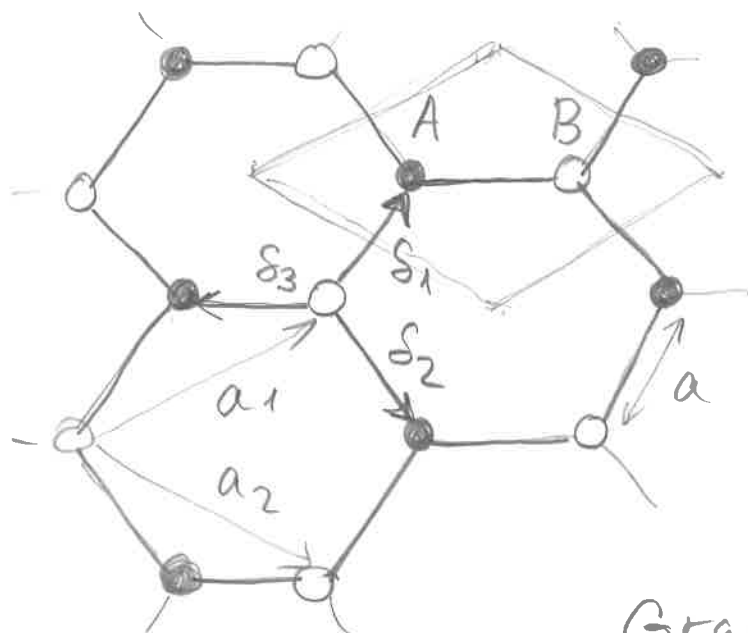


-6.1

6. Graphene and 2D Dirac fermions, "gapless topology"

6.1 Hexagonal lattice



Two sublattices:
A and B atoms
in a unit cell

Graphene lattice:

Bravais lattice: triangular $\vec{a}_1, \vec{a}_2$ - primitive vectors

$$\vec{a}_1 = \frac{a}{2} \begin{pmatrix} 3 \\ \sqrt{3} \end{pmatrix}, \quad \vec{a}_2 = \frac{a}{2} \begin{pmatrix} 3 \\ -\sqrt{3} \end{pmatrix}$$

Bond vectors:

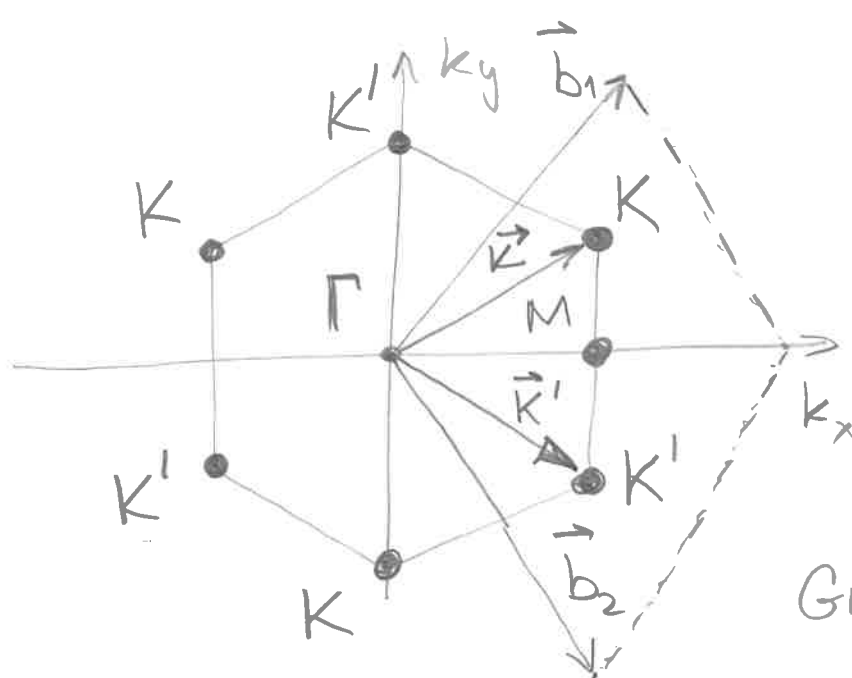
$$\vec{s}_1 = \frac{a}{2} \begin{pmatrix} 1 \\ \sqrt{3} \end{pmatrix}, \quad \vec{s}_2 = \frac{a}{2} \begin{pmatrix} 1 \\ -\sqrt{3} \end{pmatrix}, \quad \vec{s}_3 = a \begin{pmatrix} -1 \\ 0 \end{pmatrix}$$

$$\vec{a}_1 = \vec{s}_1 - \vec{s}_3; \quad \vec{a}_2 = \vec{s}_2 - \vec{s}_3$$

Reciprocal lattice vectors ($\vec{b}_i \cdot \vec{a}_j = 2\pi \delta_{ij}$)

$$\vec{b}_1 = \frac{2\pi}{3a} \begin{pmatrix} 1 \\ \sqrt{3} \end{pmatrix}, \quad \vec{b}_2 = \frac{2\pi}{3a} \begin{pmatrix} 1 \\ -\sqrt{3} \end{pmatrix}$$

$$\vec{b}_i \cdot \vec{s}_j \neq 2\pi \delta_{ij}$$



$$\vec{K} = \frac{2\pi}{3a} \begin{pmatrix} 1 \\ 1/\sqrt{3} \end{pmatrix}, \quad \vec{K}' = \frac{2\pi}{3a} \begin{pmatrix} 1 \\ -1/\sqrt{3} \end{pmatrix}$$

$$-\vec{K} = \vec{K}' - \vec{b}_1 - \vec{b}_2 \rightarrow \text{TR partners}$$

Tight-binding Hamiltonian

simplest case: nearest-neighbor hoppings
 t_1, t_2, t_3

$$H = \sum_{\vec{k}} \begin{pmatrix} c_{A\vec{k}}^\dagger & c_{B\vec{k}}^\dagger \end{pmatrix} \underbrace{\begin{pmatrix} 0 & \sum_{i=1}^3 t_i e^{i\vec{k} \cdot \vec{\delta}_i} \\ \sum_{i=1}^3 t_i e^{-i\vec{k} \cdot \vec{\delta}_i} & 0 \end{pmatrix}}_{h(\vec{k})} \begin{pmatrix} c_{A\vec{k}} \\ c_{B\vec{k}} \end{pmatrix}$$

