

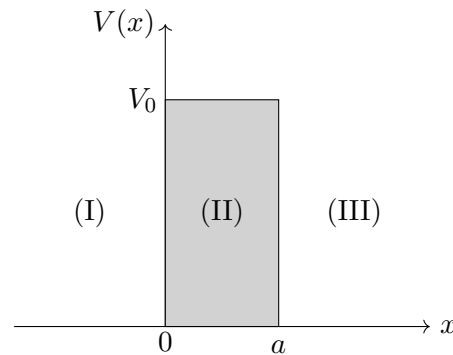
# Moderne Physik für Lehramtskandidaten

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## Lösung 11

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### Aufgabe 1: Tunneleffekt (12 P)



Betrachten Sie eine kastenförmige Potentialbarriere der Höhe  $V_0$ . Das Ziel: Die Berechnung der stationären Zustände eines Teilchens der Energie  $E < V_0$ , welches sich auf die Barriere zubewegt und die Bestimmung dadurch den Transmissionskoeffizienten  $t = t(E)$ .

- (a) (4 P) Benutzen Sie die folgenden Ansätze für die Wellenfunktion in den drei Bereichen (I), (II) und (III):

$$\begin{aligned}\psi_I(x) &= e^{ikx} + re^{-ikx}, \\ \psi_{II}(x) &= pe^{\kappa x} + qe^{-\kappa x}, \\ \psi_{III}(x) &= te^{ik(x-a)}.\end{aligned}$$

Mit Hilfe der stationären Schrödingergleichung berechnen Sie die Parametern  $k$  und  $\kappa$  als Funktionen der Energie  $E$  und Potenzial  $V_0$ .

- (b) (6 P) Verwenden Sie für die Randbedingungen zwischen den drei Bereichen nun die Stetigkeit der Wellenfunktion und deren Ableitung, um daraus die Koeffizienten  $r, t$  in den Formen

$$\begin{aligned}t &= \frac{2ik\kappa}{2ik\kappa \cosh \kappa a + (k^2 - \kappa^2) \sinh \kappa a} \\ r &= \frac{(\kappa^2 + k^2) \sinh \kappa a}{2ik\kappa \cosh \kappa a + (k^2 - \kappa^2) \sinh \kappa a}\end{aligned}$$

bringen zu können.

(c) (**2 P**) Beschreiben Sie qualitativ, was mit  $r$  und  $t$  passiert, wenn  $a \rightarrow 0$  und  $a \rightarrow \infty$ ?

**Solution to Aufgabe 1:**

We're going to consider the situation where  $0 < E < V_0$ , i.e. classically, region II is physically forbidden. Since we are using quantum mechanics, we will use the (one-dimensional, time-independent) Schrödinger equation (TISE):

$$\left[ -\frac{\hbar^2}{2m} \partial_x^2 + V(x) - E \right] \psi(x) = 0$$

with the Ansätze:

$$\begin{aligned} \psi_I(x) &= e^{ikx} + re^{-ikx}, \\ \psi_{II}(x) &= pe^{\kappa x} + qe^{-\kappa x}, \\ \psi_{III}(x) &= te^{ik(x-a)} \end{aligned}$$

Note that the wavefunction for region II is an exponential is because it is a classically forbidden region.

**Derivatives:**

$$\begin{aligned} \psi'_I(x) &= ik(e^{ikx} - re^{-ikx}) \\ \psi'_{II}(x) &= \alpha(pe^{\kappa x} - qe^{-\kappa x}) \\ \psi'_{III}(x) &= ikte^{ik(x-a)} \end{aligned}$$

Calculating the energy by substituting into the TISE:

$$\begin{aligned} (I) \rightarrow \left( -\frac{\hbar^2}{2m}(ik)^2 + 0 - E \right) \psi_I(x) = 0 &\Rightarrow k^2 = \frac{2mE}{\hbar^2} \\ (II) \rightarrow \left( -\frac{\hbar^2}{2m}(\kappa)^2 + V_0 - E \right) \psi_{II}(x) = 0 &\Rightarrow \kappa^2 = \frac{2m(V_0 - E)}{\hbar^2} \end{aligned}$$

Region III has the same energy eigenvalues as region I.

