mathcal{L}_{IA}^{NC} = & -\frac{\sqrt{g^2 + g'^2}}{2} \boxed{Z_\mu (\bar{\nu} \gamma_\mu \nu)} \quad Z_\mu \text{ couples to neutral particles} \\ & + \frac{\sqrt{g^2 + g'^2}}{2} \left[(\cos^2 \theta_W - \sin^2 \theta_W) Z_\mu + 2 \sin \theta_W \cos \theta_W A_\mu \right] (\bar{e}_L \gamma_\mu e_L) \\ & + \frac{\sqrt{g^2 + g'^2}}{2} \left[-2 \sin^2 \theta_W Z_\mu + 2 \sin \theta_W \cos \theta_W A_\mu \right] (\bar{e}_R \gamma_\mu e_R) \end{aligned}$$

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Same coupling of A_μ to e_L, e_R

- Charged current interaction

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- **electromagnetic coupling** $e = \sqrt{g^2 + g'^2} \sin \theta_W \cos \theta_W$



- **QED: $U(1)$ gauge transformation $\rightarrow$ photon exchange**
 - ➔ **Abelian Lie-Group**
- **Weak interactions: $SU(2)_L$ gauge transformation**
 - ➔ **non-Abelian Lie-Group**
 - ➔ **charged currents, $W^\pm$ exchange**
 - ➔ **acts only on doublets of left-handed particles, right-handed anti-particles**
- **$SU(2)_L$ requires third neutral boson W_μ^3**
 - ➔ **mixes with neutral boson B_μ of $U(1)_Y$**
 - ➔ **Weinberg mixing gives physical states of Z_μ and A_μ**
 - ➔ **$Z_\mu \rightarrow$ neutral currents between uncharged particles (neutrinos)**
 - ➔ **$A_\mu \rightarrow$ mediates same electric force between left- and right-handed**
 - ➔ **elm. coupling derives from g, g', θ_W :**
$$e = \sqrt{g^2 + g'^2} \sin \theta_W \cos \theta_W$$



Fermion	Chirality	Isospin (I, I_3)	Hypercharge Y	Charge Q (e)
Neutrinos: ν_e, ν_μ, ν_τ	L	$(1/2, +1/2)$	-1	0
	R	Not part of the standard model		
Charged leptons: e, μ, τ	L	$(1/2, -1/2)$	-1	-1
	R	$(0, 0)$	-2	-1
up-type quarks: u, c, t	L	$(1/2, +1/2)$	$+1/3$	$+2/3$
	R	$(0, 0)$	$+4/3$	$+2/3$
down-type quarks: d, s, b	L	$(1/2, -1/2)$	$+1/3$	$-1/3$
	R	$(0, 0)$	$-2/3$	$-1/3$

Abelian:

$$\begin{aligned}\psi(\vec{x}, t) &\rightarrow \psi'(\vec{x}, t) = e^{i\alpha} \psi(\vec{x}, t) \\ \bar{\psi}(\vec{x}, t) &\rightarrow \bar{\psi}'(\vec{x}, t) = \bar{\psi}(\vec{x}, t) e^{-i\alpha}\end{aligned}$$

$$\begin{aligned}\partial_\mu &\rightarrow D_\mu = \partial_\mu - ieA_\mu \\ D_\mu &\rightarrow D'_\mu = D_\mu - i\partial_\mu\alpha \\ A_\mu &\rightarrow A'_\mu = A_\mu + \frac{1}{e}\partial_\mu\alpha\end{aligned}$$

$$F_{\mu\nu} \equiv [D_\mu, D_\nu] = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$F_{\mu\nu} \rightarrow F'_{\mu\nu} = F_{\mu\nu}$$

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m) \psi - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

Non-Abelian:

$$\begin{aligned}\psi(\vec{x}, t) &\rightarrow \psi'(\vec{x}, t) = e^{i\alpha_a \mathbf{t}_a} \psi(\vec{x}, t) \\ \bar{\psi}(\vec{x}, t) &\rightarrow \bar{\psi}'(\vec{x}, t) = \bar{\psi}(\vec{x}, t) e^{-i\alpha_a \mathbf{t}_a}\end{aligned}$$

$$\begin{aligned}\partial_\mu &\rightarrow D_\mu = \partial_\mu - igW_{\mu,a} \mathbf{t}_a \\ D_\mu &\rightarrow D'_\mu = D_\mu - i[\alpha_a \mathbf{t}_a, D_\mu] \\ W_\mu &\rightarrow W'_\mu = W_\mu + i[\alpha_a \mathbf{t}_a, W_{\mu,a} \mathbf{t}_a] \\ &\quad + \frac{1}{g} \partial_\mu (\alpha_a \mathbf{t}_a) \\ W_{\mu\nu} &\equiv [D_\mu, D_\nu] = \partial_\mu W_\nu - \partial_\nu W_\mu \\ &\quad - ig[W_\mu, W_\nu]\end{aligned}$$

$$W_{\mu\nu} \rightarrow W'_{\mu\nu} = W_{\mu\nu} - i[\vartheta_a \mathbf{t}_a, W_{\mu\nu}]$$

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu D_\mu - m) \psi - \frac{1}{4} W_{a\mu\nu} W^{a\mu\nu}$$