

Theoretical Optics

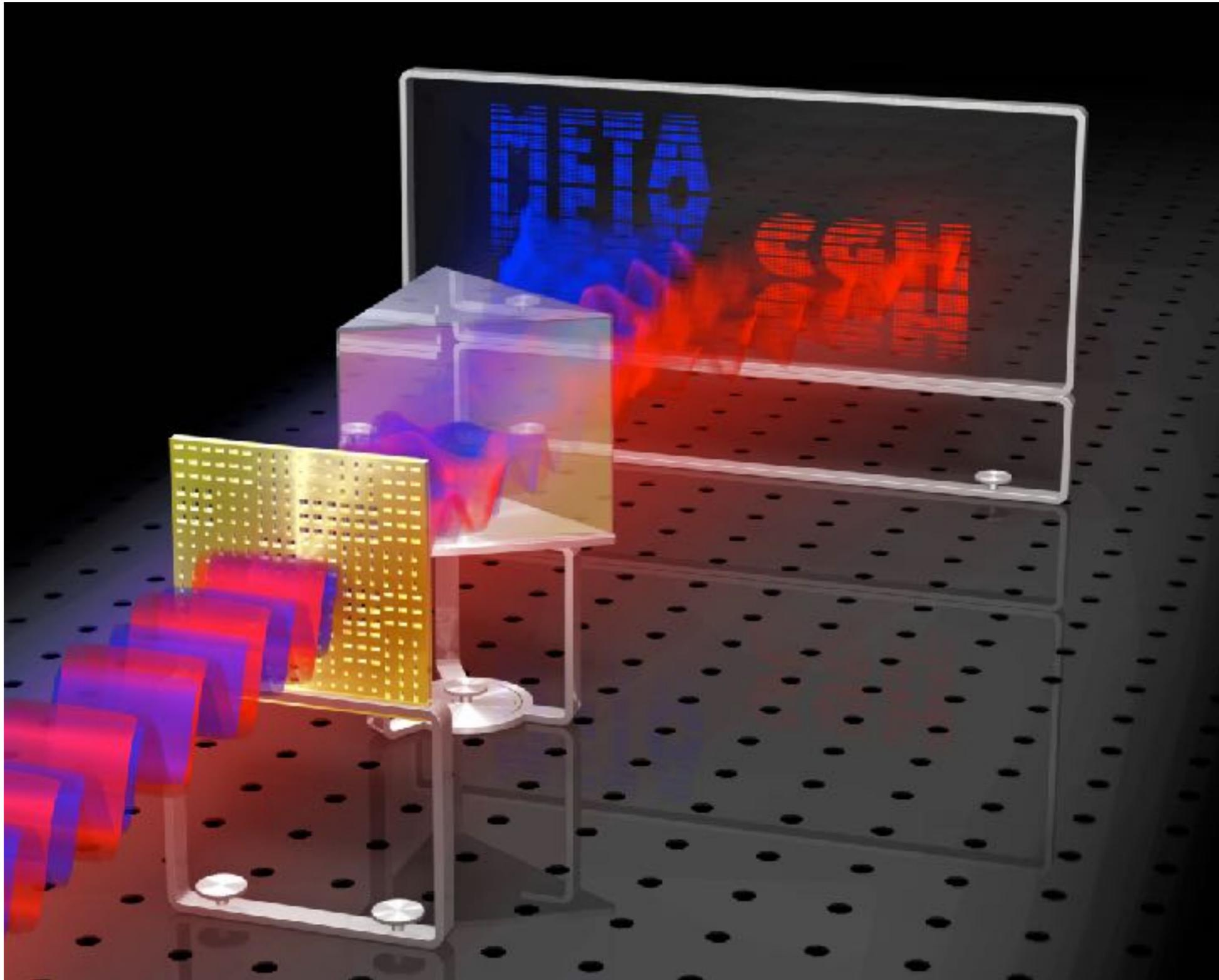
# Basics of holography

Prof. Carsten Rockstuhl



# Basics of holography

information of an object encoded in amplitude