Bloch form

$h_B(\vec{k} + \vec{G}) = h_B(\vec{k})$
 can be achieved by gauge transformation
 $c_{B\vec{k}} \rightarrow \tilde{c}_{B\vec{k}} e^{i\vec{k} \cdot \vec{\delta}_3}$

$$\vec{G} = n_1 \vec{b}_1 + n_2 \vec{b}_2$$

$$H = \sum_{\vec{k}} \begin{pmatrix} \tilde{c}_{A\vec{k}}^\dagger & \tilde{c}_{B\vec{k}}^\dagger \end{pmatrix} h(\vec{k}) \begin{pmatrix} \tilde{c}_{A\vec{k}} \\ \tilde{c}_{B\vec{k}} \end{pmatrix}$$

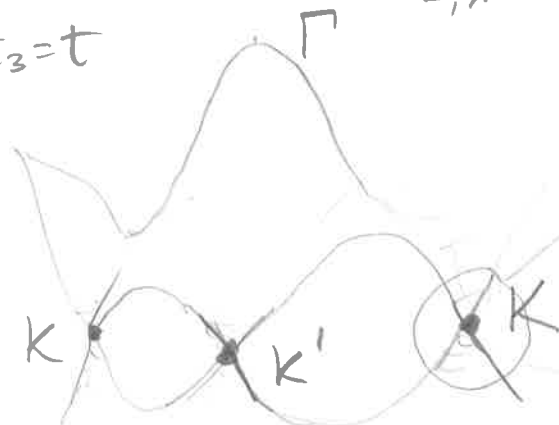
with

$$h_B(\vec{k}) = \begin{pmatrix} 0 & -t_1 e^{i\vec{k}\vec{a}_1} & -t_2 e^{i\vec{k}\vec{a}_2} & -t_3 \\ -t_1 e^{-i\vec{k}\vec{a}_1} & -t_2 e^{-i\vec{k}\vec{a}_2} & -t_3 & 0 \end{pmatrix}$$

Chiral Symmetry!

Dispersion:
 $t_1=t_2=t_3=t$

$$\epsilon_{\vec{k},\lambda} = \lambda t \sqrt{3 + 2 \sum_{i=1}^3 \cos(\vec{k}\vec{a}_i)}, \quad \lambda = \pm 1$$



Dirac cone

Linear
in vicinity
of K, K' $\lambda = 1$

no gap

 $\lambda = -1$ Eigenfunctions: $\psi = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ \lambda e^{-i\zeta_{\vec{k}}} \end{pmatrix}$,
where $\zeta_{\vec{k}}$ is the phase of h_{12} .

Dirac node

Again isotropic hopping $t_1=t_2=t_3=t$:

$$h(\vec{K} + \vec{q}) = (q_x \sigma_x + q_y \sigma_y) v_F$$

 $\vec{\sigma}$ - vector
of Pauli
matrices
in sublattice
space $\vec{k} = \vec{K} + \vec{q}$ near K -point

$$|q| \ll |\vec{K}|$$

$$v_F = \frac{3ta}{2\hbar}; \quad \text{in what follows, set } \hbar = 0, \quad a = 1$$

with $a = 0.14 \text{ nm}$ for graphene,

$$v_F \approx 10^6 \text{ m/s}$$

Near K' -point:

$$\hbar(-\vec{K} + \vec{q}) = (-q_x \hat{e}_x + q_y \hat{e}_y) v_F$$

Two Dirac fermions (at K and K')

with $\epsilon_{\vec{q}, \lambda} = \lambda \cdot v_F |\vec{q}|$

no gap, linear dispersion,
independent of "valley" (K, K')

Positions of Dirac nodes:

$$3 + 2 \sum_{i=1}^3 \cos(\vec{k} \cdot \vec{a}_i) = 0, \quad \vec{k} = \vec{K}, \vec{K}_1$$

Simplest Hamiltonian

(only nearest-neighbor hopping with C_3
symmetry, same on-site energies...)

$\Rightarrow$ semimetal

What perturbations open a gap?

Semimetal $\xrightarrow{\text{perturbation}}$ insulator

6.2 Symmetries of graphene, stability of Dirac node

A-B structure with off-diagonal
Hamiltonian $\Rightarrow$ chiral symmetry
(sublattice symmetry)

$$U_S = \hat{\sigma}_z, \quad U_S^\dagger \hbar(\vec{k}) U_S = -\hbar(\vec{k})$$

Time reversal symmetry:
always present for real hoppings
(independent of their spatial structure).

$$T: [h(\vec{k})]^* = h(-\vec{k})$$

Inversion symmetry (parity)

$$I: (x, y) \rightarrow (-x, -y)$$

Previously
denoted by
 P

$$I C_{\vec{k}\alpha} I^{-1} = \sigma_{x,\alpha\alpha'} C_{-\vec{k},\alpha'}$$

$$I H I^{-1} = H \Leftrightarrow h(\vec{k}) = \sigma_x h(-\vec{k}) \sigma_x$$

Dirac Hamiltonian:

$$TRS: [h(\vec{K} + \vec{q})]^* = h(-\vec{K} - \vec{q}) = v_G (q_x \sigma_x + q_y \sigma_y)^*$$

$$h_K(\vec{q}) \equiv v_G (q_x \sigma_x + q_y \sigma_y)$$

$$h(-\vec{K} + \vec{q}) = v_G (-q_x \sigma_x + q_y \sigma_y) \equiv h_{K'}(\vec{q})$$

$$h_K(\vec{q}) = h_{K'}^*(-\vec{q})$$

TRS symmetry relates K and K' points

By itself, TRS symmetry does not protect Dirac fermions from opening a gap:

$$h_K(q) = v (q_x \sigma_x + q_y \sigma_y + m \sigma_z)$$

$$h_{K'}(q) = v (-q_x \sigma_x + q_y \sigma_y + m \sigma_z)$$

→ TRS
preserved,
but gap opens

This type of mass corresponds to different on-site energies of A and B atoms

→ momentum-independent term $m\phi_z$

(example: boron nitride BN)