**Continuity:**

$$\begin{aligned} \text{For } x = 0: \quad 1 + r &= p + q \quad \leftarrow (1) \\ \text{For } x = a: \quad pe^{\kappa a} + qe^{-\kappa a} &= t \quad \leftarrow (2) \end{aligned}$$

**Continuity of derivative:**

$$\begin{aligned} \text{For } x = 0: \quad ik(1 - r) &= \kappa(p - q) \quad \leftarrow (3) \\ \text{For } x = a: \quad \kappa(pe^{\kappa a} - qe^{-\kappa a}) &= ikt \quad \leftarrow (4) \end{aligned}$$

We want to find the transmission and reflection coefficients  $t, r$ . Substituting Eq.(2) into Eq. (4):

$$\begin{aligned} qe^{-\kappa a} &= t - pe^{\kappa a} \\ ikt &= \kappa(pe^{\kappa a} - (t - pe^{\kappa a})) \\ \Rightarrow t &= \frac{2\kappa}{ik + \kappa} pe^{\kappa a} \end{aligned}$$

But what about  $p$ ? Is that non-zero?

First, consider the combination  $ik \cdot (1) + (3)$  :

$$\begin{aligned} ik(1 + r + 1 - r) &= (ik + \kappa)p + q(ik - \kappa) \\ \Rightarrow 0 &= p(ik + \kappa) + q(ik - \kappa) - 2ik \quad \leftarrow (5) \end{aligned}$$

For the combination  $ik \cdot (2) - (4)$ :

$$p(ik - \kappa)e^{\kappa a} + q(ik + \kappa)e^{-\kappa a} = 0 \quad \leftarrow (6)$$

Now, taking the combination  $-(ik + \kappa)e^{-\kappa a} \cdot (5) + (ik - \kappa) \cdot (6)$ :

$$\begin{aligned} -p(ik + \kappa)^2 e^{-\kappa a} - (ik - \kappa)(ik + \kappa)e^{-\kappa a}q + 2ik(ik + \kappa)e^{-\kappa a} \\ + p(ik - \kappa)^2 e^{\kappa a} + q(ik + \kappa)(ik - \kappa)e^{-\kappa a} = 0 \end{aligned}$$

Notice that the terms with  $q$  cancel. Rearranging:

$$\begin{aligned} p((k^2 - \kappa^2 + 2ik\kappa)e^{\kappa a} + (-k^2 + \kappa^2 + 2ik\kappa)e^{-\kappa a}) &= 2ik(ik + \kappa)e^{-\kappa a} \\ \Rightarrow p((k^2 - \kappa^2)(e^{\kappa a} - e^{-\kappa a}) + 2ik\kappa(e^{\kappa a} + e^{-\kappa a})) &= 2ik(ik + \kappa)e^{-\kappa a} \end{aligned}$$

Using the fact that  $e^{\kappa a} - e^{-\kappa a} = 2 \sinh(\kappa a)$  and  $e^{\kappa a} + e^{-\kappa a} = 2 \cosh(\kappa a)$ :

$$pe^{\kappa a} = \frac{ik(ik + \kappa)}{2ik\kappa \cosh(\kappa a) + (k^2 - \kappa^2) \sinh(\kappa a)} \quad \leftarrow (7)$$

i.e.  $p$  is in general non-zero, meaning that  $t$  (reminder, given by):

$$t = \frac{2\kappa}{ik + \kappa} e^{\kappa a} p \quad \leftarrow (8)$$

will also in general be non-zero, i.e. the wavefunction on the other side of the barrier is non-zero, i.e. there is transmission through the wall. In other words, there is a non-zero probability ( $|\psi|^2$ ) of finding the particle on the other side of the wall. This phenomenon is known as **(quantum) tunneling**.