and phase of scattered light



# Basics of holography

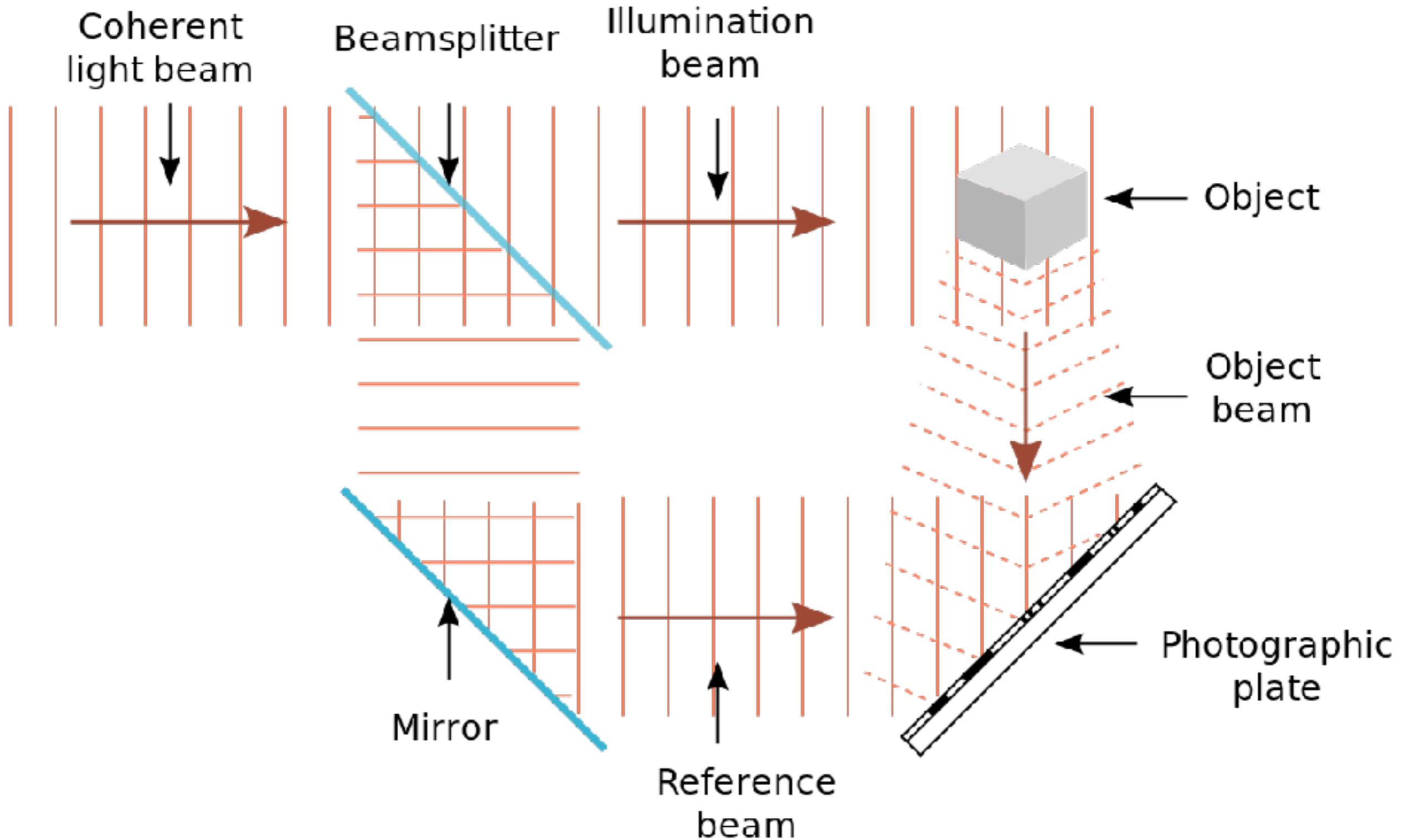
information of an object encoded in amplitude and phase of scattered light