→ gapped insulator
breaks inversion symmetry

If masses in two valleys have opposite signs,

$$h_{\mathbf{k}}(\mathbf{q}) = v(q_x \phi_x + q_y \phi_y + m \phi_z)$$

$$h_{\mathbf{k}'}(\mathbf{q}) = v(-q_x \phi_x + q_y \phi_y - m \phi_z)$$

inversion symmetry is preserved

Hence, inversion symmetry by itself also does not protect dispersion from gap opening.

Taken separately, TRS and IS link $\vec{k}$ and $-\vec{k}$

When both TRS and IS are present, they impose constraints at each $\vec{k}$ separately:

$$h^*(-\vec{k}) \stackrel{\text{TRS}}{=} h(\vec{k}) \stackrel{\text{IS}}{=} \phi_x h(-\vec{k}) \phi_x$$

$$\Rightarrow h(\vec{k}) = \phi_x h^*(\vec{k}) \phi_x$$

$$(T \cdot I) h(\vec{k}) (T \cdot I)^{-1} = h(\vec{k})$$

General 2×2 Hamiltonian

$$H = \vec{d}(\vec{k}) \cdot \vec{\sigma} + \epsilon(\vec{k}) \cdot \mathbb{1}$$

$T + 1$:

$$\vec{d} \cdot \vec{\sigma} + \epsilon = \sigma_x (\vec{d} \cdot \vec{\sigma} + \epsilon) \sigma_x$$

$$= d_x \sigma_x + d_y \sigma_y - d_z \sigma_z + \epsilon$$

$$\Rightarrow d_z(k) = -d_z(k) = 0$$

no σ_z term can arise if both
TRS and inversion are preserved

Any σ_x and σ_y perturbations (if small)
only shift Dirac points

$$\tilde{h} = v(k_x \sigma_x + k_y \sigma_y) + a_x \sigma_x + a_y \sigma_y$$

$$\rightarrow \text{nodes at } k_x = -\frac{a_x}{v}, k_y = -\frac{a_y}{v}$$

no gap

Inversion plus time reversal symmetries
make a single Dirac node
locally stable

(large perturbation may open the gap)

Dirac fermions carry a vortex in
the Bloch wavefunction: their

Berry phase equals $\pm\pi = \pi \det V$,
where $\hat{V}$ is defined through $h = q_i V_{ij} \sigma_j$

Lack of σ_z is important, codimension of crossing is 2. - 6.8
A is a square matrix.

Berry phases are opposite in K and K'

Global stability:

if the Hamiltonian has C_3 symmetry (on top of T and I),
no perturbation can open the gap

Breaking of C_3 symmetry:

Dirac points move away from K, K'

High anisotropy $\rightarrow$ gap

for example: $t_3 \gg t_1, t_2$

$$h(k) \simeq -t_3 \sigma_x, \text{ gap } 2|t_3|$$

Mechanism:

merging two Dirac nodes



-K0



K0



Touching nodes: dispersion is linear in one direction but quadratic in the other

6.3

Edge states in graphene

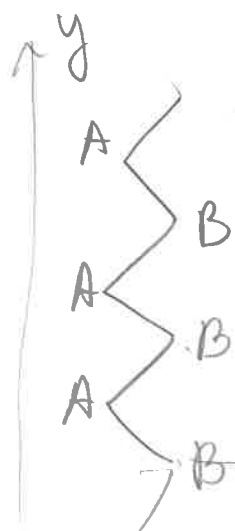
- 6.9

$$|\psi\rangle = \sum_{\vec{R}} (A|\vec{R}\rangle + B|\vec{R} - \vec{s}_3\rangle) e^{i\vec{k}\vec{R}}$$

$$E\langle\vec{R}|\psi\rangle = \langle\vec{R}|\hat{H}|\psi\rangle$$

$$t_1 = t_2 = t_3 = t = 1 \quad ; \quad \langle\vec{R}|\hat{H}|\vec{R} \pm \vec{s}_j\rangle = 1$$

$$EA = B \left(1 + e^{-i\sqrt{3}k_y} + e^{-i\frac{\sqrt{3}}{2}k_y} e^{-i\frac{3}{2}k_x} \right)$$



Shockley model
(semi-infinite SSH for each k_y)

$$\tilde{t} = 1 + e^{-i\sqrt{3}k_y} \quad \tilde{t}' = e^{-i\frac{\sqrt{3}}{2}k_y}$$