Inserting Eq. (7) into Eq. (8) yields the identity for the transmission coefficient:

$$t = \frac{2ik\kappa}{2ik\kappa \cosh \kappa a + (k^2 - \kappa^2) \sinh \kappa a}$$

For the reflection coefficient, take the linear combination  $ik(1) - (3)$ :

$$\Rightarrow p(ik - \kappa) + q(ik - \kappa) - 2ikr = 0 \quad \leftarrow (9)$$

Now, using the combination  $e^{-\kappa a}(9) - (6)$ :

$$pe^{-\kappa a}(ik - \kappa) + qe^{-\kappa a}(ik + \kappa) - 2ikre^{-\kappa a} - pe^{\kappa a}(ik - \kappa) - qe^{-\kappa a}(ik + \kappa) = 0$$

Notice that the  $q$  terms cancel again:

$$\begin{aligned} p(ik - \kappa)(e^{-\kappa a} - e^{\kappa a}) &= 2ikre^{-\kappa a} \\ \Rightarrow r &= \frac{(\kappa - ik) \sinh \kappa a}{ik} pe^{\kappa a} \\ &= \frac{(\kappa - ik) \sinh \kappa a}{ik} \frac{ik(ik + \kappa)}{2ik\kappa \cosh(\kappa a) + (k^2 - \kappa^2) \sinh(\kappa a)} \\ &= \frac{(\kappa^2 + k^2) \sinh \kappa a}{2ik\kappa \cosh \kappa a + (k^2 - \kappa^2) \sinh \kappa a} \end{aligned}$$

Considering the limits  $a \rightarrow 0$  and  $a \rightarrow \infty$ , we can rewrite the transmission and reflection coefficients as

$$\begin{aligned} t &= \frac{2ik\kappa}{\left[2ik\kappa + (k^2 - \kappa^2) \tanh \kappa a\right] \cosh \kappa a} \\ r &= \frac{(\kappa^2 + k^2) \sinh \kappa a}{\left[2ik\kappa + (k^2 - \kappa^2) \tanh \kappa a\right] \cosh \kappa a} \end{aligned}$$

i.e. there isn't a transmission coefficient into 'free space' because there is no free space. Instead  $p$  will become the new  $t$ , and is in general non-zero, since as we can see,  $r$  is in general non-zero.

In the limit of  $a \rightarrow \infty$ ,  $\tanh \kappa a \rightarrow 1$ , so

$$t \rightarrow \frac{2ik\kappa}{2ik\kappa + (k^2 - \kappa^2)} \frac{1}{\cosh \kappa a} \rightarrow 0$$

$$r \rightarrow \frac{(\kappa + ik)(\kappa - ik)}{(ik - \kappa)(\kappa - ik)} = \frac{ik + \kappa}{ik - \kappa}$$

where  $\cosh \kappa a \rightarrow \infty$  in this limit.

In the other limit  $a \rightarrow 0$ ,  $\cosh \kappa a \rightarrow 1$  and  $\sinh \kappa a \rightarrow 0$ :

$$t \rightarrow \frac{2ik\kappa}{2ik\kappa} = 1$$

$$r \rightarrow 0$$

### Aufgabe 2: (8 P) Atomare Bindung

Betrachten Sie ein Elektron im eindimensionalen Potential

$$V(x) = \begin{cases} \infty & \text{für } x < 0 \\ 0 & \text{für } 0 \leq x < a \\ V_0 > 0 & \text{für } x > a \end{cases}$$

Sei die Energie des Elektrons  $E < V_0$  und die Masse  $m$ .

(a) (2 P) Gegeben Sie die Wellenfunktion

$$\psi(x) = \begin{cases} 0 & \text{für } x < 0 \\ Ae^{ikx} + Be^{-ikx} & \text{für } 0 \leq x < a, \\ Ce^{\kappa(x-a)} + De^{-\kappa(x-a)} & \text{für } x > a \end{cases}$$

bestimmen Sie  $k$  und  $\kappa$  als Funktion von  $E, m$  und  $V_0$ .

(b) (2 P) Zeigen Sie, dass  $C$  Null sein muss. Bestimmen Sie die Koeffizienten  $A$  und  $B$  als Funktion von  $D, k, \kappa$  und  $a$ .