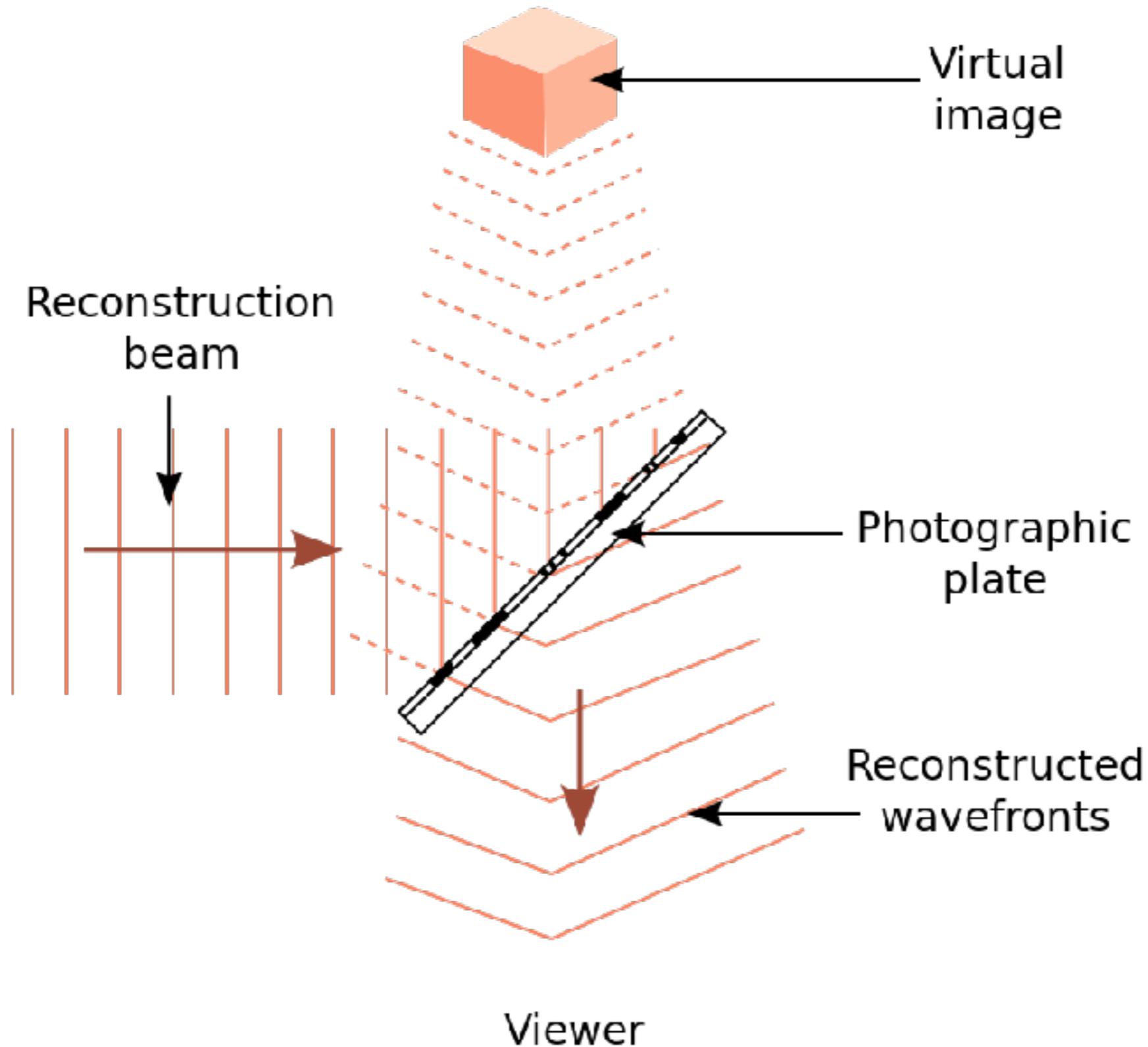
1948 Dennis Gabor  
(Nobel prize 1971)