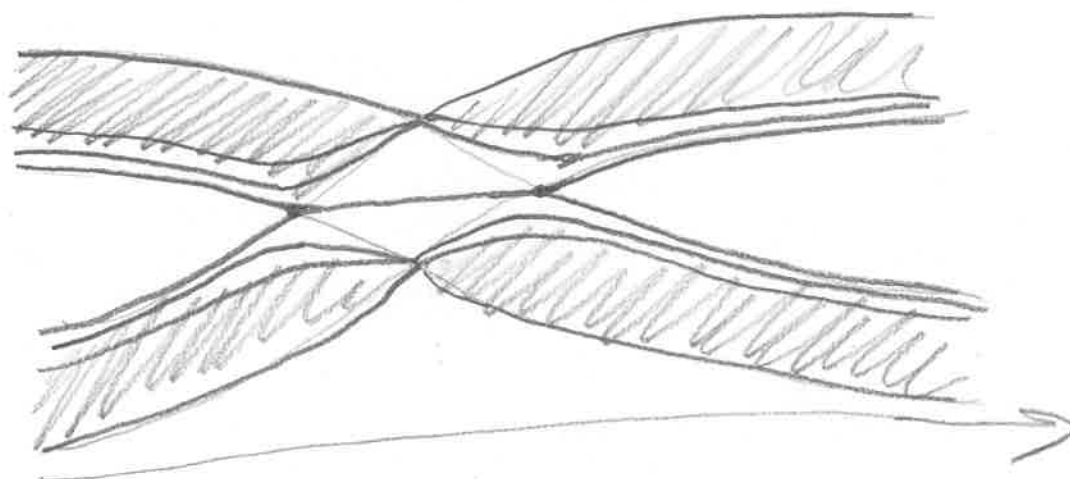
$$k = \frac{3}{2}k_x$$

Zero-E edge state when

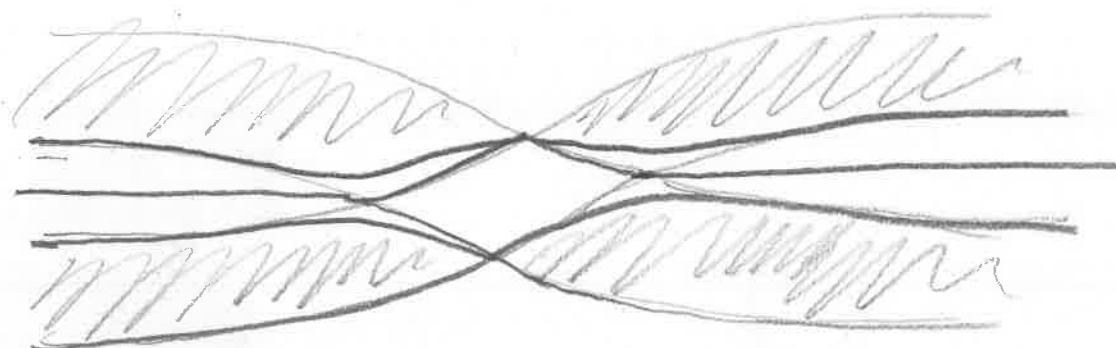
$$|t| < |t'| \Leftrightarrow |1 + e^{-i\sqrt{3}k_y}| < 1$$

$$\Leftrightarrow |k_y| > \frac{2\pi}{3\sqrt{3}}$$

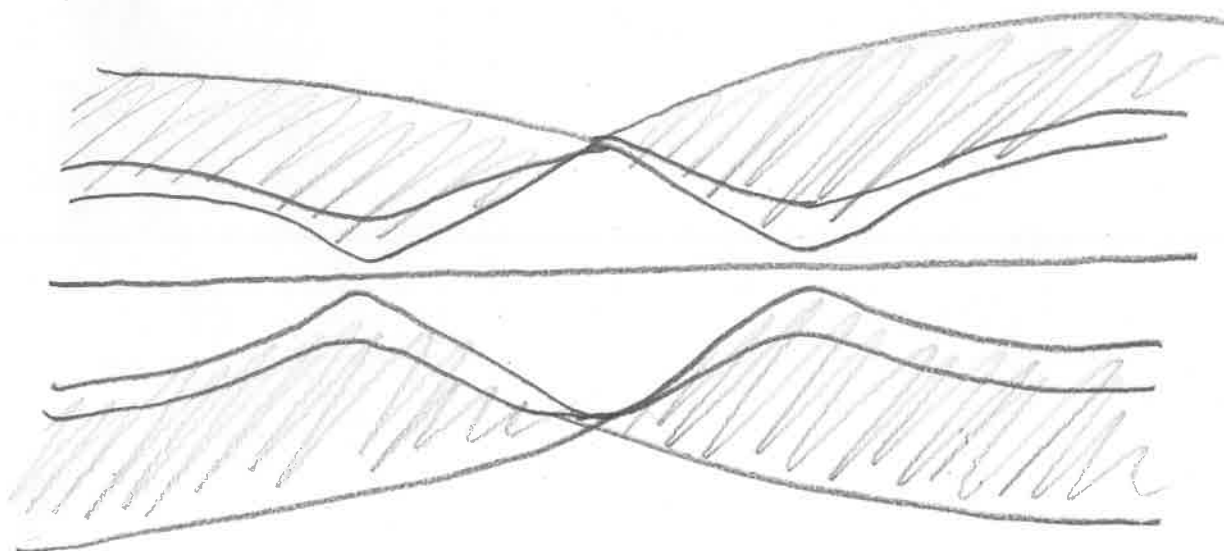
Even number of sites,
boundaries A and B



k_y
B and A



Odd number of sites A and A



Existence of edge states

Consider $\hat{H}(\vec{k}) = \vec{h}(\vec{k}) \cdot \vec{\Gamma}$

$\vec{\Gamma} = (\dots, \Gamma_i, \dots)$ set of Hermitian matrices

$$\{\Gamma_i, \Gamma_j\} = 2\delta_{ij} \mathbb{I}$$

$$\vec{h} = (\dots, h_i, \dots)$$

identity matrix

Trace of H is zero.