(c) (2 P) Zeigen Sie, dass die folgende Gleichung

$$\tan(ka) = -\frac{k}{\kappa}$$

gilt.

(d) (2 P) Wie hoch muss  $V_0$  mindestens sein, damit ein gebundener Zustand vorliegt?

*Hinweis:* Ist es möglich, eine reelle Lösung für die obige Gleichung zu finden, wenn  $0 < ka < \pi/2$ ? Denken Sie danach, was größer ist:  $E$  oder  $V_0$ ?

(a) (2 P) First derivative of wavefunction:

$$\psi'(x) = \begin{cases} 0 & \text{für } x < 0 \\ ik(Ae^{ikx} - Be^{-ikx}) & \text{für } 0 \leq x < a, \\ \kappa(Ce^{\kappa(x-a)} - De^{-\kappa(x-a)}) & \text{für } x > a \end{cases}$$

Second derivative:

$$\psi''(x) = \begin{cases} 0 & \text{für } x < 0 \\ -k^2(Ae^{ikx} + Be^{-ikx}) & \text{für } 0 \leq x < a, \\ \kappa^2(Ce^{\kappa(x-a)} + De^{-\kappa(x-a)}) & \text{für } x > a \end{cases}$$

Time-independent Schrödinger equation:

$$\begin{aligned} \text{For } 0 < x < a : -\frac{\hbar^2}{2m}(-k^2\psi) + 0 = E\psi & \Rightarrow k = \sqrt{\frac{2mE}{\hbar^2}} \\ \text{For } a < x : -\frac{\hbar^2}{2m}(\kappa^2\psi) + V_0\psi = E\psi & \Rightarrow \kappa = \sqrt{\frac{2m(V_0 - E)}{\hbar^2}} \end{aligned}$$

(b) **(2 P)** First, to show that  $C = 0$ , the wavefunction must vanish as we go to infinity

$$\psi_{III}(x) \xrightarrow{x \rightarrow \infty} 0$$

The exponent for the  $D$  term will vanish, but  $C$ 's exponent will diverge, meaning that to keep the wavefunction finite,  $C = 0$ .

For the other coefficients, we use the continuity of the wavefunction:

$$\begin{aligned} \psi_I(0) = \psi_{II}(0) & \Rightarrow 0 = A + B \Rightarrow \boxed{A = -B} \\ \psi_{II}(a) = \psi_{III}(a) & \Rightarrow Ae^{ika} + Be^{ika} = D \Rightarrow \boxed{2Ai \sin(ka) = D} \\ \Rightarrow A = \frac{D}{2i \sin(ka)} & = -B \end{aligned}$$

Continuity of the first derivative of the wavefunction:

$$\begin{aligned} \psi'_{II}(a) = \psi'_{III}(a) & \Rightarrow \boxed{ikA(e^{ika} + e^{-ika}) = -\kappa D} \\ 2ikA \cos(ka) & = -\kappa D \end{aligned}$$

(c) **(2 P)** Using the last two results to remove  $D$ , we see:

$$\begin{aligned} A & = \frac{D}{2i \sin(ka)} = -\frac{2ikA \cos(ka)}{2i\kappa \sin(ka)} \\ \Rightarrow A & = -A \frac{k \cos(ka)}{\kappa \sin(ka)} \\ \Rightarrow 1 & = -\frac{k}{\kappa} \frac{1}{\tan(ka)} \\ \Rightarrow \tan(ka) & = -\frac{k}{\kappa} \end{aligned}$$

(d) **(2 P)** The left hand side of the equation is positive for  $0 \leq ka < \pi/2$ , while it will always be negative on the right hand side. So we only have *at least one* solution when:

$$\begin{aligned} ka & > \frac{\pi}{2} \\ k = \sqrt{\frac{2mE}{\hbar^2}} & > \frac{\pi}{2a} \\ \Rightarrow E & > \frac{\pi^2 \hbar^2}{8ma^2} \end{aligned}$$

and since we have  $E < V_0$ , the minimum value for  $V_0$  needed for a bounded state is:

$$V_0 > \frac{\pi^2 \hbar^2}{8ma^2}$$