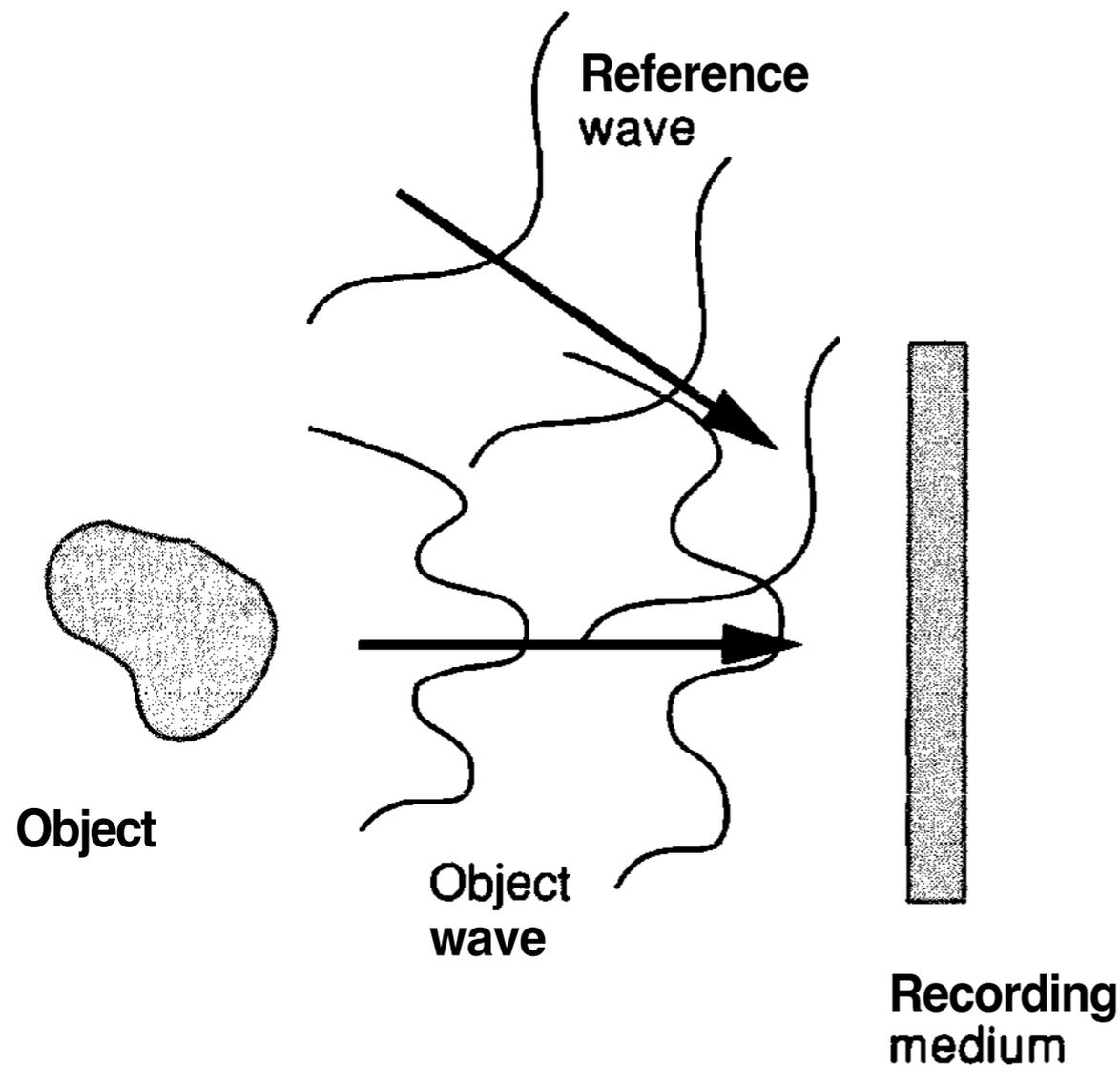
# recording



# reconstruction



# Recording and reconstruction



object wave  $u(\mathbf{r})$

reference wave  $u_0(\mathbf{r}) = a_0 e^{i\mathbf{k}_0 \cdot \mathbf{r}}$

coordinate of recording medium  $\xi$

intensity in recording plane

$$I(\xi) = |u(\xi) + u_0(\xi)|^2 = |a_0|^2 + a_0^* u(\xi) e^{-i\mathbf{k}_0 \cdot \xi} + a_0 u^*(\xi) e^{i\mathbf{k}_0 \cdot \xi} + |u(\xi)|^2$$

intensity of reference much larger, last term drops

## blackening proportional to local intensity

filter has local transmission function  $\longrightarrow t(\xi) = 1 - \gamma I(\xi)$

contrast function



illumination the filter with reconstruction wave:  $u_1(\mathbf{r}) = a_1 e^{i\mathbf{k}_1 \cdot \mathbf{r}}$

field behind filter:  $w(\xi) = t(\xi)u_1(\xi)$

thin element approximation

$$w(\xi) = a_1(1 - \gamma|a_0|^2)e^{i\mathbf{k}_1 \cdot \xi} - \gamma a_1 a_0^* u(\xi) e^{i(\mathbf{k}_1 - \mathbf{k}_0) \cdot \xi} - \gamma a_1 a_0 u^*(\xi) e^{i(\mathbf{k}_1 + \mathbf{k}_0) \cdot \xi}$$