Indeed, $\Gamma_j^2 = \mathbb{I}$

$$\Gamma_j \Gamma_i \Gamma_j = -\Gamma_i \quad \text{for } \Gamma_i \neq \Gamma_j$$

$$\Rightarrow \text{Tr}\{\Gamma_j \Gamma_i \Gamma_j\} = \text{Tr}\{\Gamma_j \Gamma_j \Gamma_i\}$$

$$= \text{Tr}\{\Gamma_i\} = -\text{Tr}\{\Gamma_i\}$$

$$\Rightarrow \text{Tr}\{\Gamma_i\} = 0 \quad \Rightarrow \text{Tr} H = 0$$

$$\hat{H}^2(\vec{k}) = \vec{h}^2(\vec{k}) \mathbb{I}$$

$$\Rightarrow E(\vec{k}) = \pm |\vec{h}(\vec{k})|$$

Consider a semi-infinite system,
 let $k_{\perp}$ be the normal component
 of the momentum $\vec{k} = (\vec{k}_{\parallel}, k_{\perp})$.

$$\vec{h}(\vec{k}) = \vec{b}_0 + 2\vec{b}_c \cos k_{\perp} + 2\vec{b}_s \sin k_{\perp}$$

$\vec{b}_0, \vec{b}_c, \vec{b}_s$ are functions of $\vec{k}_{\parallel}$

Keeping $\vec{k}_{\parallel}$ fixed, $\vec{h}(k_{\perp})$ traces
 an ellipse centered at $\vec{b}_0$
 when changing $k_{\perp}$ from $-\pi$ to π

Ellipse's plane is defined by
 vectors $\vec{b}_c$ and $\vec{b}_s$

Split $\vec{b}_0 = \vec{b}_{\parallel} + \vec{b}_{\perp}$ w.r.t. ellipse's plane

← linear combination of
 $\vec{b}_c$ and $\vec{b}_s$

Existence condition:

Edge states exist if the projection
 of the origin onto the ellipse plane
 is contained inside the ellipse

$\Leftrightarrow \vec{b}_{\parallel} + 2\vec{b}_c \cos k_{\perp} + 2\vec{b}_s \sin k_{\perp}$
 contains the origin

Energy of the edge state

$$E_{es}(\vec{k}_{\parallel}) = \pm |\vec{b}_{\perp}|$$

6.4 Landau levels in graphene, quantum Hall effect

Graphene in magnetic field:

$$H = v_F \vec{\sigma} \cdot (\vec{p} - e \vec{A})$$

set
 $\hbar, c = 1$

Landau gauge: $\vec{A} = -yB \vec{e}_x$; $l_0^2 = \frac{1}{|e|B}$

$$H = v_F \begin{pmatrix} 0 & p_x - ip_y - \frac{y}{l_0^2} \\ p_x + ip_y - \frac{y}{l_0^2} & 0 \end{pmatrix}$$

$$= v_F \begin{pmatrix} 0 & \Pi \\ \Pi^\dagger & 0 \end{pmatrix}$$

$$[\Pi, \Pi^\dagger] = \frac{2}{l_0^2} = -ie(\partial_x A_y - \partial_y A_x)$$

without specifying
the gauge

Ladder operators:

$$a = \frac{l_0}{\sqrt{2}} \Pi, \quad a^\dagger = \frac{l_0}{\sqrt{2}} \Pi^\dagger, \quad [a, a^\dagger] = 1$$

$$H = \frac{\sqrt{2} v_F}{l_0} \begin{pmatrix} 0 & a \\ a^\dagger & 0 \end{pmatrix} = \omega_c \begin{pmatrix} 0 & a \\ a^\dagger & 0 \end{pmatrix}$$

$$H^2 = \omega_c^2 \begin{pmatrix} a^\dagger a + 1 & 0 \\ 0 & a^\dagger a \end{pmatrix}$$

cyclotron frequency

Eigenstates:

$$|\Psi_{\lambda,n}\rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} |\Phi_{n-1}\rangle \\ \lambda |\Phi_n\rangle \end{pmatrix}, \quad \begin{matrix} n > 0 \\ \lambda = \pm 1 \end{matrix}$$

$|\Phi_n\rangle$ - harmonic oscillator states

The eigenfunctions are the same as in parabolic band

$$\chi_n(x) = e^{-\frac{x^2}{2l_0^2}} H_n\left(\frac{x}{l_0}\right), \quad \text{see 4.1}$$

(here we disregard degeneracy, (p.40 of lectures 1-6)
interested only in energy levels; degeneracy can be restored following the calculation in Sec. 4.1)

Eigenenergies:

$$E_{\lambda,n} = \lambda \omega_c \sqrt{n}, \quad n \in \mathbb{N}_{>0}$$

$n=0$

$$|\Psi_0\rangle = \begin{pmatrix} 0 \\ |\Phi_0\rangle \end{pmatrix}$$

$$E_0 = 0$$

← lives in one sublattice

(in a given Dirac cone;

in the other sublattice in the other cone)

When the Dirac mass is added

$$H_K = \begin{pmatrix} m & \omega_c a^\dagger \\ \omega_c a & -m \end{pmatrix}, \quad H_{K'} = \begin{pmatrix} m & \omega_c a \\ \omega_c a^\dagger & -m \end{pmatrix}$$

$$E_{\lambda,n} = \lambda \sqrt{\omega_c^2 n + m^2}, \quad n = 1, 2, \dots, \quad \lambda = \pm 1$$

for $n=0$, $\lambda=1$ at K and $\lambda=-1$ at K'

Landau levels are no longer equidistant, getting "closer together" at higher energies

[density of states grows $\rho(E) \propto E$,
integrated density of states $\propto E^2$,
for fixed number of particles,
the number of Landau levels $n \propto E^2$
 $\Rightarrow E_n \propto \sqrt{n}$]

Semiclassical derivation - Exercise
($\sqrt{n}$ instead of $\sqrt{n+1/2}$
- effect of Berry phase)

Hall conductivity
insulators:

Section 4.3
p. 45
of lectures 1-6

$$\sigma_{yx} = \frac{e^2}{\hbar A} \Omega_{\alpha_x \alpha_y}^{(0)}$$

$$\alpha_i = \frac{\Phi_i}{\hbar} \frac{e}{c L_i}$$

$$= \frac{e^2}{h} C$$

Berry curvature
of $|4_0\rangle$

Chern number

$$C = \sum_{\text{occupied } i} C_i$$

sum over occupied
bands

TKNN

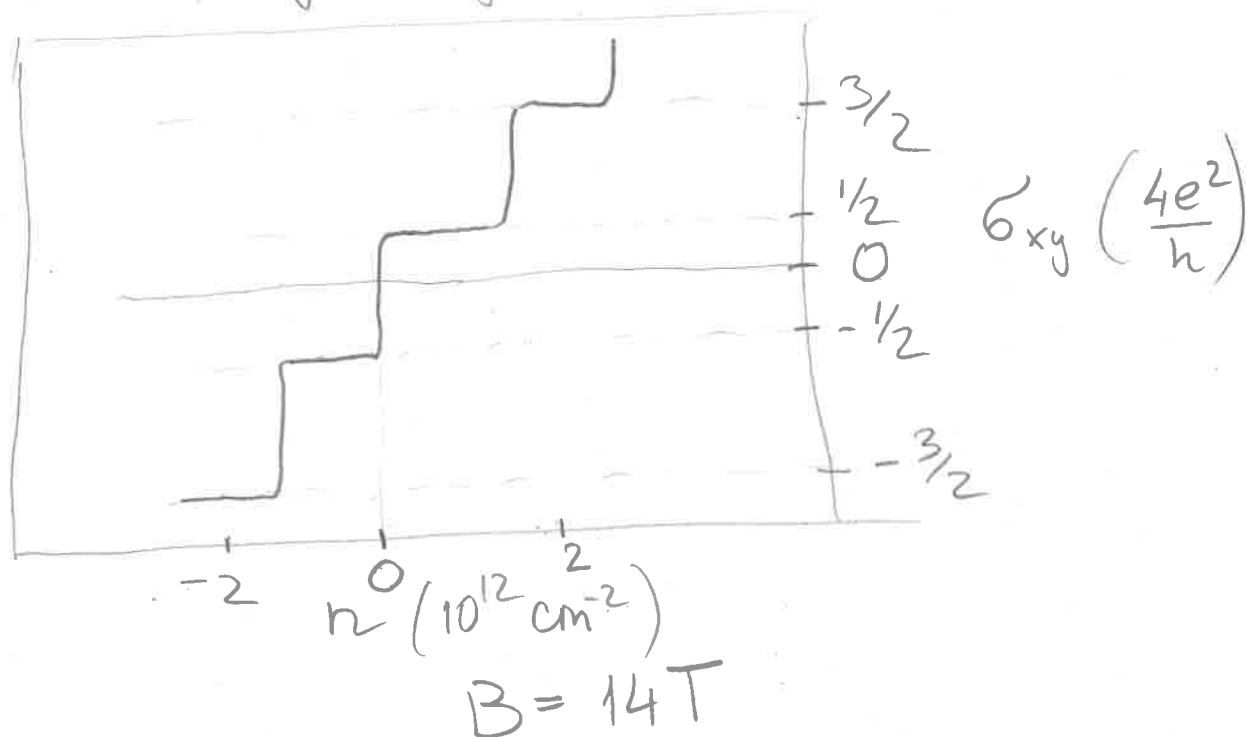
(Fermi level in the gap)

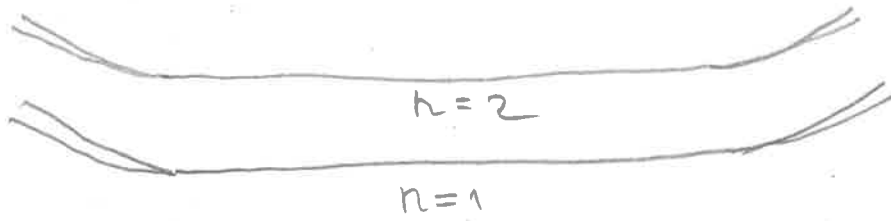
Each electron Landau level contributes 1 in units of e^2/h to σ_{xy} , each hole LL -1

$n=0$ Landau level is guaranteed by particle-hole symmetry it is half-filled $\Rightarrow$ For one Dirac node
 $\sigma_{xy} = \frac{1}{2} \frac{e^2}{h}$ (half-integer quantization)

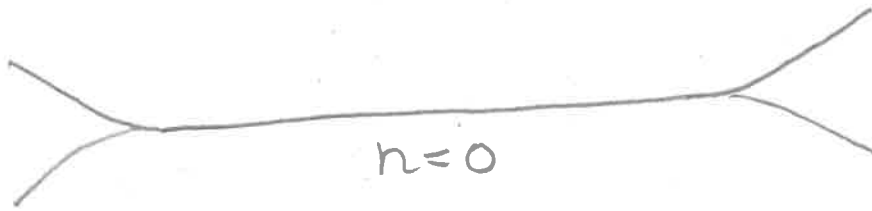
However, the second Dirac point contributes equally

$$\sigma_{xy} = \underset{\substack{\uparrow \\ \text{spin} \\ \text{degeneracy}}}{2} \cdot \underset{\substack{\uparrow \\ K+K' \text{ points}}}{2} \cdot \left(n + \frac{1}{2}\right) \frac{e^2}{h} = (\pm 2, \pm 6, \pm 10, \dots) \frac{e^2}{h}$$

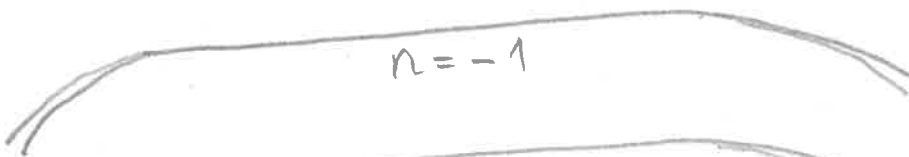




electron-like



hole-like



two branches
of edge modes
at each edge
(one from K , one from K')

6.5 Weyl semimetals ("3D graphene")

$$H = \pm v_F \vec{k} \cdot \vec{\sigma}$$

$$\vec{k} = (k_x, k_y, k_z)$$

Pairs of Weyl points (nodes) —
sources and drains of Berry curvature
Nodes are robust with respect to
opening the gap:

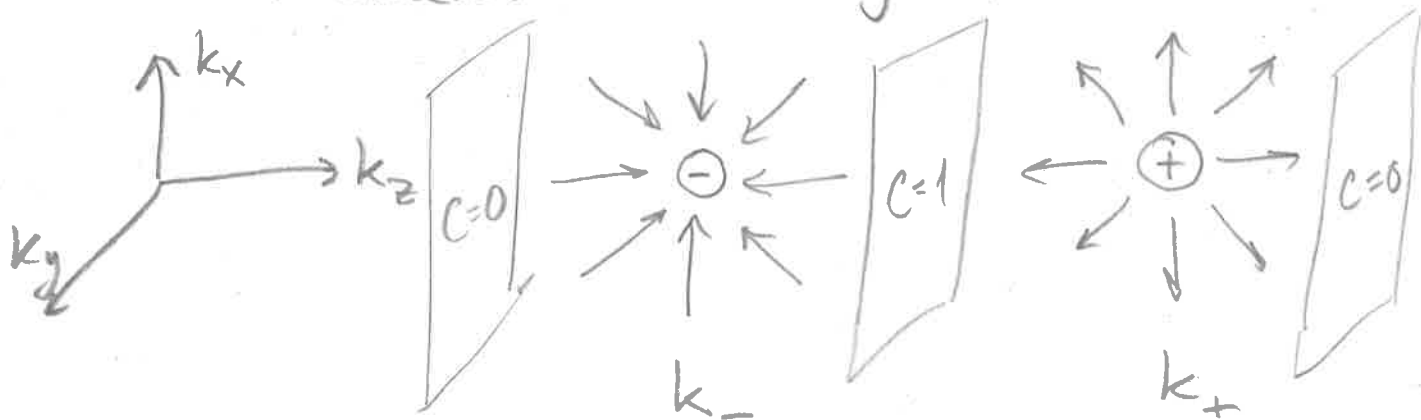
adding $\vec{a} \cdot \vec{\sigma}$ shifts the nodes
Topological protection: 2π Berry flux of each node.
Surface (edge) states: Fermi arcs
(exercise)

Fermi arcs

Assume a single pair of Weyl points with opposite chiralities

(TRS is broken; if SIS is broken but TRS is intact there are two such pairs: TRS transformation $\vec{k} \leftrightarrow -\vec{k}$ with the same chirality; the net chirality must be zero, as total Berry flux through BZ = 0 $\Rightarrow$ number of Weyl points is $4n, n \in \mathbb{N}$ if TRS is preserved)

Choose z-direction along the difference of momenta of Weyl points: $k_z = k_{\pm}$



Treat k_z as a parameter: For each slice of k_z there is a 2D band structure that depends on (k_x, k_y) .

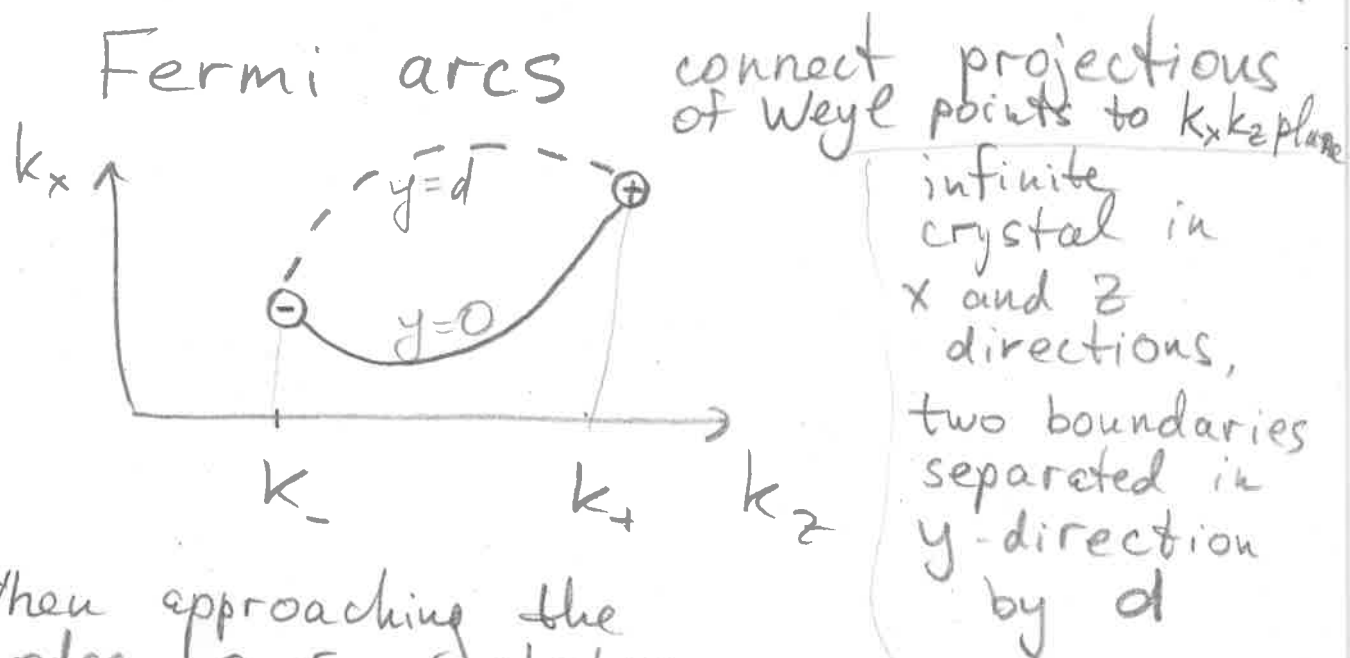
Except for $k_z = k_{\pm}$, there is a gap in 2D bands at Fermi energy.