discussion of terms on following slide

discussion of terms with  $\mathbf{k}_1 = \mathbf{k}_0$

1st term



$$a_1(1 - \gamma|a_0|^2)e^{i\mathbf{k}_1 \cdot \xi}$$

plane wave propagates into the direction of reconstruction wave

consequence of imperfection

2nd term



$$\gamma a_1 a_0^* u(\xi)$$

corresponds up to some amplitude to the object field

3rd term



$$\gamma a_1 a_0 u^*(\xi) e^{i2\mathbf{k}_0 \cdot \xi}$$

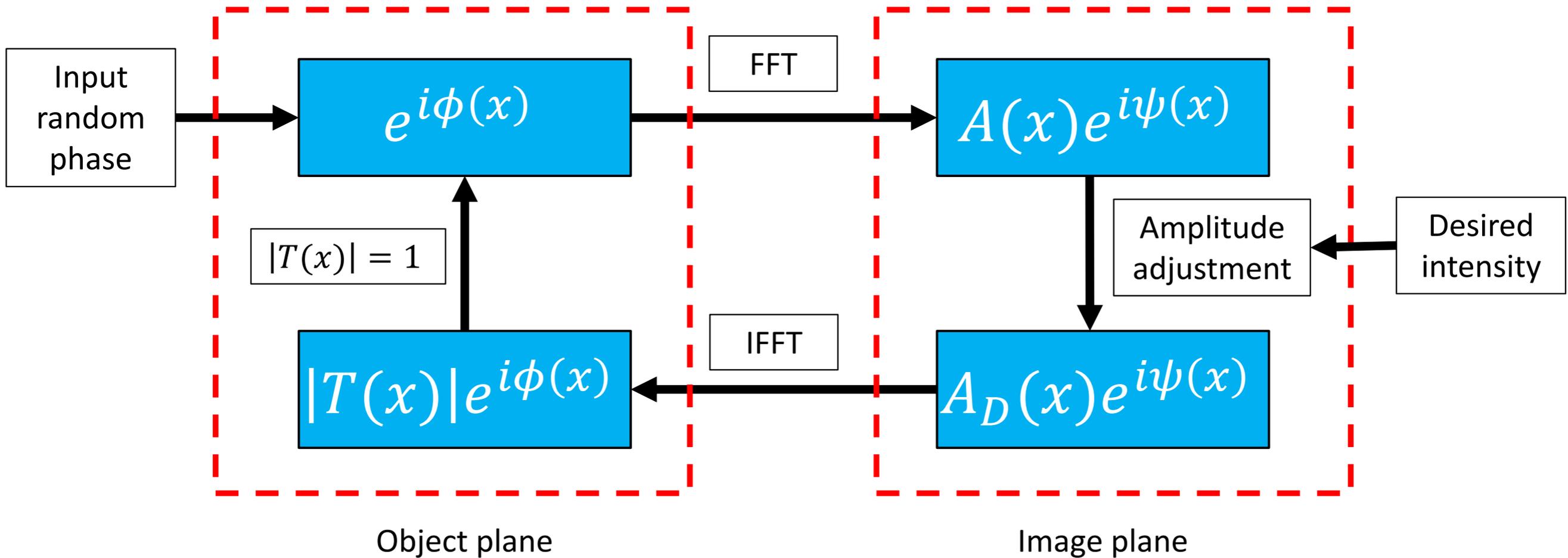
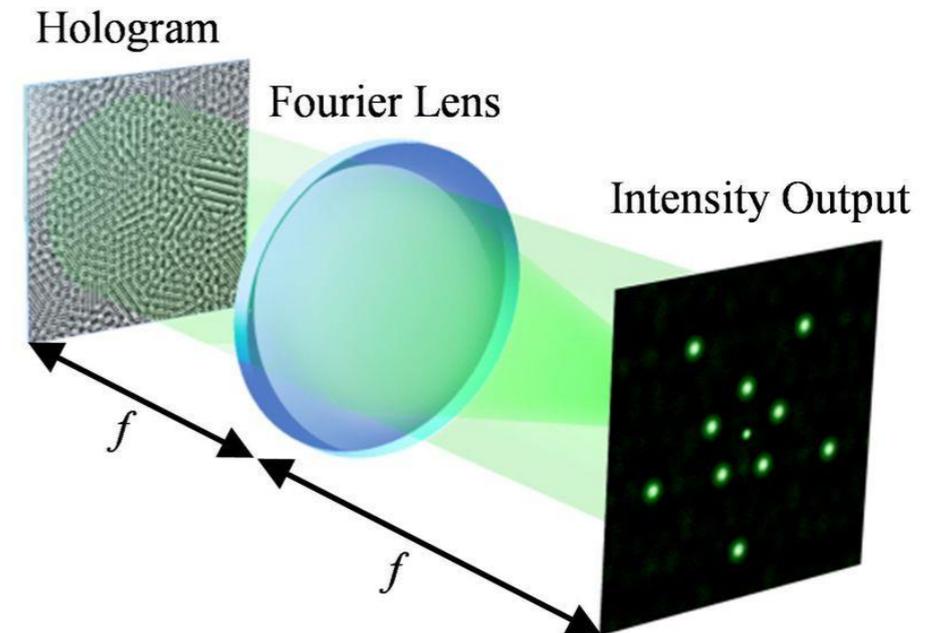
complex conjugated object field

propagates into direction  $e^{i2\mathbf{k}_0 \cdot \xi}$

application in phase conjugation

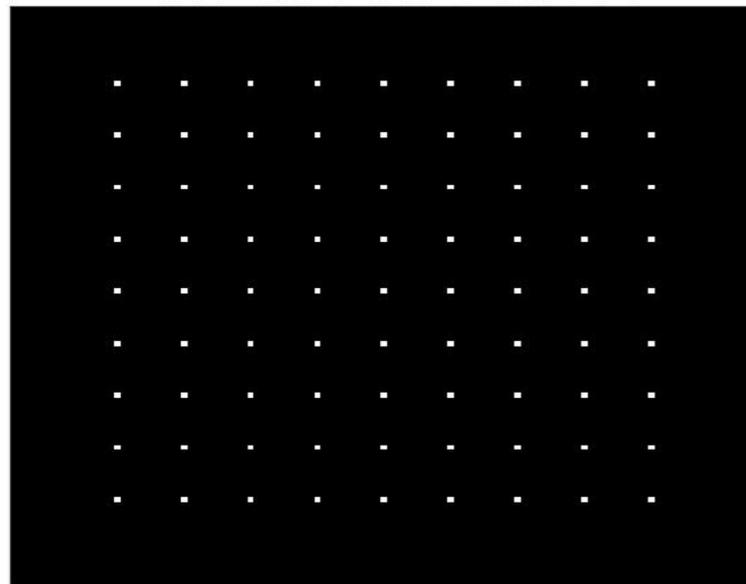
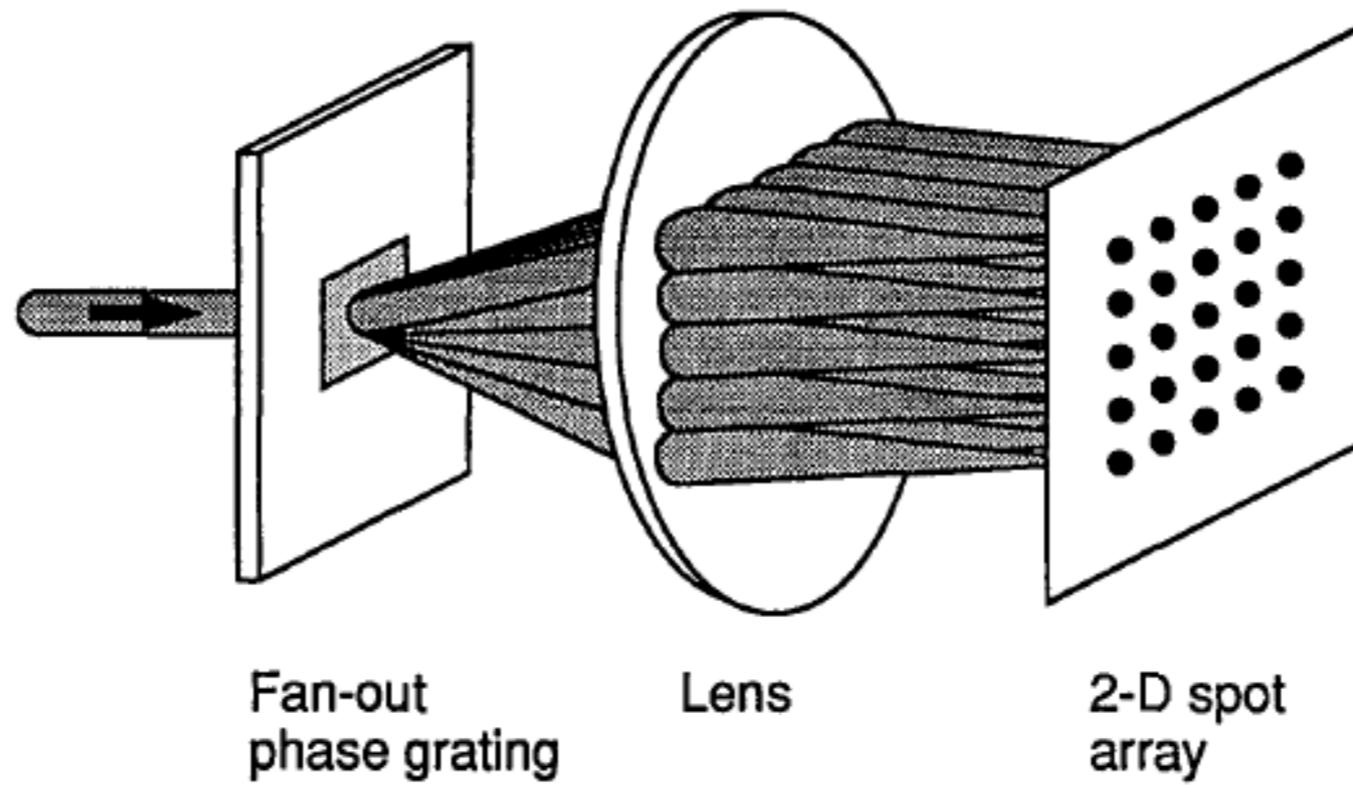
# Design of holograms

propagation into the far-field  
corresponds to a Fourier  
transformation

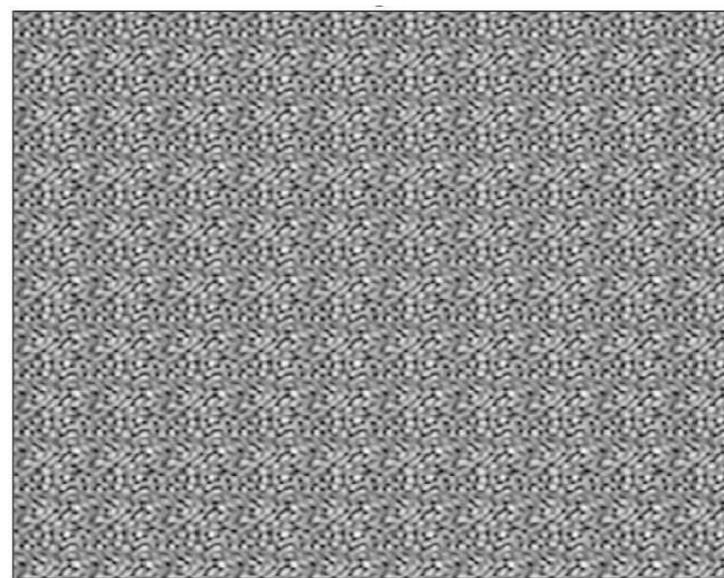


Gerchberg-Saxton / Ping-Pong / Iterative Fourier Transform  
Algorithm / Method of Generalized Projection / .....