Define a k_z -dependent Chern number $C(k_z)$; it can only change at $k_z = k_{\pm}$ (effectively the gap of 2D band is $m = v_F k_z$)

$C(k_z)$ must differ in $k_- < k_z < k_+$
 and in the complement of this range
 $\Rightarrow$ somewhere $C(k_z) \neq 0$

Consider $C=1$ for $k_- < k_z < k_+$
 and $C=0$ otherwise

$\Rightarrow$ gapless surface state for $k_- < k_z < k_+$



When approaching the nodes, surface states penetrate deeper and deeper to the bulk; at the circles, they are completely mixed with bulk states: the Fermi arcs on opposite boundaries are connected at Weyl points through the bulk.

Weyl semimetals in magnetic or electric field: chiral anomaly
 $N_{\pm}$ are not conserved separately (exercise)

6.6. Relation to Berry phase (Bry in 2D Dirac cone) - 6.20
 Return to (massive) Dirac Fermions
 in two dimensions

$$h(\vec{k}) = \vec{d}(\vec{k}) \cdot \vec{\sigma}$$

$d_z = 0$ - gapless Hamiltonian

$$E_{\pm} = \pm \sqrt{d_x^2 + d_y^2 + d_z^2} = \pm |\vec{d}| \equiv d$$

$$\Psi_+ = \frac{1}{\sqrt{2d(d+d_z)}} \begin{pmatrix} d_z + d \\ d_x - id_y \end{pmatrix}$$

normalized
orthogonal
eigenstates

$$\Psi_- = \frac{1}{\sqrt{2d(d-d_z)}} \begin{pmatrix} d_z - d \\ d_x - id_y \end{pmatrix}$$

Berry connection for the lower band

$$A_i^{(-)}(\vec{k}) = i \langle \Psi_- | \partial_{k_i} | \Psi_- \rangle$$

$$= -\frac{1}{2d(d-d_z)} (d_y \partial_i d_x - d_x \partial_i d_y)$$

(Lecture 1-6: the same was calculated
for a single spin in polar coordinates)

Berry curvature: $\Omega_{ij}^{(-)} = \frac{1}{2d^3} \epsilon_{abc} d_a \partial_i d_b \partial_j d_c$

massive Dirac Fermion: ($v_F=1$)

$$d_z = m, \quad d_x = k_x, \quad d_y = k_y$$

$$A_x^{(+)} = \frac{-k_y}{2\sqrt{k^2+m^2}(\sqrt{k^2+m^2}+m)}$$

$$A_y^{(+)} = \frac{k_x}{2\sqrt{k^2+m^2}(\sqrt{k^2+m^2}+m)}$$

Consider Fermi energy in the upper band, $E_F > m$
 $\Rightarrow$ Fermi surface

$$\begin{aligned} \int_{\text{FS}} d\vec{k} \cdot \vec{A}^{(+)} &= \int_0^{2\pi} k_F d\theta A_\theta^{(+)} \\ &= \int_0^{2\pi} k_F d\theta \left(A_y^{(+)} \frac{k_x}{k_F} - A_x^{(+)} \frac{k_y}{k_F} \right) \\ &= \int_0^{2\pi} \frac{k_F^2}{2\sqrt{k_F^2+m^2}(\sqrt{k_F^2+m^2}+m)} d\theta = \pi \frac{k_F^2}{\sqrt{k_F^2+m^2}(\sqrt{k_F^2+m^2}+m)} \end{aligned}$$

$$\approx \pi - \pi \frac{m}{k_F}$$

$m \ll k_F$

$m=0$: Berry phase = π (TRS invariant)
 0 or π

General Dirac Hamiltonian

$$h(\vec{k}) = k_i V_{ij} \sigma_j$$

V_{ij} a 2×2 matrix

$$d = \sqrt{(V_{1i} k_i)^2 + (V_{2i} k_i)^2}, \quad E_{\pm} = \pm d$$

Berry potential

$$A_i(\vec{k}) = -\frac{1}{2d^2} (V_{2j} k_j V_{1i} - V_{1j} k_j V_{2i})$$

Berry phase:

$$\int_0^{2\pi} d\phi (A_y k_x - A_x k_y) = \int_0^{2\pi} d\phi \frac{k^2}{2d^2} \text{Det } \hat{V}$$

$$\int_0^{2\pi} d\phi \frac{1}{a \cos^2 \phi + b \sin^2 \phi + c \cos \phi \sin \phi} = \frac{4\pi}{\sqrt{4ab - c^2}}$$

for $c^2 < 4ab$

$$\Rightarrow \int_{\text{FS}} d\vec{k} \vec{A} = \pi \cdot \text{sign}(\text{Det } \hat{V})$$

Massive Dirac Hamiltonian

-6.23

Berry curvature

$$\Omega_{xy} = \frac{1}{2(m^2 + k^2)^{3/2}} m$$

E_F in the gap

$$\begin{aligned}\sigma_{xy} &= \frac{e^2}{h} \cdot \frac{1}{2\pi} \int d^2k \Omega_{xy} \\ &= \frac{e^2}{h} \frac{m}{4} \int_0^\infty dx \frac{1}{(m^2 + x)^{3/2}} \\ &= \frac{e^2}{h} \frac{\text{sign}(m)}{2}\end{aligned}$$

Chern number $C = \pm \frac{1}{2}$
for a single Dirac point.

Dirac points come in pairs
in any lattice model

(Nielsen-Ninomiya theorem)

The contribution of "spectator fermions"
away from the nodal points

(high-energy fermions) produces another $\frac{1}{2}$

Gap closing and reopening (sign change
of m) $\rightarrow$ jump in σ_{xy} by $1 \cdot \frac{e^2}{h}$.