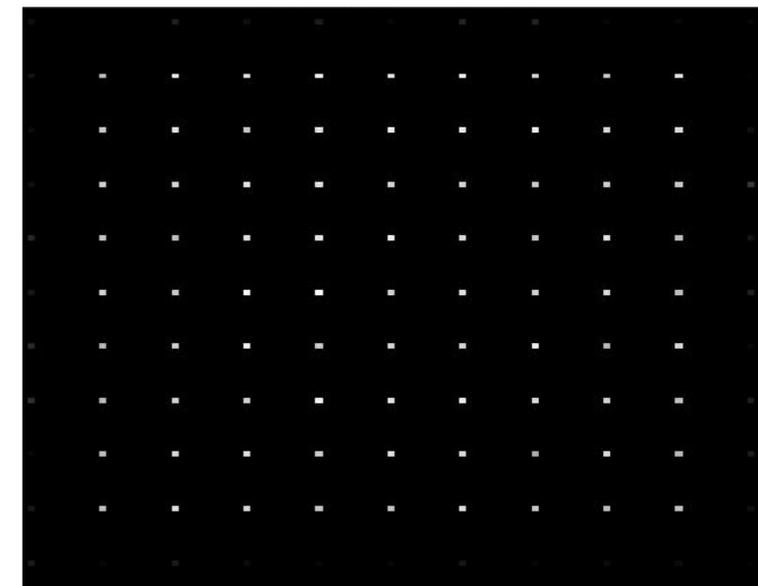
# Example fan-out element



desired image



hologram



actual image

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# Optics in anisotropic media: susceptibility and permittivity tensor

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# Susceptibility and permittivity tensor

so far

isotropic materials: properties independent on light propagation direction

now

anisotropic materials: properties depend on light propagation direction

polarisability of atoms depends on the orientation of the electric field

examples

Lithiumniobate ( $\text{LiNbO}_3$ ) → electro-optical material

Quartz → polarizers

Liquid crystals → display elements, nonlinear optics

Multiple quantum wells → optoelectronics

## Assumptions:

- monochromatic field and plane wave  
(one temporal frequency and one spatial frequency)
- no absorption

## Isotropic materials:

normal modes are elliptically polarized, monochromatic waves

$$\bar{\mathbf{P}}(\mathbf{r}, \omega) = \varepsilon_0 \chi(\omega) \bar{\mathbf{E}}(\mathbf{r}, \omega)$$

$$\bar{\mathbf{D}}(\mathbf{r}, \omega) = \varepsilon_0 \varepsilon(\omega) \bar{\mathbf{E}}(\mathbf{r}, \omega)$$

## Anisotropic materials:

$$P_i(\mathbf{r}, \omega) = \varepsilon_0 \sum_{j=1}^3 \chi_{ij}(\omega) E_j(\mathbf{r}, \omega) \stackrel{\text{def}}{=} \varepsilon_0 \chi_{ij}(\omega) E_j(\mathbf{r}, \omega)$$

task: need to find normal modes in anisotropic materials

material property: 2<sup>nd</sup> rank tensor  $\hat{\chi}(\omega)$  and  $\chi_{ij}(\omega)$

- Consequences:
- $\mathbf{P} \nparallel \mathbf{E}$
  - susceptibility tensor reflects the crystal symmetry

Anisotropic materials (1st alternativ description):

$$D_i(\mathbf{r}, \omega) = \varepsilon_0 \varepsilon_{ij}(\omega) E_j(\mathbf{r}, \omega)$$

$$\mathbf{D}(\mathbf{r}, \omega) = \varepsilon_0 \hat{\boldsymbol{\varepsilon}}(\omega) \mathbf{E}(\mathbf{r}, \omega)$$

- Consequences:
- $\mathbf{D} \nparallel \mathbf{E}$
  - permittivity tensor reflects the crystal symmetry

Anisotropic materials (2nd alternativ description):

$$\sigma_{ij}(\omega) D_j(\mathbf{r}, \omega) = \varepsilon_0 E_i(\mathbf{r}, \omega) \quad \hat{\boldsymbol{\sigma}} = (\hat{\boldsymbol{\varepsilon}})^{-1} = (\sigma_{ij})$$

inverse permittivity tensor

- Properties of tensors:
- real valued in transparency region
  - tensors are symmetric
  - six independent components  $\sigma_{ij} = \sigma_{ji}$  and  $\varepsilon_{ij} = \varepsilon_{ji}$
  - matrices are Hermitian ( $\varepsilon_{ij}^H = \varepsilon_{ji}^*$ )

Proof of hermiticity: Maxwell equations in Fourier space

$$\mathbf{div}(\mathbf{E} \times \mathbf{H}^*) + \mathbf{i} \omega \left( \varepsilon_0 \mathbf{E} \cdot \left( \hat{\boldsymbol{\varepsilon}}^* \mathbf{E}^* \right) - \mu_0 \mathbf{H}^* \cdot \mathbf{H} \right) + \mathbf{j}^* \cdot \mathbf{E} = \mathbf{0}$$

lossless medium:  $\mathbf{j} = \mathbf{0} \quad \longrightarrow \quad \mathbf{div} \langle \mathbf{S} \rangle = \frac{1}{2} \Re \left[ \mathbf{div}(\mathbf{E} \times \mathbf{H}^*) \right] = 0$

$$\longrightarrow \quad \Re \left( \mathbf{i} \mathbf{E} \cdot \left( \hat{\boldsymbol{\varepsilon}}^* \mathbf{E}^* \right) \right) = -\Im \left( \mathbf{E} \cdot \left( \hat{\boldsymbol{\varepsilon}}^* \mathbf{E}^* \right) \right) = 0$$

has to hold for an arbitrary electric field

consider a field where  $E_{\mathbf{x}} \neq 0$   $\Im \left[ E_{\mathbf{x}} \left( \varepsilon_{\mathbf{xx}}^* \right) E_{\mathbf{x}}^* \right] = |E_{\mathbf{x}}|^2 \Im \left( \varepsilon_{\mathbf{xx}}^* \right) = 0$

analog for  $\varepsilon_{\mathbf{yy}}$  and  $\varepsilon_{\mathbf{zz}}$   $\longrightarrow$

diagonal elements of tensor  
must be real valued

remaining components

$$\begin{aligned} \Im \left( \varepsilon_{\mathbf{xy}}^* E_{\mathbf{x}} E_{\mathbf{y}}^* + \varepsilon_{\mathbf{yx}}^* E_{\mathbf{y}} E_{\mathbf{x}}^* \right) &= \Im \left( \varepsilon_{\mathbf{xy}}^* E_{\mathbf{x}} E_{\mathbf{y}}^* - \varepsilon_{\mathbf{yx}}^* E_{\mathbf{y}} E_{\mathbf{x}}^* \right) \\ \rightarrow &= \Im \left[ \left( \varepsilon_{\mathbf{xy}}^* - \varepsilon_{\mathbf{yx}}^* \right) E_{\mathbf{x}} E_{\mathbf{y}}^* \right] \\ &= 0 \end{aligned}$$

$$\rightarrow \varepsilon_{\mathbf{xy}}^* = \varepsilon_{\mathbf{yx}}$$

can be generalised to all components

transformation into principal coordinate system possible

→ tensors are diagonal

→ example of the inverse permittivity tensor

→ only in this coordinate system  $\mathbf{D} \parallel \mathbf{E}$

→ mathematically this can be expressed in terms of an eigenvalue equation

$$\varepsilon_0 E_i = \sigma_{ij} D_j \doteq \lambda D_i$$

non-trivial solution: vanishing determinant

$$\det[\sigma_{ij} - \lambda I_{ij}] = 0$$

equation of 3<sup>rd</sup> order with three roots as solutions → called  $\lambda^{(\alpha)}$

→ associated eigenvectors

$$\sigma_{ij} D_j^{(\alpha)} = \lambda^{(\alpha)} D_i^{(\alpha)}$$

eigenvectors are orthogonal

consider:  $\sigma_{ij} D_j^{(\alpha)} = \lambda^{(\alpha)} D_i^{(\alpha)}$  and  $\sigma_{ij} D_j^{(\beta)} = \lambda^{(\beta)} D_i^{(\beta)}$

multiplication and subtraction:  $D_i^{(\beta)} \sigma_{ij} D_j^{(\alpha)} - D_i^{(\alpha)} \sigma_{ij} D_j^{(\beta)} = (\lambda^{(\alpha)} - \lambda^{(\beta)}) D_i^{(\beta)} D_i^{(\alpha)}$

but  $D_j^{(\beta)} \sigma_{ji} D_i^{(\alpha)} - D_i^{(\alpha)} \sigma_{ij} D_j^{(\beta)} = 0$  because  $\sigma_{ji} = \sigma_{ij}$

$\rightarrow \boxed{D_i^{(\beta)} D_i^{(\alpha)} = 0}$  for  $\lambda^{(\alpha)} \neq \lambda^{(\beta)}$

principal axes reflect crystal symmetry:  $\epsilon_{ij} = \epsilon_i \delta_{ij}$ ,  $\sigma_{ij} = \sigma_i \delta_{ij} = \frac{1}{\epsilon_i} \delta_{ij}$

$$\left( \epsilon_{ij} \right) = \begin{bmatrix} \epsilon_1(\omega) & 0 & 0 \\ 0 & \epsilon_2(\omega) & 0 \\ 0 & 0 & \epsilon_3(\omega) \end{bmatrix}$$

anisotropic material fully described using three different dielectric functions

calculations mostly done in principal coordinate system and results are transferred later to the lab coordinate system

# Optics in anisotropic media: susceptibility and permittivity tensor

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